



Did sulfate availability facilitate the evolutionary expansion of chlorophyll a+c phytoplankton in the oceans?

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1 **Did sulfate availability facilitate the evolutionary expansion of**
2 **chlorophyll a+c phytoplankton in the oceans?**

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7 **Running title:** Sulfate and evolution of chlorophyll a+c phytoplankton

8

9 **ABSTRACT**

10

11 During the Mesozoic Era, dinoflagellates, coccolithophorids and diatoms became prominent
12 primary producers in the oceans, succeeding an earlier biota in which green algae and
13 cyanobacteria had been proportionally more abundant. This transition occurred during an
14 interval marked by increased sulfate concentration in seawater. To test whether increasing
15 sulfate availability facilitated the evolutionary transition in marine phytoplankton, the
16 cyanobacterium *Synechococcus* sp., the green alga *Tetraselmis suecica*, and three algae
17 containing chlorophyll a+c (the diatom *Thalassiosira weissflogii*, the dinoflagellate
18 *Protoceratium reticulatum*, and the coccolithophorid *Emiliania huxleyi*) were grown in media
19 containing 1, 5, 10, 20 or 30 mM SO_4^{2-} . The cyanobacterium and the green alga showed no
20 growth response to varying $[\text{SO}_4^{2-}]$. In contrast, the three chlorophyll a+c algae showed
21 improved growth with higher $[\text{SO}_4^{2-}]$, but only up to 10 mM. The chlorophyll a+c algae, but not
22 the green alga or cyanobacterium, also showed lower C:S with higher $[\text{SO}_4^{2-}]$. When the same
23 experiment was repeated in the presence of a ciliate predator (*Euplotes* sp.), *T. suecica* and *T.*
24 *weissflogii* increased their specific growth rate in most treatments, whereas the growth rate of
25 *Synechococcus* sp. was not affected or decreased in the presence of grazers.

26 In a third experiment, *T. suecica*, *T. weissflogii*, *P. reticulatum*, and *Synechococcus* sp.
27 were grown in conditions approximating modern, earlier Paleozoic and Proterozoic seawater. In
28 these treatments, sulfate availability, nitrogen source, metal availability and P_{CO_2} varied.
29 Monospecific cultures exhibited their highest growth rates in the Proterozoic treatment. In
30 mixed culture, *T. weissflogii* outgrew other species in modern seawater and *T. suecica* outgrew
31 the others in Paleozoic water. *Synechococcus* sp. grew best in Proterozoic seawater, but did not
32 outgrow eukaryotic species in any treatment. Collectively, our results suggest that secular
33 increase in seawater $[\text{SO}_4^{2-}]$ may have facilitated the evolutionary expansion of chlorophyll a+c
34 phytoplankton, but probably not to the exclusion of other biological and environmental factors.

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40 INTRODUCTION

41

42 In the productive waters that bathe continental shelves, three groups of algae with chloroplasts
43 containing chlorophyll a and chlorophyll c dominate photosynthesis: diatoms, dinoflagellates,
44 and coccolithophorids. Despite their abundance and diversity, these taxa are relative newcomers
45 to marine ecosystems (Falkowski *et al.*, 2004). Both conventional fossils and preserved lipids
46 suggest that in Proterozoic oceans cyanobacteria and other photosynthetic bacteria fueled
47 ecosystems, whereas cyanobacteria and green algae were major primary producers in Paleozoic
48 oceans (Knoll *et al.*, 2007; Kodner *et al.*, 2008; Johnston *et al.*, 2009). Dinoflagellates may have
49 been the first of the major chlorophyll a+c phytoplankton to appear; purported dinocysts have
50 been reported from Paleozoic rocks, and low abundances of dinosterane, the geologically stable
51 form of dinosterol synthesized by dinoflagellates, have been reported from Cambrian deposits
52 (Molodowan & Talyzina, 1998). Despite this, unambiguous dinocysts and shales with abundant
53 dinosterane occur only in Triassic and younger successions, indicating, at the very least, that
54 photosynthetic dinoflagellates assumed a globally important and persistent role in marine
55 primary production only at this time (Knoll *et al.*, 2007). The fossil record of diatoms extends
56 only to the latest Jurassic Period (Harwood *et al.*, 2004), and the group rose to ecological
57 prominence only in later Cretaceous and Paleogene oceans (Kooistra *et al.*, 2007). Similarly, the
58 oldest coccoliths occur in later Triassic rocks, and coccolithophorids became widespread only
59 during the Cretaceous Period (Bown *et al.*, 2004).

60 At least three classes of hypothesis are available to explain the observed stratigraphic
61 succession of primary producers. Perhaps seawater chemistry has changed through time in a
62 way that favored successive phytoplankton groups. Quigg *et al.* (2003), for example, proposed
63 that decreasing iron availability associated with the increasing ventilation of deep oceans
64 provided a competitive edge to the chlorophyll a+c algae that dominate modern shelf production,
65 due to the lower requirement of their plastids for Fe compared to that green algae. Alternatively,
66 we might hypothesize that a Mesozoic increase in grazing pressure by micrograzers favored the
67 modern phytoplankton biota – sort of a microscopic version of Vermeij’s (1977) hypothesis that
68 escalating predation drove marked evolutionary changes in Mesozoic marine animals. It is also
69 possible that changing phytoplankton composition principally reflects the individual adaptations
70 of photosynthetic clades rather than ecosystem-wide causes and effects. Kooistra *et al.* (2007),

71 for example, have enumerated cell biological adaptations that favor diatom abundance, while
72 Bowler *et al.* (2010) have discussed much the same thing from a genomic perspective. The
73 hypotheses, of course, are not mutually exclusive.

74 Only through careful experimentation can we begin to sort out these views of long term
75 phytoplankton evolution. In this paper, we take a first step in this direction, reporting the
76 outcome of experiments designed to test the specific hypothesis that increasing concentration of
77 seawater sulfate favored chlorophyll a+c algae with low C to S ratios. As detailed in the
78 following section, this hypothesis is motivated by the stratigraphic correlation between the
79 taxonomic and ecological expansion of chlorophyll a+c phytoplankton and an increase of marine
80 sulfate levels to their current, historically high levels. In our experiments, single species stand in
81 for entire divisions, undoubtedly a gross oversimplification. Nonetheless, they provide starting
82 points for experimental tests of physiological links between phytoplankton evolution and
83 environmental history.

84

85

86 **GEOCHEMICAL AND PHYSIOLOGICAL BACKGROUND**

87

88 **Sulfate abundance in time and space**

89

90 If phytoplankton composition has changed through time, so, too, has the chemical state of the
91 oceans that support its growth. Sulfate abundances in ancient seawater have been inferred in
92 several ways: experimentally observed correlation between S isotopic fractionation and sulfate
93 abundance at very low $[\text{SO}_4^{2-}]$, dynamic models of observed secular variations in the isotopic
94 composition of sulfate in seawater, and, in younger records, reconstruction of seawater chemistry
95 from fluid inclusions in evaporite minerals. While all of these proxies are subject to error, they
96 collectively provide a consistent picture of seawater $[\text{SO}_4^{2-}]$ through geologic history. Sulfate
97 abundance was probably less than 200 μM in the Archean oceans that sustained the first marine
98 cyanobacteria (Habicht *et al.*, 2002), and $[\text{SO}_4^{2-}]$ increased to no more than 1-5 mM in the
99 Proterozoic oceans within which green algae rose to ecological prominence (Shen *et al.*, 2002;
100 Canfield, 2004; Kah *et al.*, 2004). Sulfate abundance may have reached values as high as 15 mM
101 in later Ediacaran oceans, but declined again into the Cambrian (Horita *et al.*, 2002; Petrychenko

102 *et al.*, 2005). Analyses of fluid inclusions in salt crystals indicate Cambrian $[\text{SO}_4^{2-}]$ in the range
103 of 3-12 mM; models based on C and S isotopic variations suggest $[\text{SO}_4^{2-}]$ near the lower end of
104 this range (Gill *et al.*, 2011).

105 Sulfate abundance appears to have remained below about 10 mM until the Carboniferous
106 Period, when it increased to >15 mM (Gill *et al.*, 2007; Fig. 1). Sulfate levels may have declined
107 transiently after that time (Luo *et al.*, 2010; Newton *et al.*, 2011). For the most part, however,
108 fossils, biomarker molecules and molecular clocks place the rise of diatoms, coccolithophorids,
109 and photosynthetic dinoflagellates in late Paleozoic to early Mesozoic oceans, where $[\text{SO}_4^{2-}]$ lay
110 in the range of 13-27 mM. In today's oceans, $[\text{SO}_4^{2-}]$ is 28 mM, at or near its historic maximum.
111 Of course, $[\text{SO}_4^{2-}]$ varies in space as well as in time, in the ocean, and not all aquatic
112 environments on Earth have $[\text{SO}_4^{2-}]$ as high as in the oceans. Freshwater environments have
113 $[\text{SO}_4^{2-}]$ values of 0.01 to 1 mM (Holmer & Storkholm, 2001; Giordano *et al.*, 2008).

114 **Sulfur use by algae**

115
116 How might changing sulfate availability affect phytoplankton growth? Sulfur is an essential
117 element for all organisms (Schultze *et al.*, 1992; Marrs, 1996; Grossman & Takahashi, 2001).
118 Algae acquire sulfur from the environment as SO_4^{2-} , and SO_4^{2-} uptake is regulated by sulfate
119 availability itself (Yildiz *et al.*, 1994; Pollock *et al.*, 2005).

120 The sulfur assimilated by algae finds functional use in three main classes of biomolecule.
121 There is a universal requirement for sulfur in proteins. The S-bearing amino acid cysteine forms
122 disulfide bridges used to stabilize protein conformation, and a second S-bearing amino acid,
123 methionine, is the starting material for protein synthesis (Adams & Capecchi, 1966, Webster *et al.*,
124 1966). There are indications that the abundance of S-amino acids can be modulated in
125 response to changes in environmental S availability (Giordano *et al.*, 2000). Iron-sulfur clusters
126 and thiol groups lie at the heart of molecular function in many enzymes and electron transport
127 systems, and thiols also mediate the interaction of biomolecules with metals (Kawakami *et al.*,
128 2006). Sulfur occurs, as well, in sulfolipids, found in membranes. Sulfolipids are obligate
129 constituents of the thylakoid membranes in chloroplasts (Goss & Wilhelm 2009) and can, in
130 some instances, substitute facultatively for membrane phospholipids in P-limited environments
131 (Van Mooy *et al.*, 2006).

132 Sulfonium compounds are positively charged molecules in which a single sulfur atom is
133 linked to three functional groups. Algae and higher plants synthesize a variety of sulfonium
134 compounds, of which 3-dimethylsulfoniumpropionate, or DMSP (Keller *et al.*, 1989; Ratti &
135 Giordano, 2008), is the best known. Many marine algae (and some halophytic angiosperms)
136 produce DMSP; intracellular concentrations range from 50 to 400 mM, comprising a measurable
137 proportion of all organic S in the cell (Matrai & Keller, 1994; Keller *et al.*, 1999; Wolfe, 2000;
138 Yoch, 2002; Ratti & Giordano, 2008).

139 Several functions have been attributed to DMSP. Inspired by the Gaia hypothesis,
140 Charlson and colleagues (1987) suggested that DMS released to the atmosphere by DMSP
141 catabolism governs a feedback mechanism between algal growth and global temperature (see
142 also Andreae & Crutzen, 1997). Other authors have focused attention on the role played by
143 DMSP within the cell (Giordano *et al.*, 2008; Ratti & Giordano, 2008). DMSP has been
144 proposed to act as an osmoprotectant (Stefels, 2000; Van Bergeijk *et al.*, 2003), a cryoprotectant
145 (Karsten *et al.*, 1996; Rijssel & Gieskes, 2002), an antioxidant (Sunda *et al.*, 2002; Bucciarelli &
146 Sunda, 2003) and a molecular defense against grazing, thanks to the toxicity of acrylate produced
147 during DMSP catabolism (Wolfe *et al.*, 1997; Wolfe, 2000). There is also evidence that
148 intracellular DMSP concentration increases under conditions of nitrogen depletion (Bucciarelli &
149 Sunda, 2003), perhaps because DMSP is a structural analogue of glycine betaine, an ammonium
150 compound that acts as a compatible solute in many marine algae (Rhodes & Hanson, 1993).

151 Elemental composition has been assayed for relatively few algae (e.g. Ho *et al.*, 2003;
152 Quigg *et al.* 2003, 2011), but data in hand suggest that chlorophyll a+c algae have lower C:S
153 than green algae and cyanobacteria (Norici *et al.*, 2005). Why this should be so remains
154 conjectural, although one study reported that the freshwater diatom *Cyclotella meneghiniana*
155 contains significantly more sulfolipid than the freshwater green alga *Chlamydomonas reinhardtii*
156 (Vieler *et al.*, 2007). To the extent that lower C:S is a general feature of chlorophyll a+c algae
157 (Goss & Wilhelm, 2009), it provides a potential physiological link between Phanerozoic increase
158 in marine sulfate concentrations and the evolutionary expansion of diatoms, coccolithophorids
159 and dinoflagellates.

160

161

162 **MATERIALS AND METHODS**

163

164 **Cultures**

165 For our first experiment, on the impact of sulfate concentration *per se*, semi-continuous
166 cultures of the cyanobacterium *Synechococcus* sp. (UTEX LB 2380), the green alga *Tetraselmis*
167 *suecica* (PCC 305), the diatom *Thalassiosira weissflogii* (CCAP 1085/1), the coccolithophorid
168 *Emiliana huxleyi* (PML 92/11), and the dinoflagellate *Protoceratium reticulatum* (PRA 0206)
169 were grown axenically in 150 mL glass tubes (19 cm x 3.5 cm) filled with 100 mL of ESAW
170 (Enriched Seawater Artificial Medium; Berges *et al.*, 2001) buffered with 10 mM Tris-HCl, pH
171 8.0. Each species was cultured in the presence of 1 mM, 5 mM, 10 mM, 20 mM or 30 mM
172 Na₂SO₄ in order to mimic differing marine [SO₄²⁻] from the Proterozoic to the present. The
173 osmolarity of the medium was kept constant at 0.45 mol L⁻¹ using NaCl.

174 Cultures were maintained at 20°C under a continuous photon flux density (PFD) of 120
175 μmol photons·m⁻²·s⁻¹, provided by cool white fluorescent tubes. All experiments were carried out
176 on cells in the exponential growth phase allowed to grow at the given SO₄²⁻ concentration for at
177 least 4 generations prior to any measurement.

178 For a second experiment, designed to assess the impact of grazers on the response to
179 changes in sulfate concentrations, the ciliate *Euplotes* sp. was added to monospecific algal
180 cultures in a proportion of about 0.05-0.1 μg of algal C per ciliate cell. Growth conditions for
181 these cultures were the same as for the first experiment. We were unable to grow *E. huxleyi* in
182 the presence of ciliates, and so this species was omitted from subsequent experiments.

183 For a third set of experiments, phytoplankton species were grown in reconstructed
184 paleoenvironments. Three media were used, all based on ESAW: one was designed to mimic the
185 typical composition of today's ocean; another was intended to approximate conditions in earlier
186 Paleozoic oceans, and a third had a composition thought to mirror the composition of Proterozoic
187 oceans. Sulfate levels in our Paleozoic and Proterozoic media are based on those shown in
188 Figure 1 (see also Shen *et al.*, 2002; Kah *et al.*, 2004 for Proterozoic [SO₄²⁻]). Nitrogen, iron,
189 and molybdenum values are based on estimates in Anbar and Knoll (2002), Saito *et al.* (2003),
190 Scott *et al.* (2008), and Canfield *et al.* (2008); while single values stand in for abundances
191 through long time intervals, the media we prepared faithfully reflect the view that nitrogen in
192 Proterozoic photic zones was predominantly ammonium, and both Fe and Mo levels were higher
193 than in younger oceans. P_{CO₂} of the air in equilibrium with our Paleozoic medium was based on

194 model estimates by Berner (e.g. Berner & Kothvala, 2001); Proterozoic P_{CO_2} was based on the
195 estimate by Kaufman & Xiao (2003). Table 1 shows the ESAW modifications and P_{CO_2} in
196 equilibrium with these media for each treatment. In these experiments, we grew monospecific
197 cultures of *Synechococcus* sp., *Tetraselmis suecica*, *Thalassiosira weissflogii*, and *Protoceratium*
198 *reticulatum*, as well as mixed cultures initially containing equal cell numbers of each species.

199 The mixed cultures were started from semicontinuous monospecific cultures, acclimated
200 to each growth regime for at least 4 generations. The cultures were diluted daily at the maximum
201 rate of the slowest growing species (*P. reticulatum*), to ensure that the selection of species was
202 not due to the fact that the slowest growing species were washed out. The cultures were
203 maintained for at least 15 days, prior to sampling; this time was determined to be sufficient for
204 the cultures to reach equilibrium (i.e. in all case no further change in relative cell abundance
205 occurred after this time; in fact, equilibration usually occurred after about 5-10 days).

206

207 **Growth rate, cell size and dry weight**

208 Cell concentrations of *Synechococcus* sp., *T. weissflogii*, *T. suecica*, and *E. huxleyi* were
209 estimated with a Burker hemocytometer, while the number of the larger *P. reticulatum* cells was
210 measured using a Sedgwick-Rafter chamber (McAlicie, 1971). Specific growth rates, μ , were
211 derived from daily counts of exponentially growing cells in batch cultures, carried out on a
212 minimum of three distinct cultures for each treatment. These growth rate were used to establish
213 the dilution rates of semicontinuous cultures.

214 The volume of *T. weissflogii* cells was calculated from microscope measurements of the
215 longitudinal and transverse axes of cells, using the equation $V = \pi d^2 h / 4$ (Hillebrand *et al.*, 1999).
216 *T. suecica* cell volume was calculated from measurements of the longitudinal and transverse axes
217 of the cells using the volume equation for a prolate spheroid $V = \pi d^2 h / 6$ (Hillebrand *et al.*,
218 1999). *E. huxleyi* and *P. reticulatum* cell volumes were estimated from measurements of the
219 transverse axis, using the equation $V = \pi d^3 / 6$ (Hillebrand *et al.*, 1999). Measurements were
220 conducted on three different cultures; 60-100 cells were measured for each culture.
221 *Synechococcus* sp. cell volume was measured using a CASY TT cell counter (Innovatis AG,
222 Reutlingen, Germany).

223 For dry weight determination, cells were washed with an ammonium formate solution
224 isosmotic to the culture media and dried at 100°C until weight stabilized. Measurements were
225 conducted for at least three independent cultures.

226

227 **Elemental Composition: C:N:S and C, N, S quotas**

228 Cellular abundances of C, N and S were determined using an elemental analyzer
229 (EA1108, Carlo Erba Instruments, Milan, Italy) on 1-6 mg of cells (dry weight) washed twice
230 with an ammonium formate solution isosmotic to the culturing media and dried at 80°C until the
231 weight stabilized. To facilitate combustion in the reactor of the elemental analyzer, a small
232 amount of vanadium peroxide was added to each sample. Sulphanilamide (C:N:S= 6:2:1) was
233 used as a standard. Elemental quotas were calculated as pico- or femto-grams per cell,
234 normalized to cell dry weight and to cell volume. The oven temperature was set 1020°C, the
235 column temperature at 70°C, and the detector temperature at 190°C. The He flow was 100 mL
236 min⁻¹; the reference He flow was 40 mL min⁻¹; and the O₂ flow was 20 mL min⁻¹. Data
237 acquisition and analysis were performed with the software EAS-Clarity (DataApex Ltd. 2006,
238 Czech Republic). All measurements were repeated for four independent cultures.

239

240 **DMSP measurement**

241 A relationship between C:S and DMSP has been proposed (Norici *et al.*, 2005; Takahashi
242 *et al.*, 2011). We therefore measured DMSP in all growth regimes to assess if such relationship
243 existed. Cells were filtered on glass fiber filters (GF/F; Whatman, Maidstone, England) and
244 transferred into 12 mL glass vials; 6 mL of ice cold 5N NaOH were added, and the vials were
245 quickly closed using screw caps with rubber septa. Samples were incubated overnight in the
246 dark, at 4 °C (Steinke *et al.* 1998). DMS generated by the alkaline hydrolysis of DMSP was
247 measured with a modification of the method described by van Bergeijk and Stal (1996). A 25
248 µL gas aliquot was taken from the headspace of the vials using a gas-tight syringe and injected
249 into a gas chromatograph (Focus, Thermo Fisher Scientific, Waltham, MA, USA) equipped with
250 a capillary column made of a polystyrene-divinylbenzene copolymer (RT-Q PLOT; 0.53 mm; 30
251 m, Restek, PA, USA) and a flame ionization detector (FID). The retention time of DMS was
252 ~4.7 minutes. DMSP standards (Research Plus Inc, Barnegat, NJ, USA) were treated as the
253 samples and used for calibration. Spectra were acquired and elaborated using the Chrom-Card

254 Software (Thermo Fischer Scientific, Waltham, MA, USA), with measurements repeated for at
255 least three independent cultures.

256

257 **Chlorophyll Fluorescence**

258 The chlorophyll fluorescence associated to PSII was determined to obtain a general
259 indication of the health conditions of the algae and of the functional status of their photosynthetic
260 apparatus, under the various growth regimes. For these measurements, we used a Dual-PAM-
261 100 fluorometer provided with an optical unit ED-101US/MD for suspensions (Walz GmbH,
262 Effeltrich, Germany; Quigg *et al.*, 2006). Cells were collected by filtration (*P. reticulatum*) or
263 centrifugation (*T. suecica*, *T. weissflogii*, *Synechococcus* sp. and *E. huxleyi*), washed and
264 resuspended in fresh medium. The samples were dark adapted for 15 min, an interval previously
265 determined to be sufficient for complete oxidation of the electron transport chain. A 1.5 mL
266 aliquot of cell suspension was used for the measurement. The measuring beam was supplied at
267 an irradiance of 24 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$ and a frequency of 20 KHz. The saturating pulse had an
268 intensity of 10,000 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$ and a duration of 300 ms. The actinic light was provided
269 at a photon flux density similar to that used for growth (126 $\mu\text{mol photons m}^{-2} \text{s}^{-1}$). The
270 maximum fluorescence yield and the dark fluorescence yield of dark adapted (Fm and Fo,
271 respectively) and illuminated (Fm' and Fo') cells were determined. The maximal quantum yield
272 of PSII and the quenching parameters qP, qL, qN and NPQ were derived from these
273 measurements according to Schreiber *et al.* (1986) and Kramer *et al.* (2004). Data acquisition
274 and analysis were conducted using the Dual-PAM v1.8 software (Walz GmbH, Effeltrich,
275 Germany).

276

277 **Statistics**

278 Data are reported as mean \pm standard deviation for measurements obtained from at least
279 three distinct cultures. Statistical significance of differences among the means was determined
280 by analysis of variance (ANOVA) and Tukey's post-hoc test, using GraphPad Prism 4.03
281 software (GraphPad Software, San Diego, CA, USA), with the level of significance set at 95%.

282

283

284 **RESULTS**

285

286 Experiment 1: Sulfate availability and microalgal growth

287 In our initial experiment, we simply varied the amount of sulfate in growth media,
288 holding other environmental parameters constant. Our 1 mM to 5 mM $[\text{SO}_4^{2-}]$ treatments
289 probably bracket levels actually experienced by early cyanobacteria and green algae radiating in
290 Neoproterozoic and earlier Paleozoic oceans; whereas the 10 mM to 20 mM $[\text{SO}_4^{2-}]$ levels
291 approximate sulfate abundances in the late Paleozoic and Mesozoic oceans that supported early
292 dinoflagellates, coccolithophorids and diatoms (Fig. 1). Many physiological parameters show no
293 statistically significant variation with changing $[\text{SO}_4^{2-}]$; these data are reported as Supplementary
294 Information. One parameter that does show significant variation is specific growth rate (μ =
295 proportional increase in biomass per day during exponential growth phase). Barton *et al.* (2010)
296 argue that high growth rate is the most appropriate measure of organismic fitness in
297 phytoplankton. Certainly, differential growth rate is a property we need to track in experimental
298 tests of evolutionary hypotheses.

299 Neither the cyanobacterium, *Synechococcus* sp., nor the green alga, *Tetraselmis suecica*,
300 showed any consistent response to increasing sulfate availability (Fig. 2). In contrast, the
301 specific growth rates of all three chlorophyll a+c algae increased with increasing sulfate
302 availability, two of them (the dinoflagellate *Protoceratium reticulatum* and the coccolithophorid
303 *Emiliana huxleyi*) significantly (Fig. 2). Our chlorophyll a+c species all grew most slowly in
304 the 1 mM sulfate treatment; indeed, *Protoceratium reticulatum* did not grow at all. All showed
305 improved growth at 5 mM, and two, *P. reticulatum* and *E. huxleyi*, grew faster still at 10 mM.
306 No increase in growth rate was observed at sulfate abundances above 10 mM. Thus, these
307 species showed increased growth up to the sulfate levels experienced by their early ancestors, but
308 not beyond that level. Consistent with these results, non-photochemical quenching, a
309 physiological measure of stress (*sensu lato*), is most pronounced in the chlorophyll a+c species
310 grown at low $[\text{SO}_4^{2-}]$ (see Supplementary Information).

311 C:S mirrors these contrasting growth patterns. *Synechococcus* sp. and *Tetraselmis suecica*
312 show no variation in C:S with increasing sulfate abundance, whereas the three chlorophyll a+c
313 algae (especially *P. reticulatum*) do (Fig. 3). Increased S content does not relate to increasing
314 DMSP, as DMSP:S quota did not vary with $[\text{SO}_4^{2-}]$ in any of the three species that produced this

315 compound (Fig. 3; the diatom *Thalassiosira weissflogii* did not produce DMSP). C:N also
316 shows no strong relationship with $[\text{SO}_4^{2-}]$ (Fig. 3).

317

318

319 **Experiment 2: Sulfate availability and microalgal growth in the presence of a grazer**

320

321 In actual oceans, predation can exert a strong influence on net growth rates. To examine
322 whether the growth relationships observed in our first experiment are robust when grazers are
323 present, we ran the experiment again, but with a ciliate, *Euplotes* sp., present. Our dinoflagellate,
324 *P. reticulatum*, formed a protective cyst upon introduction of the ciliate predator and so was not
325 considered further. Also, rates of predation on *E. huxleyi* outstripped growth rate, in time
326 eliminating the algal population. Thus, we were only able to compare our cyanobacterium, green
327 alga and diatom across the full spectrum of sulfate abundances (Fig. 4). For *T. suecica* and *T.*
328 *weissflogii*, specific growth rate increased for all treatments when *Euplotes* sp. was present. In
329 this experiment, however, *T. suecica* did show differences in growth rate at different $[\text{SO}_4^{2-}]$,
330 whereas *T. weissflogii* did not. Specifically, when grown in the presence of *Euplotes* sp., *T.*
331 *suecica* showed no increase in specific growth rate relative to pure culture at 1 mM $[\text{SO}_4^{2-}]$, but
332 did at higher sulfate concentrations. An opposite result was observed in *Synechococcus* sp.;
333 when the cyanobacterium was cultured in the presence of grazers the specific growth rate was
334 not affected (at 5 mM or 30 mM $[\text{SO}_4^{2-}]$) or decreased significantly (1 mM, 10 mM and 30 mM
335 $[\text{SO}_4^{2-}]$; Fig 4). Except at the lowest sulfate level, *T. suecica* grown with the ciliate had a C
336 quota (amount of carbon per cell) about twice that of cells grown in pure culture (Fig. 3). As cell
337 volume is unchanged in these treatments (Supplementary Information), the amount of organic
338 material per cell must be higher in cells subject to grazing. C:S did not vary among treatments
339 for our experimental green alga (Fig. 3), suggesting a relatively tight linkage between C and S
340 uptake. Perhaps sulfate limitation at 1 mM $[\text{SO}_4^{2-}]$ prevents the physiological response observed
341 in other treatments with predators present. Our diatom species shows no similar response at low
342 $[\text{SO}_4^{2-}]$. The presence of *Euplotes* sp. in the *Synechococcus* sp. culture resulted in a decrease in
343 C:N at lower $[\text{SO}_4^{2-}]$ (1 mM, 5 mM and 10 mM) and a decrease of about 50% in C:S at all
344 $[\text{SO}_4^{2-}]$.

345

346 **Experiment 3: Sulfate availability and microalgal growth when multiple environmental**
347 **parameters are allowed to vary.**

348
349 While experiments on axenic cultures of single species identify changes in growth rate
350 with changing $[\text{SO}_4^{2-}]$, they do not directly measure competitive ability. For this reason, we
351 completed a third set of experiments, asking how our experimental species fare when grown
352 together. Under culture conditions designed to emulate present day seawater (“modern” in Table
353 1 and Figs. 5 and 6), our diatom species not only had the highest growth rates in axenic culture,
354 but also the highest growth rate in mixed culture, identifying it as the best competitor under the
355 specified culture conditions.

356 A complicating issue is that sulfate was not the only environmental variable to change
357 systematically through Earth history. The availability of trace metal micronutrients has changed
358 as a function of marine redox state (Anbar & Knoll, 2002; Saito *et al.*, 2003), and P_{CO_2} has
359 decreased through time (albeit not monotonically) as well (Berner & Kothavala, 2001). Thus, in
360 our third set of experiments we grew photosynthetic microorganisms in a series of culture
361 conditions designed to mimic chemical environments in Proterozoic, Paleozoic and modern
362 oceans. As shown in Table 1, our Paleozoic treatment differs from present day conditions in
363 having lower sulfate (14 mM) and higher P_{CO_2} (2500 ppm); the Proterozoic treatment has still
364 lower sulfate (3 mM), higher P_{CO_2} (5000 ppm), lower metal concentrations, and ammonia rather
365 than nitrate as the source of nitrogen.

366 Most species exhibited higher growth rates under the two “ancient” seawater conditions,
367 although not always significantly so (Fig. 5). *T. weissflogii* doubled its specific growth rate in
368 the Proterozoic treatment, perhaps as a function of iron availability. Growth of *P. reticulatum*
369 scaled with P_{CO_2} . Only the cyanobacterium *Synechococcus* sp. did not show monotonic
370 increases in growth with successively “older” treatments. For reasons we cannot explain,
371 *Synechococcus* sp. grew most poorly under Paleozoic conditions. Note that relative specific
372 growth rates observed in the present day treatment of this experiment are consistent with those
373 found in the 30 mM $[\text{SO}_4^{2-}]$ treatment in experiment 1.

374 We observed a number of responses when the species were grown in mixed rather than
375 pure culture. *T. suecica* showed the same pattern of differential growth among treatments as in
376 the pure culture experiment, but at lower absolute specific growth rates. In mixed culture

377 *Synechococcus* sp. grew more slowly in the present day treatment than its growth in pure culture
378 would have predicted; this may be a direct response to the growth of *T. weissflogii*, which
379 showed a much higher growth rate in mixed culture than would be predicted from the pure
380 culture experiments. Evidently, *T. weissflogii* is a superior competitor in our modern seawater
381 experiment. *P. reticulatum* did not grow at all in the mixed cultures.

382 Whereas our diatom grew faster than other species in the mixed culture experiment in
383 modern seawater, consistent with diatom prominence in present day primary production, our
384 green alga outgrew the other taxa in Paleozoic seawater. Our cyanobacterium grew best in
385 Proterozoic seawater, but, as in all other treatments, grew more slowly than the two algal species
386 in the mixed culture.

387

388

389 **DISCUSSION**

390

391 Our experiments were designed to test an evolutionary hypothesis inspired by an
392 observed stratigraphic correlation between seawater chemistry and phytoplankton composition
393 through earth history. Existing data on the chemical composition of phytoplankton cells indicate
394 that green algae and cyanobacteria, dominant primary producers in Proterozoic and Paleozoic
395 shelf waters, have higher C:S than diatoms, dinoflagellates, and coccolithophores, dominant
396 shelf phytoplankton since the later Mesozoic Era. As more species are analyzed, this distinction
397 may turn out to be statistical rather than absolute, but then the fossil record is a statistical digest
398 of phytoplankton composition through time. The changing taxonomic composition of the
399 phytoplankton parallels long terms changes in the abundance of sulphate in seawater, motivating
400 the hypothesis that increasing $[\text{SO}_4^{2-}]$ might have facilitated the expansion of algae with
401 relatively low C:S. The only way to test this or any other hypothesis relating evolution and
402 environmental change is by physiological experiment (see Zerkle *et al.*, 2006, and Glass *et al.*,
403 2009, for pioneering examples).

404 In our initial experiment, our dinoflagellate and coccolithophorid species grew poorly at
405 the low sulphate concentrations likely to have characterized Proterozoic and early Paleozoic
406 oceans, but showed a significant increase in growth rate at sulphate levels thought to have been
407 reached only in late Paleozoic and younger oceans. Our experimental diatom also showed

408 increased growth at higher $[\text{SO}_4^{2-}]$, but not with statistical significance. In contrast, our green
409 and cyanobacterial species showed no directional growth response to increasing $[\text{SO}_4^{2-}]$.

410 These results are consistent with the sulphate facilitation hypothesis, but they do not rule
411 out other influences. For example, the observation that *T. weissflogii* grew more rapidly than *T.*
412 *suecica* in all treatments is consistent, as well, with Kooistra and colleagues' (2007) view that
413 diatom success owes much to clade-specific adaptations. Differences among experimental
414 organisms in growth at very low $[\text{SO}_4^{2-}]$ also correlate with the relative success of different
415 photosynthetic clades in freshwater. Most green algal and cyanobacterial species live in lakes,
416 rivers or soils, as do nearly half of diatom species, but only a small proportion of dinoflagellates
417 and no coccolithophorids (Falkowski *et al.*, 2004).

418 Our second experiment, in which a predator was introduced to algal cultures,
419 demonstrates that growth responses to changes in the physical environment can be modified by
420 changes in biological environment. The relative specific growth rates between our green alga
421 and diatom species grown at differing $[\text{SO}_4^{2-}]$ were retained in experiment 2, but within species
422 responses to sulfate availability were not. In continuing experiments we hope to pursue this
423 further, including experimental treatments that add metazoan micrograzers such as copepods.

424 In our third set of experiments, when the experimental algae were grown individually
425 under culture conditions designed to simulate modern, Paleozoic and Proterozoic seawater, all
426 species exhibited higher growth rates under more "ancient" conditions, perhaps reflecting the
427 fertilizing effects of elevated iron and CO_2 . When species were grown in mixed culture, the
428 species that grew best in the modern and paleozoic treatments were those that were most
429 prominent in the modern and Paleozoic records – diatoms for the present day and green algae for
430 the Paleozoic Era. Thus, our experimental results are all consistent with the hypothesis that
431 increasing sulfate played a role in the large scale pattern of phytoplankton succession observed in
432 the geologic record. Moreover, they are consistent with the additional observation that green
433 algae were unusually prominent phytoplankton components during the two Mesozoic intervals
434 when sulphate levels are thought to have declined transiently to low levels (Payne & van de
435 Schootbrugge, 2007; Luo *et al.*, 2010; Newton *et al.*, 2011).

436 That stated, when taken as a whole, our experiments caution against simplistic
437 interpretation of these results. Through geologic time, as $[\text{SO}_4^{2-}]$ increased, P_{CO_2} decreased, and
438 so did the abundance of redox sensitive metals such as Fe. Thus, decreasing iron availability

439 might have had the evolutionary consequences postulated by Quigg *et al.* (2003), although
440 physiological effects of changing Fe on phytoplankton growth and competitive ability have yet
441 to be tested directly by experiments. (Our results in experiment 3 show that the diatom, *T.*
442 *weissflogii*, actually grew best in the iron-rich Proterozoic medium, suggesting that the Fe
443 hypotheses might usefully be restated to postulate that chlorophyll a+c algae grow less poorly
444 than greens when iron is scarce). Our results also suggest that grazing affects growth rates under
445 different nutrient conditions and does so differently for different taxa. Calanoid copepods and
446 other pelagic planktivores have a scant fossil record (Selden *et al.*, 2010), but may well have
447 invaded the pelagic realm on time scales relevant to the rise of armored chlorophyll a+c
448 phytoplankton (Bradford-Grieve, 2002). Finally, the relatively high growth rates achieved by
449 our diatom species over a wide range of culture conditions are consistent with Kooistra *et al.*
450 (2007) hypothesis that adaptations specific to diatoms explain their extraordinary ecological and
451 evolutionary radiation.

452

453

454 CONCLUSIONS

455 The experiments described in this paper provide but a first step toward understanding the
456 influence of changing marine environments on phytoplankton evolution through time. Further
457 experiments are necessary to show to what extent the species we have chosen are representative
458 of larger clades. Nonetheless, the results permit some first order tests of our motivating
459 hypothesis.

460 While more experiments need to be run, it is not too early to suggest that existing
461 hypotheses to explain the geologic succession of primary producers in the oceans are not so
462 much wrong as incomplete. The rise of chlorophyll a+c phytoplankton may not reflect the
463 influence of sulfate availability *vs.* Fe availability *vs.* increasing predation pressure *vs.* individual
464 adaptations so much as the synergistic influences of *multiple* physical and biological factors that
465 have covaried through time. Secular variation in sulfate abundance will play a role in continuing
466 explanations, but not to the exclusion of other influences.

467

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469

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475

476

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771 **FIGURE LEGENDS:**

772

773 **Figure 1.** Sulfate concentration and different taxa phytoplankton radiation over geological time.
774 Paleobiologic data from Falkowski *et al.* (2004); data on sulphate abundances from Gill *et al.*
775 (2007, 2011), Warren (2010), and sources therein.

776

777 **Figure 2.** Specific growth rate of *T. suecica*, *T. weissflogii*, *P. reticulatum*, and *E. huxleyi* cells
778 acclimated to 1 mM, 5 mM, 10 mM, 20 mM or 30 mM [SO₄²⁻]. Error bars indicate standard
779 deviations about the mean (n ≥ 3).

780

781 **Figure 3.** Panel A: C:N ratio in *T. suecica*, *T. weissflogii*, *Synechococcus* sp., *P. reticulatum*, and
782 *E. huxleyi* cells cultured in the presence of five different sulfate concentrations. Panel B: C:N
783 ratio in *T. suecica*, *T. weissflogii* and *Synechococcus* sp. cells cultured in the presence of five
784 different sulfate concentrations and the ciliate predator *Euplotes* sp. Panel C: C:S ratio in *T.*
785 *suecica*, *T. weissflogii*, *Synechococcus* sp., *P. reticulatum*, *E. huxleyi* cells acclimated to five
786 different sulfate concentrations. Panel D: C:S ratio in *T. suecica*, *T. weissflogii* and
787 *Synechococcus* sp. cells acclimated to five different sulfate concentrations and to the presence of
788 *Euplotes* sp. Panel E: Ratio of DMSP cell content to cell S quota in *T. suecica*, *T. weissflogii*, *P.*
789 *reticulatum*, and *E. huxleyi* cells acclimated to five different sulfate concentrations. Panel F:
790 DMSP cell content; cell S quota in *T. suecica* cells acclimated to five different sulfate
791 concentrations in the presence of *Euplotes* sp. Error bars indicate standard deviation about the
792 mean (n ≥ 3).

793

794 **Figure 4.** Effect of the presence of *Euplotes* sp. on the specific growth rates of *T. suecica*,
795 (panels A, B) *T. weissflogii* (panels C, D) and *Synechococcus* sp. (panels E, F) cells cultivated at
796 five different sulfate concentrations. Error bars indicate standard deviations about the mean (n =
797 3).

798

799

800

801 **Figure 5.** Specific growth rate (μ) of *T. suecica*, *T. weissflogii*, *P. reticulatum* and
802 *Synechococcus* sp. cells cultivated individually or in mixed cultures in media mimicking the
803 chemical compositions of Proterozoic, Paleozoic or present day seawater, binned by species.
804 Error bars indicate standard deviations about the mean ($n = 3$).

805

806 **Figure 6.** Specific growth rate (μ) of *T. suecica*, *T. weissflogii*, *P. reticulatum* and
807 *Synechococcus* sp. cells cultured in media mimicking the chemical compositions of Proterozoic,
808 Paleozoic or present day seawater; binned by treatment. Error bars indicate standard deviations
809 about the mean ($n = 3$).

810

811 **Table 1.** Pure and mixed cultures were grown in media constructed to approximate aspects of
 812 modern, Paleozoic and Proterozoic seawater. See text for justification of parameters.

813

	Present Day	Paleozoic	Proterozoic
P_{CO_2}	384 ppm	2500 ppm	5000 ppm
NO_3^-	10 μ M	10 μ M	-
NH_4^+	-	-	10 μ M
$SO_4^{=}$	28 mM	14 mM	3 mM
Fe	50 nM	50 nM	380 nM
Zn	100 nM	100 nM	-
Mo	105 nM	105 nM	10.5 nM

814











