



# Canopy and Litter Ant Assemblages Share Similar Climate-Species Density Relations

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1 **Canopy and litter ant assemblages share similar climate-species density relationships.**

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37 SUMMARY

38 Tropical forest canopies house most of the globe's diversity, yet little is known about global patterns and  
39 drivers of canopy diversity. Here we present models of ant species density, using climate, abundance  
40 and habitat (i.e., canopy v. litter) as predictors. Ant species density is positively associated with  
41 temperature and precipitation, and negatively (or non-significantly) associated with two metrics of  
42 seasonality, precipitation seasonality and temperature range. Ant species density was significantly  
43 higher in canopy samples, but this difference disappeared once abundance was considered. Thus, the  
44 apparent differences in species density between canopy and litter samples are likely due to differences  
45 in abundance-diversity relationships, not differences in climate-diversity relationships. Thus it appears  
46 that canopy and litter ant assemblages share a common abundance-diversity relationship influenced by  
47 similar but not identical climatic drivers.

48

49 KEYWORDS: Formicidae, species richness, global diversity gradients

50 **1. INTRODUCTION**

51 Tropical forest canopies may house more than half of the world's animal species (Erwin 1982;  
52 Stork 1993; Ødegaard 2000; Novotny *et al.* 2002), but little is known about how canopy diversity varies  
53 at global scales (but see Majer *et al.* 2001; Kitching *et al.* 1993). If the patterns and the climatic  
54 correlates of canopy diversity are different from ground-dwelling taxa, current models (which are largely  
55 based on ground-dwelling taxa) may not apply for a striking majority of Earth's biodiversity.  
56 Alternatively, if similar factors drive canopy and ground-dwelling species diversity, then understanding  
57 the factors that shape the diversity of ground-dwelling taxa will be useful for understanding canopy  
58 diversity as well.

59 Ants can comprise more than half of the arthropod abundance and biomass of tropical forest  
60 canopies (e.g., Fittkau and Klinge 1973; Floren & Linsenmair 1997; Tobin 1995; Davidson 2003). It is  
61 clear that climate is correlated with ant diversity, with the combination of temperature and precipitation  
62 often representing the best two climatic predictors for the diversity of litter-dwelling ants (Kaspari, *et al.*  
63 2004; Sanders, *et al.* 2007; Dunn, *et al.* 2009). However, different factors may limit the diversity of litter  
64 and canopy ants. Canopy ants tend to feed at lower trophic levels than litter ants (Yanoviak & Kaspari  
65 2000; Blüthgen *et al.* 2003; Davidson *et al.* 2007) and therefore may depend more directly on plant  
66 production. As plant productivity is highest in warm, wet and aseasonal environments (e.g., Schuur  
67 2003), canopy ant diversity may be more strongly associated with precipitation and temperature than  
68 litter ant diversity. Additionally, if canopy ants maintain large colony sizes relative to litter ants  
69 (Davidson, 2007), then a given number of workers may be distributed among fewer canopy species,  
70 leading to different abundance-diversity relationships. Finally, canopy ants potentially face greater  
71 exposure to climatic variability (e.g., Hood and Tschinkel, 1990) than litter ants, which may lead to  
72 greater dependence of canopy diversity on climatic seasonality.

73 Here we generate models of ant species density (i.e.,  $S$ =the number of species in a sample;  
74 Gotelli and Colwell, 2001) that use climate, abundance and stratum (i.e., 23 canopy v. 192 litter  
75 collections) to understand how and how well these variables predict ant species density.

## 76 **2. Data**

77 We compiled data on canopy ant species density from the literature using studies that sampled  
78 arboreal assemblages by canopy fogging. Fogging studies attempt to sample only ants present in the  
79 canopy, but this does not necessarily exclude ground-nesting, canopy-foraging species. We recorded  
80 species density ( $S$ ), and abundance ( $N$ = the number of individual ants), and for studies that did not  
81 differentiate spatially between locations, we used the mean of each variable. Twenty-three localities  
82 met the above criteria (see Table S1). To compare canopy patterns with better-understood patterns of  
83 litter ants (e.g., Kaspari *et al.*, 2000, 2004; Sanders, *et al.* 2007, Dunn *et al.* 2009), we extracted similar  
84 data from 192 litter samples (i.e., the subset of Winkler extractions from forested areas from Dunn *et al.*  
85 2009 that reported abundance, see Table S2). For each location, we extracted mean annual  
86 temperature, annual precipitation (hereafter, “temperature” and “precipitation”), annual temperature  
87 range, and precipitation seasonality (i.e., the coefficient of variation of monthly precipitation) from  
88 WorldClim (Hijmans *et al.* 2005). All climatic predictor variables were converted to z-scores.

## 89 **3. ANALYSES AND RESULTS**

90 We combined canopy and litter samples and made three nested generalized linear models to  
91 predict  $S$ , using 1) climatic variables; 2) climate plus canopy/litter; and 3) climate, canopy/litter, and  
92 abundance. Climate contributed significantly (all but one climatic effect test was significant, see Table 1)  
93 and similarly (i.e., the confidence intervals of the parameter estimates overlapped, Table 1) to all three  
94 models. Temperature, precipitation and abundance were all positively correlated with species density in  
95 all 3 models, while temperature range and precipitation seasonality were either negatively correlated

96 with or were not significant predictors of ant species density (Table 1). Both of the more complex  
97 models performed better, based on AIC scores than the simpler models (Table 1). Model predictions of  
98 species density accounted for 52% of the variation in the combined observed data and 73% of the  
99 variation in canopy species density (Figure 1).

100 To investigate whether the effects of the predictor variables differed between canopy and litter  
101 samples, we created three (non-nested) generalized linear models separately, adding the interaction  
102 term for canopy/litter\*temperature, canopy/litter\*precipitation and canopy/litter\*abundance. All three  
103 interaction terms were significant (Table 2) and the confidence intervals for most parameter estimates  
104 overlapped (excepting precipitation in the precipitation-interaction model, Table 2) with the  
105 “+Abundance” model (i.e., the best model without interaction terms). Of the six models presented  
106 here, the “best” model (i.e., the lowest AIC score) includes the effects of temperature, precipitation,  
107 precipitation seasonality, abundance and the abundance-canopy/litter interaction.

#### 108 4. DISCUSSION

109 As would be expected (Kaspari, *et al.* 2004; Sanders, *et al.* 2007; Dunn, *et al.* 2009), ant species  
110 density was highest in warmer, wetter and relatively stable forests. More important to our goals, three  
111 details of the models presented here indicate that species density of canopy and litter ant share similar  
112 climatic drivers. First, when considering only climate and habitat, Canopy/Litter was a significant  
113 predictor of species density, but adding abundance to the model made Canopy/Litter non-significant.  
114 Thus, the apparent differences in species density between canopy and litter samples are probably due  
115 to differences in abundance-species density relationships, not differences in the relationships between  
116 climate and species density. Second, the climatic parameter estimates were generally consistent across  
117 models that incorporated climate, canopy/litter and abundance, as well as across models with the  
118 interactions of temperature, precipitation and abundance with canopy/litter. Lastly, the overall model

119 (i.e., the “+Abundance” model in Table 1), which was generated with disproportionately more litter  
120 assemblages, shows a better match between predicted and observed species density for the canopy  
121 assemblages ( $r^2=0.73$ ,  $n=23$ , see Figure 1) than it does for the overall data set ( $r^2=0.52$ ,  $n=192$ ).

122         The interaction models indicate differences between canopy and litter species density, but these  
123 differences appear relatively minor. The addition of terms for the interactions of canopy/litter and  
124 temperature, precipitation and abundance all yielded models that were statistically better than the non-  
125 interaction models (based on AIC scores, see Table 2), but the addition of interaction terms did little to  
126 increase the match between predicted and observed species density (i.e., compare the  $r^2$  observed ~  
127 predicted in Tables 1 & 2). Our results suggest that these modest differences are a function of  
128 differences in the number of individuals sampled. Once abundance was included in the model, the effect  
129 of canopy/litter was not a significant predictor of species density. Thus, differences between canopy and  
130 litter are likely due to differences in how climate affects the abundance of canopy vs. litter ants and/or  
131 how collection methods sample a single abundance-diversity relationship shared by the canopy and  
132 litter habitats.

133         While we argue that the differences in the climate-species density relationships between  
134 canopy and ground ant are minor, the models which include interaction terms indicate that canopy  
135 species density may be more sensitive to the positive effects of temperature and precipitation (i.e., the  
136 interaction terms for both predicted higher species density for canopy samples). Additionally, the  
137 interaction of canopy/litter and abundance indicates that for a given abundance, canopy samples have  
138 fewer species than litter samples (underscoring the potential differences in abundance-species density  
139 relationships and supporting the suggestions of Davidson, *et al.* 2007).

140         While the forest canopy is of great interest to biologists, it remains difficult to study and  
141 relatively poorly known. Consequently, canopy biodiversity has played a relatively minor role in

142 understanding and conserving biodiversity. The tendency to date has been to emphasize the differences  
143 between canopy and forest floor faunas (e.g., Yanoviak and Kaspari 2000), but here we highlight their  
144 similarities. Both faunas increase in species density with increasing temperature, precipitation and  
145 climatic stability (Kaspari, *et al.* 2004; Sanders, *et al.* 2007; Dunn, *et al.* 2009) and the differences in their  
146 diversity for a given set of climatic conditions appear to be primarily due to differences in abundances  
147 (whether in abundances in samples or abundances per some area or volume). A key remaining question  
148 is how best to determine the relevant area or volume over which such abundances should best be  
149 considered. If, despite their differences in life history and diet, canopy and litter ants have similar  
150 species-abundance distributions, it would suggest broad generalities among ant assemblages regardless  
151 of whether the ants are walking overhead or underfoot.

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218 Table One: Three nested Generalized Linear Models of species density with all samples combined. The first model (“Climate”) includes the  
 219 climatic parameters. “+ Canopy/Litter” adds the classification variable (whether the samples are from the Canopy or Litter). “+Abundance” adds  
 220 abundance (*N*) as a measure of sampling effort. All climatic variables have been converted to z-scores. The two more complex models are  
 221 significant given AIC minimization. Note that the effect of canopy/litter is significant, unless the number of individuals is included. Parameter  
 222 estimates and AIC values do not include non-significant terms. \*\*p<0.0001, \*p<0.01, ns=not significant at p=0.05  
 223  
 224

	Climate			Canopy/Litter			Abundance		
	Estimates	84%CI		Estimates	84%CI		Estimates	84%CI	
<b>Intercept</b>	3.075**	3.050	3.100	3.056**	3.029	3.082	3.063**	3.039	3.088
<b>Mean Annual Temperature</b>	0.514**	0.468	0.561	0.513**	0.466	0.556	0.565**	0.535	0.595
<b>Annual Precipitation</b>	0.208**	0.182	0.234	0.217**	0.192	0.243	0.246**	0.224	0.268
<b>Temperature Range</b>	-0.130**	-0.181	-0.079	-0.105**	-0.157	-0.054	ns	ns	ns
<b>Precipitation Seasonality</b>	-0.115**	-0.141	-0.089	-0.096**	-0.123	-0.070	-0.095**	-0.120	-0.070
<b>Canopy/Litter [Canopy]</b>		-----		0.169*	0.115	0.222	ns	ns	ns
<b>Number of Individuals</b>		-----			-----		0.135**	0.125	0.144
<b>AIC</b>		-28,259			-28,278			-28,528	
<b>r<sup>2</sup> observed ~ predicted</b>		0.452			0.465			0.522	

225

226 Table Two: Three (non-nested) Generalized Linear Models of species density with all samples combined. All three models include climatic  
 227 variables, canopy/litter, abundance and the interaction of Canopy/Litter and Temperature, Precipitation and Abundance respectively. The effect  
 228 sizes for the interaction terms are for Canopy samples (with Litter samples being that value\*-1). Thus the effects of Mean Annual Temperature,  
 229 Annual Precipitation, and Abundance differ between Canopy and Litter samples. Parameter estimates and AIC values do not include non-  
 230 significant terms (i.e., for the abundance model). \*\*p<0.0001, \*p<0.01, ns=not significant at p=0.05

### Interaction of Canopy/Litter and:

	Temperature			Precipitation			Abundance		
	Estimates	84%CI		Estimates	84%CI		Estimates	84%CI	
<b>Intercept</b>	3.088**	3.062	3.115	3.084**	3.058	3.109	3.076**	3.034	3.118
<b>Mean Annual Temperature</b>	0.489**	0.441	0.537	0.512**	0.467	0.558	0.556**	0.504	0.607
<b>Annual Precipitation</b>	0.209**	0.182	0.236	0.193**	0.165	0.221	0.243**	0.205	0.281
<b>Temperature Range</b>	-0.084*	-0.136	-0.031	-0.088*	-0.138	-0.037	ns	ns	ns
<b>Precipitation Seasonality</b>	-0.106**	-0.133	-0.079	-0.110**	-0.136	-0.084	-0.094**	-0.137	-0.051
<b>Canopy/Litter [Canopy]</b>	-1.514**	-1.894	-1.133	-0.283**	-0.283	-0.133	ns	ns	ns
<b>Number of Individuals</b>	0.140**	0.126	0.149	0.143**	0.132	0.154	0.361**	0.316	0.407
<b>Interaction of Canopy/Litter and focal variable</b>	0.006**	0.006	0.008	0.148**	0.104	0.191	-0.251**	-0.299	-0.203
<b>AIC</b>		-28,565			-28,549			-28,657	
<b>r<sup>2</sup> observed ~ predicted</b>		0.526			0.521			0.539	

231 FIGURE LEGENDS

232 Figure 1. Ant species density predicted by our model (i.e., the “+Abundance” model in Table 1)  
233 compared to observed ant species density. Open circles represent litter samples, closed circles  
234 represent canopy fogging samples. Both panels present the same information, with the bottom panel  
235 scaled with  $\log_{10}$ -transformed axes (to allow visualization). The line represents the ordinary least squares  
236 regression on the combined data set with  $\text{observed}=1.1+ (0.96*\text{predicted})$ ,  $p<0.0001$ ,  $r^2=0.52$ ,  $n=192$ .  
237 The relationship for the canopy data is  $\text{observed}=1.8+ (0.93*\text{predicted})$ ,  $p<0.0001$ ,  $r^2=0.73$ ,  $n=23$ .

