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## Citation

Ur, Jason. Forthcoming. Cycles of Civilization in Northern Mesopotamia, 4400-2000 BC. Journal of Archaeological Research.

## Published Version

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Cycles of Civilization in Northern Mesopotamia, 4400-2000 BC

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Manuscript submitted to the *Journal of Archaeological Research*, 14 August 2009

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*Abstract:*

The intensification of fieldwork in northern Mesopotamia, the upper region of the Tigris-Euphrates basin, has revealed two cycles of expansion and reduction in social complexity between 4400-2000 BC. These cycles include developments in social inequality, political centralization, craft production and economic specialization, agropastoral land use, and urbanization. Contrary to earlier assessments, many of these developments proceeded independently from the polities in southern Mesopotamia, although not in isolation. This review considers recent data from excavations and surveys in northern Iraq, northeastern Syria, and southeastern Turkey with particular attention to how they are used to construct models of early urban polities.

Key words: Mesopotamia, complex society, urbanism, collapse

## **Introduction**

Over a span of more than two millennia, northern Mesopotamia witnessed the emergence of urban complex society, its collapse and rebirth, and a further episode of collapse. This time span (ca. 4400-2000 BC) has been intensively studied by archaeologists over the last two decades, largely because of twin push (the closure of Iraq to foreign archaeology) and pull (salvage campaigns in advance of dam projects) forces. As a result, what was once considered to be the periphery of early urbanism and state formation in southern Mesopotamia (modern southern Iraq) has emerged as a region of interest in its own right. In many ways we now have a superior understanding of major social developments in the north (e.g., urbanism, craft production, agricultural and pastoral organization, the development of the landscape) than we do for the south, and improvements in chronology have revealed that many aspects of social complexity that were once assumed to have been imported from southern Mesopotamia have earlier and entirely indigenous origins.

This review describes the development of social complexity from 4400 BC to the collapse of urban society at the end of the 3rd millennium BC in northern (or upper) Mesopotamia, defined here as the Tigris and Euphrates River valleys and the plains and steppe between them that fall today in northern Iraq, northern Syria, and southeastern Turkey (Fig. 1). Much archaeological research in the region, and in the Near East in general, adopts a culture historical approach that emphasizes typology and the development of sequences of material culture; here the emphasis is on anthropologically oriented research published since 1990. Geographically, the focus is on the river valleys and alluvial plains of Syria, and particularly the Upper Khabur basin of Hassake province. Occasionally I refer to sites beyond northern Mesopotamia proper when they are relevant to the discussion, particularly the western Syrian

cities of Ebla and Umm al-Marra; the important Syrian city of Mari, on the Euphrates near the Iraqi border, is largely southern Mesopotamian in orientation and will not be discussed (although see Margueron 2004).

In Mesopotamian archaeology generally, social complexity is described through the nature of interactions between individuals and groups. At times of greater social complexity, Mesopotamian societies were characterized by an expansion in the intensity and diversity of relations. Most scholars understand this expansion to result from the rise of class or residentially-based forms of social identification at the expense of kin-based identities, a shift which enabled new political, religious, and economic institutions (normally described as palaces or temples) employing new administrative technologies, greater craft specialization, expanded interregional exchange, and population nucleation. These latter aspects have material manifestations that can be identified in the archaeological record. The kinship-class opposition has been a durable one and is often explicitly or implicitly placed as the basis for the changes in most of these variables, but one that has begun to be challenged (Schloen 2001), although as yet these challenges have not been widely accepted.

Cycles of social complexity are here identified by the appearance and disappearance of such variables. In Late Chalcolithic and Bronze Age Mesopotamian history, many of them have a tendency to emerge or disappear simultaneously, in packages that can be used to define Mesopotamian civilizations, to employ a problematic but useful term. These cycles should not be seen as stable and recurring equilibrium states to which societies could return by crossing some evolutionary threshold. The social variables that define them might be superficially similar (e.g., large population agglomerations we label as “cities,” a variable dealt with in particular

detail below) but they encompass a range of variation, both within the time frame considered here and in subsequent phases of northern Mesopotamian history.

#### Geographical zones in northern Mesopotamia

Northern Mesopotamia encompasses a number of geographically and climatically diverse subregions. These environments presented limitations and opportunities for human communities. These subregions have also structured archaeological research.

The major structuring elements are of course the Tigris and Euphrates Rivers, which arise in the Taurus Mountains of southeastern Anatolia in an area of high annual rainfall. The Euphrates runs south through the Taurus foothills before flowing out into the steppes of northern Syria. It then turns to the southeast, where it receives water from the Balikh and Khabur River tributaries. The Tigris flows east, with the Taurus on its left bank and the low Tur Abdin mountains on its right. Unlike the Euphrates, it collects water from a number of left bank tributaries, most significantly the Batman, Garzan, and Bohtan Rivers in Turkey and the Upper and Lower Zab Rivers in Iraq. Both Euphrates and Tigris ultimately flow into the southern Mesopotamian plains near Baghdad. At that point, the low gradient of the southern plains causes the rivers to lose energy and to drop their suspended silt content. As a result, the Euphrates flows above the level of the plain on broad levees, which greatly simplifies the process of irrigation, the economic backbone of the urban civilizations of Sumer and Akkad (Wilkinson 2003, pp. 74-99).

In northern Mesopotamia, however, a different situation pertains, with considerable significance for settlement. The higher gradient of the Euphrates has led to a pattern of downcutting, and the rivers flow within narrow floodplains. On either side, the rivers cut through terraces from earlier aggradational phases, and it is on these elevated areas that most

premodern archaeological sites are to be found. Within the floodplains, the rivers move dynamically, so much if not all floodplain settlement has been either covered over by alluvium or removed entirely. Because the rivers flow in floodplains beneath the level of the terraces, the opportunities for irrigation were few in the Bronze Age Tigris and Euphrates valleys. In the northern reaches of the Euphrates in Turkey and up to the area of the Syrian border, annual rainfall is high and reliable enough to support dry farming (greater than 300 mm/year in most years) but broad areas of cultivable soils are infrequent outside of the narrow river valleys; further south in Syria, the valley is surrounded by extensive steppes but rainfall is too low for dry farming. The situation along the Tigris River is similar. As a result, the greatest developments in urbanization have taken place outside of the river valleys, although urban places were not unknown.

These riverine zones have been the targets of the most archaeological research in the last three decades, largely inspired by the construction of massive hydroelectric dams. Most are in Turkey, part of the Southeastern Anatolia Project (GAP), and include two recently completed dams on the Euphrates at Carchemish and Birecik and planned dams on the Tigris at Cizre and Ilisu. The Syrians and Iraqis downstream have followed suit. The initial dam on the Syrian Euphrates near al-Raqqa (the Tabqa Dam) was recently followed by a smaller dam upstream (the Tishrin Dam). In Iraq, the Tigris was dammed just above the town of Eski Mosul. All of these development projects were accompanied by a flurry of archaeological projects, many of which are now reaching advanced stages of publication.

The centers of social complexity and population nucleation, however, are mostly to be found away from the major rivers in a series of alluvial plains and basins along the northern arc of the Fertile Crescent. The most significant for this review is the Upper Khabur basin in

northeastern Syria, a broad plain along the upper reaches of the Khabur River, and the adjacent plains north and south of the Jebel Sinjar in northern Iraq. To the west, the Urfa plain near the headwaters of the Balikh River supported substantial settlement. At least one major urban center is to be found in the Sajur basin just west of the Euphrates, and the plain north of the Jabbul Lake sustained a large population. It is probable that when archaeological research returns to Iraq, the plains along the left bank of the Tigris will also be found to have been highly developed in the 4th and 3rd millennia BC.

These areas have in common extensive stretches of deep soils with high agricultural potential and generally reliable rainfall. Given the absence of irrigation technology during the time frame discussed here, it is particularly interesting that some of the largest settlements emerged close to the limits of rainfed agriculture. The better-watered areas further north in the Zagros were characterized by smaller settlements, as were the drier areas in the steppe between the rivers and beyond the Euphrates to the south. The urban settlements in these alluvial plains have almost all been excavated to some extent, and in some cases for several decades. These areas are not threatened by inundation, so excavations have not proceeded with the sense of urgency found at sites in the proposed dam reservoirs; however, today these regions are all intensively cultivated, some via irrigation water from the dams, and many sites have suffered as a result.

Between the major river valleys, and beyond the edges of the alluvial basins, are extensive tracts of dry steppe that have in the recent past, and probably in antiquity as well, been the province of mobile pastoralists. These groups disappeared into them in the winter rainy season but returned to the rivers and well watered plains in the summers and during droughts, sometimes to the discomfort of sedentary communities. The steppes generally receive low



amounts of rainfall (less than 200 mm/year) and cannot support reliable dry farming systems. The marginality of the steppe increases as one moves further to the south. The interface between the broad cultivated zones and the agriculturally marginal steppes were not fixed, however. In certain periods, settlement began to creep out into these areas, for reasons that are debated. A more favorable climate in the past is one possibility, but the coincidence of the spread of settlement with the appearance of urban states and empires suggests that social motivations combined with hydrological technologies may be behind such expansions.

### **Chronology**

As a largely culture historical endeavor for much of its existence, Mesopotamian archaeology has placed great emphasis on chronology, often for its own sake, but the resolution of developmental issues targeted by more recent processual approaches also require an ability to subdivide time. The creation of artifact chronologies is not the most glamorous aspect of archaeology, but the past decade has seen consensus emerge on chronological terminology for the periods discussed in this paper, with new northern Mesopotamian sequences replacing older ones based on distant parallels, most often with southern Mesopotamia. Not all archaeologists have adopted these new chronological schemes, but in order to minimize confusion for a broad audience, I employ them in this review; therefore it is necessary to review briefly these recent chronological developments (Table I).

The city of Uruk in southern Mesopotamia is widely considered to be the world's first city (e.g., Liverani 2006), and its name has also been applied to the time of its emergence (roughly the 4th millennium BC) and the associated material culture; by extension "Uruk" is often used as an ethnic designation by archaeologists. This situation is confusing enough in southern Mesopotamia but even more so when these terms are used to denote periods and

material culture in northern Mesopotamia, which has become common owing to the appearance of clearly southern Mesopotamian-derived or inspired materials in the north during this time (discussed below). Other archaeologists have adopted the Levantine-derived “Late Chalcolithic” term to describe 4th millennium northern Mesopotamia. Several new schemes were developed based on reassessments of earlier excavations at key sites and new excavated sequences (Gut 2002; Tomita 1998).

The most useful sequence emerged from a School of American Research Advanced Seminar held in Santa Fe in March 1998 (Rothman 2001c). The late 5th through 4th millennia were divided into five subphases (Rothman 2001a, pp. 5-8; Schwartz 2001) and tied to radiocarbon determinations (see also Hole 2001; Wright and Rupley 2001). The applicability of this new sequence is complicated by the intrusion of ceramics of southern Mesopotamian origin or inspiration in the final two phases. The first three periods (LC1-LC3) are defined entirely by indigenous developments in ceramic form and manufacturing techniques, whereas the key ceramic indicators for the final two period (LC4-LC5) are southern types.

In effect, the Santa Fe chronology combines two apparently independent ceramic sequences (the predominantly chaff-tempered indigenous sequence and the grit-tempered intrusive sequence) whose points of overlap are still debated. At Tell Brak, for example, the stratigraphic sequence for Area TW (Oates 2002; Oates and Oates 2002) spans the LC2 through LC5 periods. LC2 and LC3 levels are characterized by indigenous chaff-tempered ceramics. The LC4 level produced southern grit-tempered forms (the hallmark of the LC4 phase) but also indigenous chaff-tempered pottery that would otherwise be classed as LC3. The subsequent LC5 levels contain only grit-tempered sherds, which raises the question of whether the local chaff-tempered tradition had been abandoned completely or that such pots were simply not used in this

relatively restricted part of a much larger settlement. This uncertain overlap of parallel ceramic traditions has repercussions for the interpretation of non-stratified surface materials, and settlement patterns in general; a site with a purely local surface assemblage might have been settled prior to the arrival of southern colonists and/or their ceramics (i.e., LC3), or it might have been contemporary but outside of the sphere of interaction (i.e., LC4). What is needed is a greater understanding of the evolution of the chaff-tempered tradition between LC3 and LC4; such distinctions are now starting to appear (Felli 2003; Pearce 2000).

The chronology of the 3rd millennium BC has witnessed a similar convergence of opinion. Previously, northern Mesopotamian stratigraphy was tied to distant sequences in the Levant or the southern Mesopotamian Early Dynastic I-III sequence (itself deeply flawed; see Evans 2007; McMahon 2006, pp. 145-146), or to site-specific chronological schemes. Building on a proposal by Peter Pfälzner (1997; 1998), a workshop was convened at the site of Tell Beydar in northeastern Syria in May 1998; the resulting sequence (Lebeau 2000) subdivides the 3rd millennium BC into seven phases (EJ 0 through EJ V, with EJ IIIa and EJ IIIb subdivisions).

The EJ sequence works best with excavated sequences where the frequency of types can be gauged, because most types span more than one phase (noted at Tell Brak and Tell Beydar; Oates 2001; Rova 2003); the few chronologically restricted types tend to be minor components of the assemblage. Therefore it is difficult to place surface assemblages into a single phase of sequence, although there have been attempts (Pfälzner et al. 2004). Ultimately the ceramics of the 3rd millennium BC show substantial continuity, particularly for the middle to late part of the millennium, despite the great political and environmental upheavals that have been proposed.

## **Origins of complexity and urbanism, ca. 4400-3000 BC**

In the early to mid 5th millennium BC (the “Northern Ubaid” period), northern Mesopotamian society was characterized by largely egalitarian and communally oriented small communities without centralized leadership and little evidence for status marking, although possible architectural differentiation had emerged toward the end of the period at Tepe Gawra (Akkermans and Schwartz 2003, pp. 178-180). At this time, many aspects of material culture were widely shared across all of Mesopotamia, particularly a tripartite house form (Oates and Oates 2006) and a style of painted ceramics. Occasionally, it is possible to argue for an actual process of migration or colonization from southern Mesopotamia (Thuesen 2000), but in most cases we should envision the expansion of Ubaid culture as the peaceful spread of an ideological system (Stein and Özbal 2007).

Until the last decade, it was assumed that this situation continued into the 4th millennium until southern Mesopotamians, hailing from a more complex urban culture, arrived with new elements of social, economic, and religious complexity. The recent research reviewed here demonstrates that northern Mesopotamian society had in fact developed most if not all of the cultural hallmarks of Uruk society prior to that event. For the earliest phases (LC1-2), these include monumental architecture, organized long distance trade, specialized craft production, and new forms of population agglomeration; for the later phases (LC3-4), evidence exists for large scale feasting, religious institutions, mass production of ceramics, organized violence, and nucleated high density settlement (i.e., urbanism). These new data have substantial implications for the reconstruction of social development not only in northern Mesopotamia but also in the broader Near East.

## Status, specialization, and “proto-urbanism” in LC1-2

Until recently, the best evidence for this initial LC phase came from the small (ca. 1.5 ha) center of Tepe Gawra, located on the plain east of the Tigris near Mosul, Iraq. It was initially excavated in the 1920's and 1930's but has recently been restudied, with particular emphases on the changing nature of architecture and administrative practice through time (Rothman 2001b; 2002a; 2002b; Rothman and Peasnell 1999). A wide distribution of clay sealings in association with several extended family household compounds characterized the site in LC1; by LC2 specialized temple institutions had emerged and sealing activities became concentrated. This patterning is interpreted as the emergence of specialized leadership and control of resources centered around a new public institution (Rothman 2001b, pp. 387-389).

New evidence for monumental architecture appeared in the LC2 period in particular. At Tell Hammam et-Turkman on the Balikh River, a fragment of an elaborately niched and buttressed structure with walls almost 2 m thick was recovered on the mound's edge; unfortunately most of the structure was lost to erosion (Van Loon 1988). In contemporary levels at Tell Brak, excavations recovered the northwestern corner of a building with walls of similar thickness and with an enormous basalt stone door threshold (McMahon and Oates 2007, pp. 148-155; Oates et al. 2007, pp. 588-590).

Adjacent to this monumental building at Brak was a structure with abundant evidence for manufacturing of various craft items. The structure itself contained multiple plastered basins and bins, and its floors featured pounders and grinding stones, stone and bone tools, spindle whorls, mother-of-pearl inlays, and extensive evidence for flintknapping (Oates et al. 2007, pp. 590-591). Above this structure, a later LC2 structure also had industrial elements, including two large ovens of uncertain function and again, large quantities of flint and obsidian from all stages

of the manufacturing process (McMahon and Oates 2007, pp. 150-152). This later LC2 (or transitional to LC3) structure at Brak also contained a remarkable object for the marking of status: a 16 cm high chalice constructed of obsidian, marble, and bitumen (Oates et al. 2007, Fig. 8). The bearer of this vessel must have been an individual whose elevated status would have been well indicated through its public use.

The presence of obsidian in LC1-2 levels is of particular interest because of the light it sheds on larger spheres of economic activity in the late 5th millennium. The movement of obsidian in the Neolithic is a well studied phenomenon, and the central and eastern Anatolian sources have been identified and characterized (Cauvin et al. 1998). LC1-2 obsidian from Brak and the “Southern Extension” of Hamoukar (known locally as Khirbat al-Fakhar) suggests a pattern of raw material movement of surprising complexity (Khalidi n.d.; Khalidi et al. in press). Khirbat al-Fakhar produced huge quantities of obsidian in all stages of the manufacturing process, from cores to finished tools, and in quantities that suggest production above the level of household utility. Given the great quantities of cores and debitage, the residents of Khirbat al-Fakhar must have had direct access to the source in the Bingöl region of the eastern Taurus mountains, and it is likely that the site was a point of redistribution for tools throughout northern Mesopotamia (Khalidi et al. in press).

These northern connections have a parallel in ceramics, as revealed by recent excavations and reappraisal of earlier fieldwork in the Caucasus (Lyonnet 2007b). Ceramics of LC2 and LC3 style have been found on sites in eastern Anatolia (Marro 2007) and even the Kura River basin in Azerbaijan (Akhundov 2007). Bertille Lyonnet (2007a, pp. 15-17) considers exchange in metals as the driving force, but the new evidence from Brak and Khirbat al-Fakhar suggest that obsidian exchange was also important. This pattern of north-south movement finds recent parallels with

transhumant pastoralists; it is possible that similar movements existed in the late 5th to early 4th millennia BC. A more localized exchange network can be documented for “sprig ware,” vessels with a distinctive vegetal motif that are the most distinctive ceramic indicator of LC1. A likely manufacturing center was found from surface remains on the edge of the Tigris River in northern Iraq (Ball 1997), and chemical analysis has been used to identify centers of manufacture and distribution (Rothman and Blackman 2003).

These new indicators of social complexity appeared simultaneously with dramatic settlement expansion at Brak and Khirbat al-Fakhar, although not in the form known from later periods of northern Mesopotamian history. Both were extensive “proto-urban” settlements of low or variable density, with few other parallels elsewhere in the Near East. The earliest, Khirbat al-Fakhar, is a vast low or flat scatter of pottery and obsidian (Ur 2002a, p. 64; Ur in press-b; Wilkinson 2002, pp. 99-104). Most of the site is a patchwork of lighter anthropogenic soils and darker natural soils with little or no topographic expression. Based on CORONA satellite imagery analysis and systematic surface collections, the LC1-2 extent of the site is at least 300 ha.

A similarly dispersed pattern of settlement characterized Tell Brak in the LC2 period. The central mounded area is surrounded by a halo of low mounds and flat areas (Oates et al. 2007, p. 587). Intensive systematic surface collection in these outer areas (the “suburbs”) recovered LC2 sherds in discrete clusters of 2 to 4 ha, at intervals of 200-400 m from the central mound and from each other (Oates et al. 2007, p. 597; Ur in press-a; Ur et al. 2007). The total area of LC2 settlement at Brak covered at least 55 ha. The scale of settlement at Brak and Khirbat al-Fakhar in the LC1-2 periods was radically in excess of any of its neighbors, which did not exceed 5 ha (Hole 2000a; Hole 2000b; Lupton 1996).

## Indigenous urbanism and conflict in LC3-4

The succeeding phases of the early to mid-4th millennium BC witnessed further social developments, still prior to the arrival of people and ideas from southern Mesopotamia. The most dramatic trend was the continued growth of the LC2 “proto-urban” settlement at Brak into a spatially extensive and demographically large urban center in LC3-4. Conservatively, the central mound and suburbs together encompassed 130 ha of dense settlement a few centuries or more prior to the Uruk expansion (Ur et al. 2007). Other large LC3-4 settlements may have existed elsewhere in northern Mesopotamia at this time, but intensive field methods have not been applied to the non-mounded areas around other possible centers. The exception is Tell al-Hawa in the Iraqi North Jazira, which may have been as large as 33-50 ha at this time (Ball et al. 1989, p. 32; Lupton 1996).

Elsewhere on the northern plains, a few substantial nucleated towns of around 15 ha emerged, for example at Hamoukar (Ur in press-b) and Leilan. Most settlements, however, remained small, but now appeared with increased frequency across the landscape. Small villages on the order of 2.5-5 ha abounded on the alluvial plains (Wilkinson and Tucker 1995, pp. 44-45). Settlement remained ephemeral, however, in the Euphrates (Algaze 1999; Algaze et al. 1994; Geyer and Monchambert 2003, pp. 243-246; Wilkinson 2004) and upper Tigris (Laneri et al. 2006; Parker et al. 2008) river valleys, and the following discussion pertains largely to the northern plains.

The urbanization process at Brak was accompanied by further developments within the settlement. Institutionalized religion appeared with the monumental tripartite Eye Temple, which contained hundreds of small stone figurines with oversized eyes. It was initially dated to the late 4th millennium by its excavator (Mallowan 1947), but subsequent improvements in 4th



millennium chronology now place its appearance in the LC3-4 (Oates and Oates 2002). The temple was heavily ornamented and would have sat at one of the highest points in the city at the time (Emberling 2002). The terrace and ornamentation set the Eye Temple apart from domestic structures, which employed the same tripartite ground plan.

Evidence from such domestic areas also documents emerging complexity in smaller household contexts. Several LC3-4 structures were recovered from TW Levels 18-16. The Level 18 tripartite building is of particular interest. The open courtyard in front of the building contained a large domed oven and a grilled structure, in association with large amounts of animal bones and large thick-walled bowls or plates. The excavators interpret this assemblage as the product of supra-household feasting conducted by a local figure of authority, some sort of sheikh (Emberling and McDonald 2001, pp. 21-31). Other LC3-4 domestic structures show evidence for high value wealth items and exotic materials. The burnt remains of one house contained scraps of ivory, carnelian, and gold objects (Oates and Oates 1993, pp. 177-178). A cache from a pit included two stamp seals and 350 beads, mostly of carnelian but including silver, gold, lapis lazuli and rock crystal (Emberling and McDonald 2003:9). It is likely that exotic materials were more prevalent in elite LC3-4 households than current archaeological evidence suggests, but the almost complete absence of adult burials from the time deprives archaeology of access to such luxury items and materials that had been taken out of use context in the past.

Control of property is evident through the widespread use of clay sealings and stamp seals. After a vessel, bag, or box was closed, or a door locked, a piece of clay was placed over its knot or lock; the engraved side of a small stone or bone seal was then pressed into the wet clay, leaving an impression whose distinctive design served to designate the individual or institution under whose control the contents of the container or storeroom had been placed.

Sealings were used in LC1-2 (e.g., Rothman 2002b), but nearly every excavated context of the LC3-4 period has produced impressed clay sealings, and occasionally the seals themselves. Within the domestic structures at Hamoukar, hundreds of sealings had been placed on jars and containers of perishable materials; some of these containers had been stored on the roof or a second story and had fallen into the room when the building was burned (Reichel 2002). The LC3-4 structures at Brak Area TW also had evidence for substantial sealing activity (in evidence already in the preceding LC2 levels), and many container sealings were discarded in the large midden on the northern edge of the site (Pittman in Emberling and McDonald 2003; McMahon and Oates 2007, pp. 163-166). Further west, seals and sealings also have been found in smaller sites on the Euphrates such as Hacinebi (Pittman 1999) and from a slightly later time, Arslantepe (Frangipane 2007a). The designs themselves often show a naturalistic style most often associated with later cylinder seals of southern Mesopotamian design. The seals are carved from bone or soft stone and have the overall shape of a kidney bean or a recumbent animal (Pittman in Emberling and McDonald 2003; Gibson et al. 2002b; Reichel 2002).

The widespread use of sealing technology in elite, domestic, and midden contexts and in cities and villages, suggests that concerns about property control were by no means limited to an elite subset of early 4th millennium society. Sealing in northern Mesopotamia existed since the Neolithic (Akkermans and Duistermaat 1997), and despite frequent equations of sealing practice with bureaucracy and the state (e.g., Frangipane 2007b), the emergent evidence from LC3-4 levels puts sealing as a property control mechanism at the level of the household. Larger households with more resources to control may have used the practice more frequently, but it was clearly not restricted to centralized political authority.

An administrative technology conspicuous by its absence in northern Mesopotamia is writing. The development of a pictographic writing system, later to develop into the cuneiform script, holds an important position in most discussions of the origins of urbanism in southern Mesopotamia (Algaze 2008, pp. 135-139; Liverani 2006). In any case, the appearance of writing in the south ca. 3200-3100 BC (Englund 1998, pp. 32-41) was a very late development, and may have post-dated the collapse of the Uruk Expansion (see below). Writing appears to have been an innovation of urban institutions in southern Mesopotamia that was later adopted in neighboring regions, but was not a critical one for urban origins in northern Mesopotamia.

The social and political changes in the LC3-4 periods were not without conflict. Structures at Hamoukar and Brak were destroyed by fire. The most striking evidence comes from recent excavations on the northern edge of Brak's outer town, where thick layers of LC3 debris contained the remains of at least 67 individuals in a partially articulated state (McMahon and Oates 2007, pp. 155-163). Human skulls occurred in discrete clusters, and there was an almost complete absence of hand and foot bones. Infants were completely absent; most identifiable remains came from older children and young adults. The fact that no other non-infant burials are known from elsewhere in Mesopotamia raises the possibility that these remains may represent the final phase in the standard mortuary practice at the time (Karsgaard and Sołtysiak 2007, p. 158), but the excavators assume that the remains originate with a massacred local population that was subsequently brought to the edge of the settlement and thrown out with other debris (Sołtysiak 2007, p. 163). Atop the human remains were found the disarticulated but nearly complete remains of more than 30 sheep and as many as 10 cattle that, due to their completeness, consistency of butchering patterns, and lack of carnivore damage, are interpreted

as the remains of feasting events held over the dead and then quickly covered over (Weber 2007, pp. 167-168).

The signs of violence at Brak and Hamoukar must be interpreted with caution. The destruction at Hamoukar is attributed to conquering southern Mesopotamians who subdued the town under a hail of clay sling balls before putting it to the torch; others see those artifacts as clay blanks for sealings (Lawler 2006, p. 1462; Oates et al. 2007, p. 593). The identity of the attackers also remains open to question; given the presence of medium to large settlements at Brak, Hamoukar, Leilan, and Tell al-Hawa, one might envision a pattern of peer polity competition within the region rather than foreign conquest. The possibility of intra-community conflict should not be excluded, either. At Tell Brak, the evidence for massacre is roughly contemporary with its unprecedented urban growth. As social hierarchies formed within its expanding population, competition for power may have resulted in bloodshed.

#### Colonization and emulation during the “Uruk expansion” in LC4-5

Our understanding of local northern Mesopotamian developmental trajectories are complicated by the influence of southern Mesopotamian culture, which started in the LC4 period and intensified in the LC5 period. This Uruk “Expansion” (Algaze 1993) or “Intrusion” (Akkermans and Schwartz 2003) was assumed to have been a single shortlived event at the end of the millennium, but recent fieldwork and radiocarbon dates have proven that it started earlier and lasted longer than was originally appreciated (Wright and Rupley 2001). Guillermo Algaze’s *The Uruk World System* (1993) hypothesized that the widely scattered traces of southern Uruk styles of material culture, which sometimes occurred alongside indigenous artifacts and sometimes occurred at homogeneously Uruk sites, were the remains of an informal economic empire whose asymmetric trade relationships with local communities in northern

Mesopotamia and elsewhere created growth-retarding economic dependency on long distance trade. The closure of the Uruk homeland in Iraq to fieldwork has spurred interest in the mid to late 4th millennium in recent years (Collins 2000; Postgate 2002; Rothman 2001c). Because much of this work has been reviewed recently (Akkermans and Schwartz 2003, pp. 181-210; Butterlin 2003; Rothman 2004; Schwartz 2001), I will limit myself here to a summary and very recent new findings.

Algaze's hypothesis was given its most rigorous test at Hacinebi, a 4 ha village site on a bluff overlooking the Euphrates River near Birecik, Turkey. A surface survey found artifacts of both local and southern Mesopotamian style, which hinted at the possibility of the replacement of an indigenous population by southern colonists, or cohabitation. Using seven seasons of excavation data, Gil Stein (1999) concluded that the Uruk colonists could have only been present with the agreement of the local community, which proved to be much more complex and technologically advanced than Algaze's model predicted. After critiquing the use of Wallerstein's world systems theory for the Uruk Expansion, and in premodern contexts in general, Stein proposes a "distance parity model" wherein the ability to project military force and economic domination decays with distance.

In the Euphrates River valley, the filling of the reservoirs behind the dams in Turkey and Syria means that many Uruk expansion sites are no longer accessible by archaeologists. Nonetheless, recent research at one site raises the possibility that the spatial extents of the colonies may have been underestimated. Tell Jerablus Tahtani is a small site whose Uruk component is mostly inaccessible beneath occupation of the 3rd millennium BC (Peltenburg 1999b). The mound itself is 1 ha, but recent intensive surface collection and geomorphological observations have revealed an additional 12 ha of lower settlement surrounding it, currently

covered by Euphrates alluvium (Wilkinson et al. 2007c, pp. 228-229). The other major colonies on the Euphrates at Habuba Kabira (Vallet 1996) and Jebel Aruda (Vallet 1998; van Driel 2002) are also mostly unrounded. Traditional Near Eastern survey techniques are highly focused on rounded sites and would therefore be likely to miss such places (Wilkinson 2000a).

#### Social trajectories of the late 5th through 4th millennia in northern Mesopotamia

Most LC1-4 sites were small villages, presumably largely self-sufficient in terms of their staple economies. Tepe Gawra, the most extensively excavated, has been characterized as the center of a chiefdom (Forest 2001; Rothman 2002b, pp. 141-148). The new morphologies and patterns of settlement growth at Khirbat al-Fakhar and Tell Brak, however, raise some difficult questions, particularly in combination with new evidence for indigenous social complexity. Can we call these places urban? Should we speak of “states” in the late 5th and early 4th millennia?

The unprecedented scale of Khirbat al-Fakhar (at 300 ha, larger than Uruk itself) at such an early date (LC1-2) has led some to question whether it was permanently occupied, and to propose that settlement may have moved around within it, rather than the entire settlement having been occupied at any given time (Gibson et al. 2002a; Wilkinson 2002). The density of surface ceramics, and the prevalence of large storage jar forms, argues against the site as a pastoral encampment and for at least a semi-sedentary component. It does seem improper, however, to assume a settlement density similar to the excavated cities of the Bronze Age. The distribution of surface artifacts and areas of anthropogenic soils on satellite imagery suggests a dispersed internal structure, with clusters of settlement and low density or vacant areas in between. Even if settlement density was low, Khirbat al-Fakhar was an important population center with obsidian exchange, manufacturing, and distribution functions found nowhere else.

The spatial pattern at Brak is similar at a smaller scale. Brak's 55 ha comprised a central mound, apparently nucleated, with smaller discrete outlying settlement clusters. It has been proposed that the spatial autonomy of the outlying clusters reflected an underlying desire to maintain sociopolitical autonomy. Unrelated communities felt some sort of centripetal pressures (for reasons economic, religious, or both) but in the absence of social institutions to integrate them (Ur et al. 2007). Such institutions must have developed during the course of the LC3-4, as Brak expanded to 130 ha.

Whether these places can be assigned urban status depends on one's definition of urbanism. Brak's urban significance has been downplayed because, unlike the cities of southern Mesopotamia, it was a primate center without intermediate centers in a proper urban hierarchy (Algaze 2008, pp. 118-120). Whether this is the case remains to be seen (a survey of the Brak hinterland has recently been completed by Henry Wright), but it does apply a potentially anachronistic modern Western spatial model to the 4th millennium BC. Instead of arguing against Brak's urban significance on the basis of this single factor (settlement pattern hierarchy), it is preferable to view these sites and their urban properties through "axes of variation" as proposed by George Cowgill (2004). By the middle of the 4th millennium (LC3-4), most of the variables that one can imagine were skewed toward urban status for Tell Brak, a spatially large and densely populated settlement with indicators of centralized economic, religious, and political functions (Oates 2005, pp. 14-28). Its earlier LC2 incarnation, and also LC1-2 Khirbat al-Fakhar, appear have lower population density and perhaps lacked internal political centralization and economic specialization; for these reasons, these places might be called "proto-urban." It seems possible that spatially extensive, low-density antecedents existed for other early cities but

have not been recognized due to alluviation, subsequent settlement, or choices in archaeological field methodologies.

Few archaeologists hesitate to ascribe to the city of Uruk at the end of the 4th millennium BC the status of “state,” but can the case be made for northern Mesopotamian states in the late 5th and 4th millennia BC? The excavators of LC5 Arslantepe interpret the elaborate system of property control via sealing as evidence for a centralized bureaucracy that redistributed rations to dependents (Frangipane 2007b). At Gawra and elsewhere (Rothman 2004), the evidence of the sealings has been described using the terminology of the state (for example, “officials” in a “bureaucratic” system). Neo-evolutionary terminology has been strongly critiqued in recent years, but while the utility of the chiefdom model may be questioned, even the strongest critiques still employ the state concept (e.g., Yoffee 2005, p. 54). This term, as applied in archaeological contexts, comes with a range of associations that seem inapplicable to these cases: a rationalized bureaucracy, specialized governing institutions, and above all, the reduction or elimination of kinship as a political organizing principle.

On the other hand, the wide distribution of clay sealing technology seems to indicate decentralized economic control. When “administrative” or elite structures can be identified, they all share a common tripartite plan. Rather than a discrete state apparatus, it seems more likely that these cities and polities were ruled by powerful households, of varying scales, some of which were secular (for instance, the TW Level 18 feasting hall at Tell Brak discussed above) and others envisioned as the household of the gods (e.g., the Eye Temple). The ground plans of these structures differed from domestic structures only in scale and ornamentation; it is certain that anyone entering the Eye Temple would have understood its tripartite plan to represent a house. The house as a metaphor for larger political entities was common throughout the Bronze



Age Near East (Schloen 2001) and is being used in models for the later 3rd millennium as well (discussed below).

These new findings demonstrate the indigenous nature of urbanism at Tell Brak, which appeared well in advance of any presently identifiable influence from Uruk or its southern Mesopotamian neighbors; the emergence of the Mesopotamian city must therefore be considered a multicentric phenomenon, if not a process that occurred independently in multiple loci. Indeed, the possibility is now raised that northern urbanism predates its appearance in the south. The advances in LC chronology have allowed us to document the spatial development of Brak with much finer chronological precision than is possible for Uruk. The monumental structures and pictographic tablets from Uruk come from the very end of the 4th millennium (LC5) and may post-date the collapse of the Uruk Expansion (Englund 1998, pp. 34-35; Schwartz 2001, p. 242). Because of the architectural focus of the early excavators, the Uruk ceramic chronology is poorly known (Nissen 2002); the city's extent was 250 ha in LC5 (Finkbeiner 1991) but because of these chronological problems, it is unclear if it was so large earlier in the 4th millennium.

A final point on the comparative developmental trajectories for northern and southern Mesopotamia concerns the role of the environment. Algaze has argued forcefully that the nature of the southern alluvial landscape gave it a substantial advantage in the development and maintenance of urban society (2001; 2008). In the south, the landscape enabled irrigation technology, which raises crop yields, reduces the risk inherent in annual climatic fluctuations, and provides a low friction means of transporting bulk items, including harvested cereals (Wilkinson 2003, pp. 74-99). With this staple economic framework, cities emerged through a non-linear process of peer polity competition (Algaze 2008). Dry farming is highly susceptible to fluctuations in rainfall, and as a result, urbanism in northern Mesopotamia was more limited in

scale and dangerously susceptible to collapse when cities pressed up against a ceiling of around 100 ha, given certain assumptions of transportation and agricultural technology and cereal productivity (Wilkinson 1994). The new evidence from northern Mesopotamia shows that large nucleated settlements could emerge in this supposedly disadvantageous landscape. Environment was certainly a constraining factor and cannot be ignored when considering why cities were so much more durable and prevalent on the southern plains.

The critical elements, however, that enabled the formation of these large settlements were the social institutions that brought communities together and maintained their cohesion. The nature of such institutions can only be speculated upon at present, given how much of our data comes from surface survey. The small glimpse from Brak TW level 19 suggests the emergence of a predominantly secular institution that marked its elite members through exotic drinking vessels and was engaged in the production (and probably distribution) of items made from imported materials (i.e., a wealth-based political economy).

### **Deurbanization and regionalization, ca. 3000-2600 BC**

After the clear signs of social complexity, both indigenous and southern Mesopotamian in inspiration, disappear at the end of the fourth millennium BC, northern Mesopotamia appears to have entered a period of "devolution" (Schwartz 1994a, p. 154) in the beginning of the third millennium BC, a time when society in southern Mesopotamia was reaching its urban apex. The Uruk colonies vanished, along with almost all traces of interaction with the south. The lower town at Brak was abandoned; the plains and river valleys alike were characterized by small tell-based villages (Wilkinson 2000a). The broad similarity of ceramic traditions across northern Mesopotamia in the 4th millennium gave way to regionalized assemblages, probably indicative of a reduction in interregional interaction.

## The Ninevite 5 period (EJ I-II) in the Upper Khabur basin and northern Iraq

The early 3rd millennium in this region has traditionally been labeled the "Ninevite 5" period, after the distinctive painted and incised pottery tradition known from the fifth level of the deep sounding at Nineveh (Gut 1995, pp. 9-19). The earliest variety was decorated with a red-brown to purple paint, mostly in geometric designs; this style then appeared beside a fine incised variety. An elaborate excised style was introduced later, followed by a somewhat careless zigzag style at the end of the period (Calderone and Weiss 2003, pp. 198-199; Rova 1996; 2000). A post-LC5, pre-EJ I ceramic tradition, now labelled "EJ 0," is known only from Tell Brak (Oates and Oates 1991).

Early 3rd millennium settlement remains obscure, largely because EJ I-II levels are sealed beneath substantial later occupational debris. The construction of monumental buildings damaged or removed EJ I-II layers in antiquity, as earlier buildings were leveled and preexisting tell surfaces were terraced. Settlement surveys have also run into difficulties. The decorated EJ I-II types, which are the most chronologically sensitive, are not robust and occur infrequently in surface assemblages.

For these reasons, we know comparatively little about the early 3rd millennium at the places that grew to urban proportions later in the millennium. We do, however, have remarkable knowledge from areas where salvage projects rescued sites in advance of dam reservoir construction, particularly the Khabur River dam below Hassake in Syria (Martin 1998; Monchambert 1984), the Eski Mosul dam on the Iraqi Tigris, above Mosul (Ball and Wilkinson 2003), and the Tabqa, Tishrin, and Carchemish dams on the Euphrates (Cooper 2006; Peltenburg 2007b; Wilkinson 2004). The picture of EJ I-II settlement emerging from the Middle Khabur area, where many of the twenty-two 3rd millennium sites were excavated, is particularly vivid.

Bearing in mind these data shortcomings, some tentative generalizations can be made about north Mesopotamian societies at the time. The EJ I-II countryside consisted of dispersed small settlements, with a few small towns of 15-25 ha (Matthews 2003a, p. 133; Stein and Wattenmaker 2003; Wilkinson and Tucker 1995, pp. 49-50). Excavations suggest a reduction in social complexity in the EJ I-II period (Akkermans and Schwartz 2003, pp. 216-224). The use of tokens and sealed bullae as administrative technology disappeared after the collapse of the LC 4-5 Uruk expansion. There are no examples of writing known from EJ I-II sites, but the use of cylinder seals continued. Mass production of pottery, which was done on a large scale in the mid- to late-fourth millennium (e.g., Oates and Oates 1993, pp. 181-182), all but disappeared with the end of the Uruk period. In its place appeared new labor-intensive production methods that involved extensive surface decoration. A degree of social stratification is apparent in burial assemblages, but in general there is little evidence for economic specialization or powerful political institutions (Akkermans and Schwartz 2003, pp. 223-224).

Religious institutions have, however, been identified. Unlike the multiroom and elaborately decorated tripartite structures of the LC3-5, these were small single-room structures, often with interior benches and a small podium, placed in the midst of a courtyard (Matthews 2002). When a broader context is known, they are found within the settlement fabric, as at Tell al-Raqa'i (Schwartz 2000). EJ I-II temples in northern Mesopotamia provide a stark contrast to southern Mesopotamia, where such institutions were large economic households. Nonetheless, the cache of over 500 sealings from the small temple at Tell Brak demonstrates a concern with property control (Matthews 2003a, pp. 111-113).

Residential buildings, when they have been fully excavated, were small one- or two-room structures with little ornamentation or size differences between them (Schwartz and Klucas

1998). Monumentality is by no means unknown; at Raqa'i and Tell Khazna, large round buildings have been excavated. The structure or structures at Khazna are enigmatic (Munchaev and Merpert 2002, pp. 245-257). The Raqa'i round building was 20 m in diameter and contained vaulted storage rooms, brick platforms, and industrial scale ovens (Schwartz and Curvers 1992).

From this dataset, several scholars have labeled these societies as chiefdoms (e.g., Akkermans and Schwartz 2003, p. 224; Matthews 2003a; Schwartz 1994a). However, there is some evidence from the middle Khabur region that economic organization was more complex than mere household agricultural self-sufficiency. The excavators of Tell al-Raqa'i proposed that it and other small villages on the middle Khabur were not simple agricultural villages but rather specialized centers for storage, processing, and distribution of grain products, tied into a regional economic system (Akkermans and Schwartz 2003, pp. 218-222; Fortin 2000; Schwartz 1994b). They contend that the recovered storage facilities at Raqa'i and elsewhere at this time greatly exceeded the needs of the estimated ancient populations, and were probably used for storing grain staples that were to be shipped via river transport to larger polities elsewhere, perhaps upstream to Tell Brak or downstream to Mari on the Euphrates (see also Margueron 2004, pp. 120-121).

This rural specialization model has been critiqued from two directions, both proposing local consumption. Frank Hole's survey in the West Jazira area around the Jebel Abd al-Aziz recovered as many as 36 sites of the first half of the third millennium BC (Hole 1991; 1997; 1999). By using a lower population estimate, Hole suggests that the surplus was for both local consumption and fodder for livestock. In the wet season, flocks would have grazed on the steppe beyond the river floodplain; in the dry season, these flocks, and their human shepherds, would have needed to return to the floodplain for water and food. Furthermore, the wetter conditions in

the first half of the third millennium BC would have meant that the land would have also been more productive (Hole 1999). This interpretation is complemented by zooarchaeological data suggestive of a specialized animal economy focused on sheep and goat production (Zeder 1998; 2003, pp. 170-175). Another reassessment by Peter Pfälzner (2002), in combination with comparisons of domestic storage throughout northern Mesopotamia, also proposes local consumption, but by the human population of the village.

Much of the differences between these models revolves around the quantity of surplus agricultural production, which in turn derives from equally plausible reconstructions of ancient population density and total volume of storage space. However, it is worth emphasizing some of their underlying assumptions. The surplus-shipping model assumes that surplus production will be externally motivated, in this case under the impetus of an extractive centralized polity, and is strongly influenced by the hierarchical emphasis of ecosystems theory. Hole's pastoral interaction model, on the other hand, is more heterarchical in that it recognizes the possibility of non-sedentary groups which may have had fluctuating political and economic relationships with sedentary agriculturalists, and may have been socially interrelated (see also Danti 2000). Indeed, a dynamic relationship between the two groups may have been important in the formation of the large *Kranzhügel* settlements on the steppe in the later 3rd millennium BC (Kouchoukos 1998; Lyonnet 1998).

The early 3rd millennium in the Euphrates River valley

The archaeology of the Euphrates Valley in the 3rd millennium BC has advanced considerably in recent years as a result of recent edited volumes (del Olmo Lete and Montero Fenollós 1999; Peltenburg 2007a) and an excellent synthesis (Cooper 2006). It was a time of great changes in populations and interaction spheres in the Euphrates River valley. The southern

Uruk presence disappeared, and new groups from the Caucasus filtered into the region. This phenomenon is particularly clear at Arslantepe, on the northern fringes of the Uruk expansion, which went from close cultural connections to the Uruk world to the burial place, and possible campsite, of mobile pastoralists of the Kura-Araxes or Early Transcaucasian Culture (Frangipane et al. 2001; Wright 2007).

The sites on the Turkish Tigris were small and replaced a combination of indigenous and southern Uruk villages (Algaze 1999, pp. 544-546), and also on the Syrian Euphrates, where they filled in a near vacuum of settlement (Cooper 2006, pp. 47-68; Wilkinson 2004, pp. 136-138). As is the case with the Middle Khabur region discussed above, it would be a mistake to assume that they were homogeneous subsistence-oriented villages; excavations have revealed a remarkable degree of specialized features. For example, the small site of Hajji Ibrahim, near Tell es-Sweyhat, had specialized grain storage functions, perhaps in the context of a complex agro-pastoral economic system (Danti 2000; Danti and Zettler 2007). Monumental architecture was a common feature; many small sites were heavily fortified (Cooper 2006, pp. 71-73), and one site, Gre Virike on the Turkish Tigris, appears to have been composed entirely of a monumental brick platform, serving some sort of regional cultic function (Ökse 2007). Within settlements, the structures identified as religious in orientation are small and single roomed (Cooper 2006, pp. 143-150).

### **Resurgence of urbanism and complexity, ca. 2600-2000 BC**

At the end of the EJ II period, a handful of villages in Northern Mesopotamia underwent a rapid process of urbanization (Akkermans and Schwartz 2003; Stein 2004; Wilkinson 1994). The rapid appearance of new social institutions and forms of economic and political organization can be detected in several ways: labor mobilization to construct massive city walls, terraces,

palaces and temples; increased specialization in the production of pottery, metals and other crafts as well as administrative and religious tasks; and intensification of agricultural and pastoral production. These new (or newly enlarged) institutions were located in urban places. Although cities reappeared in later periods, this was the most pervasive phase of urban settlement prior to the 20th century AD. The EJ III period brings northern Mesopotamia into the light of history, with the earliest cuneiform tablets found at Ebla, Tell Beydar, and Tell Brak (Archi and Biga 2003; Eidem et al. 2001; Ismail et al. 1996).

#### Settlement, landscape, and subsistence

The most obvious manifestation of this process is the expansion of settled areas at the major sites. The greatest urbanization occurred in the well watered alluvial plains of the Upper Khabur Basin and adjacent areas of northern Iraq. Tell Leilan, Tell Mozan, and Hamoukar all grew from around 15 ha to 90-120 ha within a century (Pfälzner et al. 2004; Ur 2002b; Weiss and Courty 1993, pp. 135-136). Tell Brak expanded to 65-70 ha (Emberling et al. 1999, p. 16; Ur in press-a). In Iraq, major cities emerged at Tell al-Hawa, Tell Taya, and Tell Khoshi (Ball et al. 1989; Kepinski-Lecomte 2001; Reade 1997). A range of intermediate towns, such as Titriş Höyük and Tell Beydar, attained 25-45 ha (Lebeau 1997; Matney and Algaze 1995). In the Euphrates river and adjacent areas of western Syria, the largest urban sites at Ebla, Tell Hadidi, Tell Sweyhat, Tell Banat, and Tilbeşar appear to have had a lower growth ceiling and did not exceed 50-65 ha (Cooper 2006, pp. 49-58; Kepinski 2007; Wilkinson 2004, pp. 233-234; Zettler 1997). At this time of maximum urbanization, towns even extended out to the margins of the steppe, for example at Tell al-Rawda (Castel and Peltenburg 2007) and the distinctive circular walled *Kranzhügel* sites in the steppe between the Khabur and Balikh Rivers (Akkermans and Schwartz 2003, pp. 256-259).



EJ III-IV cities all developed out of earlier settlements, some of which had been occupied for millennia. In most cases a lower and extensive area of settlement formed around the older mounded core. The monumental buildings on the upper mounds have received the most archaeological attention. When excavated, lower areas have proven to be areas of dense domestic houses along narrow streets and alleys (Colantoni 2005; Pfälzner 2001; Senior 1998). In the rare case of Tell Taya, the stone foundations of the entire settlement could be mapped on the surface (Pfälzner 2001, pp. 321-325; Reade 1997). More recently, geophysical surveys have demonstrated the radial nature of many of these cities, with wide streets radiating outward from the central core toward gates in the outer city wall (Creekmore 2008; Gondet and Castel 2004; see also Matney and Algaze 1995; Meyer 2006; Meyer 2007; Pfälzner et al. 2004).

Where regional settlement patterns have been recovered, the relatively undifferentiated EJ I-II pattern gave way to a hierarchical distribution of cities, towns and villages. Settlements' estimated sustaining areas overlapped substantially, and the larger centers would have required import of surplus agricultural products (Stein and Wattenmaker 2003). The plains of the Iraqi North Jazira supported a three-tiered hierarchy of settlement size, with 66 ha Tell al-Hawa at the apex (Wilkinson 1994, pp. 487-490; Wilkinson and Tucker 1995, pp. 50-53).

The growth of urban centers put pressure on their hinterlands to cover their productive deficits, resulting in a lasting impact on the landscape. Farmers, herders and their animals moved across the extensively cultivated plain on local and intersite tracks that are visible in aerial and satellite photographs, and occasionally on the ground (Altaweel 2004; Ur 2003; Wilkinson 1993; Wilkinson 2003, pp. 111-117). Over 6,000 km of tracks could be mapped in the upper Khabur basin via CORONA satellite photographs (Ur in press-b Chapter 7), but such features are preserved more sporadically in western Syria (Wilkinson 2004, pp. 81-82). An

alternative interpretation as channels for water harvesting (McClellan et al. 2000) is problematic because many tracks move over the terrain in ways that would be hydrologically impossible (Ur and Wilkinson 2008, pp. 311-312). Formation of these depressed tracks was related to the duration and intensity of use but also the degree to which movement was constrained onto them by the presence of agricultural fields; by extension, they serve as a proxy indicator of the limits and intensity of cultivation and possibly the violation of fallowing (Ur 2009; Wilkinson 1994, pp. 492-493).

Further evidence for agricultural intensification comes from the continuous spread of small and abraded potsherds at low but variable density. Such scatters are particularly dense in the zone of greatest urbanization in the upper Khabur basin and the plains of northern Iraq. They are interpreted as the remains of ancient manuring practices where settlement-derived debris was deliberately deposited on the fields as a crop amendment (Wilkinson 1994, pp. 491-492; Wilkinson 2003, pp. 117-118). The greatest densities are associated with EJ III-V urban centers, for example around Hamoukar, Tell al-Hawa, and Tell Sweyhat (Ur 2002a, pp. 76-80; Wilkinson 2004, pp. 57-73; Wilkinson and Tucker 1995, pp. 19-23). This interpretation is not universally accepted (see especially the comments to Wilkinson 1994), but no alternatives have been proposed that can explain the ubiquity of the scatters. In combination, the landscape data from linear trackways and manuring scatters is strong proxy evidence for an intensive agricultural economy.

This agricultural economy largely revolved around the production of cereals, particularly wheat and barley, but in most cases, barley predominates in the botanical assemblages. At Tell Brak, the presence of cereal byproducts shows that animals were grazing on the stubble of harvested fields or being foddered, and indeed it is possible that the intensive cultivation of

barley may have been for animal, rather than human, consumption (Charles and Bogaard 2001; Hald and Charles 2008). The zooarchaeological record shows a dramatic reduction in wild species and a very strong emphasis on sheep and goat just prior to and during the EJ III-V urbanism phase (Zeder 1998; Zeder 2003). Within settlements, pigs were kept at Brak (Weber 2001) and Leilan in both elite and household contexts (Zeder 2003, pp. 175-176).

To understand how the massive population concentrations at 3rd millennium cities were able to sustain themselves, T.J. Wilkinson and colleagues have developed an evolving set of models that use these settlement, landscape, and agropastoral variables as inputs, along with data from traditional Near Eastern agriculture and the cuneiform record (Christiansen and Altaweel 2006; Wilkinson 1994; 1997; 2000b; Wilkinson et al. 2007a; 2007b). Wilkinson's "first principles" approach (1994, p. 484) prioritizes the productive potential of the land surrounding early urban settlements, based on human biological needs and principles of least effort. The model considers the dynamic interaction between environment (soils and the amount of and variation in rainfall), settlement, and land use strategies. Early iterations focused on the relationships between population and land use alternatives at a single settlement (Wilkinson 1997), and between labor, land use, and carrying capacity in a multiple-settlement urban system (Wilkinson 1994). In both, variation in rainfall (and by extension, predictability of crop yields) and labor availability were the major limiting factors. At a threshold of 2,620 persons, a settlement's entire catchment would be under cultivation, and any additional population would begin to consume surplus production. At 6,000-8,000 persons, the settlement would require surplus to be imported from neighboring settlements, the optimum arrangement of which was a pattern of six satellites with five-kilometer territories around the five-kilometer territory of the urban center. Wilkinson's model predicts a maximum population of 11,734-14,374 persons in

centers (a size of 72-114 ha, depending on density), which closely approximates the 100-120 ha ceiling for the sizes of the largest EJ III-V sites (Wilkinson 1994, pp. 501-502). As settlements approached this ceiling, however, the economic system became increasingly susceptible to fluctuations in annual rainfall and could collapse if faced with a multi-year drought.

#### The impact of Akkadian imperialism in northern Mesopotamia

Political events represent a complicating factor in the development of urban polities. Royal inscriptions of two kings of the Akkadian dynasty of southern Mesopotamia, Sargon and Naram-Sin, describe military campaigns in northern Mesopotamia and the capture and destruction of cities (Westenholz 1999). Many archaeologists assume that the EJ IV phase, defined ceramically, is largely coterminous with a period of Akkadian imperial control (e.g., Lebeau 2000 Table IX). The nature and impact of these events are debated, however. For some, the Akkadian conquest was a watershed event with broad repercussions (Weiss and Courty 1993); for other models, it plays no role whatsoever (e.g., Wilkinson 1994).

The evidence for Akkadian conquest and political control must be considered critically. Epigraphic data comes almost exclusively from royal inscriptions, which are by nature propagandistic devices and prone to hyperbole; furthermore, they describe conquest but say nothing of control or administration. The sparse cuneiform material from Tell Brak and Tell Leilan (de Lillis Forrest et al. 2007; Eidem et al. 2001) is written in a script identical to that of Akkadian administrative documents in the south, but the texts themselves make no mention of the Akkadian state (Eidem et al. 2001, p. 102). A few sealings bear the impressions of seals of royal servants, but these may have arrived on containers from elsewhere and could therefore bear witness to elite exchanges between independent polities (reviewed below). Likewise, the presence of a daughter of Naram-Sin at Tell Mozan (Buccellati and Kelly-Buccellati 2002) fits

into a larger pattern of diplomatic marriages (e.g., Biga 1998) and need not indicate political subservience.

The only indisputable physical manifestation of Akkadian imperial control remains the massive “Naram-Sin Palace” at Tell Brak, the mud bricks of which were stamped with the name of that king (Mallowan 1947, pp. 63-70). Akkadian imperialization cannot otherwise be detected in architecture or any other aspect of material culture, which evolved independently from the forms and styles of Akkadian southern Mesopotamia (Oates and Oates 2001a, p. 383). Certainly there was direct Akkadian administration of Tell Brak but whether it lasted a year, a decade, or for Naram-Sin’s entire reign, or if it extended beyond Tell Brak, is entirely unknown. The great volume of recent work on the later 3rd millennium in northern Mesopotamia has not negated Michalowski’s statement that

We indeed put too much emphasis on the raids which Sargon and Naram-Sin effected in the north and north-west of Mesopotamia. In fact it is a great credit to the ancient propagandists of the Sargonic [Akkadian] kings, and their later successors, how well modern scholarship has been affected by the fruits of their labors. Infected with these examples, archaeologists who excavate in Syria have not wanted to be left behind and have invented non-existent empires which are modeled after the propagandistic vision of Mesopotamian statesmen, as filtered through modern eyes... [The data suggests] a historical and political development largely independent of their cultural and linguistic cousins in Sumer and Akkad, the presence of Naram-Sin’s garrison at Tell Brak and possibly in a few other towns in the region, a few victory cartoons, and scribal bombast notwithstanding (1985, p. 301).

#### Institutions and specialization

The archaeological record of the EJ III-IV periods shows an explosion of large institutions in the form of monumental structures variously interpreted as temples or palaces. In many cases, the two appear closely linked, and a strict division between them may be inappropriate. Palaces were constructed of thick mud brick walls, sometimes on massive stone foundations, and organized around large open courtyards, some of which were paved with fired bricks. In most cases only fragments of larger complexes have been excavated, as at Ebla,

Mozan, and Banat (Akkermans and Schwartz 2003, pp. 235-244; Buccellati 2005; Cooper 2006, pp. 128-130), but the central complex at Tell Beydar has been almost completely cleared and now restored (Lebeau and Suleiman 2003; Lebeau and Suleiman 2007). Other major palatial structures are known from Tell Bi'a, Tell Chuera, and Tell Brak (Emberling and McDonald 2003; Miglus and Strommenger 2007; Oates et al. 2001; Orthmann and Pruß 1995).

Temples attained a monumentality that was absent in the preceding EJ I-II periods, and show a remarkable variability across northern Mesopotamia. In western Syria, the Euphrates valley, the arid steppe margins, and as far east as Tell Chuera, temples retained the long room structure, now with two walls extending out beyond the entrance to create a porch (Castel and Peltenburg 2007, p. 606; Cooper 2006, pp. 150-159). A remarkable series of temples were built in close association with the EJ III central palace at Tell Beydar. In these multiroomed structures, the cella was a broad room, with a focal wall decorated with elaborate niches and plastering (Lebeau 2006). The two EJ IV temples at Tell Brak, on the other hand, are narrower long room cellas with a bent-axis approach from large courtyards (Oates and Oates 2001b). Where extensively excavated, these shrines are part of larger complexes that include features similar to those of domestic structures, albeit at institutional scale, which lends support to the idea that "temples" (an etic term) are better conceived as divine households (see below).

Craft production became highly standardized and specialized (Wattenmaker 1998). The elaborately and laboriously decorated fine wares of the Ninevite 5 tradition gave way to mass produced undecorated open bowl forms. The significance of this shift is debated. One interpretation emphasizes state control over pottery production; the standardized bowls were tools for ration distribution in a centralized political economy built around the redistribution of staples (Senior and Weiss 1992; Weiss and Courty 1993). Alternatively, the transition may have

been related to increased manufacturing specialization, since a simpler open form was more easily stacked in the kiln and large batches could be fired simultaneously (Senior 1998; Stein and Blackman 1993). Pottery production appears not to have been centralized; a survey of the Tell Leilan region recovered wasters not only at Leilan itself but also on the surfaces of every contemporary site in its hinterland, regardless of size (Stein and Blackman 1993, pp. 40-41). The high frequency of open bowls might be related to new customs of food serving and communal consumption that encouraged all households to have many serving vessels (Ur and Colantoni in press). Likewise, production of flint blades was specialized but organized at the household level at Titriş Höyük (Hartenberger et al. 2000).

On the other hand, the manufacture and distribution of various wealth items was clearly controlled by powerful political institutions. At Ebla, precious metals and fine textiles were closely monitored by the royal household and were distributed to the kings of rival powers, their families, and their representatives (Archi 1996; Archi and Biga 2003; Mazzoni 2003). The evidence from Ebla is primarily textual, but elsewhere such elements of the political economy have survived in mortuary contexts. Elite burials show a dramatic change from the EJ I-II, when status differences were subtle. Particularly in the Euphrates valley, elite tombs contained an array of metals, imported stones, and other luxury items. Many of these structures were family tombs reused over the generations, and were at least initially above ground (Cooper 2006, pp. 223-237; Peltenburg 1999a; Schwartz et al. 2006). A unique burial mound at Tell Banat on the Syrian Euphrates, the “White Monument,” will be discussed below.

In addition to luxury items, the wealth economy included the movement of high value animals. The Ebla texts reveal the value and importance of the *kúnga* equid, likely to have been a donkey-onager hybrid. These animals were raised on the plains of the Upper Khabur and sent

as diplomatic gifts to Ebla by the king of Nagar (Tell Brak) (Archi 1998). Indeed equids appear to have been sacrificed and ritually buried in the FS temple complex at Brak (Clutton-Brock 2001). The twenty-six or more equids in the elite tombs at Umm el-Marra, a town in the kingdom of Ebla (Schwartz et al. 2006), may have originated from this trade (Weber 2008).

#### Models for EJ III-IV society

To understand the formation, operational dynamics, and dissolution of northern Mesopotamian polities, most researchers work within a culture historical approach that focuses on the remains of ruling institutions and emphasize the agency of kings and other high ranking elites. As a result, most sociopolitical models are heavily top-down, although emergent models are increasingly common.

The first model originated to explain the evolution of settlement and sociopolitical hierarchy at Tell Leilan but has been extended to cover the Upper Khabur basin (Weiss and Courty 1993; Weiss et al. 1993). According to Weiss and colleagues, the earliest state government at Leilan (late EJ II) manifested itself in the form of rapid urban expansion, as the 15 ha city grew to 90 ha. Urban form was centrally planned; the excavations in the lower town revealed a 4.5 m wide sherd-paved street without direct access to the residences that lined it (Weiss 1990). The staple economy was state-controlled via storerooms on the high mound that were filled with processed cereals (Weiss 2003; Weiss et al. 1993:997). State formation was externally stimulated in the context of interregional exchange in mineral resources between southern Mesopotamia and highland Anatolia (Calderone and Weiss 2003, p. 201).

Subsequently, in the EJ III period, the state institutionalized social and political inequalities by the construction of a wall around the high mound, intended to protect the elite and their wealth from the lower status residents of the town and its hinterland (Weiss and Courty



1993, p. 138). The Ninevite 5 tradition of decorated ceramics was abandoned in favor of new mass-produced standardized forms used by the state for taxation and redistribution (Weiss 1997, p. 343). The even distribution of large centers at 25 km intervals, dendritic patterns of small settlements with their territories, and linear arrangements of sites is interpreted as "agro-production optimizing locations" (Weiss 1992, p. 93). Political and economic centralization was further enhanced by the conquest of the northern plains by the rulers of the Akkadian dynasty of southern Mesopotamia in the EJ IV period. The impetus for this conquest was a postulated decrease in Euphrates river flow that necessitated the acquisition of staples from elsewhere; hence it was driven by a political economy based on staple finance (Senior and Weiss 1992; Weiss 1997, p. 343; Weiss 2003, p. 595; Weiss and Courty 1993, pp. 146-150). A similar centralized model has been applied to the kingdom of Ebla in western Syria (Dolce 1998) and for agricultural redistribution at Titriş Höyük (Hald in press).

Few other reconstructions assume such pervasive social control, but powerful centralized authority may have resided behind the spatial layout of EJ III-IV cities and towns. Geophysical prospection at several urban sites has revealed a radial pattern of streets from a central high mound and circular outer city walls. The internal structure of Tell Chuera, for example, can be "nothing else but the result of preconceived central planning" (Meyer 2007, p. 137). A smaller town in a more marginal environment, Tell al-Rawda, may have been installed by some external power, possibly Ebla, according to a pre-formulated town plan that may have been based on Chuera (Castel and Peltenburg 2007, pp. 604, 613). Where large areas of domestic habitation have been exposed, regularities in the size of the street frontage and cross-street alignments of walls might indicate centralized planning (Matney and Algaze 1995, pp. 48-49; Pfälzner 2001). A recent review of the evidence for settlement organization proposes varying degrees of

planning, with central authorities frequently involved in street layout and city wall construction but rarely with the internal arrangement of neighborhoods (Creekmore 2008).

Others see a more decentralized political structure, with urbanism as at least a partially emergent product, particularly in comparison to the urban polities of southern Mesopotamia. Although elements of southern ideology and administrative technologies were adopted, Stein (2004) sees the absence of monumental propagandistic art, the smaller scale of palace and temple institutions, and a significant political role for assemblies as evidence for a more tribal organization in northern Mesopotamian polities (see also Stein 1994). The combination of tribal or segmentary organization and the option to adopt a mobile pastoralist lifeway subjected northern Mesopotamian cities to strong centrifugal forces (Stein 2004, pp. 77-78).

Another decentralized model (Ur 2004; Ur 2009) envisions EJ III-V society as a dynamic arrangement of nested households by combining the staple economic model of Wilkinson (1994) with David Schloen's Patrimonial Household Model of Bronze Age society in the Near East (2001). Schloen's review of indigenous terminology in the cuneiform record demonstrates that the large institutions we label as "palaces" and "temples" were largely organized along the metaphor of the household. The relationships within and between such institutional households were framed in terms of kinship, rather than the rational bureaucratic terminology most often used in archaeological and epigraphic reconstructions. The "state" itself (a concept for which no term exists in Sumerian or Akkadian; see Emberling 2003, pp. 260-261) was the household of the king, or in some cases of a god. Patrimonial household (*oikos*) organization is particularly clear in EJ III period Ebla and southern Mesopotamia (Pollock 1999; Renger 2003; Renger 1995, pp. 267-283; Schloen 2001, pp. 262-283). Large territorial polities are inherently unstable and require the close maintenance of the interpersonal relationships that form them. These

relationships are often negotiated and renegotiated in contexts of commensal eating and drinking (Dietler 2003, pp. 271-272). Within the patrimonial social network that in aggregate composed EJ III-IV cities, such necessities may have driven intensification and surplus production, rather than coercion by a powerful state (Ur and Colantoni in press).

The above models can be critiqued for overly emphasizing hierarchically organized sedentary society at the expense of mobile pastoral groups. Such groups were economically and politically important in the Middle Bronze Age and later, as revealed by textual sources (Durand 2004; Fleming 2004). The *Kranzhügel* sites in the steppe may have originated as gathering places for mobile groups (Kouchoukos 1998; Lyonnet 1998). Based on the excavations at Tell Banat on the Syrian Euphrates, Anne Porter has proposed a corporate model whereby pastoral nomadic groups established and maintained group identity around highly visible burials of tribal ancestors (Porter 2002; 2004). Banat initially took its form as a ritual center around multiple burials but eventually only the White Monument, a multi-phase tumulus-like structure which grew in part through the addition of disarticulated human remains, continued to be maintained, a circumstance that might signal the consolidation of power to a single descent line whose apical ancestors were buried within it (McClellan 1998; Porter 2002, pp. 25-28). Although speculative, Porter's model rightly stresses the artificiality of the pastoralist-sedentary agriculturalist dichotomy, and corporate forms as alternative and co-existing sociopolitical arrangement (Porter 2004).

These more decentralized reconstructions distribute agency not only to the heads of elite institutional households but also to the small individual households that made up the bulk of society, be they sedentary or nomadic. In an attempt to model the emergent effects of aggregated decision-making within such households, Wilkinson, Christiansen and colleagues have created

an agent-based computer model for a hypothetical EJ III settlement, loosely based on Tell Beydar and its hinterland (Christiansen and Altaweel 2006; Wilkinson et al. 2007a; 2007b). Wilkinson's initial agricultural assumptions (reviewed above) have been combined with climatic, pastoral, demographic, and social variables in an agent-based social modeling framework. Various iterations of the model suggest that small settlements could survive most difficulties (for example, multi-year drought, reduction in plow animals or human labor, or crop blight) by adjusting patterns of land use or by drawing on networks of kin relations for exchange. They conclude that "high amplitude inputs (e.g., rainfall) may be absorbed by social factors to result in low-amplitude outputs (i.e., population)" and that EJ III settlements were more resilient in the face of climatic adversity than is generally assumed (Wilkinson et al. 2007a, p. 66).

These models have been presented on a continuum from those that are the most centralized, with decision making limited to one or a few individuals at the top of a strongly hierarchical society, to those that attempt to incorporate the motivations and actions of a wider range of social groups and individuals. In the second half of the third millennium, the nature of urban society varied in time and space across northern Mesopotamia; we should expect phases of centralization under one or a few strong urban institutions, alternating with times of decentralization when several such institutions competed for political power and economic control, at the regional scale and within cities. The Patrimonial Household Model (Schloen 2001) is capable of describing such dynamism, and does so with reference to emically meaningful social terminology. The most top-down oriented models, particularly those that posit centralized control over almost all aspects of settlement and production, are difficult to accept; none can explain why non-elites would stand for such tyranny when a transition to a mobile pastoral existence always remained a possibility (Adams 1978). Top-down forces certainly did

exist and put a stamp on urban society, particularly clear in the form of monumental city walls, palaces, religious institutions, and possibly some aspects of urban planning. We must remember, however, that these large-scale products of the centralized phases, quite possibly brief, are prone to outlast the more ephemeral networks of social relationships responsible for their construction. As archaeologists continue to evaluate royal inscriptions more critically and explore a wider range of socioeconomic contexts via excavation, strongly top-down models are likely to be increasingly replaced by reconstructions that appreciate the fragility of political centralization and the ability of uncoordinated actions by individuals to create structures and effect change.

### **Agricultural intensification, climatic variability, and urban collapse, ca. 2200-2000 BC**

At the end of the third millennium BC, the cities of northern Mesopotamia were depopulated, in some cases permanently, within the span of a single ceramic phase. When later settlement is to be found on these sites, in most cases it represents a clean break from the third millennium levels. Almost all aspects of this transition are debated. Some scholars propose that abandonment was complete and simultaneous across northern Mesopotamia at the end of the EJ IV period, as the result of an abrupt aridification event resulting from volcanic activity (Courty and Weiss 1997; Weiss and Courty 1993; Weiss et al. 1993) or due to changes in atmospheric circulation patterns (Staubwasser and Weiss 2006). In these scenarios, the event caused not only the collapse of the Akkadian empire in the north but also its dissolution in its southern homeland at the hands of sedentary and nomadic groups who had been displaced by the deterioration of environmental conditions. More recently, it has been proposed that almost every archaeologically documented Old World civilization either collapsed or faced extreme stress due to this event (Courty and Weiss 1997; de Menocal 2001; Staubwasser and Weiss 2006, pp. 380-383; Weiss 2000).

The nature and timing of this proposed aridification event has been revised on the basis of the Tell Brak stratigraphy, where the event's micromorphological signature occurs in strata dating to the beginning of the EJ IV, rather than its end, which poses a challenge to the climate collapse hypothesis for the Akkadian empire (Courty 2001). Furthermore, it is now attributed to an extraterrestrial impact event rather than a volcano (Courty 1998; Courty 1999).

Other explanations see collapse resulting from a combination of climate and non-sustainable land use. The staple economies of the largest settlements operated close to the limits of sustainability, and could collapse when faced with a run of dry years (Wilkinson 1994; Wilkinson 1997). Smaller settlements could weather such droughts through a combination of exchange and the extension of agricultural cultivation, but urban centers near the 100 ha size threshold, which were already cultivating all of the available land, could not (Wilkinson et al. 2007a, pp. 65-66).

The initial publication of the abrupt climatic event hypothesis (Weiss et al. 1993) inspired substantial investigation into the nature of this transition at the end of the 3rd millennium (Kuzucuoğlu and Marro 2007), and it is now clear that to some extent, the appearance of widespread abandonment in the EJ V period resulted from a gap in the archaeological dataset. While it was true at one point that no major structures or pottery assemblages for this phase were known (Weiss and Courty 1993, pp. 141-142), ceramic chronology is now much improved and EJ V settlement is well documented at almost all of the major urban sites (Dohmann-Pfälzner and Pfälzner 2001; Koliński 2007; McMahon 1998; Oates et al. 2001; Oates and Oates 2001c; Tunca et al. 2007), although it is often of a different nature than the EJ III-IV settlement that preceded it. These new data show that the timing of the urban collapse varied throughout northern Mesopotamia, and that some areas may not have been abandoned at all (Akkermans and

Schwartz 2003, pp. 284-287; Marro and Kuzucuoğlu 2007; Peltenburg 2007b Table 1.1; Schwartz 2007).

### **Conclusions and future directions**

From the end of the 5th to the end of the 3rd millennium BC, Northern Mesopotamian civilization experienced cycles of expansion and contraction, in terms of urbanization, political centralization, growth of institutions, and various aspects of economic organization. The reemergence of social complexity in the later 3rd millennium was not, however, merely a return to an earlier stage. The initial phase (ca. 4400-3400 BC) was geographically uneven and characterized by gradual development (Fig. 2). Emergent population agglomeration was limited to the Upper Khabur basin and surrounding plains. Although they developed sophisticated productive technologies, particularly in the area of metallurgy, the 4th millennium riverine settlements lacked social institutions capable of counteracting processes of settlement fissioning prior to the Uruk expansion. The large indigenous settlements that did develop, in particular Tell Brak and Khirbat al-Fakhar, were isolated and heavily primate centers with few scalar intermediaries between themselves (55 ha and 300 ha, respectively) and their village/hamlet neighbors. The duration of Khirbat al-Fakhar's extensive settlement is uncertain, but Brak witnessed a steady growth over seven or eight centuries before colonists from southern Mesopotamia arrived.

After the phase of deurbanization at the beginning of the millennium, cities reemerged in northern Mesopotamia in a much different form around 2500 BC. The settlements themselves were nucleated and densely occupied, sometimes walled, and most of them appeared rapidly. Furthermore, they were not limited to the broad alluvial plains; urban places now appeared in the river valleys and even on the edge of the steppes, albeit at a smaller scale and with less

frequency.

Thus the two cycles of expanded social complexity and collapse in the 4th and late 3rd millennia BC have broad similarities in aspects of specialization and mass production, population agglomeration, and monumental architecture that probably hosted institutional-scale secular or religious households. The differences between these cycles, however, suggest corresponding differences in the underlying forces responsible for their creation. Fourth millennium urban society was restricted to only a few places and showed characteristics of experimentation, particularly in the “proto-urban” phase of the LC1-2 periods. The developments at Brak and Khirbat al-Fakhar unfolded over almost a millennium. On the other hand, the reurbanization of the later 3rd millennium was sudden, geographically widespread, and archaeologically simultaneous (emerging over the course of two centuries at most) at a number of nucleated sites that differed from the more dispersed 4th millennium pattern. In this case, rather than experimentation, we see adherence to a general template of what a city and its institutions should look like. Fourth millennium urbanism appears almost accidental, the emergent result of unplanned forces that brought people together and then kept them there; by the 3rd millennium, on the other hand, the city and its institutions were known quantities, things that could be envisioned and purposefully created. The northern Mesopotamians’ urban model might have come from an oral tradition of 4th millennium Tell Brak but is more likely to have been inspired by interaction with people from southern Mesopotamia and exposure to their urban places, which appears not to have experienced the same process urban dissolution in the early 3rd millennium.

At the present state of knowledge, the initial cycle of urban civilization in northern Mesopotamia appears to be a case of a non-linear social developmental trajectory, whose end



results (most prominently, large population concentrations at Brak and Khirbat al-Fakhar) were not foreseen by individual actors and households, who were entirely concerned with decision making to achieve their own short-term goals. The institutions and settlement forms that emerged from the totality of these actions may have been largely unintentional, but now having existed, could then be purposefully replicated.

Such purposeful replication is probably responsible for the second cycle of urbanism, beginning ca. 2600-2500 BC. One might be tempted to interpret the rapidity and apparent uniformity of urban reemergence as a sign of an imposed or planned aspect to these places, as has been argued for Tell Leilan and the *Kranzhügel* sites (Meyer 2007; Weiss et al. 1993). On the other hand, some key characteristics of later urban society were already in existence in the earlier 3rd millennium, for example dense settlement fabric and property-controlling religious institutions (Matthews 2002; Schwartz 1994b). With the development of fission-retarding social institutions, most likely the metaphorical extension of the household to encompass neighborhoods, settlements, or even entire kingdoms (Schloen 2001), widely shared local rules of social interaction and spatial arrangement may have conspired to produce the global order of 3rd millennium urbanism. The idea that cities might be understood as hypertrophic villages has also been proposed for the contemporary southern Mesopotamia (Steinkeller 2007, pp. 201-205). Northern Mesopotamian cities of the later 3rd millennium were not entirely emergent products; certainly institutional households and their rulers played significant roles. However, their actions were probably constrained by unanticipated emergent processes (e.g., erecting a city wall around an already-expanded urban settlement, or formalizing a preexisting urban thoroughfare) rather than structuring (e.g., the wholesale construction of the Neo-Assyrian imperial capitals of the 1st millennium BC).

Despite a disciplinary tendency to view southern Mesopotamia as the heartland of urbanism and political centralization, northern Mesopotamian civilization developed largely on its own trajectory, although not in isolation. The extensive settlements on the northern plains predated the beginnings of the Uruk expansion by half a millennium or more, and they retained little of its influence after its collapse (Frangipane 2007c). The 3rd millennium urban resurgence occurred at a time when southern cities had been flourishing for centuries, and some aspects of elite material culture, particularly administrative technologies, appear to have been adapted for local use, but again it preceded well documented interactions during and immediately preceding the Akkadian conquest, in whatever form it took. Southern and northern Mesopotamia did not evolve in isolation, but with the exception of the time of the Uruk expansion, the cultural aspects that were exchanged were translated into local meanings rather than adopted wholesale (Stein 2004).

#### Future prospects

Although our understanding of these social developments has grown exponentially in the last decades, there are still considerable lacunae. In geographic terms, coverage is highly uneven. For example, dam salvage projects have resulted in an overemphasis on riverine landscapes, which were important loci during the Uruk expansion but appear to have been marginal or vacant regions when considering indigenous development. On alluvial plains, only the largest sites have been targeted for excavation; the tail of the settlement system is known only from surface survey. It is difficult therefore to speak of the specialized functions of these urban places when none of the non-urban settlements in their hinterlands can be demonstrated to have lacked them. Archaeologists' abilities to address this imbalance are hampered by the priorities of national antiquities services, whose primary concerns often relate to mitigation and

the tourist economy.

The greatest geographical lacuna is, of course, the continued research hiatus in Iraq. The plains around the Jebel Sinjar and the eastern banks of the Tigris undoubtedly have much to contribute to these debates (Rothman 2001b). Iraqi archaeologists have recently published some brief results of work from the 1980s but the political situation remains so dire that they do so anonymously (Altaweel 2006; 2007).

Although the culture historical approach still predominates, scholarship has become increasingly concerned with how societies worked and why they changed. The best example is the Tell Leilan-based model of state formation and urban collapse (Weiss and Courty 1993; Weiss et al. 1993), which foregrounded issues of staple economics, urban planning, and above all the role of climate change. This provocative and controversial model has largely set the agenda for subsequent research (e.g., Kuzucuoğlu and Marro 2007). New modeling technologies now allow agent-based computer models to be constructed and run against the archaeological record (Wilkinson et al. 2007a; 2007b). Nonetheless, the further subdivision of time continues to be a strong focus, although it is not always clear what, if any, research questions are driving it.

Northern Mesopotamian archaeology has begun to move beyond exclusive focus on elite contexts. Holistic approaches at the regional level have drawn on landscape archaeology, regional settlement survey, and satellite remote sensing; this approach is best articulated in the work of Tony Wilkinson (2003). At the site level, intensive surface collection and geophysical prospection have revealed spatial organization, planning, and activities of elite and commoner alike; increasingly, these studies have been followed up by horizontal exposures of non-elite and non-monumental areas, exemplified by the work at late 3rd millennium Titriş Höyük in Turkey (Algaze et al. 2001; Matney and Algaze 1995; see also Pfälzner 2001). Micro-morphological

and micro-archaeological innovations have revealed the range of activities within such households (Matthews 2003b; Matthews et al. 2001; Rainville 2005). Not surprisingly, these methodological trends have coincided with the development of less centralized models of urban society that attribute agency to others besides a few elites. Nonetheless, even at urban sites there frequently remains a reticence to devote resources to the excavation of non-elite contexts.

It is quite likely that when research can be again conducted in the so-called “core” of southern Mesopotamia, it will employ new methods developed in, and be driven by research questions derived from, the highly productive past two decades of fieldwork in northern Mesopotamia, to which it is increasingly difficult to apply the term “periphery.”

## **Acknowledgements**

This review made use of two excellent research facilities and their online resources that I am happy to acknowledge here: Harvard University's Tozzer Library (especially Janet Steins and Emily Moss) and its Anthropological Literature database; and the University of Chicago Oriental Institute's Research Archives, its Research Archivist Foy Scalf, and its online catalog (<http://oilib.uchicago.edu>). Many of the ideas presented here were soundly critiqued by Harvard graduate students enrolled in Anthropology 2065: Complex Societies of Northern Mesopotamia in Spring 2008. This manuscript benefited from comments and corrections from Guillermo Algaze, Frank Hole, Anne Porter, Philip Karsgaard, Emily Hammer, and four anonymous reviewers.

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## **Figures**

Figure 1. Geography and major sites of northern Mesopotamia. Dam zones in caps.

Figure 2. Schematic illustration of the scale and distribution of urban places in southern and northern Mesopotamia, 5000-2000 BC. Points with solid outlines are extensive “proto-urban” settlements.

## **Tables**

Table I. Chronologies used in Mesopotamian archaeology (based on Akkermans and Schwartz 2003; Cooper 2006, pp. 6-26; Lebeau 2000; Oates et al. 2001 Table 1; Wright and Rupley 2001).

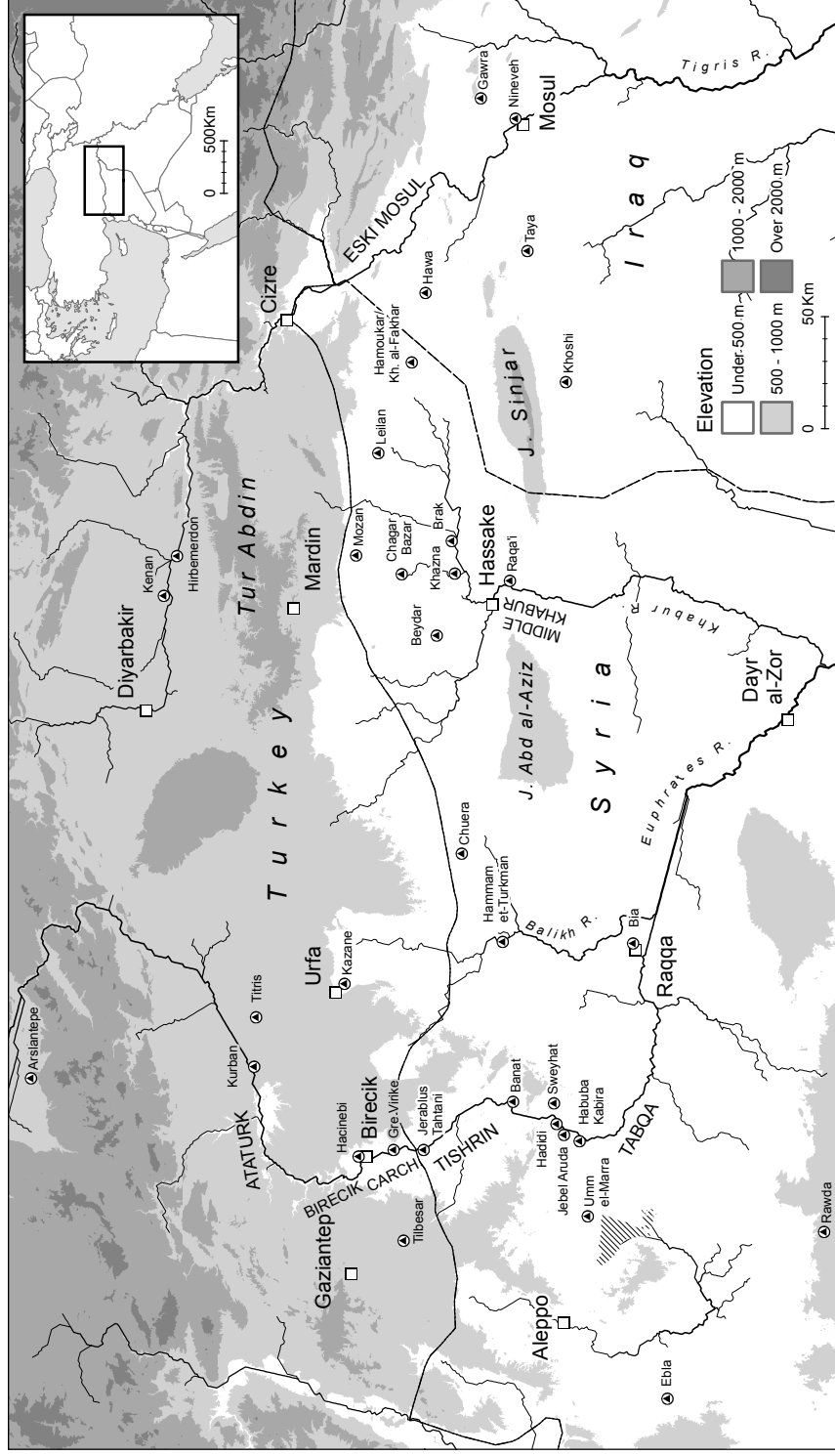


Figure 1. Geography and major sites of northern Mesopotamia. Dam zones in caps.

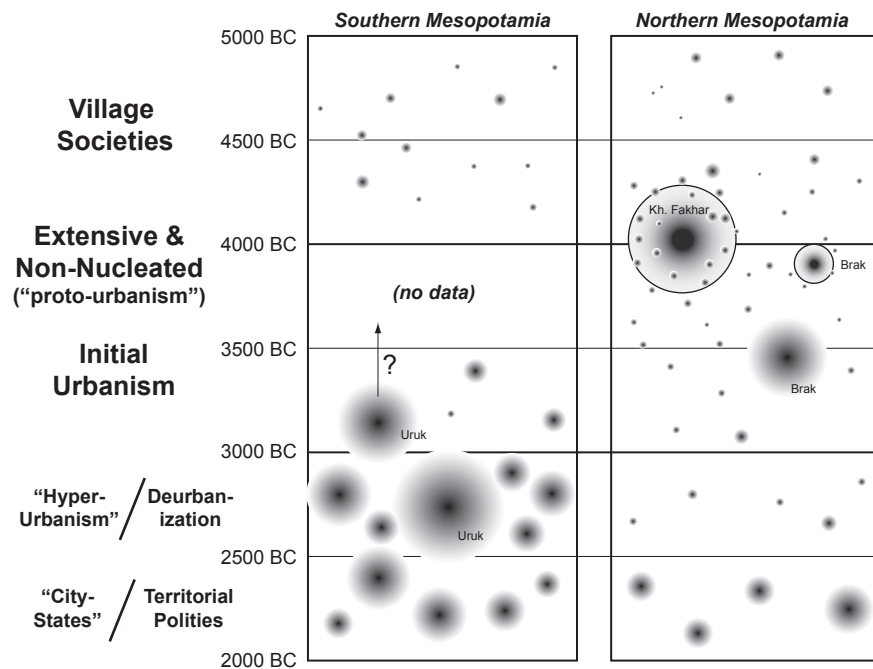


Figure 2. Schematic illustration of the scale and distribution of urban places in southern and northern Mesopotamia, 5000-2000 BC. Points with solid outlines are extensive "proto-urban" settlements.

Absolute Dates	LC/ Early Jazira	Southern Mesopotamia	Northern Mesopotamia			Amuq	Porter/Cooper N Euphrates	
			Traditional	Brak	Leilan			
Approximate Cal years BC	5200-4400		Ubaid	Northern Ubaid	C		F	Phase 1
	4400-4100	LC1	Late Ubaid	Terminal Ubaid	D	VIb		
	4100-3800	LC2	Early Uruk	Northern Early Uruk	E			
	3800-3600	LC3	Middle Uruk	Northern Middle Uruk	F	V		
	3600-3300	LC4		Middle Uruk		IV		
	3300-3000	LC5	Late Uruk	Late Uruk	G			
	3000-2900	EJ 0	Early Dynastic I through early III	Post-Uruk	H		G	Phase 2
	2900-2600	EJ I		Ninevite 5	J	IIIa-c		
	2600-2500	EJ II	Early Dynastic IIIa		K	IIIId	I	Phase 3
	2500-2300	EJ III	Early Dynastic IIIb		L	IIa		Phase 4
	2300-2100	EJ IV	Akkadian		M	IIb	J	Phase 5
	2100-2000	EJ V	Ur III	Post-Akkadian	N	IIc/ “Habur Hiatus”		Phase 6
	2000-1800		Isin-Larsa	Middle Bronze I				
1800-1600		Old Babylonian	Middle Bronze II/ Khabur	P	I			

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