Occupational Deaths among Healthcare Workers

The Harvard community has made this article openly available. Please share how this access benefits you. Your story matters

<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Published Version</td>
<td>doi:10.3201/eid1107.041038</td>
</tr>
<tr>
<td>Citable link</td>
<td><a href="http://nrs.harvard.edu/urn-3:HUL.InstRepos:10419411">http://nrs.harvard.edu/urn-3:HUL.InstRepos:10419411</a></td>
</tr>
<tr>
<td>Terms of Use</td>
<td>This article was downloaded from Harvard University’s DASH repository, and is made available under the terms and conditions applicable to Other Posted Material, as set forth at <a href="http://nrs.harvard.edu/urn-3:HUL.InstRepos:dash.current.terms-of-use#LAA">http://nrs.harvard.edu/urn-3:HUL.InstRepos:dash.current.terms-of-use#LAA</a></td>
</tr>
</tbody>
</table>
Recent experiences with severe acute respiratory syndrome and the US smallpox vaccination program have demonstrated the vulnerability of healthcare workers to occupationally acquired infectious diseases. However, despite acknowledgment of risk, the occupational death rate for healthcare workers is unknown. In contrast, the death rate for other professions with occupational risk, such as police officer or firefighter, has been well defined. With available information from federal sources and calculating the additional number of deaths from infection by using data on prevalence and natural history, we estimate the annual death rate for healthcare workers from occupational events, including infection, is 17–57 per 1 million workers. However, a much more accurate estimate of risk is needed. Such information could inform future interventions, as was seen with the introduction of safer needle products. This information would also heighten public awareness of this often minimized but essential aspect of patient care.

The fundamental ethic of health care is that sick persons must receive care (1). This premise carries an unstated consequence: an occupational risk to healthcare workers who respond to the needs of contagious patients. This predicament was shown yet again during the severe acute respiratory syndrome (SARS) epidemic. As often occurs when infectious disease outbreaks are caused by an emerging agent, healthcare workers were the group most affected. According to the World Health Organization, 8,098 cases occurred during the outbreak, and 774 (9.6%) persons died (2). Healthcare workers accounted for 1,707 (21%) of the cases (2).

More specific information from outbreak hospitals in Hong Kong (3), Singapore (4), Guangdong Province (5), and Toronto (6,7) showed that 378 (57%) of 667 cases occurred in healthcare workers or medical students. The higher proportion in these reports may be attributable to the availability of more detailed site-specific information.

The number of fatal infections in healthcare workers is not known, but deaths have been reported.

Of course, SARS is not the only infection that presents an occupational risk to healthcare workers. During the past 2 decades, occupationally acquired hepatitis B, HIV infection, multidrug-resistant tuberculosis, and viral hemorrhagic fevers, among others, have killed healthcare workers. In earlier generations, diseases such as occupationally acquired tuberculosis, measles, diphtheria, and scarlet fever posed substantial risk (8,9). In response, the Centers for Disease Control and Prevention (CDC) and other organizations have promulgated guidelines for healthcare worker protection, recommending vaccination, early patient screening, isolation precautions, and use of personal protective equipment (10). Perhaps the most successful is the 1991 Occupational Safety and Health Administration (OSHA) bloodborne pathogen standard, which contributed to reduction of hepatitis B among healthcare workers (11).

Despite this recognized risk, no country has a system in place to track fatal, occupationally acquired infections in their entirety. In this article, we examine occupational death rates for healthcare workers by using currently available US federal data sources. To provide more inclusive rates, we also estimate the number of annual deaths from occupationally acquired infections.

Methods

Available Data: Numerator

The US Department of Labor, through the Bureau of Labor Statistics, maintains an annual “census of fatal occupational injuries” across a wide range of occupations and exposures as part of its injuries, illness, and fatalities program (12). Federal law compels employers to notify OSHA of any occupational death within 8 hours of the death by telephone or in person at a local OSHA office (13). OSHA then reports the data in 2 ways: by occupation or by industry. When classified by “occupation,” healthcare workers

### Occupational Deaths among Healthcare Workers

Kent A. Sepkowitz* and Leon Eisenberg†

Emerging Infectious Diseases • www.cdc.gov/eid • Vol. 11, No. 7, July 2005

---

*Memorial-Sloan-Kettering Cancer Center, New York, New York, USA; and †Harvard Medical School, Boston, Massachusetts, USA
are placed into any of 7 broad groups. Physicians and nurses, for example, are categorized as “managerial and professional specialty,” while health technologists and technicians are grouped under “technical, sales, and administrative support,” and nursing aides, orderlies, and attendants are considered “service occupations.”

In contrast, the “industry” classification classifies all healthcare workers into “health services” without additional job-specific information. The annual death totals derived from “occupation” and from “industry” classifications differ by ≈15%–20%.

In either approach, OSHA places all deaths into 1 of 6 distinct categories: transportation accidents, assaults and violent acts, contact with objects and equipment, falls, exposure to harmful substances or environments, and fires and explosions. Because deaths from occupationally acquired diseases such as tuberculosis or hepatitis are not routinely captured in this system, the occupational risk of healthcare work is underestimated (12).

Although no national agency systematically tracks deaths due to occupationally acquired infection, both percutaneous injuries and tuberculin skin test conversions are reported to OSHA by completing the OSHA Form 300 (Log of Work Related Injuries and Illnesses), OSHA Form 301 (Injury and Illness Incident Report), or both. The latter requires more specific information about how the injury or illness occurred. The number of unreported events is not known; however, an institution may be cited or fined for incomplete records, which probably improves compliance.

Needlestick-related deaths are only occasionally reported through this system. According to OSHA data, from 1992 to 2002, a total of 67,363 workers died of occupational injuries, including 28 healthcare workers who died of complications related to needlestick exposures. OSHA cautions, however, that they collect and report fatal work injuries; needlestick data therefore reflect only those cases that fall within the 6 defined injury definitions (K. Loh, pers. comm.).

The National Institute for Occupational Safety and Health (NIOSH), a branch of CDC, is charged with providing leadership and conducting research to prevent workplace illness and injury. They regularly publish the Worker Health Chartbook, which reports fatal occupational illnesses (14). Infectious diseases, however, are not included in the illness report. Instead, data are focused on occupational pneumoconiosis, mesothelioma, and hypersensitivity pneumonitis.

NIOSH information regarding occupational infection is derived from 4 federal health databases as “nonfatal illnesses” (14). These databases include the National Surveillance System for Healthcare Workers, which obtains information from 60 hospitals that voluntarily submit needlestick and tuberculin conversion data on a regular basis. The Viral Hepatitis Surveillance Program and the Sentinel Counties Study of Acute Viral Hepatitis track incident cases of hepatitis, including those occurring in healthcare workers. Cases of AIDS and HIV infection among healthcare workers are gathered from several sources, including the CDC HIV/AIDS Surveillance Reporting System. Finally, staffTRAK-TB is used by tuberculosis control programs to monitor skin test conversion rates.

These data sources, although useful, have substantial limitations. First, they measure only the initial injury or exposure and not the consequent disease. Most needlesticks and tuberculin conversions do not result in disease; rarer yet are those that lead to fatal infection. Thus, rates of needlestick and tuberculin conversions, although meaningful, may not accurately reflect the outcomes of greatest interest: disease and death. Further complicating this problem, the latent period from initial infection to disease for HIV, tuberculosis, and other infections is measured in years to decades. For example, a worker may sustain a needlestick, become infected with HIV, but not develop clinical symptoms for several years. In the interval, the worker may have changed jobs several times, making linking the exposure to the disease difficult.

In addition, the tuberculin skin test is notoriously difficult to interpret, with suboptimal sensitivity and specificity, and so may distort the actual trend in tuberculosis infection rates. Finally, as many as 50%, and possibly more, of all percutaneous injuries are not reported, which complicates tracking by the current passive surveillance system (15).

Available Data: Denominator

To calculate an annual occupation-specific fatality rate, we determined the number of persons at risk per occupation by using 2 datasets from the Department of Labor: the 2001 National Occupational Employment and Wage Estimates (16) and the 2002 Current Population Survey (CPS) (17). A major difference between these 2 data sources is the inclusion of self-employed workers in the CPS report. In addition, only the CPS counts experienced but unemployed workers.

Results

The US labor force is composed of 136 million persons, 6 million of whom are healthcare workers with potential patient contact (16,17). Approximately half of these are registered or licensed practical nurses. An additional 3 million persons work in healthcare-support occupations and may have patient contact, including nursing aides, orderlies, and attendants (1.3 million); home health aides (560,000); and medical or dental assistants (600,000) (16,17). These estimates do not include persons without routine patient contact employed in such occupations as
healthcare administrators, medical secretaries, and other clerical staff (16,17).

From 2000 to 2002, the Department of Labor reported an annual average of 77 healthcare worker deaths with the "industry" categorization versus 93 deaths with the "occupation" category (12). Deaths from transportation accidents and assaults and violent acts accounted for most. To address the latter problem, NIOSH recently published the monograph Violence: Occupational Hazards in Hospitals (http://www.cdc.gov/niosh/2002-101.html).

Specific data are reported for some but not all healthcare worker occupations in the “occupation” classification (Table 1). For example, an annual average of 10 doctors, 18 registered nurses, and 18 health technologists/technicians died. In addition, statistics maintained by the National EMS (Emergency Medical Services) Memorial Service show that =12 emergency medical service workers are killed annually, including 13 in 2002 (18). The EMS deaths are not specifically noted in the Department of Labor statistics; therefore, whether these deaths are included in the overall number is uncertain.

Estimated Deaths from Specific Infections

To estimate the contribution of occupationally acquired infection, we examined the effects of hepatitis B, hepatitis C, HIV infection, and tuberculosis by using available information on disease incidence and natural history. Table 2 combines both injury-related data reported to the Department of Labor (shown in Table 1) and our estimates from specific infections, detailed below. Overall, we estimate that 9–42 healthcare workers per million die annually from occupational infection.

**Hepatitis B**

CDC estimates that, in 1983, 10,000 healthcare workers became infected with hepatitis B through occupational exposure (M.J. Alter, pers. comm.). The natural history of hepatitis B infection indicates that chronic infection developed in 5%–10% (500–1,000) of these persons. Although estimates vary, as many as 15%–25% (75–250 persons) of those with chronic infection will die from a hepatitis B–related complication, including cirrhosis or hepatocellular carcinoma (19). Since the time from infection to serious medical disease in the subset with these complications typically is about 20 years, most of these deaths can be expected to occur during this decade.

The risk of hepatitis B has diminished by >90% since the introduction of standard precautions and a recombinant vaccine (11). Despite vaccine availability, however, coverage is incomplete because >30% of workers refuse to be vaccinated (11). As a consequence, CDC estimates that, in 2002, another 400 healthcare workers became infected with hepatitis B virus, a number that has been stable since 1995 (M.J. Alter, pers. comm.).

**Hepatitis C**

CDC estimates that 3.9 million persons in the United States, or 1.8% of the population, have been infected with hepatitis C virus and that 2.7 million (1.3%) are chronically infected (20,21). Healthcare workers as a group have the same hepatitis C virus seroprevalence as the rest of the US population (20). However, transmission from a hepatitis C–infected patient to a healthcare worker occurs in 1%–3% of percutaneous exposures (22). With an estimated 380,000 percutaneous injuries annually (23), 50–150 transmissions would be expected, assuming that hospitalized patients have the same hepatitis C virus seroprevalence as the rest of the US population. Our understanding of the natural history of hepatitis C virus (HCV) continues to evolve; however, as many as 5% of those infected, or 3–8 healthcare workers annually, can be expected to die of liver disease.

This estimate may be low because hospitalized patients in some regions may have rates of HCV infection well above that of the US population, raising the likelihood of exposure to a positive source case. HCV seroprevalence of at least 5% has been reported in several groups who are frequently hospitalized. These groups include patients requiring dialysis (20), intravenous drug users with or without HIV infection (20), and perhaps patients in psychiatric hospitals and outpatient facilities (24).

**Human Immunodeficiency Virus**

CDC distinguishes between “documented” and “possible” occupational transmission of HIV. Documented infection refers to documented seroconversion in healthcare workers after occupational exposure or other laboratory evidence of occupational infection. Possible infection refers to history of occupational exposure to infected blood...
or other fluid in healthcare workers without identifiable behavioral or transfusion risks, but for whom seroconversion specifically resulting from an occupational exposure was not documented, i.e., a baseline, postexposure test for HIV was not performed (25).

To date, 26 (46%) of 57 US healthcare workers with voluntarily reported, documented, occupationally acquired HIV infection have progressed to AIDS, as have 121 (88%) of 138 healthcare workers with possible occupational transmission (25). Job-specific information is available for persons with either documented or possible disease. Twenty-four (42%) of 57 proven transmissions have occurred in nurses, 16 (28%) in clinical laboratory technicians, and 6 (11%) in nonsurgical physicians. Among the 138 persons with possible occupational acquisition, in addition to the occupations above, cases were noted among 12 emergency medical technicians (9%), 6 surgeons (4%), 15 health aide/attendants (11%), and 13 housekeepers and maintenance workers (9%). This distribution by occupation may be applicable to other infections transmitted by percutaneous injury, such as hepatitis B and hepatitis C, but comparable information from recent studies of these infections is not available.

Antiviral therapy to manage an occupational exposure to HIV has resulted in severe hepatitis requiring liver transplant, though no therapy-related deaths have been reported (26). The number of healthcare workers who have died from proven or probable occupationally acquired HIV infection has not been reported, but some have died and risk for serious complication persists (27).

Tuberculosis

Tuberculosis has long represented an occupational threat to healthcare workers (28). This risk became particularly evident during the late 1980s and early 1990s, when several nosocomial outbreaks of multidrug-resistant tuberculosis occurred in the United States. During these years, workers experienced a tuberculin conversion, and several developed active drug-resistant disease (29). The infection was fatal in at least 9 immunocompromised healthcare workers (30). Treatment for occupationally acquired resistant tuberculosis also has resulted in death (31). Healthcare workers who became latently infected with multidrug-resistant tuberculosis strains at this time remain at risk for disease reactivation (32). In 2003, CDC and the American Thoracic Society revised the recommendation for treatment of latent Mycobacterium tuberculosis infection with pyrazinamide and rifampin because of an unexpectedly high rate of hepatotoxicity with this regimen (33). According to CDC, 6 healthcare workers were included in the 49 persons in whom severe hepatitis developed or death occurred. One healthcare worker died from this complication (K. Ijaz, pers. comm.).

Occupational Death Rate among Other Workers

To place healthcare worker risk into context, we applied the same approach to derive average annual death rates among several worker groups for the 3-year period 2000–2002 (Table 3). The US workforce has a rate of ≈ 41 occupational fatalities per million workers. Fishermen and construction workers have the highest rate (>1,000 deaths per million workers annually). Members of the military (361–671 per million), police and related protective service workers (108 per million), and firefighters (93 per million) also have markedly elevated rates. Lawyers (7–14 per million) and waiters (5 per million) have relatively low rates of occupational death.

Infectious Risk to Healthcare Workers Internationally

The risk of acquiring a work-related fatal infection represents a substantial risk to healthcare workers in developing countries (34). In addition to viral hemorrhagic fevers, occupationally acquired tuberculosis in Africa is increasingly recognized. Reports from Malawi (35), Ethiopia (36), and South Africa (37) describe substantial rises in active cases of tuberculosis among healthcare workers, many of whom die of the disease (25% in a series from Malawi). Few reports have examined the occupational risk for HIV infection in disease-endemic, resource-poor countries, but transmission is likely (38).

Summary and Recommendations

We estimate that 17–57 US healthcare workers per million employed die annually from occupational infections and injuries (Table 2). However, the number of deaths that results from occupationally acquired infection is an educated guess at best. We projected the potential consequences of only 4 diseases by relying on the published prevalence, transmission rate, and natural history of these infections.

Table 2. Occupational deaths among US healthcare workers (HCW), 2002*

<table>
<thead>
<tr>
<th>Cause of death</th>
<th>No. deaths</th>
<th>HCW death rate, excluding support occupations (N = 6.2 million)</th>
<th>HCW death rate, including support occupations (N = 9.1 million)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Injury</td>
<td>77–93</td>
<td>12–15</td>
<td>8–10</td>
</tr>
<tr>
<td>Infection-related†</td>
<td>80–260</td>
<td>13–42</td>
<td>9–29</td>
</tr>
<tr>
<td>Total</td>
<td>157–353</td>
<td>25–57</td>
<td>17–39</td>
</tr>
</tbody>
</table>

†Includes deaths from hepatitis B virus (75–250) and hepatitis C virus, HIV, and tuberculosis (5–10 total).
Table 3. Occupational death rate for various jobs*

<table>
<thead>
<tr>
<th>Occupation</th>
<th>No. employed (× 10^3)</th>
<th>Total deaths</th>
<th>Death rate</th>
</tr>
</thead>
<tbody>
<tr>
<td>Fisherman</td>
<td>39</td>
<td>46</td>
<td>1,179</td>
</tr>
<tr>
<td>Construction worker</td>
<td>825–1,106</td>
<td>1,198</td>
<td>1,081–1,452</td>
</tr>
<tr>
<td>Pilot</td>
<td>107–129</td>
<td>102</td>
<td>791–953</td>
</tr>
<tr>
<td>Military (active and reserve)</td>
<td>2,600</td>
<td>94</td>
<td>361</td>
</tr>
<tr>
<td>Truck driver</td>
<td>2,544–3,365</td>
<td>530</td>
<td>157–208</td>
</tr>
<tr>
<td>Protective service</td>
<td>2,000</td>
<td>219</td>
<td>108</td>
</tr>
<tr>
<td>Firefighter</td>
<td>1,100</td>
<td>102</td>
<td>93</td>
</tr>
<tr>
<td>US workforce</td>
<td>136,000</td>
<td>5,780</td>
<td>42.5</td>
</tr>
<tr>
<td>Healthcare worker</td>
<td>6,200–9,100</td>
<td>157–353</td>
<td>17–57</td>
</tr>
<tr>
<td>Sheetmetal worker</td>
<td>172–207</td>
<td>8</td>
<td>39–46</td>
</tr>
<tr>
<td>Bartender</td>
<td>339–427</td>
<td>10</td>
<td>23–29</td>
</tr>
<tr>
<td>Lawyer</td>
<td>490–920</td>
<td>6</td>
<td>7–14</td>
</tr>
<tr>
<td>Walker</td>
<td>1,893–1,981</td>
<td>9</td>
<td>5</td>
</tr>
</tbody>
</table>

*Numbers represent average of annual deaths during 3-year period, 2000–2002. Range of number employed reflects 2 different federal databases (see text). Rates expressed per 1 million workers (12,13,16,17).

Our results therefore may underestimate the actual occupational death rate for these diseases. Furthermore, these estimates do not account for deaths from other infections, which demonstrates the problems engendered by the current lack of a national tracking system. This finding stands in contrast to the rigorous approach used to track occupational deaths of various other workers, such as police officers and firefighters (39,40).

The recent experiences with SARS and smallpox vaccination have demonstrated the vulnerability of healthcare workers to occupationally acquired infections. In addition, these events have served as a reminder of the critical societal responsibility of the healthcare worker. Although not as central to a national disaster response as protective service workers, healthcare workers are a critical component and in this capacity may incur risk to their health.

We recommend that national organizations assume responsibility for accurately tracking deaths caused by occupationally acquired infections. A nationwide tracking system will accomplish several important goals. First, it will determine the magnitude of the problem and inform future interventions. This approach has been used successfully with needlestick injuries: a problem was identified and quantified, then assessable preventive measures (e.g., the safer needle system) were put into place. This system could also lead to appropriate financial compensation. In 1976, the Public Safety Officers’ Benefits Program was initiated to provide a 1-time financial benefit to survivors of police officers, firefighters, and emergency workers killed in the line of duty. The benefit also extends to those who become permanently and totally disabled as the result of trauma sustained in the line of duty.

This approach was invoked recently as the national smallpox vaccination plan was initiated. Because of healthcare worker reluctance to accept a vaccine known to cause fatal reactions, if only rarely, the government opted to extend this program to cover healthcare workers for this complication. This decision was a good start in acknowledging the unique occupational hazards of healthcare but should not remain an isolated decision made at a politically charged moment.

Most of all, a national registry would provide an ongoing reminder of the risk of caring for others, by raising awareness among laypersons and professionals alike. The 9 million persons employed in the healthcare industry and their families merit better protection for their health and greater recognition for their contributions.

Funding for this work was received from the National Institute of Allergy and Infectious Diseases grant K24 AI52239 to KAS.

Dr. Sepkowitz is director of infection control at Memorial Sloan-Kettering Cancer Center and professor of medicine at Weill Medical College of Cornell University. He has a longstanding interest in the risk of occupationally acquired infections in healthcare workers.

Dr. Eisenberg is Presley Professor of Social Medicine Emeritus at Harvard Medical School. His current scholarly interest is the environmental determinants of gene expression.

References


Address for correspondence: Kent A. Sepkowitz, Memorial-Sloan-Kettering Cancer Center, 1275 York Ave, New York, NY 10021, USA; fax: 212-717-3021; email: sepkowik@mskcc.org