



Innate Immunity and the Evolution of Resistance to an Emerging Infectious Disease in a Wild Bird

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22 **Abstract**

23 Innate immunity is expected to play a primary role in conferring resistance to novel infectious
24 diseases. Despite this, few studies have attempted to examine its role in the evolution of
25 resistance to emerging pathogens, instead concentrating on the role of acquired immunity
26 (e.g. *Mhc* genes). Here we used experimental infections and cDNA microarrays to determine
27 whether changes in the innate and/or acquired immune responses accompanied the
28 emergence of resistance in eastern U.S. house finches (*Carpodacus mexicanus*) to a recent
29 outbreak of conjunctivitis-causing bacterium (*Mycoplasma gallisepticum*- MG). Three days
30 following experimental infection with MG, we observed differences in the transcriptional
31 responses in spleens between House Finches from eastern or western US populations. In
32 particular, birds from the western US, with no prior exposure to MG, down-regulated gene
33 expression relative to controls, while those from the east, with a 12-year history of MG
34 exposure, showed no expression change. This result is significant because, in poultry, MG is
35 known to manipulate host immunity, suggesting that such manipulation also occurred in
36 western birds only. Infected eastern birds then up-regulated genes associated with acquired
37 immunity (cell-mediated immunity) 14 days after infection relative to controls, whereas birds
38 from the western population retained similar expression patterns on day 14 as they did on day
39 three. These observations indicate marked population differences in the temporal course of
40 response to infection with MG, and suggest that innate immune processes were targets of
41 selection in response to MG in the eastern U.S. population.

42

43 **Introduction**

44 Novel pathogens are powerful selective agents in humans (Diamond 1997) and other animals
45 (Grenfell & Dobson 1995; Haldane 1949), and can have devastating effects on biodiversity
46 (Benning *et al.* 2002; Lips *et al.* 2006). Studies simultaneously monitoring the emergence of
47 an infectious disease in the wild and the associated changes in host populations are rare,
48 leading to a reduced understanding of how hosts evolve immunity to novel pathogens,
49 particularly in vertebrates. One exception involves the study of rapid evolution of disease
50 resistance in European rabbit (*Oryctolagus cuniculus*) affected with myxomatosis in Australia
51 (Kerr & Best 1998). The Myxoma virus was released in 1950 and spread rapidly throughout
52 the susceptible Australian rabbit population. Within a few years however, resistance
53 emerged, apparently mediated through escape from pathogen-induced immunosuppression
54 which facilitated the development of an enhanced innate and then a specific cell-mediated
55 immune response (Best & Kerr 2000). Although we know that wild vertebrate host
56 populations can evolve resistance to novel pathogens rapidly (Bonneaud *et al.* 2011; Marshall
57 & Fenner 1958), whether or not such resistance is mediated through initial changes to innate
58 immunity as the study of rabbits would suggest is unclear.

59

60 Despite the potential for innate immunity to play a key role in the response to novel
61 pathogens, the vast majority of studies in ecological immunology in vertebrates have focused
62 on the acquired immune system (Acevedo-Whitehouse & Cunningham 2006; van der Most *et*
63 *al.* 2011). The most likely reason for this trend is that most host-pathogen systems studied
64 are assumed to be co-evolving. Unlike innate immunity, responses of acquired immunity are
65 usually pathogen-specific and therefore represent a more targeted and effective defensive
66 response, particularly against known pathogens (Janeway 2005). For example, of particular

67 interest in host-parasite co-evolution has been the role of the polymorphic *Mhc* genes in
68 detecting foreign antigens and triggering pathogen-specific T-lymphocyte cytotoxicity and
69 humoral immune responses (Piertney & Oliver 2006; Sommer 2005; Spurgin & Richardson
70 2010). However, during the early stages of infection, pathogen-specific recognition alleles
71 may either be absent or at such low frequencies in host populations that such populations are
72 ill-equipped to deal with novel pathogens. Under such conditions, the spread of adaptive
73 alleles may thus be slow and stochastic (Hedrick 2002; Wright 1955). By contrast, innate
74 immunity comprises immediate, non-specific immune processes that are triggered when
75 pattern recognition receptors detect a limited repertoire of conserved but common microbial
76 patterns (e.g., LPS) (Janeway 1989). As a result, innate immunity provides the first line of
77 protection against most pathogenic attacks and can stem infections while pathogen-specific
78 processes are being activated (Janeway 2005). As such, we might expect innate immunity to
79 play a particularly important role during outbreaks of novel infectious diseases. This is
80 particularly true of pathogens that are able to manipulate and avoid immune detection, since
81 detection by the acquired immune system (e.g., by *Mhc* molecules) requires their prior
82 recognition and presentation by cells of the innate immune system (e.g., macrophages,
83 dendritic cells) (Iwasaki & Medzhitov 2010). Thus, given the primary role of innate
84 immunity in non-specifically fighting infections and in regulating acquired immune
85 responses, it is likely that the innate immune processes are paramount in driving resistance to
86 novel pathogens, particularly those that avoid immune detection.

87

88 Here we make use of the natural epizootic of conjunctivitis caused by the bacterium
89 *Mycoplasma gallisepticum* (MG) in a North American songbird, the House finch
90 (*Carpodacus mexicanus*) (Dhondt *et al.* 1998; Fischer *et al.* 1997), to investigate the

91 contribution of innate and acquired immunity to the evolution of resistance to a novel
92 pathogen. Mycoplasmosis was first reported in house finches in Maryland in 1994 (Ley
93 1996). Following outbreak, the disease spread rapidly across eastern populations of house
94 finches in North America. The severity of MG as a house finch pathogen early in the
95 epizootic was confirmed by high mortality rates of naturally- and experimentally-infected
96 finches maintained in captivity (Farmer *et al.* 2002; Luttrell *et al.* 1998; Roberts *et al.* 2001a).
97 In the wild, hundreds of millions of birds were estimated to have died between 1994 and
98 1998 (Nolan *et al.* 1998), causing a significant decline in the abundance of house finches over
99 the entire eastern portion of their range (Hochachka & Dhondt 2000). The prevalence of MG
100 in house finches subsequently declined (Hartup *et al.* 2001; Roberts *et al.* 2001b) and
101 evidence now suggests that MG has reached endemic levels in eastern North America, at
102 least in part due to the spread of host resistance within 12 years of exposure to MG
103 (Bonneaud *et al.* 2011).

104

105 *Mycoplasma* bacteria are known for effectively evading and manipulating host immune
106 defenses (for a review see (Razin *et al.* 1998). For example, MG maintains a high diversity of
107 cell surface molecules (Chambaud *et al.* 1999), including surface lipoproteins, and can vary
108 its antigenic composition at the cell surface in response to environmental cues (Baseggio *et*
109 *al.* 1996; Markham *et al.* 1998). Such antigenic variation allows mycoplasmas to be resistant
110 to phagocytosis in susceptible hosts (Marshall *et al.* 1995). Immuno-modulatory effects
111 include the ability to induce an inflammatory response at the site of infection (Ganapathy &
112 Bradbury 2003; Gaunson *et al.* 2006), causing host lesions (Ley 2008), as well as the ability
113 to suppress other components of host immunity (Javed *et al.* 2007). For example,
114 simultaneous inoculation of poultry with MG and *Haemophilus gallinarum* (Matsuo *et al.*

115 1978) or avian pneumovirus (Naylor *et al.* 1992) has been found to lower the humoral
116 antibody response to both *H. gallinarum* and pneumovirus in chickens and turkeys,
117 respectively. Finally, MG infection is associated with suppressed T cell activity two weeks
118 after infection (Ganapathy & Bradbury 2003; Gaunson *et al.* 2000).

119

120 To examine the contributions of innate and acquired immunity to the evolution of resistance
121 to MG in house finches, we conducted an infection experiment and examined transcriptional
122 responses elicited in the spleen, an important tissue for the organization of both innate and
123 acquired immunity (Mebius & Kraal 2005). Infection with pathogens is known to induce
124 transcriptional responses in hosts (Jenner & Young 2005) and such responses can differ
125 between individuals displaying varying levels of resistance to infection (Marquis *et al.* 2008).
126 Investigating differences in gene expression profiles between resistant and susceptible hosts
127 in response to experimental infection might therefore offer new insights into the genetic basis
128 underlying immunity (Sarson *et al.* 2008; van der Sar *et al.* 2009). In our study, finches
129 originated from either eastern U.S. (Alabama) populations, which have coexisted with MG
130 since the mid-1990s and show evidence of having evolved resistance, or western U.S.
131 (Arizona) populations with no prior exposure to MG (Bonneaud *et al.* 2011). Gene
132 expression changes between infected and control finches were measured three and 14 days
133 after experimental infection. Although immune processes three and 14 days post-infection
134 will generally reflect innate and acquired activity, respectively (Farmer *et al.* 2002; Gaunson
135 *et al.* 2000; Hickman-Davis *et al.* 1998; Lai *et al.* 1987), the genes that underpin these
136 processes may both be expressed sharply after, and continue throughout, infection (Caipang
137 *et al.* 2009; Raida & Buchmann 2008; Sarson *et al.* 2008; van der Sar *et al.* 2009). Thus,
138 investigating the role of innate and acquired immunity in the evolution of resistance to MG

139 using patterns of gene expression profiles in transcriptional responses to MG-infection will
140 require testing predictions regarding temporal versus geographical differences.

141

142 We make two broad predictions regarding the role of innate and acquired immune responses
143 in the evolution of resistance to MG in eastern house finches. First, our results would suggest
144 that MG has selected on innate immunity if: (1) eastern and western populations differed in
145 the transcriptional changes observed between control and MG-infected finches three days
146 post-infection; (2) gene expression differences involved significant gene down-regulation in
147 western but not eastern finches; and (3) genes associated with acquired immunity were up-
148 regulated on day 14 only. These predictions arise because transcriptional differences
149 between populations in the early stages of experimental infection would suggest that early-
150 acting innate immune processes differ between populations, and down-regulation is expected
151 in Arizona due to the immuno-modulatory effects of MG infection. However, this scenario
152 would unambiguously support the hypothesis of selection on innate immunity only if genes
153 known to be associated with acquired immunity were not differentially expressed at an early
154 stage of infection. Second, by contrast, our results would suggest a sole role of acquired
155 immunity in the resistance of eastern bird to MG if transcriptional changes only differed
156 between populations fourteen days after infection and involved the up-regulation of genes
157 associated with acquired immunity in eastern finches.

158

159 **Material and Methods**

160 *Experimental infection*

161 In January and February 2007, we captured male house finches from two geographically
162 distant locations: southeastern Arizona in the western U.S. which was outside the 2007-range

163 of MG; and southern Alabama in the eastern U.S., where finches had co-existed with MG for
164 12 years. Sampling was conducted at 3 different suburban sites in both states: in Arizona,
165 sites were 1-2 km apart and the birds were captured over 3 days; in Alabama, sites were 10-
166 103 km apart and the birds were captured over 30 days. Following capture, birds were
167 immediately transported by plane from Arizona ($N=37$) and by car within Alabama ($N=64$),
168 and established in aviaries at Auburn University, Alabama. Finches were held in cages 0.5 m
169 x 0.5 m with two birds per cage for the duration of the study. Cages were kept indoors, in
170 temperature-controlled rooms with natural light through windows (day-length was
171 unregulated but comparable to the locales from which the birds were captured). Captive
172 finches were fed sunflower seed, brown and white millet, grit, and water *ad libitum*, as well
173 as apple slices and crushed eggshells weekly. The housing conditions, food, and day-length
174 regime were identical for birds from both populations, and represented novel conditions for
175 birds from both populations.

176

177 To confirm that the finches had not been infected with MG prior to our study, individuals
178 from Alabama and Arizona were quarantined in separate rooms for the first month.
179 Following quarantine, birds were weighed (± 0.1 g) and had a blood sample taken via brachial
180 venipuncture (~ 60 μ l of whole blood). Whole blood was tested for MG antibodies using
181 serum plate agglutination assay (SPA), a reliable means of determining prior exposure to
182 MG, (Luttrell *et al.* 1996). All birds in the study were further tested for exposure to MG via
183 amplification of MG DNA from choanal and conjunctival swabs (Roberts *et al.* 2001a).
184 Twelve birds from the Alabama population were removed from the experiment when they
185 showed evidence of exposure to MG (8 were symptomatic at capture, 1 developed symptoms
186 during quarantine, and 3 were seropositive for MG-antibodies). In addition, a further 9 from

187 Arizona and 20 from Alabama were used in a different experiment, leaving 28 Arizona birds
188 and 32 Alabama birds in this study.

189

190 Birds were either kept as controls or infected via ocular inoculation with 20 μ l of culture
191 containing 1×10^4 to 1×10^6 color changing units/ml of an early 2007 Auburn MG isolate.
192 Control birds were sham infected using sterile SP4 medium (Whitcomb 1983). Control
193 ($N=11$ birds from Arizona and 9 from Alabama) and infected birds were maintained under
194 identical conditions, but in separate rooms of an aviary. Birds were euthanized three days
195 ($N=6$ from Arizona and $N=11$ from Alabama) and 14 days ($N=11$ from Arizona and $N=12$
196 from Alabama) after treatment. The spleens and the conjunctiva from all birds were removed
197 immediately after euthanization, stored in RNAlater (Ambion), and placed at -80°C .

198

199 *Sample preparation and microarray hybridization and analysis*

200 Molecular methods and analyses are detailed in Bonneaud et al (Bonneaud *et al.* 2011).
201 Briefly, we extracted total RNA from approximately 17 mg of spleen tissue using Qiagen
202 RNeasy miniprep spin columns and followed by DNase digestion of genomic DNA according
203 to the manufacturers' protocols. We determined the quantity of purified total RNA using a
204 Nanodrop spectrophotometer and determined RNA integrity on an Agilent 2100 Bioanalyzer.
205 All RNA extracts were stored at -80°C until further processing.

206

207 The samples were hybridized onto a microarray printed with a selection of cDNA clones
208 from two subtraction suppression hybridization libraries (Bonneaud *et al.* 2011). These
209 libraries are enriched in clones differentially expressed between MG-infected and control
210 house finches 2-weeks post-infection ($N=16,512$ clones) (Wang et al. 2006). Using libraries

211 enriched in cDNA differentially expressed 14 days post-infection increases the probability
212 that both innate and acquired immune processes have been activated (Janeway 2005). Of all
213 the clones present in the libraries, 220 were previously identified as significantly
214 differentially expressed between infected and controls using a macroarray approach (Wang *et al.*
215 *al.* 2006). The microarray consisted of unique amplicons of these 220 clones, as well as
216 amplicons of 694 randomly selected clones from the enriched libraries (Bonneaud *et al.*
217 2011). Additionally, it contained five house finch housekeeping genes (*Actin related protein*
218 *2/3*, *ATP synthetase*, *ATPase VI subunit G1*, *Basic transcription factor 3*, *Calmodulin 2*) and
219 11 *E. coli* housekeeping genes (*arcA*, *aroE*, *dnaE*, *gapA*, *gnd*, *icdA*, *pgm*, *polB*, *putin*, *trpA*,
220 *trpB*; (Hommais *et al.* 2005; Noller *et al.* 2003)) to facilitate normalization procedures. All
221 clones were printed twice on each grid and each grid was replicated twice on each half
222 microarray slide. We used a common reference design (Yang & Speed 2002), in which we
223 pooled 2 to 5 spleens from birds from the same population in the same treatment to generate
224 enough mRNA for microarray hybridizations and hybridized two pools for each treatment
225 from each population. Pools were labeled using Cy5 dye and hybridized against a common
226 reference, made by pooling an aliquot of all the individual samples from all treatments and
227 labeled with Cy3.

228

229 We used the software package GenePix to yield log base-2 (\log_2) measurements for mean
230 fluorescence intensities for each dye channel in each spot on the array and to flag low quality
231 spots. We normalized the log base-2 measurements of mean fluorescence intensities for each
232 dye channel in each spot on the array using R software (<http://www.r-project.org>), and a
233 Matlab interface (MArray), which allows results to be graphically presented and normalized
234 (Wang *et al.* 2002). Normalized signal ratios were then fitted to Linear Model for Microarray

235 data (LIMMA) in an R Bioconductor package; LIMMA is similar to a General Linear Model
236 but provides False Discovery Rate (FDR)-adjusted probability values of differential
237 expression. This approach controls for multiple comparisons in microarray data,
238 substantially reducing the probability of discovering false positives (Type I errors)
239 (Benjamini & Hochberg 1995). The ratios generated by the external spike-ins were used for
240 quality control. To control for within-hybridization spatial variation, we compared the signal
241 from the 2 replicated grids. To control for between-slide differences, we compared the
242 signals from the *E. coli* external spike-ins, the house finch housekeeping genes and the
243 common reference on the different slides. All clones were considered to be differentially
244 expressed only when both replicates on the array displayed a significant deviation from the
245 mean of the standard. All differentially expressed clones were sequenced on an ABI 377
246 sequencer. Forward and reverse sequences generating a BLAST hit with an e-value $< 1 \times 10^{-20}$
247 and with more than 100 nucleotides were categorized by their vertebrate homologues, while
248 all other genes were considered to be unknown. Gene ontology category and function were
249 determined using Harvester (<http://harvester.fzk.de/harvester/>).

250

251 *Comparisons*

252 To test our predictions, we made four comparisons of transcriptional responses to MG-
253 infection between finches (Figure 1A). We compared expression differences between
254 infected birds on day three post-infection vs. controls in Arizona (1) and Alabama (2), as well
255 as those differences between control and experimental birds on day 3 with those on day 14 in
256 Arizona (3) and Alabama (4). Differences in gene expression patterns were analyzed using
257 comparisons of observed versus expected frequencies in binomial test and contingency tables
258 (comparing two or more than two independent frequencies, respectively) or McNemar's and

259 Cochran's Q test (when comparing two, or more than two, non-independent frequencies,
260 respectively; e.g. when frequencies are based on the same sample of subjects or matched-pair
261 samples such as before and after treatment).

262

263 **Results**

264 We found 105 clones that were significantly differentially expressed in this study, of which
265 73 were differentially expressed three days after infection and 99 were differentially
266 expressed 14 days after infection. Sequencing these clones revealed 25 vertebrate orthologs
267 (Figure 1B): 13 and 24 which were differentially expressed three and 14 days after infection,
268 respectively. All other clones were unknown. Gene ontology categories and primary
269 functions of the 25 genes included immunity (6 genes), redox metabolism (3), metabolism
270 (1), signal transduction (4), stress (1), cytoskeleton (4), transcription/translation (3), transport
271 (2), and cell differentiation (1). Given that all of these genes are differentially expressed as a
272 result of experimental infection, it is likely they all play some role in the response to
273 infection. Indeed, in addition to the 6 genes with direct immune function (*T-cell*
274 *immunoglobulin and mucin domain containing 4*, *MHC class II-associated invariant chain*
275 *Ii*, *programmed death ligand 1*, *lectin galactoside-binding soluble 2 protein*, *neutrophil*
276 *cytosolic factor 4*, *complement factor H*), three of the 'non-immune' genes above have been
277 shown to have auxiliary immune function (*thioredoxin* (Nordberg & Arner 2001), *RhoA*
278 *GTPase* (Scheele *et al.* 2007), *lymphocyte cytosolic protein* (Samstag *et al.* 2003)) (Figure
279 1B). We can rule out the possibility that our results are due to differences in cDNA quality or
280 abundance between samples due to our extensive use of within-and between-slide controls
281 (see Methods).

282

283 All predictions that selection has acted on innate immunity only, or on both innate and
284 acquired immunity, were upheld. Three days post-infection, 13 of the 25 genes identified
285 displayed significant differences in expression between infected and control birds from
286 Arizona (comparison 1), but none did between such birds from Alabama (comparison 2)
287 (Figure 1B, C) (two-sample binomial test=3.85, $P<0.001$). In addition, 85% of those 13
288 genes differentially expressed on day three between infected and control birds in Arizona
289 were down-regulated (one-sample binomial test, $P = 0.02$; Figure 1B, C). Finally, in Arizona
290 birds, gene expression profiles between experimental and control birds remained similar on
291 days three and 14 post-treatment (13 genes differentially expressed in comparison 1 and 20 in
292 comparison 3: two-sample binomial test=-1.48, $P=0.14$), and there was no change in the
293 proportion of genes that were down-regulated between the two time points (McNemar's test,
294 $\chi^2=0.14$, $P = 0.71$). By contrast, in Alabama, a significantly greater number of genes were
295 expressed in infected birds on day 14 than on day three (0 genes in comparison 2, 11 in
296 comparison 4: two-sample binomial test=-3.51, $P<0.001$), and eight of these 14 genes were
297 up-regulated. Importantly, of these eight genes differentially expressed on day 14 in
298 Alabama, one was identified as having a role in innate immunity (*neutrophil cytosolic factor*
299 *4*) and two in acquired immunity (*T-cell immunoglobulin* and *MHC class II associated*
300 *invariant chain*), and none was differentially expressed on day 3. This latter result means
301 that population differences in expression patterns 3 days post-infection are unlikely to be
302 attributed to acquired immune processes.

303

304 **Discussion**

305 We have shown recently that eastern U.S. populations of house finches evolved resistance to
306 a devastating outbreak of MG over a 12-year period (Bonneaud *et al.* 2011). Here we use

307 microarray analysis and experimental infections in finches from MG-exposed eastern U.S.
308 (Alabama) and unexposed western U.S. (Arizona) populations to investigate whether changes
309 to innate and/or acquired immunity have accompanied this evolutionary event. Relative to
310 controls, gene expression profiles of birds from Arizona versus Alabama differed both three
311 and 14 days following experimental infection, with infected birds from Arizona showing
312 significant down-regulation of gene expression patterns on both days compared to those from
313 Alabama. Moreover, while gene expression profiles were similar on days three and 14 in
314 Arizona finches, in Alabama finches, profiles differed significantly between day three and 14.
315 This change in gene expression patterns in Alabama finches was generated by the up-
316 regulation of acquired immune processes by day 14 but not on day three. Inter-population
317 differences between infected and control birds on days three and 14 were therefore likely due
318 to differences in innate and acquired immune activity. From these observations, we suggest
319 that mutations affecting innate immunity only, or both innate and acquired immunity, have
320 accompanied the evolution of resistance to MG.

321

322 The conclusion that mutations affecting innate immunity played a role in the evolution of
323 resistance to MG is based on our upholding of three predictions (see Introduction). These
324 were: (1) relative to controls, infected birds from Arizona and Alabama displayed distinct
325 transcriptional responses in the early stages of experimental infection; (2) expression patterns
326 in Alabama were consistent with increased resistance to MG; and (3) genes associated with
327 acquired immunity were only up-regulated after population differences in transcription were
328 first observed. These observations also allowed us to reject the hypothesis that mutations
329 associated with acquired immunity alone led to the evolution of resistance to MG among
330 eastern U.S. house finches. Nevertheless, mutations associated with acquired immune

331 processes, in addition to those associated with innate immune processes, may have played a
332 role in the evolution of resistance, as evidenced by the transcriptional differences of infected
333 versus control birds between the two populations on day 14, and within Alabama between
334 days three and 14.

335

336 Evidence from laboratory mice and rats also suggests a role of both innate and acquired
337 immunity in fighting infections with *Mycoplasmas*, but with innate immunity playing a
338 predominant role in fighting initial infections (Hickman-Davis 2002). For example, while
339 acquired immunity appears to be implicated in controlling the spread of *M. pulmonis* within
340 the body, innate immunity is important for resistance against acute infections (Cartner *et al.*
341 1998). Natural killer cells and macrophages, which are important actors of innate immunity,
342 have been shown to play important roles in conferring resistance to *M. pulmonis* (Hickman-
343 Davis *et al.* 1997; Lai *et al.* 1990). In addition, phagocytosis, bacterial killing and the release
344 of reactive nitrogen species by macrophages during *M. pulmonis* and *M. pneumonia*
345 infections seem to be facilitated by collectins, such as surfactant-associated proteins A
346 (Hickman-Davis *et al.* 1998; Kalina *et al.* 2000; Marshall *et al.* 1995), which represent a
347 major group of pattern recognition proteins of the innate immune system (van de Wetering *et*
348 *al.* 2004). Surfactant-associated proteins A are encoded by polymorphic genes (reviewed in
349 (Floros *et al.* 2009; Ledford *et al.*), and both limit inflammatory responses and interact with T
350 cells, making them particularly interesting candidate genes to examine in the context of the
351 evolution of resistance to MG in eastern U.S. house finches.

352

353 Although studies of the response of mammalian hosts to *Mycoplasmas* suggest a role of both
354 innate and acquired immunity in conferring resistance, the evolutionary origins of resistance

355 to MG could be associated with changes in gene(s) implicated in innate immunity only, given
356 that innate immune processes both precede and play a critical role in the activation of
357 acquired processes (Iwasaki & Medzhitov 2010). Under this hypothesis, any population
358 differences in acquired immunity may simply be a consequence of differences in innate
359 immune activity. Hence, although we are not in a position to distinguish whether mutations
360 associated with innate, or with both innate and acquired, immunity have led to the evolution
361 of resistance to MG in eastern house finches, the transcriptional differences we observed on
362 day 14 may result from a single mutation affecting innate immunity and allowing eastern
363 finches to subsequently trigger an acquired immune response. Our results are reminiscent of
364 those obtained from similar experimental infections of wild rabbits with the *myxoma* virus
365 (Best & Kerr 2000). Resistant rabbits had elevated immune responses within four days post-
366 infection, in advance of the subsequent increased cell-mediated immune response at least six
367 days after infection. The increased resistance of populations of rabbits having experienced
368 the *myxomatosis* outbreak was therefore hypothesized to be mediated by enhanced innate
369 immune activity, which subsequently allowed the development of a specific cell-mediated
370 immune response (Best & Kerr 2000). While mutations arising in genes associated with both
371 innate and acquired immunity may have been subject to natural selection, a more
372 parsimonious scenario may be that a change in the frequency of a single mutation affecting
373 innate immune processes has been primarily responsible for the evolution of resistance to
374 MG. The speed with which resistance evolved in eastern house finches (Bonneaud *et al.*
375 2011) and the rarity of mutations conferring phenotypic advantages in evolving populations
376 (Blount *et al.* 2008), suggests that selection is unlikely to have simultaneously favored the
377 spread of two or more distinct pre-existing alleles, but further work is required to test this
378 hypothesis.

379

380 Although all of the transcriptional changes that we observed occurred in response to the
381 experimental infection, and hence might play a role in resistance, we identified six genes that
382 are known to have a direct role in immunity in model organisms and humans, and three genes
383 known to play an auxiliary role in immunity (see Table 1 for full details of gene functions
384 and associated references). Of the six immune genes, three encode proteins that are directly
385 involved in innate immunity and implicated in phagocytosis-induced superoxide production
386 and/or control of inflammation or complement-mediated immunity: *neutrophil cytosolic*
387 *factor 4* was up-regulated on day 14 in Alabama finches; *lectin galactoside-binding soluble 2*
388 *protein (galectin)* was down-regulated on day 14 in Arizona finches; and *complement factor*
389 *H* was up-regulated on day 14 in Arizona finches; surprisingly, none was differentially
390 expressed on day three. In addition to the *galectin* gene above which also has direct
391 involvement in acquired immunity, *T-cell immunoglobulin and mucin domain containing 4*,
392 which plays a role in T-cell activation, was up-regulated in Alabama finches on day 14,
393 *programmed death ligand 1*, which regulates T-cell activation and tolerance, was down-
394 regulated in Arizona finches on day 14, and *MHC class II-associated invariant chain II*,
395 which plays a role in the assembly of MHC class II molecules, was up-regulated in Alabama
396 finches on day 14 and down-regulated in Arizona on both days. Finally, *thioredoxin* and
397 *RhoA GTPase* which both have auxiliary function in innate immunity (antioxidant activities,
398 regulation and coordination of the innate immune response, respectively) were down
399 regulated on days three and 14 in Arizona finches and up-regulated in Alabama finches on
400 day 14, while *lymphocyte cytosolic protein*, which has auxiliary function in acquired
401 immunity (stabilization of actin filaments during T-cell migration) was down-regulated in
402 Arizona finches on both days and up-regulated in Alabama finches on day 14.

403

404 MG infection is known to cause the suppression of certain immune components in the initial
405 stages of infection in chickens as evidenced by the significant down-regulation of cytokines
406 (CCL20, IL8 and IL12) as early as 24 hours after exposure (Mohammed *et al.* 2007). These
407 effects can last up to 8 days following infection (Mohammed *et al.* 2007). The expression
408 profiles above suggest that Arizona finches were immune-suppressed throughout the
409 experimental infection, with the majority of genes being down-regulated, including 3 genes
410 associated with immunity on day three and five on day 14. Interestingly, the only immune
411 gene that was up-regulated in infected finches from Arizona (*complement factor H*) has been
412 found to restrict the activation of the complement cascade in humans (de Cordoba & de Jorge
413 2008) and hence exhibits a direction of expression change consistent with the suppression of
414 immune activity. Conversely, Alabama finches displayed evidence of resistance to immune
415 manipulation as no immune related genes were down-regulated on day three or day 14.
416 Finally, in line with the study of the rabbits/myxomatosis system wherein immunity against
417 myxomatosis was associated with increased cell-mediated (i.e. T helper-cell activity) rather
418 than humoral (i.e. antibody) responses (Best and Kerr 2000), we found that the two immune
419 genes up-regulated on day 14 in Alabama were associated with cell-mediated immunity and
420 that no differentially expressed genes identified were associated with humoral responses.
421 Thus, our results suggest that resistance to MG evolved in the eastern U.S. via the ability to
422 mount an innate immune response followed by a cell-mediated immune response against
423 MG.

424

425 Resistance can evolve via increased host ability to physiologically limit pathogen invasion
426 upon contact (avoidance), to clear infections (recovery), or to suffer the costs associated with

427 the presence of the pathogen (tolerance) (Boots & Bowers 1999). Whether clearance of
428 infection is mediated by innate or acquired immune processes should depend on
429 characteristics of both the host and the pathogen, such as host lifespan, pathogen transmission
430 rate and pathogenicity, and host recovery rate (Boots & Bowers 2004). In the initial stages
431 of a novel and severe epizootic outbreak, however, innate immune mechanisms conferring
432 increased resistance may be the target of selection, even if natural selection ultimately leads
433 to the evolution of highly-specific acquired immune processes. Our results highlight the
434 importance of identifying not only the genetic correlates of adaptation, but also the molecular
435 and cellular processes underlying phenotypic change to better understand how wild
436 populations respond to natural selection (Manceau *et al.* 2011; Shapiro *et al.* 2004). In
437 addition, we showed that the same immune processes appear to be adopted by different
438 species in response to related pathogens, suggesting that the pathways favored by natural
439 selection may be analogous across taxa. Finally, although previous studies of temporal
440 transcriptional changes have been used to identify the immune processes associated with
441 increased resistance to infectious diseases in both domestic and laboratory animals (Raida
442 and Buchmann 2008; Sarson *et al.* 2008), ours is the first to do so in a wild population known
443 to have evolved disease resistance under pathogen-driven natural selection.

444

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457

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656

657

658 **Figure legends**

659 **Figure 1:** Comparisons and patterns of splenic gene expression. (A) Schematic of the
660 analytical comparisons made: (1) infected on day three post-inoculation *vs.* controls in MG-
661 unexposed Arizona; (2) infected on three post-inoculation *vs.* controls in MG-exposed
662 Alabama; (3) infected on day fourteen post-inoculation *vs.* controls in Arizona; (4) infected
663 day fourteen post-inoculation *vs.* controls in Alabama. Comparisons (3) and (4) were
664 previously published in Bonneaud et al (2011). (B) Heat map of gene expression patterns for
665 the 25 genes in comparisons 1-4 above (1st treatment/population *vs.* 2nd one). The 25 genes
666 are all those showing differential expression in at least one comparison (1-4) and of known
667 function. Values in red and green indicate significantly higher and lower expression levels,
668 respectively, in comparisons 1-4 above, with bright colors reflecting at least a 3-fold
669 difference in magnitude and values in black indicating no difference. Gene functions and
670 identities are shown on the right; asterisks indicate genes with an identified auxiliary immune
671 function. (C) Total number of genes of known function up-regulated (black) and down-
672 regulated (white) in infected *vs.* control finches in the comparisons 1-4 above.

673 **Fig. 1**

