



Neural Circuit Mechanisms Underlying the Exacerbation of Alzheimer's Disease by Chronic Stress

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<u>GLOSSARY</u>

AAV ₅ :	Adeno-associated virus, serotype 5
Αβ:	Amyloid-beta
AD:	Alzheimer's disease
APP:	Amyloid precursor protein
BLA:	Basolateral amygdala (basolateral nuclear group)
CaMKII:	Calcium/calmodulin-dependent protein kinase
ChR2:	Channelrhodopsin-2
CNO:	Clozapine-N-oxide
CRF:	Corticotropin releasing factor
CRS:	Chronic restraint stress
CSF:	Cerebrospinal fluid
DG:	Dentate gyrus
eYFP:	Enhanced yellow fluorescent protein
GiDREADD:	Inhibitory G-protein-coupled "designer receptors exclusively activated by
	designer drugs"
GFAP:	Glial fibrillary acidic protein
GR:	Glucocorticoid receptor
HDAC2:	Histone deacetylase 2
JNK:	c-Jun N-terminal-kinase
HPA:	Hypothalamic pituitary adrenal (axis)
MCI:	Mild cognitive impairment
MR:	Mineralocorticoid receptor
NOL:	Novel object location
NOR:	Novel object recognition
PS1:	Presenilin-1
PS2:	Presenelin-2
PVN:	Paraventricular nucleus of the hypothalamus
RFS:	Repetitive foot-shock
RIP:	Regulated intramembrane proteolysis

<u>ABSTRACT</u>

Both epidemiological and animal studies have demonstrated a strong association between Alzheimer's disease (AD), neuropsychiatric symptoms such as depression and anxiety, and chronic psychological stress. The neurophysiological basis of fear, anxiety, and stress has been well studied and is thought to involve the basolateral amygdala (BLA) - a structure of the anterior temporal lobe, which interprets fearful stimuli and outputs a behavioral fear response. Similarly, dysfunction and maladaptation within limbic circuits involving the BLA is thought to be a common etiological factor of otherwise distinct neuropsychiatric disorders such as Major Depression, and Generalized Anxiety. To determine if increased BLA activity could act to accelerate the progression of AD, we manipulated a direct BLA-to-hippocampus circuit using optogenetic (ChR2) and pharmacogenetic (GiDREADD) technologies, and subsequently examined hippocampal AD-related pathology, synaptic density, histone-deacetylase-2 expression, and hippocampus-dependent learning and memory abilities. We found that in wild-type mice, activation of glutamatergic BLA neurons was both necessary and sufficient to produce the molecular and cognitive effects of chronic stress. Terminal photostimulation of direct BLA afferents within the hippocampus was also sufficient, suggesting that the effects of chronic stress throughout the brain are mediated at least in part by direct excitatory projections originating in the BLA. Chronic activation of BLA glutamatergic neurons in the 5xFAD model of AD accelerated the neuropathological and cognitive AD-like phenotype, while chronic BLA inactivation had opposite effects. Overall our results suggest that neuropsychiatric disease and chronic stress may act through enhanced BLA activation to accelerate the progression of AD.

INTRODUCTION

Alzheimer's Disease as a Neuropsychiatric Disorder

Alzheimer's disease (AD) is a neurodegenerative disease of the cortical grey matter. It is the most common dementia in the United States (60-80 percent of all dementia cases, with a prevalence of 4.7-5.4 million), and is the sixth leading cause of death (1; 2). One in nine people older than age 65, and about one third of those older than 85 suffer from AD; as the population above age 85 increases through to 2050, the prevalence of AD is expected to climb to 13.8-16 million, accompanied by rising healthcare costs and public burden of disease (1; 2). Unfortunately there are currently few effective disease modifying treatments, and no available preventative therapy.

Although AD is a pathological diagnosis, the *Diagnostic and Statistical Manual of Mental Disorders V* outlines diagnostic clinical criteria for "probable" AD: *(i)* presence of a neurocognitive disorder, defined as decline in a cognitive domain (attention, executive functioning, learning and declarative memory, language, perceptual-motor, or social cognition) which interferes with independent functioning, *and (ii)* progressive gradual decline specifically in learning and declarative memory, *or* evidence of a Alzheimer's disease genetic mutation (3). Neuropsychiatric symptoms and affective dysregulation are also included in the symptom profile of AD (4).

New diagnostic guidelines from the National Institutes of Aging highlight the importance of biomarkers for the diagnosis of disease, which has lead to both a shift in research focus, and in perceptions of AD. "Pre-clinical AD" has been defined as a biomarker-positive but symptom-free stage, while precursory "mild-cognitive impairment" defines the earliest stages of cognitive decline before frank dementia can be diagnosed (5). The symptomatic phase of AD is now thought to be the final result of years – and perhaps decades – of biochemical and neurophysiological derangement. These earlier changes produce the neuropathological hallmarks of this disease – Amyloid-Beta (A β) neuritic plaques and neurofibrillary tangles of hyperphosphorylated Tau protein (6). These two hallmarks also serve as the triggers of definitive diagnosis on autopsy, since clinical diagnosis is generally based on the exclusion of other causes of dementia (3). Although A β and Tau are well studied, the mechanisms of their toxicity, and thus the pathophysiology of AD, is largely unexplained. Two hypotheses have dominated the scientific literature over the past half-century: the cholinergic hypothesis, and the amyloid-cascade hypothesis (7) (8) (9).

PATHOPHYSIOLOGY OF ALZHEIMER'S DISEASE:

THE CHOLINERGIC AND AMYLOID CASCADE HYPOTHESES

Early hypotheses on the etiology of AD focused on the similarity with Parkinson's disease, in which neurodegeneration of the substantia nigra pars compacta leads to dopamine depletion and basal ganglia dysfunction. In the case of AD, another neuromodulatory neurotransmitter – acetylcholine – was postulated to be involved (9). The cholinergic nuclei project very broadly throughout the cortex and subcortical limbic structures, providing a powerful substrate for disease should these projections degenerate. Although cholinesterase inhibitors are indicated for the symptomatic treatment of AD (10; 11), metanalysis has demonstrated that these drugs have no effect on the rate of conversion from MCI to AD (12). Though circuit level dysfunction of the cholinergic system may contribute to attention and memory deficits in AD, the greater majority of evidence weighs in favor of another process being the *cause* of this disease.

The dominant hypothesis of AD pathophysiology is termed the "amyloid cascade". Briefly, cleavage of amyloid precursor protein (APP) – a ubiquitous transmembrane protein of unknown function – by membrane proteases produces short peptide fragments known as amyloid-beta (A β), which are thought to aggregate into neurotoxic oligomers and fibrillary polymers in the extracellular space (7).

A β generation begins with transport of APP to the cell membrane and axon terminal (13), where it associates closely with three membrane proteases – α -secretase, β -secretase (also known as β -amyloid cleaving enzyme, or BACE-1) , and γ -secretase (a multi-subunit complex composed of one of presenilin-1 (PS-1) or presenilin-2 (PS-2), and three accessory proteins) (14). Two divergent catabolic pathways of "regulated intramembrane proteolysis" (RIP) then proceed from this point. In the first pathway, APP is cleaved at the cell membrane first by α -secretase and then by γ -secretase, generating entirely soluble, "non-amyloidogenic" APP fragments (14). In the "amyloidogenic" pathway, APP is internalized to the endosomal fraction where it is cleaved first by β -secretase, then γ -secretase (15; 16). Exocytosis releases soluble fragments of either 40 (A β_{40}) or 42 (A β_{42}) amino acids in length, which self assemble into oligomers, protofibrils, and insoluble fibrillar plaques (15).



Introduction Schematic 1: APP cleavage Process (top panels) and general toxicity of polymerization (bottom panel). From O'Brien and Wong, 2011 (13).

The physiological function of APP remains unknown; APP knockout mice show no immediate phenotype, but may develop learning and memory deficits with age (17). Experiments *in vivo* and *in vitro* suggest that APP may act as a growth factor - regulating synaptic pruning, neuronal migration,

and neuron survival in development (18; 19). More recent studies offer more support to a hypothesized function in synaptogenesis and maintenance of synaptic density, but also provide evidence that APP may participate in calcium homeostasis, synaptic transmission, and epigenetic regulation of gene expression (through interactions with the histone acetyltransferase Tip60) (20). There is also work to suggest that A β fragments themselves may have a physiological function in decreasing activity at highly active synapses and facilitating LTP at low picomolar concentration, but most research on A β fragments has focused on direct neurotoxicity (21).

Evidence for toxicity of AB fibrils and oligomers is voluminous. Early work showed that a peptide fragment generated from APP and released into the extracellular medium was toxic to cultured hippocampal neurons (22). The neurotoxic effect of $A\beta$ is increased in the presence of Tau protein, but the causality likely goes only one way: AB exposure alone leads to Tau hyper-phosphorylation, degeneration of the microtubule cytoskeleton, and neurite death (23; 24). AB exposure can also induce microglial activation, cause oxidative damage via mitochondrial dysfunction, generate apoptosis, or activate activity-dependent enzymes such as Cdk5 and Fyn-kinase, all of which may contribute to neuronal atrophy in AD (13; 25; 26). More recently, AB oligomers have been shown to bind with high affinity to cellular prion-protein at the post synaptic membrane (27; 26). This oligomer-prion-protein complex is capable of binding metabotropic receptors and directly activating intracellular kinases; blockage of this cascade ameliorates molecular and behavioral AD-like pathology in a mouse model (26). Furthermore, AB dimers isolated directly from post-mortem human tissue have been shown to impair long-term-potentiation, enhance long-term-depression, and cause loss of dendritic spines in hippocampal slice preparations (28).

The amyloid cascade hypothesis is also supported by genetic studies of early-onset familial AD, which is inherited in an autosomal-dominant fashion. 200 mutations in the genes encoding APP, PS1, and PS2 – all proteins in the APP catabolic pathway – have been associated with familial AD (29). Further genetic evidence for the role of APP and A β comes from patients with Down's syndrome (Trisomy 21), who carry an extra copy of the APP gene on the duplicate chromosome, and almost always develop AD-like pathology after age 40 (30). Deep sequencing studies of singlenucleotide polymorphisms on the APP gene has also identified a neuroprotective mutation that is found more frequently in the cognitively normal elderly population. This alanine-to-threonine substitution adjacent to the β -secretase cleavage site reduces the production of both A β_{40} and A β_{42} , possibly facilitating the maintenance of normal cognition, and reducing AD incidence in carriers (31). Genome wide association studies have also implicated a number of proteins that may be involved in A β production, aggregation, and clearance through induction of inflammation (29). Finally, whole-genome sequencing studies have recently identified specific variants of two proteins -TREM2 and Phospholipase D3 - that are both associated with AD and also tied to AB processing (32-34). TREM2 is a surface receptor found on microglia, intimately tied to the regulation of neuroinflammation secondary to A β plaque formation, while Phospholipase D3 is a membranebound enzyme highly expressed by neurons of the hippocampus and limbic cortices, involved in APP processing and extracellular A β accumulation (34; 35).

Various mouse models have been developed based on the studies of AD genetics. The 5XFAD mouse carries a triply-mutated APP, and a human presenilin gene with two additional mutations.

This model uniquely develops dense A β_{42} aggregates by two months of age with significant cognitive decline at six months (36). Because these mice lack abundant neurofibrillary tangle formation, neuronal atrophy, and cell death, the 5xFAD mouse is an especially useful model for preclinical AD. As no animal model has yet to recapitulate all aspects of AD pathology, the 5xFAD mouse is also a well-utilized model of AD in general (see methods for additional details).

Although the AB hypothesis has withstood twenty years of critical research, important questions remain. A significant number of patients without any cognitive decline show prominent dementia neuropathology, including amyloid angiopathy, lewy-bodies, and importantly AB plaques and neurofibrillary tangles throughout the hippocampus and cerebral cortex (37). Not surprisingly, the onset of cognitive decline correlates best with cerebral atrophy and synaptic loss, and the association between AD neuropathology and cognitive decline actually weakens as patients age, suggesting that plaque and tangle pathology may be a consequence (perhaps inevitable) of human brain aging (38). Recent metanalysis of 3500 independent cohort subjects with normal cognition has combined analysis of neuropathology, AB-ligand analysis by positron emission tomography, and CSF AB assays, concluding that the degree of amyloid burden accounts for approximately 12 percent of the variation in episodic memory, and 19 percent of the variation in global functioning. Although not diagnostically useful, this study supports the hypothesis that AD is a late result of a long-standing $A\beta$ load. Indeed, one recent study (using a large cohort of patients with dominantly-inherited AD) examined the delay between appearance of biomarker changes in subjects and the age of AD onset in subject's parents. These authors found that declining CSF AB42, and AB deposition in the precuneus (superior parietal lobe) are the earliest significant changes, detected 25 and 15 years respectively prior

to symptom onset (in the parents), followed by increased concentrations of CSF Tau and cerebral atrophy after an average delay of 5 years (see below) (39). The extended time frame of biochemical and neuropathological change following A β deposition suggests that early modulation of pathology – years or even decades before cognitive decline – could have symptomatic benefit.



Introduction Schematic 2: Composite image from Bateman *et al.* (2012) demonstrating the chronology of pathological and biochemical changes in dominantly-inherited AD (39).

Previous studies from our lab have suggested that $A\beta$ exposure may increase the expression of histone-deacetylase-2 (HDAC2) – a chromatin remodeling enzyme which is upregulated in both AD patients and AD mouse models, and likely contributes to disease pathogenesis and cognitive impairment in advanced AD (40). In otherwise healthy mice, over-expression of HDAC2 in neurons has been shown to impair spatial memory formation, reduce synaptic density, impair synaptic plasticity, and alter expression of a number of synapse associated genes (e.g. synaptophysin) (41). In vitro, exposure to A β increases HDAC2 expression by increasing glucocorticoid-receptor (GR) phosphorylation, which enhances binding to the GR element on the HDAC2 promoter (40). Thus stress may be intimately tied to AD pathogenesis, and indeed there is much literature to support this hypothesis.



Introduction Schematic 3: From Graff *et al.* 2012, demonstrating a proposed pathway for stress-induced HDAC2 upregulation in neurodegeneration (40).

NEUROPSYCHIATRIC SYMPTOMS, AD, AND STRESS: EPIDEMIOLOGY AND HUMAN STUDIES

Epidemiology linking neuropsychiatric symptoms with AD is controversial. Although neuropsychiatric symptoms are commonly observed in AD, it has been difficult to determine if these are features of late-stage disease, prodromic symptoms, or genuine risk factors. Many cross sectional studies have concluded that neuropsychiatric symptoms – depression, apathy, agitation, and anxiety for example – are common features of AD, and in fact have an overall prevalence approaching 90 percent on 5-year follow-up (42–44). Prospective studies using a measure called "distress proneness" (derived from the NEO Five-Factor Inventory of neuroticism (45)) were likewise able to demonstrate that patients who are prone to psychological distress are 2.4 times more likely to develop AD, and this trend persisted when patients with depressive symptoms were excluded (46).

Subsequent research has extended this result to show that distress proneness also increases the risk of developing MCI (47)(48). Indeed, a comprehensive review of 27 longitudinal and cross sectional studies estimates the prevalence of neuropsychiatric symptoms in MCI to 35-85%, and highlights the high frequency of depression, anxiety and irritability in these patients (49). Multiple groups have also conducted analyses to show that neuropsychiatric symptoms are not simply a characteristic of dementia – their presence is a risk factor for progression from MCI to AD (50–52)(53). Symptoms of anxiety were specifically implicated by one study (51), while two suggested that depression and apathy – features of major depression – were especially tied to progression (50)(52).

Consistent with the data on neuropsychiatric symptoms in general, comorbid Major Depression has been found to accelerate the rate of cognitive decline after the diagnosis of AD (54), and metanalysis has also shown that depression is a significant risk factor for the development of AD (odds ratio= 2.02) (55). The most recent cohort study found that the presence of Major Depression did not predispose a cognitively normal individual to developing MCI, but did find that once MCI was diagnosed, comorbid Major Depression increased rates of progression to AD (53). Post-mortem neuropathological studies have also shown that patients with a history of major depression show increased plaque and tangle pathology (54).

Upwards of 65% of patients with major depressive disorders do not respond to the dexamethasone suppression test – that is, administration of a synthetic cortisol analogue fails to exert negative feedback on the hypothalamic-pituitary-adrenal (HPA) axis (56). This profound dysregulation of the HPA axis can also be observed in AD. AD patients frequently fail in the dexamethasone suppression test (57), show elevated salivary cortisol concentrations upon waking (58), and have an enhanced stress response following skin incision during surgery, as measured by plasma cortisol and epinephrine (59). Elevated cortisol may further exacerbate disease, as patients with elevated plasma cortisol experience more rapid cognitive decline (60), and a randomized controlled trial examining use of prednisone in AD showed a significantly more rapid cognitive decline with prednisone compared to placebo (61). Post-mortem studies of AD patients also demonstrate increased expression of Corticotropin Releasing Factor (CRF) – the trigger for cortisol release (see below) – in the hypothalamic paraventricular nucleus, suggesting that the central nervous system contributes to HPA overactivation in AD (62).

Thus data from both large populations and small samples of human patients demonstrate that distress, neuropsychiatric disturbance, and physical dysregulation of the stress response are all intimately tied to AD: these factors can predict the development of MCI, increase the likelihood of converting from MCI to AD, and accelerate the progression of AD once cognitive dysfunction has been established. The influence of prolonged stress on AD pathogenesis has not been directly examined in human populations. However, results from animal models strongly support the hypothesis that stress has a profound effect on AD.

AD AND STRESS: ANIMAL MODELS

Data from animal models has shown that chronic stress has the capacity to worsen disease-related pathology. The first study to demonstrate an effect of stress on AD pathology showed that social isolation reduced proliferation of neurons in the dentate gyrus of APP mutant mice (63). In double transgenic mice harboring human mutations in the APP and PS1 proteins, social isolation was found to increase AB production and impair memory through enhanced activation of the enzyme Cdk5 (64). Likewise, exposing 3xTg AD-model mice to altered social groups by mixing cage composition worsens the accumulation of neuritic plaques (65). In mice carrying an additional mutation in the Tau protein, intraperitoneal dexamethasone administration is able to increase A β accumulation, Tau-hyperphosphorylation and BACE-1 expression in a dose-dependent manner (66). This central effect is confusing given that dexamethsone has limited penetration through the blood brain barrier, and acts at the pituitary to suppress release of adrenocorticotropic hormone (67). Dexamethasone would however suppress the release of CRF, which then might carry forward to have central effects. Indeed, by using microdialysis to measure rapid changes in Aß production, one recent study was able to determine that stress acted to exacerbate AD not through cortisol, but rather by increasing release of CRF which enhanced AB monomer production (68). Consistent with these observations, crosses of APP-transgenic mice with mice bred to overexpress CRF in the forebrain produces offspring with accelerated AB production and impaired cognition relative to APP-transgenics (69).

THE NEUROCIRCUITRY OF STRESS AND MEMORY

Stress is known to have a parabolic effect on cognitive abilities – acute stress can enhance memory and cognitive abilities while chronic unremitting stress has the opposite effect (70). Research into the effects of stress has focused on (1) the effects of glucocorticoids on CNS structures, in particular the hippocampus, and (2) direct effects of activating limbic circuits. Before discussing these two factors, it is necessary to introduce two major players: the hippocampus, and the amygdala.

The Hippocampus is perhaps the best-characterized structure in the mammalian brain, very likely because of the well-defined anatomical borders and histology, prominent size, and conservation across multiple mammalian species, all of which make it an easy target of curiosity. Anatomically, it is a structure of the medial temporal lobes bordered by the lateral ventricle superiorly, and continuous with the entorhinal cortex inferiorly (71). It can be functionally divided into the *dentate gyrus* (DG), *subiculum*, and *hippocampus proper* (also called *ammon's horn* in english, or *cornu ammonus* in latin) (71). The hippocampus proper can then be subdivided into 4 *cornu ammonus* (CA) fields in humans: CA1, CA2, CA3 and CA4 (72). The hippocampus proper can also be subdivided into various layers, or *strata* – one of which contains exclusively cell bodies (*stratum pyramidale*), while the others contain differing proportions of axons and dendrites, depending on the CA subfield (72)

The canonical circuit flow of information through the hippocampus begins with inputs from the entorhinal cortex, which project to cells of the dentate gyrus through the perforant pathway. These cells then project to the CA3 region via the "mossy fiber" axons, and the CA3 in turn projects to CA1 via the "Shaffer collateral" pathway. CA1 cells project their axons to the subiculum, and these fibers coalesce to form the hippocampal fornix – the major output tract (72).

The study of the hippocampus in memory formation began with Henry Molaison, who had undergone bilateral medial temporal lobectomy which left him with a permanent anterograde and partial retrograde amnesia of declarative memory (73). The first characterization of long-termpotentiation, believed to be the neural correlate of memory formation, was also conducted in the hippocampus (74), and subsequent work has demonstrated a role for the hippocampus in the formation of episodic memory (75), and subsequent memory retrieval (76). The hippocampus is especially important in encoding spatial memory, and particular cells in the hippocampus (known as "place cells") will fire when an animal enters a defined location within a known environment (77). In addition to its role in memory formation, the hippocampus also functions as a limbic structure through its connections with the amygdala, cingulate gyrus and thalamus (71).

The Amygdala – named for its almond-like shape from the greek amygdálē– is a cluster of subcortical grey matter in the anterior temporal lobe. At the highest anatomical level, the amygdala can be divided into three divisions: the basolateral nuclear group (BLA), the centromedial nuclear group (CMA), and the superficial cortex-like nuclear group (SCLA) (78). These divisions are not only anatomical: the corticomedial group has a developmental history distinct from that of the basolateral group, and has even been relegated to status of "extended amygdala" by some classifications (79). *In vivo* diffusion tensor imaging of the human amygdala can also divide the structure along similar boundaries, suggesting that the organization of fiber tracts within the nuclei

(perhaps corresponding to dominant targets) segregates along with the three divisions that have been previously established (80). Lastly, fMRI analysis based on whole-brain connectivity and coactivation also divides the amygdala into highly similar groupings, suggesting that the previous cytoarchitectonic designations also accurately reflect separate functions (81). The *basolateral nuclear group*, (BLA) consists of three sub-nuclei: the *lateral nucleus, basolateral nucleus* (note to be confused with the *basolateral nuclear group* (BLA), which again includes all three sub-nuclei), and *basomedial nucleus* (78).

The response to stress involves both activation of the HPA, and of emotional circuits that facilitate the recognition of fearful stimuli, and a behavioral response.

HPA activation begins with release of the neuropeptide *corticotropin-releasing-factor* (CRF) by neurons in the paraventricular nucleus (PVN) of the hypothalamus (82). Although the canonical function of CRF is to promote the release of adrenocorticotropic hormone from the anterior pituitary, it is also centrally active as an excitatory neuropeptide, binding various G-protein coupled CRF receptors to increase cellular excitability (decrease threshold of excitability), and circuit activation (decreased seizure threshold) (83). CRF receptors are found throughout the limbic system including the hippocampus, and are especially dense throughout the BLA (84). BLA activation increases CRF release through excitatory connections to the PVN via the central and medial nuclei, suggesting a positive feedback loop (82) (85). The hippocampus, on the other hand, dampens the release of CRF through its connections with relay inhibitory neurons of the extended amygdala (85). Scattered and clustered CRF-positive neurons have also been found in the central nuclei of the amygdala and in the CA1, CA3 and DG regions of the hippocampus, suggesting further capacity for circuit level regulation of CRF release by limbic structures such as the BLA and hippocampus (83).

The final downstream effect of CRF release from PVN neurons is cortisol release from the adrenal gland. Peripheral cortisol release has systemic effects that facilitate the stress response (ie, liberation of liver glycogen), but it also has effects on brain structures. Cortisol binds to both the high-affinity mineralocorticoid receptor (MR) and the lower affinity glucocorticoid receptor (GR), both of which are distributed in high density throughout the inhibitory feedback circuits of the limbic system, including the hippocampus (70). Cortisol binding has a "sweet spot" – both too little and too much can lead to dendritic debranching and impair cognition (70; 86).



Introduction Schematic 4: Regulation of the HPA axis control by CNS structures (from Erdmann *et al.* 2008) (87). Abbreviations: CRH: Corticotropin Releasing-Hormone (or -Factor - CRF); GR: Glucocorticoid Receptor; MR: Mineralocorticoid Receptor; ACTH: Adrenocorticotropin Releasing Hormone; POMC: Proopiomelanocortin - an ACTH precursor.

Circuits controlling the behavioral response to stress have been well-characterized using the fearconditioning model, in which a subject (usually a rodent) learns to associate a particular environment with an aversive stimulus, like an electric shock (88). There is also good concordance between animal studies and neuroimaging studies in humans, suggesting that circuits controlling fear, anxiety and stress are well conserved (89). Through this extremely expansive breadth of studies in both humans and animals, the amygdala has emerged as the most important structure in recognizing, responding to, and remembering fearful stimuli. Lesioning the BLA by injecting NMDA eliminates the expression of fear behavior in response to aversive stimulus (90). In humans exposed to a fearful stimulus, the right amygdala becomes activated only when a physiological response to the stimulus occurs, suggesting a function in association and expression of fear (91). Amygdala activation in response to fearful faces is also greater in healthy patients with high-anxiety states, suggesting an additional role for the amygdala in modifying and adapting fear behavior in response to emotional state (92). Amygdala activation is also important in the formation of emotional memory: when human subjects viewed emotional film clips, glucose metabolism in the right amygdala correlated with the subsequent memory of detail from the clips (93). This is consistent with animal studies showing that even long after exposure to a fearful stimulus, lesioning the amygdala reduces the recall of the fear memory (94). Finally, the amygdala is also a hub for the downstream activation of multiple limbic and cortical structures, including the anterior cingulate cortex, insular cortex, and hippocampus (95).

The flow of information through the amygdala has been well characterized. The lateral nucleus of the BLA receives multimodal external and internal sensory input, which are then integrated in the basolateral nucleus (For review, see Ledoux, 2000)(88). Neurons in the BLA then project directly and indirectly to the output nuclei of the CMA, and thus BLA activity can both promote and suppress anxiety, depending on the specific cell populations that become activated (96). The central nucleus of the amygdala then outputs to various regions to drive the behavioral response to fearful stimuli (97). For example, specific projections to the hypothalamus, parabrachial nucleus and ventral

tegmental area mediate the risk avoidance, respiratory response, and emotional valence of fear, respectively (98). However, the BLA also projects directly to the hippocampus, which will be discussed in detail below.



Introduction Schematic 5: General flow of information through the amygdala. From Ehrlich *et al.* 2009 (99). Abbreviations: BA: Basolateral nucleus of the Basolateral Nuclear Complex; LA: Lateral nucleus of the Basolateral Nuclear Complex; CEI: Lateral subdivision of the Central Nulceus; CEm: Medial Subdivision of the Central Nucleus; ITC: Intercalated cell cluster

The function of the amygdala as a neural integrator is so strong that only 4-7 percent of amygdala neurons will respond to unimodal sensory stimulus (gustatory, visual, olfactory), whereas complex stimuli such as an expressive face or accelerating heartbeat elicit strong action potential responses from excitatory amygdala projections (78). At a structural level, imaging studies also demonstrate amygdala response to emotional pictures, and emotional faces (for review, see Shin, 2010)(89).

In states of acute stress, the BLA enhances memory formation through connections with memory structures such as the hippocampus (100; 101). For example, infusion of the noradrenergic agonist clenbuterol into the BLA during behavioral training enhanced memory recall and hippocampal expression of the immediate-early-gene *Arc*, suggesting the BLA can modulate hippocampal activity (102). Lesions of the BLA block the memory facilitation induced by glucocorticoid infusion into the hippocampus, and likewise block the memory impairment induced by either adrenalectomy or intra-hippocampal infusions of a GR antagonist (100).

While acute stress generally enhances memory formation, chronic stress has the opposite effect (70; 103). At a cellular level, chronic stress leads to contrasting patterns of dendritic remodelling in the BLA and hippocampus: elaboration of dendritic arborisation occurs in excitatory projection neurons of the BLA, while debranching and regression of apical dendrites occurs in CA3 pyramidal neurons following chronic stress (70; 104; 105). Indeed, this dendritic remodelling of the BLA following chronic stress has been shown to increase baseline excitability of regional neurons, suggesting that BLA output may be increased in states of chronic stress (105).

Thus many studies suggest that the BLA participates in neurophysiological changes associated with stress. Unknown however, is whether the direct circuit connectivity between the BLA and associated structures (such as the hippocampus) facilitates these changes, or rather if some secondary factor such as HPA axis activation is responsible.

CIRCUITS LINKING STRESS AND COGNITION

The hippocampus is both physically adjacent to the BLA, and functionally integrated in providing emotional content to memory. Even considering the confusing, often impenetrably dense, and sometimes contradictory nomenclature of amygdala anatomy, trends emerge from studies in both rodents and non human primates that sketches an extensive network of monosynaptic connections between these two structures.

There is extensive reciprocal innervation of the BLA (lateral, basolateral and basomedial subnuclei), and all hippocampal subfields, except notably the DG which neither receives nor sends any projections to the BLA (106–108). First, in the rat (*Rattus norvegicus*) all three subnuclei of the BLA send projections most densely to the ventral ("temporal") two-thirds of the hippocampus. In the rat, projections to CA3 originate in the basolateral subnuclei exclusively; projections to hippocampal CA1 and the subiculum seem to be segregated by cell layer: the strata molecular and lacunosum (internal axonal layers) receive input from the basomedial nucleus, while the strata oriens and radiatum (external, dendritic layers) receive from the basolateral nucleus (109). In rodents the temporal CA1 was observed to receive more connections from all BLA subnuclei, and is the only hippocampal subfield to send reciprocal afferents back to the BLA (108). The interconnections between the BLA and entorhinal and perirhinal cortexes are interesting in their capacity to modify and modulate hippocampal output, but are beyond the scope of this discussion.

In the rhesus monkey (*Macaca mulatta*) as in the rat, BLA afferents to the hippocampus consistently originate in only the basolateral and basomedial subnuclei of the BLA, and their projections form a

more dense "continuous band" throughout the hippocampal subfields (106; 107). In contrast, projections from the lateral subnuclei of the BLA are sparse, as are those from all of the centromedial and cortical nuclei (106). CA4 through CA1 receive inputs from the basomedial nucleus, while the prosubiculum and subiculum receive their projections from the parvocellular division of the basolateral subnucleus (107). Unlike in the rat, amygdala projections in primates terminate most often in the dorsal ("septal") hippocampus (106). Reciprocal connections from the hippocampus originate most often in dorsal CA1 – these *do not travel via the fornix*, but instead via a direct tract through the angular gyrus known as the "angular bundle" (106). Reciprocal hippocampal afferents terminate in all BLA subnuclei according to Aggleton (1986), or exclusively in the basolateral and basomedial subnuclei according to Saunders (1988).

These studies show interesting commonalities – that the BLA, and perhaps specifically the basolateral and basomedial subnuclei, are especially reciprocally connected with the hippocampus CA1. This suggests a conserved organization to amygdala-hippocampus connectivity, which can be manipulated experimentally or therapeutically to examine the effect of stress on memory and AD.

AMYGDALA (DYS)FUNCTION IN DISEASE

Psychiatric disease suffers from a symptom-based classification system that bypasses structural, chemical, and genetic etiologies. Thus, multiple psychiatric conditions can share contributing pathophysiology. For example in both Major Depressive disorder (110), and Anxiety-disorders (111), there is enhanced activation of the amygdala in response to presentation of a fearful face. Treatment of depression with Citalopram (a selective serotonin reuptake inhibitor) normalizes the

response of the amygdala to fearful faces after only seven days – an interesting result considering that full symptomatic relief generally occurs only after weeks or months of treatment (112). Beyond these changes in amygdala activation, psychiatric disease also features changes in the functional connectivity of the amygdala with other brain regions. As measured by correlations in metabolic activity (Fludeoxyglucose (F18) Positron Emission Tomography [FDG-PET]), patients with bipolar disorder demonstrate stronger connectivity with the prefrontal cortex and hippocampus; in unipolar depression there is also a stronger, though negative, connectivity with prefrontal cortex (113). Dysfunction of amygdalar circuitry might thus contribute to multiple classes of psychiatric disorders.

In AD, epidemiological literature suggests that neuropsychiatric symptoms are present before memory disturbance, and contribute to disease progression (see above). However, it is possible that instead, amygdalar changes are *secondary* to AD-related degeneration, and themselves lead to limbic dysfunction and neuropsychiatric symptoms. Indeed the amygdala has been shown to lose functional connectivity with the both the hippocampus and various frontal cortical regions in AD (by resting state MRI analysis), and these changes correlate with clinical assessments of cognitive status (114). There is also morphometric evidence to suggest that amygdalar degeneration correlates with cognitive decline in AD. For example, one recent structural MRI study demonstrated a correlation between recall of emotionally charged words and gray matter volume of the amygdala and hippocampus (after normalization for overall cortical volume), while another found a similar association between emotional memory abilities and amygdalar (but not hippocampal) volumes (115; 116). Regarding neuropsychiatric symptoms in particular, although one study found a trend suggesting that AD patients who experienced clinical anxiety showed relative preservation of the amygdala from degeneration (117), the bulk of evidence speaks *against* a specific correlation between amygdalar degeneration or preservation in AD, and presence of neuropsychiatric symptoms (118). Overall these studies suggest that although amygdalar dysfunction contributes to cognitive decline in AD, it does not obviously relate to the presence or absence of neuropsychiatric symptoms. However, the importance of chronic amygdala activation to overall disease progression (as is suggested by the epidemiological link between AD and long standing "distress") has not yet been addressed.

FOCUS OF THE CURRENT STUDY:

To summarize, AD is a disease in which emotional dysregulation and neuropsychiatric symptoms are common. The pathophysiology of AD is well understood to involve A β and Tau-induced derangements in neuronal function, and eventually neuronal atrophy and death. Animal models have established a link between chronic stress, and exacerbation of these pathological hallmarks of disease; the epidemiological literature has provided an equally strong link between stress, neuropsychiatric symptoms, and accelerated AD progression or increased incidence. The BLA is known to underlie many of the effects of stress, and also known to be dysfunctional in multiple neuropsychiatric disorders. Perhaps then, multiple neuropsychiatric symptoms converge to act on a common structure – the BLA – and increased activity of this structure accelerates AD. The experiments outlined below seek to assess two hypotheses: (1) that direct BLA-to-hippocampus circuit activity underlies the cognitive and molecular effects of chronic stress, at least in the domain of spatial memory and (2) that directly manipulating this sensitive circuit can alter pathological progression in the 5xFAD mouse model of AD. To address these hypotheses, we used optogenetic and pharmacogenetic strategies to specifically manipulate excitatory projection neurons of the BLA. Intraparenchymal injection of adeno-associated virus (AAV₅) encoding channelrhodopsin-2 (ChR2) allowed us to examine structural activation (96; 119; 120), while injection of virus encoding GiDREADD enabled us to examine structural inactivation (121–123) (see fig. 1 for a summary of experimental paradigms). Briefly, we found that the activity of glutamatergic cells of the BLA was (1) necessary for chronic-stress induced molecular and cognitive changes (2) sufficient to replicate these changes in the absence of any stressor. We also found that direct and selective activation of only BLA terminals arriving in the dorsal hippocampus was sufficient to replicate these effects. In the 5xFAD model of AD, we replicated previous findings that chronic stress exacerbated AD pathology. Applying our earlier results to the 5xFAD model, we found that chronic BLA activation could worsen AD pathology and impair cognition, while chronic inactivation slowed pathological progression, and may improve cognitive function.

<u>METHODS</u>

<u>Animals</u>

All mouse work was approved by the Committee for Animal Care of the Division of Comparative Medicine at the Massachusetts Institute of Technology. Adult male Swiss Webster mice (Taconic, Charles River) were caged in groups of 4 to 5 on a normal light dark schedule and given access to food and water *ad libitum*. 5xFAD homozygote mice in a B6SJL genetic background were obtained from The Jackson Laboratory. These mice express human APP harboring the Swedish, Florida, and London Familial-AD mutations, and human Presenilin-1 harboring the M146L and L286V Familial-AD mutations, all driven by the neuron-specific promoter *Thy1*. In the 5xFAD mouse, extraneuronal amyloid deposition begins at 2 months (preceded by intraneuronal accumulation), with significant loss of synaptic density and layer-5 cortical pyramidal neurons by 9 months (trend at 4 months), and increase p25 by 9 months (36). All mice were used at an age of 3 months for the RFS treatment, 10 weeks for the BLA-cell body viral injection (to allow 3 weeks for viral expression).

Repetitive Foot Shock (RFS)

Mice were placed in fear conditioning chambers (TSE systems) for 1 hour between 10 AM and 6 PM. Freezing and behavioral activity was automatically measured using TSE fear conditioning software. Mice received 10-foot shocks at an intensity of 0.8mA and at random intervals during a one-hour session. Control mice were placed in the chamber for 1 hour but did not receive foot shocks. This procedure was repeated for 7 days.

Chronic Restraint Stress (CRS)

Each CRS session began at a variable time, between 10:00 AM and 6:00 PM. Plastic DecapiCones (Braintree Scientific) were prepared by cutting 5 mm from the small open end to allow for easier breathing, and a large hole near the tail for excreta. The cone was secured around the tail using elastic bands or labeling tape. Mice were arranged in the bottom of a large rat cage on a lab bench, and inclined to allow for urine and feces to clear from the bags. CRS sessions lasted 2 hours, after which mice were quickly returned to their home cage. Control mice were brought to the lab bench but left in their home cages for 2 hours.

Surgeries

All surgeries were performed under aseptic conditions (with stereotaxic guidance for intracranial injections). Mice were anesthetized using 1 to 2% isoflurane and Ketamine/Xylazine. All coordinates are relative to bregma in mm and defined according to the Paxinos sterotaxic atlas (124).

G_i**DREADD** – All mice received bilateral injections of adeno-associated virus (AAV₅) virus (1-5*10¹²) expressing Gi-DREADD under the CaMKII α promoter (http://genetherapy.unc.edu/services.htm#AAV). Virus was provided by the UNC vector core. Swiss-Webster mice received a bilateral injection of 0.5 µl at a rate of 0.05µl/min using 10µl elongated glass capillary (Wiretrol Drummond) and a microinjector (Quintessential Steroetaxic Injector, #53311); 5xFAD mice received a bilateral injection of 0.3 µl at a rate of 0.05µl/min using the same equipment. Stereotaxic coordinates for BLA injections in Swiss Webster mice were (-1.34 mm AP, ±3.35 mm ML, -3.9 mm DV) and in 5xFAD mice were (-1.14 mm AP ±3.51 mm ML, -3.9 mmDV). After injection, the capillary was left in place for an additional 5-10 minutes to allow for virus diffusion, and then was slowly withdrawn. Animals were kept on a 37° heating pad during the surgery, and until recovery from anesthesia.

The Gi-DREADD activating drug Clozapine N-oxide (Sigma Aldrich, C0832) was diluted in 0.9% sterile saline (Aqualite System) and injected i.p. (2.5mg/kg) 30 minutes prior to starting the RFS treatment. For osmotic pump delivery, CNO was diluted in 0.9% sterile saline to a concentration of 5mg/mL. Approximately 240 μ L of CNO solution was loaded into the Alzet[®] model 2004 pump. The pump was implanted subcutaneously using a 1cm dorsal incision made at the base of the neck between the scapulae.

ChR2 – Intracranial injections were performed as described in the **G**_i**DREADD** section. Control of BLA glutamatergic projection neurons was achieved using an AAV₅ vector carrying hChR2(H134R)eYFP, or control virus carrying eYFP only, both driven by the CaMKIIα promoter (viruses provided by Karl Deisseroth, maps and clones available at <u>http:// www.optogenetics.org</u>). All mice were bilaterally implanted with self assembled implantable optical fibers using the protocol from the synthetic neurobiology website (<u>http://syntheticneurobiology.org/protocols/protocoldetail/35/9</u>), with the only difference being the use of metal ferrules with an internal diameter of 330 μm (precision fiber products) and 300 μm fiber optic, 0.37 NA (Thorlabs). For ChR2-cell body mice the implants were placed 0.5mm dorsal to the BLA (Swiss Webster: (-1.34 mm AP, ±3.35 mm ML, -3.4 mm DV) 5xFAD: (-1.14 mm AP, ±3.51, -3.4 mm DV)). For the ChR2-terminal experiments, implants were placed 0.5mm dorsal to the hippocampus CA3 in the dorsal hippocampus (-2.18 mm AP, ±2.67 mm ML, -1.37 mm DV). Two self-tapping bone screws (Bioanalytical Systems) were screwed into the scull to hold the implant in place. One layer of adhesive cement (C&B metabond; Parkell, Edgewood, NY) followed by cranioplastic cement (Dental cement; Stoelting, Wood Dale, IL) was applied to the implants, skull, and screws to secure these elements to the skull. Virus was allowed to express for 3 or 6 weeks for the cell body or terminal experiments respectively, before beginning the laser stimulation paradigms.

Optogenetic Stimulation

A fiber-optic cable was used to connect a 473 nm laser diode (OEM Laser Systems, East Lansing, MI) through an FC/PC adapter to a rotatory joint (Doric Lenses) mounted inside the fearconditioning chamber (TSE Systems). Laser output was controlled using a Master-8 pulse stimulator (A.M.P.I., Jerusalem, Israel). All included animals had the center of the viral injection located in the BLA, though there was occasional leak to neighboring regions or along the needle tract. Specificity of the stimulation was dependent on fiber optic implant location, and was histologically confirmed in all cases. The skull-mounted optical fibers were plugged to a branching fiberoptic patchcord (Doric Lenses) to split the laser output, providing bilateral light delivery. This patchcord was connected to the external fiber optic cable through the rotatory joint inside the fear-conditioning chamber. Once connected, mice were allowed 3 min to acclimatize before starting the laser stimulation. BLA-cell body photostimulation in Swiss-Webster mice consisted of pairing 4.5-kHz tone pips (1 Hz; 250 ms on/ 750 ms off) for 20 s with 2 s of laser stimulation (20 Hz; 10 ms pulse-width; 473 nm; 3-5 mW; -57 mW/mm^2), which coterminated with the tone, occurring 16 times during a 20 min period at variable interval, repeated daily for 7 days. Terminal photostimulation in Swiss Webster mice consisted of either an identical paradigm to that for cell body stimulation, or 20s of constant laser stimulation at 7-8 mW (~106 mW/ mm² at the tip of the fiber) occurring 10 times during a 20 min session at random intervals, repeated daily for 7 days. BLA-cell body photostimulation in 5xFAD

mice consisted of 20 sec of laser stimulation (20 Hz; 5 ms pulse-width; 473 nm; 1-3 mW; -34mW/mm²) occurring 10 times during a 20 min session, repeated daily for 14 days.

Behavioral assays

Novel Object Recognition/Novel Location Recognition - Behavioral arenas consisted of 4 rectangular rat cages with no bedding, arranged in a 2x2 rectangle, separated by four opaque walls. A triangle or three vertical lines of red labeling tape were placed onto the two opposing short walls for spatial cues. For novel object recognition and novel object location, mice were placed into the arenas on day 5 and 6 of the RFS or laser-stimulation paradigm, and allowed to explore and familiarize for one 10 min session per day. On day 7, the novel object location protocol started with the training phase, wherein two objects were placed along one short side of each arena (100ml Pyrex glass bottles, positioned in the corners, 3 cm away from the walls). The mice were placed facing the middle of the opposite short wall at its midsection. Mice were allowed to familiarize with the objects for two 10 min training sessions, separated by an inter-trial interval of 1h. 75 min after the second training session, one of the objects was moved to the opposite corner and mice were again placed into the arenas. Immediately following this testing period, the novel object recognition procedure started with the training phase, in which the two objects were placed in their original location and mice were allowed 10 additional min of free exploration. 24h later, one of the glass bottles was substituted for a plastic 55ml bottle and the mice were again placed into the arena. For both tests, time spent with each object (old and new locations or old and new objects) was recorded during a 5 min testing period. During each step of the training and testing, video of the performing mice was acquired using a Sony Camcorder fixed to the ceiling above the arenas. Videos were analyzed by an experimenter blind to the experimental treatment. Mice were scored as exploring an object if they

showed obvious signs of directed attention: climbing, sniffing, or prolonged observation (if within approximately 2 cm of the object). Time spent on top of the objects was not counted, unless the mice were simultaneously directing attention to the object.

Fear Conditioning – Mice were allowed to habituate to the fear-conditioning room for at least 30 minutes prior to starting the procedure. The fear conditioning chambers (TSE) were scented using a paper towel saturated with natural vanilla extract. Background noise was set to 0.1 dB. Mice were given 3 minutes to habituate to the box. Two 0.8 mA shocks were administered, each preceded by a 30 seconds of 4.5 kHz tone pips. 15 seconds after the final shock, mice were returned to the home cage. Boxes were disinfected with quatricide between mice, and the paper towel was re-saturated with vanilla. 24 hours later, mice were returned to the unaltered chamber and freezing was assessed for 3 minutes (no tone, no shock). After contextual testing, the boxes were cleaned and modified: the vanilla extract was replaced with 2 % Acetic Acid, the metal shock bars were covered with a grey plastic flooring, the walls of the box were covered with yellow paper, and the background noise was removed. Mice were then returned to the box for cued testing and freezing was assessed during 3 minutes of constant 4.5 kHz tone pips.

Immunohistochemistry

Mice were perfused with 10% paraformaldehyde under deep anaesthesia (ketamine, xylazine) and their brains sectioned at 40 µm thickness using a vibratome (Leica). Slices were permeabilized with 0.1% Triton X-100, blocked (10% donkey serum), and incubated overnight with 0.1% Triton X-100/10% donkey serum in PBS containing primary antibodies: rabbit HDAC2 (Abcam; 1:1000), Mouse synaptophysin (Sigma; 1:1000), Rabbit GFAP (Abcam; 1:10,000), Mouse 4G8 (Covance, 1:500), and Mouse Nu-1 (William Klein, Northwestern University; 1:3600). Primary antibodies
were visualized with Alexa-Fluor 488, Cy3 and Cy5 antibodies (Molecular Probes), and neuronal nuclei visualized with Hoechst 33342 (Invitrogen). Images were acquired using a confocal microscope (LSM 510, Zeiss; LSM 710, Zeiss) at identical settings and focal plane for each of the conditions. Images were quantified using ImageJ 1.42q by an experimenter blind to the experimental treatment. For HDAC2 and SYP quantification, sections at bregma -1.70 were selected and 5 images per section were acquired. For HDAC2 40-60 representative cells were analyzed, while for SYP, the stratum radiatum region of the CA1 subfield was selected in each picture and the mean intensity was quantified. For GFAP, a similar protocol was used with the exception that all strata were analyzed. For 4G8 plaque load analysis, two sections at bregma -2.18 were analyzed under epifluorescence by a blinded experimenter. 4G8-positive plaques were counted in the DG, and CA1. Aggregates were determined to be individual plaques if their total size was larger than a nuclei, and if they could be resolved from adjacent aggregates. For Nu-1 analysis, 2 sections at bregma -2.18 were selected. A single image of the DG and septal CA1 was acquired for each hemisection with identical settings and focal plane. Images were exported to ImageJ 1.42q, thresholded for intensity, converted to binary, and finally the percent coverage of all strata except the stratum pyramidale was calculated based on a constant threshold intensity.

Corticosterone Assays

Following the fifth session of RFS/optogenetic stimulation or the final session of CRS, mice were scruffed, and the facial vein was punctured within 5-10 seconds using a 5 mm Goldenrod Animal Lancet (MEDIpoint). 5 drops of blood were collected on ice and heparinized with 10 μ L of 1000U/mL heparin. Samples were centrifuged at 4000 RPM for 10 minutes at 4°C, the plasma removed, and frozen for later use. A corticosterone ELISA kit (Enzo Life Sciences) was used to

measure concentration of these samples. The provided steroid displacement reagent was mixed with assay buffer, and this mixture was used to dilute the plasma samples to the suggested ratio of 1:50, and such that 2.5 parts steroid displacement reagent were present for every 97.5 parts undiluted sample. The rest of the protocol was conducted as per the manufacturer's instructions. Absorbance at 405 nm was read using a multiplate reader (EnSpire; PerkinElmer), blanked to blank-wells. Concentration was calculated from the standard-curve provided by standard concentration in each plate.

<u>Statistics</u>

Statistical analyses were performed using GraphPad Prism 5. One-way analyses of variance followed by Tukey post-hoc tests, one-tailed Student's *t*-tests, and the Pearson product-moment correlation coefficient were used unless otherwise indicated. All data are represented as mean±s.e.m. Statistical significance was set at $P \leq 0.05$.

<u>RESULTS</u>

In experiments begun by a postdoctoral fellow and continued in collaboration, we first sought out to characterize the effects of chronic stress on hippocampus-dependent cognition and hippocampal synaptic density, by subjecting Swiss-Webster mice to 7 days of either "repetitive inescapable footshock" (RFS), or context-only control treatment (Fig. 1a). Cognitive testing on the final two days of this paradigm revealed deficits in low-stress, hippocampus-dependent memory tasks: mice showed no preference in the novel-object recognition (NOR) task measured at 24 hours (Fig 2a, ttest, P=0.0079, n=10,16), and no preference in the novel-location-recognition (NLR) task measured at 1 hour (Fig. 2a, t-test, P<0.0265, n=10/group). As control measures, total displacement, velocity, and exploration time were not significantly different between the control and RFS-treated groups during the NOL task (Appendix Fig.1 c-e). After sacrificing these mice, synaptic density was measured in hippocampus CA1 to assess the strength of hippocampal output. Mice submitted to RFS for 7 days showed decreased synaptic density in the hippocampus CA1, as demonstrated by reduced immunohistochemical staining for synaptophysin (Fig. 2a, left column; t-test, P<0.01, n=4 /group). Nuclear staining of HDAC2 was increased throughout the stratum pyramidale of CA1, consistent with previous literature showing that HDAC2 negatively regulates expression of SYP (41) (Fig. 2a, t-test, P<0.01, n=4/group). Finally, plasma corticosterone concentrations (measured in midafternoon) were significantly elevated in RFS-treated mice (Fig 1a. t-test, P=0.0047, n=4/group)

Again in experiments begun by a postdoctoral fellow and continued in collaboration, we tested the hypothesis that BLA cell body stimulation would be sufficient to replicate the cognitive and molecular effects of RFS. Three weeks after intra-BLA injection of AAV₅ virus encoding either

channelrhodopsin-2 (ChR2) or enhanced-yellow-fluorescent protein (eYFP) as a control (Fig. 1c), mice were begun on a seven-day paradigm of chronic BLA photostimulation using a 473 nm diode laser (See Fig. 1b for paradigm details; methods for complete description) after which the mice underwent cognitive testing, sacrifice, and tissue IHC analysis. ChR2/illuminated mice exhibited reduced synaptic density in CA1 (by SYP staining) (Fig 2b, t-test, P=0.0487, n=5/group), and increased intranuclear HDAC2 staining (Fig 2b, P=0.0018, n=5/group) compared to eYFP/illuminated controls. Comparison of ChR2-injected/illuminated experimental mice to eYFPinjected/illuminated control mice demonstrated cognitive and molecular effects similar to those of RFS: ChR2 treated mice showed no preference in the NOR task measured at 24 hours (Fig 2b, t-test P=0.0285, n= 10,12), and no preference in the NLR task measured at 1 hour (Fig. 2b, t-test, P=0.0416, n=12/group). eYFP animals showed normal preference in both cases. Plasma corticosterone concentration was elevated in eYFP/illuminated controls (relative to eYFP cage controls, data not shown, but see fig 2a for control), and there was significant further elevation in ChR2/illuminated animals (Fig 2b, t-test, P=0.0329, n=5/group)

Because these experiments suggested that the BLA is sufficient to replicate both hippocampusdependent cognitive deficits as well as hippocampal molecular alterations normally associated with chronic stress, we wondered if BLA activation *during* stress was *necessary* for these same changes to occur. Experimental mice were injected AAV₅ encoding either eYFP, or the bioengineered inhibitory G-protein-coupled receptor hM4D(G_i) ("G_iDREADD"), which selectively inhibits CAMKIIexpressing projection neurons of the BLA upon exposure to the exogenous ligand clozapine-N-oxide (CNO) (See methods and Fig 1. Panels D1-D3 for explanation and schematic). 30 minutes prior to

undergoing either the "context-only" control treatment, or RFS-treatment, all mice were injected with 0.3 mg/kg CNO IP. Given the pharmacokinetics of CNO as measured in humans and adjusting for the higher metabolic rate of the mouse by Kleiber's law, we calculated this dosage would result in a peak brain tissue concentration of approximately 12 mM, (Appendix Figure 1), which is above the 1mM-10mM concentrations shown to produce potent neuronal inhibition in slice preparations (121). Thusly we inhibited the BLA prior to stress treatment and repeated this procedure for 7 days, after which the mice underwent cognitive testing, sacrifice, and tissue IHC analysis as in previous experiments. Control animals (eYFP/CNO/"context only" and Gi-DREADD/CNO/"context-only") were behaviorally and molecularly identical to wild-type/"context only"-treated animals, and thus were grouped with controls from previous experiments (Figure 2C "eYFP/G_i + CNO CTRL"). G_iDREADD/CNO mediated inhibition of the BLA during RFS rescued synaptic density (Fig. 2c; ANOVA P<0.0001; Tukey post-hoc eYFP/CNO/RFS vs. GiDREADD/CNO/RFS, P<0.0001, n=4,5,6) and normalized HDAC2 expression (Fig 1c, one-Way ANOVA; Tukey's post-hoc, eYFP Con vs. eYFP RFS P<0.01, eYFP RFS vs Gi RFS P= n.s., n=4,5,6). Cognitive testing demonstrated that inhibiting the BLA during RFS (G_iDREADD/CNO/RFS treatment) also rescued hippocampus-dependent cognition, as demonstrated by restored performance in the NOR (t-test, P=0.002, n=16,15,7) and NLR (t-test, *P*=0.0097, n=10,10,7) tasks (Fig. 2c, right panels). The cognitive performance of GiDREADD/CNO/RFS mice was not significantly different from control animals (Fig. 2c, one-Way ANOVA; Tukey's post-hoc, P = n.s., n = 15, 16, 7).

Although these experiments suggest that glutamatergic cell activity in the BLA is somehow responsible for the effects of chronic stress, these neurons project broadly to many downstream targets. In order to determine if the direct BLA-to-hippocampus circuit was responsible for our observations, a postdoctoral fellow and I worked to photostimulate the ChR2-positive axon terminals of BLA afferent projections to the hippocampus. Having observed that BLA projection fibers arrived most densely to the *stratum oriens, lucidum* and *radiatum* of the CA3 field in our mice, we injected ChR2 into the BLA and implanted optical fibers 0.5 mm dorsal to CA3 in the dorsal hippocampus (bregma -2.18mm anteroposterior) (fig. 3a). Thereby we selectively modulated the activity of a direct BLA-to-hippocampus circuit.

The ChR2 or eYFP AAV₅ viral constructs were allowed 6 weeks for expression in order to increase channel density at the axon terminals (Fig. 3a). eYFP- and ChR2-injected mice were then begun on a seven-day photo-stimulation paradigm. In most cases, this paradigm was identical to that used for stimulation of the cell bodies (Fig. 1b); some animals received 20 sec bursts of constant illumination but after analysis were found to be molecularly and behaviorally identical to animals receiving 2 sec bursts (data not shown). ChR2-injected/terminal-illuminated mice again exhibited the same molecular changes as were observed in RFS-treated animals: reduced synaptic density in CA1 (by SYP staining) (Fig 3b, t-test, P=0.0069), and increased intranuclear HDAC2 staining (Fig 3b, t-test, P=0.0019). Photostimulation of BLA afferents in CA3 also produced a cognitive deficit in ChR2-injected/terminal-illumination by lack of preference on the NOR (Fig. 3c, t-test, P=0.0057) and NLR (Figure 3c, t-test, P=0.0092) tasks compared to eYFP-injected/terminal-illumination treated controls. Plasma corticosterone concentration was not

elevated in ChR2-injected/terminal-illumination animals relative to eYFP-injected/terminalillumination controls (Fig. 3d. ANOVA,P=0.087; Tukey post-hoc eYFP vs. ChR2, P>0.05), though both were elevated compared to eYFP-injected cage controls (Tukey post-hoc P<0.05, P<0.05). Also of note is that although overall concentrations did not differ between the experimental groups, they were high compared to previous experiments (Fig. 3d, Fig. 2a, Fig. 2b).

Having established that activation of a specific BLA circuit can replicate the effects of chronic-stress on the circuit target (in this case, the hippocampus), and given the myriad connections between chronic-stress and AD, I wondered if modulating BLA activity could alter the progression of hippocampal pathology in the 5xFAD murine model of AD.

I first sought to examine whether previous results that linked chronic stress and exacerbated AD pathology could be recapitulated in a mouse model of AD used in our lab. 5xFAD mice exhibited behavior suggesting they were resistant to stress in the RFS paradigm (Appendix Fig. 1c), and so I used 14 days of chronic restraint stress (CRS) to model chronic stress in 4 month-old 5xFAD animals (See Fig 1e and methods for complete description). CRS seemed to be an effective stressor in 5xFAD mice, as demonstrated by both increased plasma corticosterone concentration after a single CRS session (Fig.4a, t-test, P=0.0013, n=6,16), and decreased body mass after 14 days in CRS-treated animals compared to a net gain in controls (Fig. 4b, t-test, P=0.0008, n=6,10). CRS-treated animals had a higher number of 4G8-positive A β plaques throughout their hippocampus (Fig. 4c, t-test, P=0.0115 n=10,10). The A β -oligomer specific antibody Nu-1 was also used to assess A β oligomer density. This antibody is highly selective for A β oligomers (trimer, tetramer, 12-24mer)

and does not bind monomer peptides, thus would be expected to intensely label plaques and surrounding oligomer accumulations without background monomer binding (higher signal to noise in regards to plaque accumulation) (125). There was no significant increase in A β oligomer density in the DG, though a trend towards increased oligomers in CA1 was observed (Fig 4d, t-test, P=0.3575 CA1; P=0.1331 DG, n=3,6). There was no correlation between plasma corticosterone concentrations and hippocampal plaque load (Fig. 4e, r²=0.0284, P=0.5181 n=17). Note that the graph in figure 4e includes data points from subsequent ChR2 BLA stimulation experiments. CRStreated 5xFAD animals were not behaviorally tested, since the effects of various paradigms of acute and chronic stress in the 5xFAD model were difficult to characterize (see Appendix Fig. 1c, additional data not shown).

Next I tested whether photostimulation of the BLA in 5xFAD animals was sufficient to reproduce the phenotypic exacerbation that occurred with chronic stress. Using a modified paradigm (Fig. 1f) to adjust for possible stress resistance in the 5xFAD mice (Appendix Fig. 1c), photostimulation was applied to ChR2-injected or eYFP-injected control animals for 14 days. Plasma corticosterone was measured after a single illumination session and found to be elevated in the eYFPinjected/illuminated animals, but also significantly higher in ChR2-injected/illuminated animals (Fig. 5a; ANOVA P<0.0001; Tukey post-hoc eYFP vs. ChR2 P<0.05, n=5,10,10). After 14 days of BLA cell body photostimulation there was a trend towards increased hippocampal plaque load in ChR2-injected/illuminated mice compared to eYFP-injected/illuminated controls, measured by counting 4G8-positive A β plaques (Fig. 5b, t-test, P=0.217 n=4,5). There was also a significant increase in A β -oligomer density in the CA1 but not the DG (Fig. 1c, t-test, P=0.0448, n=9,12). Despite this increase in A β staining, there was no increase in astrocyte activation/gliosis, as measured by the optical density of glial-fibrillary acidic protein (GFAP) in all strata of CA1 (Fig. 5d, t-test, *P*=0.835, n=5,5). Because 5xFAD mice show cognitive impairments in NOR and NOL tasks at 4 months (data not shown), I measured freezing levels as an approximation of contextual-fearmemory. ChR2-injected mice showed reduced contextual freezing levels after 14 days of BLA photostimulation, compared to eYFP-injected/illuminated controls (Fig. 5e. t-test, *P*=.0419, n=8,12). There was however no difference in cued freezing, suggesting normal amygdalohippocampal connectivity (Fig 5f, t-test, *P*=0.43, n=8,12)

Since BLA activation seemed to worsen AD pathology in 5xFAD mice, I wondered if chronically inactivating the BLA could slow progression of AD pathology, even absent any stressful experimental treatment. Three weeks after intra-BLA injection of AAV₅ virus encoding either GiDREADD or eYFP (using the same procedure as in previous experiments, Fig. d1-d3), I implanted subdermal osmotic pumps to deliver systemic CNO at a constant rate over 28 days (Fig. 6a). Using the same pharmacokinetic data as for previous calculations (See Appendix Fig. 1b) and the volume/flow rate parameters of our pumps, I calculated that osmotic pump delivery would sustain brain tissue CNO concentrations of 500nM over this 28 day experiment. Following this treatment, I observed a significant decrease in the density of A β oligomers in hippocampus CA1 of GiDREADDinjected/CNO-treated animals compared to eYFP-injected/CNO-treated controls (Fig 6b. t-test, *P*=0.0043, n=2,3). This same effect was not observed in the DG (Fig. 6b, t-test, *P*=0.63, n=2,3). (4G8 immunostaining was attempted but adequate experimental preparations were not achieved.) I again measured freezing levels as an approximation of hippocampal-cognition, and observed only a slight trend towards increased freezing in GiDREADD-injected/CNO-treated animals compared to eYFP-injected/CNO-treated controls (Fig 6c, t-test, P=0.26, n=5,6). There was no trend suggested in cued freezing, suggesting normal amygdalohippocampal function (Fig. 6d. t-test, P=0.93, n=5,6). Because BLA inactivation was chronic in this paradigm, it was felt that measurement of plasma corticosterone would not be informative, and therefore this was not performed.

DISCUSSION

These results can be divided into two sections, each with their own implications. This first series of experiments (Figures 2, and 3) strongly suggest that activation of direct BLA projections can produce consistent molecular changes in the projection target (in this case the hippocampus), and also impair cognition that depends on that projection target. The second set (Figures 4, 5 and 6) suggests that modulating BLA activity in an AD model mouse may alter the progression of AD pathology in a BLA projection target, and also alter target-dependent cognition.

BLA ACTIVITY UNDERLIES THE MOLECULAR

AND COGNITIVE EFFECTS OF CHRONIC STRESS

Previous studies probing the effect of acute stress on cognitive function have found that inactivating the amygdala is sufficient to block both the behavioral and cellular effects of *acute* stress (126; 127). Specifically, electrolytic lesioning or pharmacological inactivation prevented alterations in hippocampal long term potentiation, and spatial memory deficits, both of which were induced by restraint or tailshock stress administered just prior to training (126; 127). Because our methods of activation and inactivation were highly specific, we can now assert that (1) excitatory activity of the BLA is both necessary and sufficient to produce the molecular effects of *chronic* stress on associated brain structures such as the hippocampus, and resultant cognitive effects, and (2) direct circuit connections are powerful and potentially causal contributions to the negative influences of psychological stress.

It is important to consider these results in the context of our corticosterone measurements, from which two related conclusions can be drawn. The first is that surgery and illumination increase plasma corticosterone concentrations significantly (Fig 2, Fig 5), but that photostimulation of the BLA results in even greater corticosterone release (Fig. 2, Fig. 5). The second is that terminal stimulation does not appear to elevate plasma corticosterone concentrations (Fig 3). Of note, the corticosterone concentrations of both eYFP and ChR2 injected mice were high in the terminal-illumination experiment. This might have been due to differences in surgical trauma (4 craniotomies instead of 2), handling, or sample collection.

Overall, these corticosterone results suggest that the changes in cognition, synaptic density, and HDAC2 staining we observed in terminal stimulation experiments occurred in the absence of increased peripheral corticosterone concentrations, which are known to act biphasically on the hippocampus and are often implicated in the effects of stress (70). They also suggest that in the terminal photostimulation experiments, antidromic propagation from the terminals to the cell body did not occur, or if so that the resultant depolarization was not sufficient to activate the soma of BLA neurons and drive further corticosterone release. We did not conduct experiments to silence the BLA pharmacologically while activating BLA terminals, and so we cannot claim that terminal stimulation did not result in antidromic propagation to the BLA. However, previous experiments using optogenetic stimulation of brain-slices revealed that antidromically driven activation of the somata occurred in only 5% of recordings, and in this experiment the terminals and cell bodies were separated by 300 µm, compared to many mm in our *in vivo* experiments (96).

However, our results do not absolve corticosterone and GR. Instead they demand an explanation that does not involve increased glucocorticoid concentration.

One possibility is that increased neuronal activity leads to phosphorylation of GR and altered downstream gene expression. Activity-dependent Ca²⁺ influx leads to increased activity of the taukinase protein Cdk5, through calpain-mediated cleavage of its activator protein p35 to the more stable p25 (128). Cdk5 can phosphorylate GR at multiple serine residues including S211, which alters recruitment of cofactors and can modify GR transcriptional activity (129). GR phosphorylation at S211 has also been found to increase nuclear recruitment, and modify transcriptional activation in a gene dependent manner (130). Thus without changes in corticosterone/cortisol concentration, driving neuronal activity through circuit activation could activate Cdk5, increasing GR phosphorylation and altering gene expression.

In our paradigm, ChR2 simulation of pyramidal neurons would induce significant elevation of hippocampal glutamate – previously observed using microdialysis following chronic stress (131). Imaging data from our experiments (not shown) suggested that in our mice, most BLA terminals arrive in the *stratum oriens, lucidum* and *radiatum* of the CA3 field. Hence BLA activity would lead to excitation of CA3, activation of Schaffer collaterals, and increased glutamate release in CA1. Coupled with even a relatively small excitatory drive from direct BLA afferents to CA1, this could lead to significant activation of the CA1 and potential allostatic or excitotoxic damage from excess glutamate release (132). Normally, this connectivity may allow the BLA-hippocampus axis to act in limbic-autoregulation by responding to both changes in corticosteroid concentration (through

hippocampal GR and MR binding), and to altered GR phosphorylation state via the activity of excitatory BLA afferents. However in states of chronic stress, increased glutamate release with altered transcriptional programming could lead to hippocampal maladaptation, and potentially even degeneration. Indeed the lifetime duration of major depression, (a disease known to involve amygdala hyperactivation and provide significant psychosocial stress) negatively correlates with hippocampal size (133).

Our results are the first to demonstrate that HDAC2 can be regulated by direct modulation of neural circuitry. As discussed above, this result may also be underlain by activity-dependent phosphorylation of GR, which our lab has previously shown to upregulate HDAC2 expression (40). Another recent study has suggested that HDAC2 regulation of glial-derived-neurotrophic-factor expression in the striatum is one determinant of the behavioral response to stress (134). Although our data do not allow us to claim that HDAC2 upregulation *causes* the molecular or cognitive deficits we observed, they do provide evidence for an epigenetic link between the pathophysiology of stress, and of AD.

BLA-DRIVEN EXACERBATION OF THE AD PHENOTYPE IN 5xFAD MICE:

(i) Fear and Spatial Memory Abilities – Our results demonstrate that chronic BLA activation impairs spatial memory in 5xFAD mice, as measured by contextual freezing levels (Fig. 5). We also observed a maintenance of cued fear memory (Fig. 5, 6), which suggests that BLA function and amygdalohippocampal connectivity were preserved throughout these experiments (135). Taken together, these results suggest that enhanced BLA activity impairs hippocampus dependent cognition

in the 5xFAD murine model of AD. Our data also suggest that chronic inactivation has the potential to delay memory impairment in a preclinical AD model. A greater sample size is first needed to determine if these results (Fig. 6) are statistically significant.

It is important to note that our experiments cannot determine if impaired spatial memory in 5xFAD animals is due to the chronic-stress-like effect of BLA photostimulation (as in Figures 2 and 3), or truly accelerated AD-related cognitive decline. In future experiments, delaying cognitive testing of BLA-photostimulated 5xFAD mice for days to weeks would allow the effects of stress to wear off, while cognitive effects from accelerated disease progression would remain. Our chronic inhibition experiments demonstrate that even in the absence of chronic stress, modulating BLA activity can change AD pathology progression and the rate of cognitive decline. Taken together, these results would support the hypothesis that BLA activation alone is sufficient to accelerate AD-related cognitive decline.

(ii) Increased A β Plaque Pathology – Although overall A β plaque accumulation increased following chronic stress, consistent with previous literature, (figure 4), data from both activation and inactivation experiments suggested that A β load (both plaques and oligomers) could be altered most strongly in CA1, and was not changed in DG. (Note that analysis of CA1 was prioritized in the study of our 5xFAD mice – in contrast to the Swiss-Webster strain analyzed in figures 2 and 3 – since we did not directly examine the site of BLA afferents in the 5xFAD mouse, and classically the CA3 subfield receives fewer efferent BLA projections in the rodent and sends no reciprocal projections to the BLA in either rodent or primate (see introduction); further analysis would be

appropriate). The observations in CA1 are consistent with data from tracing studies in which CA1 is shown to receive excitatory projections from the BLA and also supply a strong reciprocal connection, while the DG neither receives nor returns excitatory BLA projections (106; 107; 109). Thus the distribution of A β plaque accumulation in our experiments suggests activity-dependent generation of A β – a hypothesis for which a wealth of literature offers support.

Much of the recent interest in activity-driven A β generation has stemmed from the discovery of the brain's "default network". Defined through fMRI to including prefrontal cortex, posterior cingulate cortex, inferior parietal lobule and hippocampal formation, the default network is active in introspective memory, self-reflection, and other forms of "internal cognition", and is perhaps the most active network across the human lifespan (136). Early in AD there is hypometabolism of these default network regions, and network dysfunction progresses more severely in AD than in normal aging (136). Moreover regional amyloid deposition and atrophy overlaps prominently with these default network regions (137).

In support of imaging data that links structural activation with A β accumulation, two lines of evidence can be drawn to a molecular underpinning between neuronal activity and A β production.

Firstly, rapid changes in A β production occur in response to modulating neuronal activity. *In vitro*, suppression of neuronal activity in brain slice preparations by either tetrodotoxin or high-Mg²⁺ can decrease APP processing and A β production, while neuronal activation by picrotoxin has the opposite effect (21). Immediate increases in A β production in response to neuronal activity can also

be observed *in vivo*: regional A β production correlates well with regional neuronal metabolism, and pharmacological modulation of neuronal activity can increase (with activation) or decrease (with inactivation) A β production and release into the interstitial fluid (ISF) as measured by *in vivo* microdialysis (138)(139). At a circuit level, experimental sensory deprivation can decrease A β plaque deposition specifically in the sensory cortex (138). Furthermore, the physiological activity modulation that occurs naturally during the sleep-wake cycle decreases A β production and release (140).

The cellular mechanism of activity-dependent A β production has also been elucidated. Neuronal activity and synaptic vesicle release lead to increase rates of membrane recycling through endocytosis at the presynaptic terminal. Transmembrane APP is thus internalized in endocytosed vescicles and cleaved by endosomal BACE-1, resulting in release of the A β fragment upon subsequent exocytosis (141)(142). Both basal and activity-regulated A β generation have been shown to depend on functional endocytosis (143), further suggesting that activity-driven changes are mediated through the synaptic release machinery.

As a second line of evidence, neuronal activity can also drive changes in expression of genes related to APP processing. The expression of BACE-1 and its enzymatic activity are both increased in response to oxidative stress, which might occur following intense neuronal activation. After oxidative stress, this increase in BACE-1 expression is mediated by phosphorylation of the immediate-early-gene product c-Jun by c-Jun N-terminal-kinase (JNK)(144; 145). There is also evidence that both JNK enzymatic activity and c-Jun phosphorylation increase after physiological neuronal activation, following exposure to a novel environment (146), or after seizure induction by kainate administration (147). JNK mRNA expression is also regulated by sleep-wake cycle, suggesting that circadian rhythms can regulate the APP processing machinery (148) in addition to altering synaptic A β release. Another immediate early gene – *Arc* – is also involved in the trafficking of APP and association of APP with its processing machinery in the endosomes (149).

Thus neuronal activity can increase A β production in two ways: (1) through accelerated synaptic vesicle recycling, and (2) through genetic regulation of APP processing, via activation of immediateearly gene products.

THE BLA AND ACETYLCHOLINE

Key enzymes in the biosynthetic or catabolic pathways for acetylcholine are found in high density in the BLA. Choline-acetyltransferase (ChAT), acetylcholinesterase, and high-affinity choline transporters are often used as control markers to delineate the basolateral subnucleus of the BLA (78; 79), because the density of these markers is higher in the BLA than in any other forebrain structure (150). In normal aging, there is very little depletion of cholinergic innervations to the BLA, while in AD there is marked depletion of cholinergic markers in the neuropil, with high loss in the lateral nucleus, and relatively little loss in the basolateral nucleus (150). Thus the sensitivity of the cholinergic system to degeneration may feed forward to exacerbate BLA dysregulation in AD.

THERAPEUTIC POTENTIAL

Studies of deep brain stimulation (DBS) in AD are ongoing. Pilot studies in 5 patients have demonstrated that stimulation of the hippocampal fornix can slow cognitive decline and normalize metabolic derangements in multiple neural networks throughout the brain (151; 152). The stimulators in these trials are inserted to lie parallel to the vertical portion of the hippocampal fornix, 2 mm dorsal to the optic tract at their ventral most extent, 5 mm from the midline. This would place these stimulators in the hypothalamus, and adjacent to the PVN – an important structure in regulation of the HPA axis. Although not mentioned by these authors, modulation of the limbic-stress axis might contribute to the effect of stimulation in these human patients.

Early intervention is crucial to a disease where the first symptoms arise decades after initial metabolic derangements occur. Should DBS prove therapeutically valuable in AD, there may be great promise in modulation of the stress axis. Suppressing the activity of the BLA through DBS may prove to have benefits in patients with MCI and a history of psychosocial distress, or longstanding neuropsychiatric disease.

ADDITIONAL EXPERIMENTAL LIMITATIONS:

A major limitation of this study was leakage of AAV₅ virus into the central nucleus or along the injection tract. In all cases we analyzed amygdala expression of viral constructs to exclude mice with inaccurate injection, or excessive viral diffusion to surrounding regions. The vast majority of neurons in the centromedial amygdala (>95%) (including projection neurons) are GABAergic medium spiny neurons (153), which would not express our CaMKII-driven viral constructs. Additionally, cFos

data from immunohistochemical experiments demonstrated that there was no increase in activation of the central nucleus beyond that of eYFP controls, even when viral expression extended into the central nuclei (data not shown). This may have been due to fiber optic placement, or feed-forward inhibition from the centrolateral nucleus to the centromedial nucleus upon BLA activation (96).

Fear conditioning testing was used in 5xFAD mice because deficits were identified in more low-stress tasks (NOR, NLR) in 4-month old untreated mice. Nevertheless, fear conditioning is a stressful cognitive test in an experiment designed to examine the effects of chronic stress. Because fearmemory is potentiated by stress, we expected increased freezing in BLA photostimulated mice. Since cued freezing was unchanged and contextual freezing was in fact reduced in experimentally treated 5xFAD mice, we believe these measures accurately reflect cognitive abilities.

Our study used exclusively male 5xFAD mice. There are many known differences between sexes in both central (especially limbic), peripheral, and behavioral effects of chronic stress (154). Although this study focused on specific activation of direct circuit projections and sought to exclude the influence of the peripheral stress axis, it is possible there are also differences in central circuits and structural connectivity in male and female mice (and by extension primates) that affect the generalizability of this study.

Finally, our experiments assume that 500 nM concentration of CNO is sufficient to suppress neuronal activity. Although significant results in the opposite direction as BLA activation suggest effective chronic BLA suppression did occur, this concentration is somewhat lower than used in previous literature (121)(123), and so should be tested in slice preparation.

CONCLUSIONS:

In brief, we believe that these results suggest that activation of BLA circuits can lead to molecular dysfunction in directly connected regions, and impair target-dependent cognition. Activity-dependent perturbations in neuronal function, and alterations in glucocorticoid signaling may underlie these effects. Our results also characterize HDAC2 as an epigenetic link between chronic stress and AD, and suggest that processes involved in maladaptation to chronic stress in an otherwise healthy brain may also accelerate progression of disease in the AD brain. Finally, our experiments suggest that modulating BLA activity can alter the progression of pathology and cognitive decline in a mouse model of AD.

SUMMARY

(1) In Swiss-Webster mice, chronic stress (RFS, 7 days) acts to decrease synaptic density in the hippocampus and increase expression of HDAC2. Hippocampus-dependent cognition is also impaired, as measured by novel-object and novel-location discrimination abilities.

(2) Activity of glutamatergic projections neurons in the basolateral nuclear group of the amygdala (BLA) is both necessary and sufficient to produce the molecular and cognitive effects of chronic stress on the hippocampus. Furthermore, activation of direct BLA projections within the hippocampus also leads to decreased synaptic density, increased HDAC2 expression, and impaired hippocampus-dependent cognition, implicating the direct BLA-to-hippocampus circuit in the effects of chronic stress.

(3) Chronic-restraint-stress is an effective model of chronic stress in 5xFAD mice. Like in other mouse models of AD, stress worsens AD-like neuropathology in 5xFAD mice.

(4) Chronic ChR2-mediated BLA photostimulation (14 days) is sufficient to increase AD-like neuropathology in 5xFAD mice, and impair cognition.

(5) Chronic G_iDREADD-mediated BLA inactivation (28 days) slows the development of ADlike neuropathology in 5xFAD mice, and may improve cognition relative to untreated 5xFAD controls.

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Figure 1

A = 1h session, 10x1s foot shocks, 0.8mA at random intervals day 1 day 2 day 3 day 4 day 5 day 6 day 7



= 2s 20Hz 10ms pulse laser stimulation, 16x at random intervals over 20 minutes

day 1 day 2 day 3 day 4 day 5 day 6





 day 13
 day 14

 Fear-Conditioning
 +24h
 Contextual
 + 6h
 Cued

 Training
 ->
 Testing
 ->
 Testing

В

Figure 2









Figure 5







cage control
5xFAD + eYFP + light
5xFAD + ChR2 + light



Appendix Figure 1 A



В

Pharmacokinetic	Value	Reference/Derivation
Property of CNO		
Half-life (t _{1/2})	8.6 hours	Guitton et al., J. Clinical Pharmacology 1999
(human)		
Clearance (Cl)	45.3 L/hour	Chang et al. 1998, Prog. Neuropsycopharm/Biol.
(human)	(40 kg	Psych.
	human)	
Volume of	562 L (40 kg	$V_{-D} = (Cl*t_{1/2})/ln2$
Distribution (V _D)	human)	
(human)		
Volume of	0.2409 L (30	α body-mass
Distribution (V _D)	g mouse)	
(mouse)		
Clearance (mouse)	0.1352 L/hour	Kleiber's Law: $q_0 \sim M^{\frac{1}{4}}$
	(30g mouse)	-
Partition	14.05	V- _D /Total Body Water
Coefficient		
Effective	1-10 M	(Ray et al., Science 2011; Armbruster et al., PNAS
Concentration		2007)

С



Movement During Pause Phase ns

4

Swiss webster

0

6

STEAD

600



Movement During Shock Phase

6

Velocity



■ 5XFAD; n=6

Figure 1: Experimental Paradigms. (**A**) Paradigm for repetitive-footshock treatment in Swiss-Webster mice. Inset shows behavioral testing schedule. (**B**) Optical stimulation paradigm for Swiss-Webster mice undergoing BLA cell body photostimulation. Inset shows behavioral testing schedule. (**C**) Paradigm for intracranial viral injection of eYFP (top) or ChR2-eYFP (bottom) viral constructs, and schematic of location of viral expression, fiber optic implantation, and illumination. (**D**) **1.** G_iDREADD "hM4D(G_i)" generation by ligand binding site mutation (Armbruster, 2007) **2.** Schematic illustrating eYFP or G_iDREADD viral constructs, and territory for viral expression **3.** Upon CNO binding to G_iDREADD, normal G-protein dissociation occurs, leading to activation of G protein-coupled inwardly-rectifying potassium channels (GIRKs) and neuronal inhibition. (**E**) Paradigm for chronic-restraint stress treatment of 5xFAD mice. (**F**) Modified optical stimulation paradigm for 5xFAD mice undergoing BLA cell body photostimulation. Inset shows behavioral testing schedule.

Figure 2: Glutamatergic activity of the basolateral amygdala is both necessary and sufficient to produce the cognitive and molecular effects of chronic stress on hippocampal function. (A) Effects of RFS: Representative confocal immunohistochemical microscopy demonstrating labelling of synaptophysin and HDAC2 in hippocampus CA1 (leftmost), and densitometric quantification (left; n=4 per group); RFS mice showed no discrimination between old and novel objects or object-locations (discrimination index=0.5 (chance)) in NOR (n=10,16) and NLR (n=10/group); ELISA measurement of mid-afternoon plasma corticosterone demonstrated significant elevation after RFS (n=5/group). (B) ChR2-mediated BLA photostimulation replicates the effects of RFS: representative confocal microscopy in hippocampus CA1 with densitometric quantification (left, n=5/group) displays reduction in SYP and elevation in HDAC2 similar to that seen after RFS. ChR2/photostimulated mice showed no discrimination between old and novel objects or object-locations (discrimination index=0.5 (chance)) in NOR (n=10,12) and NLR (n=12/group); ELISA measurement of mid-afternoon plasma corticosterone demonstrated significant elevation after RFS (n=5/group). (C) G_iDREADD-mediated BLA inhibition during RFS prevents the effects of RFS: representative confocal microscopy in hippocampus CA1 with densitometric quantification (left, n=4,5,6) displays rescue of SYP and HDAC2 after BLA inactivation during RFS by G_iDREADD/CNO treatment. Both novel-object and novel-location discrimination abilities are rescued in RFS mice by G_iDREADD/CNO treatment - NOR (n= 16, 15, 7) and NLR (n=10, 10 and 7).**Scale bar: 20 \mum. *P≤0.05; ***P≤0.001, values are mean±s.e.m.**

Figure 3: Photostimulation of BLA afferent axon terminals in the hippocampus CA3 is sufficient to reproduce the cognitive and molecular effects of chronic stress on hippocampal function. (A) Constructs for eYFP-control (top) or ChR2-eYFP (bottom) virus used in bilateral intra-BLA injection; Paradigm demonstrating location of viral expression, optical fiber implantation, and illumination. (B) Representative confocal immunohistochemical microscopy demonstrating labelling of synaptophysin and HDAC2 in hippocampus CA1, and densitometric quantification (right; n=5 per group)(C) Cognitive testing using hippocampus-dependent paradigms demonstrated that ChR2/terminal photostimulated mice showed no discrimination between old and novel objects or object-locations (Discrimination index=0.5 (chance)) in NOR (n=16,10) and NLR (n=16/10). (D) ELISA measurement of mid-afternoon plasma corticosterone (n=5/group). Scale bar: 20 μ m. *P<0.05; ***P<0.001, values are mean±s.e.m.

Figure 4: Chronic restraint stress (CRS) worsens disease progression in the 5XFAD murine model of

AD. (**A**) ELISA measurement of mid-afternoon plasma corticosterone after 1 session of CRS or control treatment. (**B**) Change in body weight after 14 daily sessions of CRS or control treatment. (**C**) Representative confocal microscopy demonstrating increased overall hippocampal A β plaque burden by 4G8 antibody staining, including digital-zoom image showing individual plaques (left panels, representative images; right panel, quantification by counts under epifluorescence). (**D**) Representative confocal microscopy

demonstrating increased overall hippocampal A β oligomer coverage by Nu-1 antibody (left panels, representative images; right panels, quantification). (E) Plasma corticosterone concentration following a single stress-treatment does not correlate with hippocampal plaque load (pearson correlation coefficient = 0.0284) Scale bar: 50 uM. * p<0.05; ** p< 0.01, ***p<0.001. Numbers within bars represent sample size.

Figure 5: Chronic BLA photoactivation exacerbates AD-like pathology and cognitive impairment in 4 month-old 5XFAD mice. (A) ELISA measurement of mid-afternoon plasma corticosterone in undisturbed cage controls, or after 1 session of BLA photostimulation of eYFP or ChR2-injected mice. (B) Representative confocal microscopy demonstrating increased overall hippocampal Aβ plaque burden by 4G8 antibody staining, including quantification by counts under epifluorescence. (C) Representative confocal microscopy demonstrating increased Aβ oligomer coverage by Nu-1 antibody staining in the hippocampus CA1 (rightmost panels – quantification by binary intensity-thresholded percent area). (D) Representative confocal microscopy demonstrating no change in GFAP intensity in the hippocampus CA1 (rightmost panels – quantification by overall intensity. (E, F) 14 days of BLA photostimulation leads to a deficit in contextual fear memory (E), but no difference in cued fear memory (by presentation of the stimulus tone)(F). Scale bar: 50 uM * p<0.05; ** p< 0.01, ***p<0.001. Numbers within bars represent sample size.

Figure 6: Chronic BLA inactivation by G_i**DREADD reduces AD-like pathology and may improve cognition in 7 month-old 5XFAD mice.** A. Experimental paradigm for genetic-pharmacological BLA inactivation by G_iDREADD (**B**) Representative confocal microscopy demonstrating reduced hippocampal Aβ oligomers in hippocampus CA1 by Nu-1 staining (right panels, quantification by binary intensity-thresholded percent area). (**C,D**) 4 weeks of BLA inactivation may produce a slight improvement in contextual fear memory (**C**, not significant), but did not lead to a change in fear memory cued by presentation of the stimulus tone (D). Scale bar: 50 uM * p<0.05; ** p< 0.01, ***p<0.001. Numbers within bars represent sample size.

Appendix Figure 1: (A) Computerized tracking during NOR testing demonstrates no difference in various control behavioral measures between RFS and context-only (control) treated mice (n=10,16). (B) Table of pharmacokinetic parameters used to calculate approximate tissue-concentrations of CNO. (C) Computerized tracking demonstrates that Swiss-Webster and 5xFAD mice respond differently to shocks in the RFS paradigm, but freeze identically. These results were considered sufficient to justify adjusting the stress paradigm in 5xFAD mice. * p<0.05; ** p< 0.01, ***p<0.001. Numbers within bars represent sample size.