



The Hippocampus and Imagining the Future: Where Do We Stand?

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The hippocampus and imagining the future: Where do we stand?
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24 Abstract

- 25
- 26 Recent neuroimaging work has demonstrated that the hippocampus is engaged when
- imagining the future, in some cases more than when remembering the past. It is possible that
- this hippocampal activation reflects recombining details into coherent scenarios and/or the
- 29 encoding of these scenarios into memory for later use. However, inconsistent findings have
- 30 emerged from recent studies of future simulation in patients with memory loss and
- hippocampal damage. Thus, it remains an open question as to whether the hippocampus is
- 32 necessary for future simulation. In this review, we consider the findings from patient studies
- and the neuroimaging literature with respect to a new framework that highlights three
- component processes of simulation: accessing episodic details, recombining details, and
 encoding simulations. We attempt to reconcile these discrepancies between neuroimaging
- encoding simulations. We attempt to reconcile these discrepancies between neuroimaging
 and patient studies by suggesting that different component processes of future simulation may
- 37 be differentially affected by hippocampal damage.

38

39 **1. Introduction**

40

41 In daily life, particularly during the unoccupied moments, we often revert to our inner mental world and engage with our aspects of our lives outside of the present. Mentally projecting 42 ourselves back into the past or forwards into the future can take make forms – a cursory 43 thought, a vague image, or a vivid and consuming scenario. There has been increasing 44 interest in understanding the ways in which remembering and future thinking are similar or 45 different, both in terms of cognitive and neural processes, and whether such characteristics 46 47 are evident for various forms of past and future thinking (for recent reviews, see Schacter, Addis, & Buckner, 2008; Szpunar, 2010). These studies have been informed by a closely 48 related line of neuroimaging research showing that when people are consumed by various 49 forms of thoughts and images, these internally-directed cognitive activities are accompanied 50 by a characteristic pattern of neural activity - known as the default network (Buckner, 51 Andrews-Hanna, & Schacter, 2008; Spreng, Mar, & Kim, 2009). 52

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This network, which includes many regions traditionally associated with memory, such as the 54 hippocampus, is also up-regulated by tasks that specifically require a focus on remembering 55 and imagining personal experiences (Buckner & Carroll, 2007; Schacter, Addis, & Buckner, 56 2007; Spreng et al., 2009). Motivated by findings that remembering and imagining engage 57 58 the same 'common core network', we advanced the constructive episodic simulation *hypothesis*, which holds that the common neural activity for past and future reflects a reliance 59 on memory to provide the details comprising both remembered and imagined event 60 representations (Schacter & Addis, 2007). In that theory, as well as in this review, we focus 61 on a particularly vivid form of future thinking: the imaginative construction or simulation of 62 scenarios that might occur in one's future. We hypothesized that the flexible use of episodic 63 details from memory during imaginative simulations of the future can help to understand 64 constructive aspects of memory, such as its susceptibility to distortion (see also Schacter, 65 Guerin, & St. Jacques, 2011). Like autobiographical memories of past experiences, these 66 simulations are considered "episodic" in nature because they represent the self engaging in a 67 specific event in a particular spatiotemporal context. And although the emphasis here is 68 69 primarily on simulations located in the imagined future, primarily because of the adaptive value of such simulations for maximizing future success (Ingvar, 1985; Schacter & Addis, 70 2007; Suddendorf & Corballis, 1997, 2007; Szpunar, 2010), simulations can also focus on 71 72 present or past events; indeed, we have argued that many of the same processes discussed here are likely also applicable under those conditions (Addis, Pan, Vu, Laiser, & Schacter, 73 2009). 74 75

One of the more compelling and even unexpected findings from research on the neural 76 underpinnings of episodic simulations is that the hippocampus, a region traditionally thought 77 78 of as a "memory region", can be engaged to a greater degree when imagining than remembering (e.g., Addis, Wong, & Schacter, 2007; for reviews, see Buckner, 2010; Schacter 79 & Addis, 2009). Such findings raise the question of what is unique about episodic simulation 80 or future thinking that recruits the hippocampus. In very general terms, it would appear that 81 more intensive processing is required when imagining future events relative to retrieving past 82 events, because the former requires construction of a novel event, whereas the latter involves 83 retrieval of an already established event. However, determining what specific component 84 processes underlie this 'more intensive processing', and which such processes rely on the 85 hippocampus, is necessary to better understand this future>past effect. A number of candidate 86

87 cognitive processes exist. Although both remembering and imagining typically involve the reactivation of memories and episodic details comprising these memories, only imagining 88 requires the additional step of recombining such details into a new arrangement – the 89 imagined scenario. It is plausible that this recombination process would engage the 90 hippocampus, given its role in relational memory processes that link together disparate bits of 91 information (Eichenbaum, 2001). Also, if these newly constructed scenarios are ever to be 92 accessed in future, they need to be encoded and stored in memory (Ingvar, 1985). In this 93 review, we will discuss the conditions under which a hippocampal future>past effect 94 emerges, and also consider recent work investigating whether hippocampal activation during 95 96 future thinking reflects access to episodic details, recombining these details to construct 97 specific scenarios, and/or the encoding of these scenarios into memory. 98 A related line of enquiry is to determine not only whether the hippocampus is active during 99 future simulation but whether it makes a critical and necessary contribution. While it has 100 been long established that a functioning hippocampus is necessary for the retrieval of detailed 101 autobiographical memories (for a review, see Moscovitch et al., 2005), it is less clear whether 102 this is the case for future simulation (see Table 1 for a summary of patient cases discussed 103 herein). While some patients with hippocampal damage and impaired episodic memory also 104 105 exhibit difficulties in imagining detailed and coherent future events (Andelman, Hoofien, Goldberg, Aizenstein, & Neufeld, 2010; Hassabis, Kumaran, Vann, & Maguire, 2007; Race, 106 107 Keane, & Verfaellie, 2011), other studies do not report imagination deficits in such patients. Spared simulation abilities in the context of hippocampal damage and memory loss have been 108 reported in an adult developmental amnesic patient (Maguire, Vargha-Khadem, & Hassabis, 109 2010), a group of developmental amnesic school-aged children (Cooper, Vargha-Khadem, 110 Gadian, & Maguire, 2011; see also, Hurley, Maguire, & Vargha-Khadem, in press), and a 111 group of adult patients with bilateral hippocampal damage (Squire et al., 2010). 112

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- 114 115

--Insert Table 1 about here--

Such findings imply that a fully intact hippocampus may not be required for future 116 simulation. However, the inconsistent results yielded from these studies raise a number of 117 important questions. Does the temporal extent of amnesia influence the degree to which 118 imagined scenarios can be constructed? Does the age of onset of hippocampal damage affect 119 the degree of impairment? Does the location of the damage within the hippocampus influence 120 the pattern of spared and impaired abilities? Can residual hippocampal tissue support future 121 simulation? Are particular simulation tasks better able to detect deficits? In considering the 122 findings from patient studies in conjunction with those from neuroimaging literature, we will 123 attempt to reconcile these discrepant results by suggesting that different component processes 124 of future simulation may be differentially affected by hippocampal damage: although the 125 processes of accessing and recombining details to construct and encode a future event are 126 inherently related processes in healthy individuals, it is possible that in the damaged brain 127 these processes are, to some extent, dissociable. 128

129

130 **2.** Access to memory details: the episodic fodder for future simulations

131

132 In recent years, neuroimaging has provided evidence to suggest that imagining the future

relies on much of the same neural machinery as remembering the past. One hypothesis that

- such findings motivate is that memories must be reactivated in order to extract the
- 135 information needed to 'flesh out' detailed simulations. Indeed, if simulations involve the

projection of the self in time beyond the present (Buckner & Carroll, 2007) and are to be

137 meaningful for that individual, then personally-relevant episodic details from memory are

needed. Such elements would include the major components of an episode, including the

- people, places and objects previously encountered by the individual. In their scene
- construction hypothesis, Hassabis and Maguire (2007) argue that spatial information isparticularly important. A spatial framework provides a platform upon which to build the
- scenario, and without this, an imagined event would likely lack a sense of coherence.
- 143

Although common hippocampal activity for past and future events is suggestive of access to 144 mnemonic information during both tasks, it is not conclusive. Addis and Schacter (2008) 145 examined whether hippocampal responses during remembering and imagining were 146 modulated by subjective ratings of the detail comprising these events. Activity in the 147 posterior hippocampus correlated with detail ratings for both past and future events, 148 consistent with the idea that both tasks require access to episodic details. Moreover, Weiler, 149 Suchan and Daum (2010b) found activity in the posterior hippocampus was associated with 150 both past and future events, though the responses had differing timecourses. Nevertheless, the 151 location of this neural response dovetails with studies implicating the posterior hippocampus 152 in retrieval as opposed to encoding (Lepage, Habib, & Tulving, 1998; Prince, Daselaar, & 153 154 Cabeza, 2005; Schacter & Wagner, 1999), in the reinstatement of previous conditions (Giovanello, Schnver, & Verfaellie, 2009; Preston, Shrager, Dudukovic, & Gabrieli, 2004), 155 156 and in the amount of detail comprising autobiographical memory (Addis, Moscovitch,

- 157 Crawley, & McAndrews, 2004).
- 158

However, the most convincing evidence that access to episodic details may be necessary for 159 future simulations comes from studies of patients with memory loss (see Table 1). One of the 160 early observations of a link between past and future thinking came from Tulving (1985). In a 161 discussion of K.C., a patient with dense autobiographical amnesia resulting from a head 162 injury, it was also noted that K.C. exhibited difficulties in imagining specific episodes in his 163 personal future. Similarly, amnesic patient D.B., who sustained brain damage as a result of 164 cardiac arrest and anoxia, cannot remember or imagine personal events (Klein, Loftus, & 165 Kihlstrom, 2002). In both cases, the neuroanatomical damage is not restricted to the 166 hippocampus (patient KC has damage in and beyond the hippocampus, including extensive 167 prefrontal damage, Rosenbaum et al., 2005; no neuroanatomical findings have been reported 168 for patient D.B.). Nevertheless, these reports raised the possibility that there is a link between 169 170 remembering and imagining - that being able to access details from episodic memory may be an important and perhaps necessary condition of the successful construction of episodic 171 simulations. 172

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Similar results have been reported in patients with damage reported to be limited to the 174 hippocampus. Hassabis et al. (2007) found that four out of five patients with hippocampal 175 amnesia could not construct imaginary scenarios of everyday scenes: their constructions 176 contained significantly less content that those of controls, and the details that were generated 177 were not well integrated. Although the authors also found that providing patients with details 178 179 did not improve their performance, the provided information was semantic in nature and therefore may not have been sufficient to support imaginings that have an episodic basis. One 180 critical issue is whether these patients have damage circumscribed to the hippocampus. 181 Although Maguire and Hassabis (2011) state these patients were "specifically selected" for 182 183 damaged restricted to the hippocampus, Squire and colleagues (Squire, McDuff, & Frascino, 2011) disagree with this assessment. They argue that aspects of the clinical profiles of these 184

patients (e.g., generalized atrophy, seizures, personality change) suggest the presence of

damage outside of the hippocampus. They also note that the one patient in the Hassabis et al.

- 187 (2007) study who did not exhibit imagination deficits had a different etiology
- 188 (meningeoencephalitis and recurrent meningitis, versus limbic encephalitis in the four other
- patients), as well as residual hippocampal tissue and function (Hassabis et al., 2007).
- 190

Race and colleagues (2011) examined the ability to remember and imagine in a group of 191 eight amnesic patients with medial temporal damage. This study is important for two reasons. 192 First, the paradigm included a condition in which participants were required to construct 193 194 narratives when the details did not have to be retrieved from memory but were presented as pictures (also see Gaesser, Sacchetti, Addis, & Schacter, 2011). When completing the past 195 and future tasks, amnesic patients generated significantly fewer episodic details than did 196 controls, and the number of episodic details for past and future narratives was correlated. 197 Critically, hippocampal damage did not disrupt the ability to construct a narrative in the 198 picture condition, where access to episodic memory was not required. Moreover, 199 performance on the picture narrative task was not correlated with performance on the future 200 task. Second, although the etiology and extent of damage varied across the eight patients, 201 there was one patient in whom damage was confirmed as being limited to the hippocampus. 202 203 Importantly, the performance of this patient mirrored that of the other patients who had some degree of extra-hippocampal temporal damage, suggesting that damage to the hippocampus 204 205 alone is sufficient to disrupt future simulation. Together, the observations from this study further support the notion that in the context of hippocampal damage, it is an inability to 206 access details in episodic memory, and not more general deficits in narrative ability, that 207 underlies deficient episodic simulation performance. 208

209

210 While studies of amnesia give insight into the ability to simulate when there is little, or no, access to episodic details, studies of aging -- where deficits in accessing past events are 211 present but comparatively milder -- have also provided relevant evidence. In a series of 212 studies, we have examined the ability to remember and imagine in healthy and also in 213 pathological aging (i.e., patients in the early stages of Alzheimer's disease), in which 214 autobiographical memory is typically affected (Levine, Svoboda, Hay, Winocur, & 215 Moscovitch, 2002), and hippocampal atrophy and dysfunction are also evident (Hedden & 216 Gabrieli, 2004). In these studies, we had participants generate memories of past events and 217 simulations of future events in response to word cues and found that the number of episodic 218 219 details comprising events in older or demented adults was reduced relative to appropriate control groups (for a review, see Schacter, Gaesser, & Addis, 2010). Moreover, the number 220 of episodic details for past events is strongly correlated with the number of details comprising 221 future events. These correlations are consistently evident across old and young (Addis, 222 Musicaro, Pan, & Schacter, 2010; Addis, Wong, & Schacter, 2008), and across demented and 223 healthy older adults (Addis, Sacchetti, Ally, Budson, & Schacter, 2009), and exist even when 224 controlling other factors that may more generally influence the detail of narratives, such as 225 cognitive decline and verbal fluency (Addis, Sacchetti, et al., 2009). The deficits in episodic 226 remembering and imagining that we have documented in older adults do also extend to a 227 picture description task that does not require episodic memory (Gaesser et al., 2011). 228 Nonetheless, we also found that the age deficits in remembering and imagining were still 229 observed after controlling for general narrative abilities, as measured by this picture 230 description task. Neuroimaging evidence suggests that the reduction in episodic detail when 231 older adults describe past and future events may be related to dysfunction in the regions 232 supporting episodic detail, including the hippocampus (Addis, Roberts, & Schacter, 2011). 233

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What is to be made, then, of patients with memory loss who can still imagine the future? 235 Such findings appear to speak against the idea that access to memories is a critical precursor 236 to future simulation. As noted earlier, Squire et al. (2010) reported that a group of patients 237 with damage to the hippocampus showed an intact ability to create detailed imaginary future 238 events. However, although these patients have hippocampal damage, it is notable that their 239 degree of retrograde amnesia is minimal: these patients can retrieve events from the remote 240 past, and only exhibit a mild (and non-significant) deficit for retrieving memories from the 241 recent past. Thus, the results of this study could also be interpreted as supporting the notion 242 243 that access to the past – even in the context of hippocampal damage – can provide a basis for 244 imagining the future.

245

However, there are reported cases of hippocampal damage that has differentially affected 246 remembering but not imagining. For instance, Maguire and colleagues reported that 247 developmentally amnesic patients who sustained hippocampal damage early in life can 248 construct imaginary scenarios (Maguire et al., 2010; Hurley et al., in press; but see, Kwan, 249 Carson, Addis, & Rosenbaum, 2010). Moreover, as noted earlier, one of the patients from the 250 Hassabis et al. (2007) study could also complete their scene construction task. Interestingly, 251 some of these patients have been noted to have residual hippocampal tissue that appears to be 252 functional, in that it is activated during memory tasks (Maguire et al., 2010), although such 253 254 activation has not yet been shown during future simulation. These researchers also report normal imagination abilities in a group of children with hippocampal damage and amnesia 255 (Cooper et al., 2011), further suggesting that the time of onset of the amnesia may be an 256 important consideration. It is possible that with early damage, these patients develop other 257 strategies or rely either on residual episodic memories or detailed semantic information to 258 construct scenarios (Cooper et al., 2011). 259

260

It is also notable that these findings have emerged using the scene construction task. Hassabis 261 et al. (2007) mention that this task was designed to "increase the dependence of constructions 262 on generalized semantic memory representations". On each trial, a sentence cue (e.g., 263 "Imagine you are lying on a white sandy beach") is provided to take participants into a 264 generic scene; it is very likely that this scene can then be fleshed out with semantic detail. 265 Thus, it is possible that these patients are able to complete this particular imagination task 266 using detailed yet semantic representations of how certain scenes or episodes unfold, rather 267 than extracting information from their own experiences. However, when the task requires 268 creation of a specific and novel episode, similar patients (e.g., with developmental amnesia) 269 show simulation deficits - particularly in the amount of episodic detail generated (Kwan et 270 al., 2010). Although amnesics may generate fewer episodic details relative to controls, they 271 sometimes show little or no reduction in the number of semantic details comprising their 272 event narratives (Race et al., 2011). It has also been shown in other studies that patients with 273 274 episodic, but not semantic, memory deficits can successfully complete future thinking tasks that are based primarily on general knowledge (e.g., non-personal future tasks; Klein et al., 275 2002). 276

270

When faced with reduced or no access to episodic memory, it may be a natural compensation strategy to rely on semantic information to aid in describing autobiographical events. Using a scoring technique that specifically parses episodic from non-episodic information (Levine et al., 2002), we have also found that although older adults show a decline in the amount of episodic detail comprising their past and future events, they show a corresponding increase in 283 the amount of non-episodic, conceptual information (Addis et al., 2010; Addis et al., 2008;

note also that this pattern extends to picture description; Gaesser et al., 2011). In line with 284

this finding, older adults also show an increase, relative to young, in their recruitment of 285

lateral temporal regions during autobiographical tasks (Addis et al., in revision); these regions 286

are thought to mediate semantic and conceptual autobiographical information (Addis, 287

McIntosh, Moscovitch, Crawley, & McAndrews, 2004; Graham, Lee, Brett, & Patterson, 288 289 2003).

290

Another key question is whether access to episodic details is *sufficient* for future simulation 291 292 to occur. It is likely that this ability is only a starting point; once episodic details are extracted, they still have to be used in a meaningful way, which we have argued requires 293 additional processes such as detail recombination (e.g., Addis & Schacter, 2008; Schacter & 294 Addis, 2009). Nonetheless, the findings discussed earlier of intact future simulation 295 performance in hippocampal amnesics with relatively preserved autobiographical memories 296 (Squire et al., 2010) suggests that access to episodic details may be sufficient for future 297 simulation. By contrast, Andelman et al. (2010) reported a case study of a patient, M.C., with 298 a bilateral hippocampal lesion and loss of autobiographical memory restricted only to the past 299 3 years. Thus, at 27 years of age, she still had approximately 20 years of episodic memories 300 to draw upon when completing a future simulation task. M.C. was, however, unable to do so: 301 when asked to describe her personal future, her responses were vague and general, or she 302 303 reported that she simply didn't know. Because there was no quantitative assessment of future simulation performance in this case, the results must be interpreted cautiously. Still, they 304 raise the possibility that while access to episodic details may be necessary in order to 305 construct episodic simulations, it may not be sufficient. 306

308 3. Detail recombination: constructing a coherent scenario

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309

As we have reviewed above, being able to access details from episodic memory can be 310 conceptualized as an initial stage in the process of episodic simulation. Of course, having a 311 jumble of details is useless if they cannot be recombined and integrated appropriately. We 312 have argued that 'detail recombination' is critical to imagining coherent scenarios – the kinds 313 of simulations one creates when thinking about experiences relevant in their daily lives. 314 Given the role of the hippocampus, particularly the anterior hippocampus, in relational 315 processing, we have argued that this region is likely critical in the ability to form coherent 316 317 scenarios (e.g., Addis & Schacter, 2008; Schacter & Addis, 2009).

318

This proposal is based on an integration of findings from various neuroimaging studies. An 319 early meta-analysis of medial temporal activity during memory tasks reported that the 320 anterior portion of the hippocampus appears to be particularly responsive to tasks with 321 relational demands (Schacter & Wagner, 1999); subsequent work has further supported this 322 anterior localization of relational memory processes (e.g., Chua, Schacter, Rand-Giovannetti, 323 & Sperling, 2007; Giovanello, Schnyer, & Verfaellie, 2004; Jackson & Schacter, 2004; 324 Kirwan & Stark, 2004; Staresina & Davachi, 2008, 2009). The role of this region within the 325 realm of relational memory may be further refined, based on findings from Preston et al. 326 (2004; see also Heckers, Zalesak, Weiss, Ditman, & Titone, 2004). This work suggests that 327 the anterior hippocampus may be particularly involved in the recombination of details 328 extracted from various memories. Using a transitive inference paradigm, participants first 329 learned to associate one set of items (faces, A) with another set of items (houses, B). They 330 then learned to associate those same houses (B) with a new set of items (novel faces, C). 331

During the scanning session, seeing items (A, B, or C) taken from any of the memories (A-B, 332

B-C) resulted in posterior hippocampal activity, further implicating the posterior 333

hippocampus in retrieval or reinstatement. However, seeing novel rearrangements of such 334 details (A-C) resulted in selective anterior hippocampal activity. This recombination process

335 can be considered analogous to future simulation, where we argue details extracted from 336

different memories that may have not been encountered together in reality, are rearranged in 337

imagination - and similarly, this recombination process should also engage the anterior 338

- hippocampus. 339
- 340

341 More recently, Staresina and Davachi (2009) investigated hippocampal responses to the process of integrating details across time and space. They identified a region in the anterior 342 hippocampus that was more responsive when details were presented in a spatiotemporally 343 discontiguous manner (i.e., separated across time and space) and required integration, relative 344 to when details were presented in a contiguous, integrated form. Conceptually, we suggest 345 that this process again maps onto the kind of recombination thought to occur during 346 simulation: an integration of details from memories formed in different spatiotemporal 347 348 contexts.

349

350 The findings of Preston et al. (2004) and Staresina and Davachi (2009) dovetail with those from a neuroimaging study of past and future detail. In that study, we (Addis & Schacter, 351 352 2008) found common responses to detail of past and future events in posterior hippocampus, but the anterior hippocampus was responsive only to the amount of detail comprising future 353 events – which are presumably recombined across spatiotemporally distinct experiences. 354 Interestingly, we have replicated the finding of differential future activity within the anterior 355 hippocampus across a number of studies using autobiographical cuing (e.g., Addis, Wong, et 356 al., 2007; adapted from Crovitz & Schiffman, 1974) and experimental recombination 357 paradigms (Addis, Pan, et al., 2009). While the cueing task requires an individual to generate 358 future events from generic cues (nouns), the experimental recombination paradigm uses 359 random rearrangements of episodic details (persons, places, objects) taken from the 360 individual's own memories, thus ensuring that detail recombination occurs. Moreover, these 361 paradigms enable examination of activity during the initial construction of the future event 362 when the cue is presented, and the subsequent elaboration of the event once it is in mind. 363 With this approach, we have found that over the course of a simulation trial, this activity 364 typically emerges during the initial construction phase rather than being evident throughout 365 the duration of a simulation trial (Addis, Cheng, Roberts, & Schacter, 2011; Addis, Pan, et 366 al., 2009; Addis, Wong, et al., 2007; Martin, Schacter, Corballis, & Addis, 2011). This 367 temporal pattern suggests that the differential future-related activity is associated with 368 processes occurring early in the construction of future events, when detail recombination 369 would be expected to occur. Other labs have also reported similar future>past effects in the 370 anterior hippocampus. For instance, Weiler and colleagues (Weiler, Suchan, & Daum, 2010a) 371 found that imagining future events that had a low probability of occurring during the 372 upcoming holidays was associated with more anterior hippocampal activity than events with 373 a higher probability of occurring. The authors suggested that perhaps low probability events 374 place a higher demand on the binding of disparate event features relative to high probability 375 events that may be already planned. 376

377

Determining the boundary conditions of the future>past effect will provide a better 378

understanding of whether detail recombination is important for engaging the anterior aspect 379

of the hippocampus. Importantly, we have recently shown that this effect is limited to certain 380

381 types of future events. We examined hippocampal activity when imagining specific (unique) and general (routine) future events, hypothesizing that constructing a specific future event 382 should place greater demand on recombining details and hippocampal resources relative to 383 constructing a generic future event that more closely relies on conceptual knowledge about 384 routines (Addis et al., 2011). Indeed, our analysis supported this hypothesis, demonstrating 385 that hippocampal activity was strongest when imagining specific future events relative to 386 more generic and routinized ones. Participant ratings confirmed that specific future events 387 were more detailed and novel than general future events, further suggesting that the process 388 of constructing an event that is both detailed *and* novel engages the anterior hippocampal 389 390 region. Additionally, because these findings suggest that the hippocampus is not strongly engaged by constructing generic future events, it may not be surprising that patients with 391 hippocampal damage can imagine the future in a gist-like, conceptual manner. 392

393

These observations from neuroimaging studies suggest that dysfunction in the hippocampus 394 may result in deficits in recombining details. Several findings suggest the presence of such 395 difficulties. Hassabis et al. (2007) found that not only did the events constructed by 396 hippocampal amnesics lack content overall, but the details they did generate were not well 397 integrated and lacked a spatial coherence. In healthy older adults who show some degree of 398 399 structural and functional dysfunction in the hippocampus (Hedden & Gabrieli, 2004), we found that the integration of memory details into simulations was reduced relative to young 400 401 adults (Addis et al., 2010). Using the experimental recombination paradigm, we experimentally 'extracted' person, place and object details from different past events; random 402 recombinations of a participant's memory details were later presented during a future 403 simulation task. Importantly, each future simulation was required to include the person, place 404 and object details presented. While both groups were able to include all three details in the 405 simulations, the young group was better able to integrate these three details into the same 406 imagined spatiotemporal context. In contrast, older adults integrated on average two of the 407 three details into the same spatiotemporal context, and then often touched on the third detail 408 in a separate context, essentially resulting in a series of 'mini-events'. These findings 409 suggests that even with experimental support to access details from various episodic 410 memories, the ability to integrate these details into a coherent scenario with a specific 411 412 temporal and spatial context may be reduced in populations with compromised hippocampal function. 413

414

415 Again, one might raise the question that if the hippocampus is necessary for detail recombination, how is it that some patients with hippocampal damage can imagine seemingly 416 coherent future events? One issue is that not every study of future simulation in patients 417 includes a measure of detail integration or spatial coherence and thus in instances where 418 hippocampal patients can successfully imagine, it can be difficult to determine whether the 419 scenarios constructed were in fact coherent. Maguire and Hassabis (2011) argue that the 420 number of spatial references produced by the patients studied by Squire et al. (2010) appear 421 reduced relative to the typical level of controls, suggesting that these patients may have been 422 creating primarily semantic representations. Moreover, it is possible to imagine a future event 423 424 with minimal, if any, detail recombination: one can "recast" past events into the future. It is possible that paradigms using single cues may elicit recasting. For instance, if shown the cue 425 word "car", one might recall a relevant experience ("my car breaking down and my husband 426 picking me up") and then imagine that experience unfolding in the same way in future. In 427 many protocols, it is ensured that participants are generated novel scenarios (e.g., Addis, 428 Wong, et al., 2007, 2008; Hassabis et al., 2007), but this is not always done or reported. In 429

order to circumvent this possibility, we designed an experimental recombination paradigm in
which participants are required to recombine details extracted from their own past events
(Addis et al., 2009). Although this paradigm has been employed with older adults (Addis et
al., 2010), replicating our findings using the cue word paradigm, it has not yet been used to
assess recombination abilities in patients with circumscribed hippocampal damage. The
results of such a study would be of considerable interest.

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437 **4. Memory for the future: encoding future simulations**

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439 Differential engagement of the anterior hippocampus may also reflect the process of encoding newly-imagined scenarios. Indeed, the anterior portion of the hippocampus has been 440 implicated in encoding (Schacter & Wagner, 1999; Spaniol et al., 2009), particularly for 441 relational (e.g., Chua et al., 2007; Jackson & Schacter, 2004; Kirwan & Stark, 2004; 442 Staresina & Davachi, 2008, 2009) and novel (Kohler, Danckert, Gati, & Menon, 2005) 443 information. If the adaptive significance of simulating several alternative "behavioral modes" 444 is to maximize success in anticipated situations (Ingvar, 1985) and flexible planning (Boyer, 445 2008), then retaining this "fitness-relevant" information in memory for future reference is a 446 necessary step. Nairne, Thompson and Pandeirada (2007) investigated whether information 447 448 relevant to survival is remembered better than survival-irrelevant information. In that study, participants judged whether items were relevant to survival (having provisions and 449 450 protection) or moving (moving to a foreign country) situations, or judged the items for pleasantness. In line with the idea that we are tuned to remember fitness-relevant information, 451 subsequent memory performance was boosted for items rated as survival-relevant. 452 Interestingly, more recent work using a variant of the paradigm developed by Nairne and 453 colleagues suggests that the much of the benefit of "survival processing" may be attributable 454 to the engagement of encoding processes that support planning for the future (Klein, 455 Robertson, & Delton, 2010). 456 457

Three kinds of evidence demonstrate the adaptive value of simulations. First, it is well 458 established that simulations play an important role in psychological well-being. Being able to 459 generate specific and detailed simulations of future events can enhance one's ability to cope 460 with upcoming situations (Brown, MacLeod, Tata, & Goddard, 2002; Taylor, Pham, Rivkin, 461 & Armor, 1998; Taylor & Schneider, 1989). For instance, creating simulations about positive 462 future outcomes can improve emotion regulation, resulting in decreased amounts of worry 463 related to upcoming future events (Brown et al., 2002). In addition to helping one cope with 464 the prospect of an upcoming event, mentally simulating appropriate actions for future 465 stressful situations can enhance one's ability to cope if and when those situations arise 466 (Taylor & Schneider, 1989). 467

468

Second, simulations are used when attempting to solve open-ended or ill-defined problems, 469 where different possible solution paths need to be mentally evaluated. Using the Means-Ends 470 Problem Solving Test, Sheldon and colleagues (Sheldon, McAndrews, & Moscovitch, 2011) 471 examined the ability of older adults and patients with temporal lobe epilepsy to solve open-472 473 ended social problems. Both of these groups are known to have some degree of impairment on tasks of autobiographical memory (Addis, Moscovitch, & McAndrews, 2007; Levine et 474 al., 2002; St-Laurent, Moscovitch, Levine, & McAndrews, 2009); older adults are also known 475 to show reduced performance on episodic simulation tasks (Addis et al., 2008). It was found 476 that when simulating solutions to ill-defined problems, both groups generated fewer relevant 477 steps than controls. This finding suggests that without full access to episodic memory and the 478

ability to generate detailed simulations, the effectiveness of problem solving is reduced (for
relevant neuroimaging evidence, see Gerlach, Spreng, Gilmore, & Schacter, 2011; Spreng,
Stevens, Chamberlain, Gilmore, & Schacter, 2010).

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Third, recent studies have demonstrated that episodic simulation has a significant impact on 483 temporal discounting of future rewards: when people imagine experiencing a reward in the 484 future, they show an increased tendency to favor rewards that produce greater long-term 485 payoffs, thereby countering the normal tendency to devalue delayed rewards (Benoit, Gilbert, 486 & Burgress, 2011; Peters & Büchel, 2010). Interestingly, fMRI data reveal that these effects 487 of episodic simulation on temporal discounting are associated with increased coupling 488 between activity in the hippocampus and prefrontal regions involved in reward representation 489 (Benoit et al., 2011; Peters & Büchel, 2010). Related studies have shown that varying the 490 manner in which memory is queried can also influence temporal discounting toward long-491 term payoffs when memory queries emphasize the production of patient (vs. impatient) 492 thoughts (Weber et al., 2007). It would be interesting to approach effects of episodic 493 simulation on temporal discounting from the theoretical perspective of query theory 494 (Johnson, Haubl, & Keinan, 2007) and to determine whether the memory-based effects on 495

496 temporal discounting have a similar neural basis to those shown for episodic simulation.497

In order to influence future behaviors and realize these adaptive benefits of simulation, it is 498 499 important that simulations are encoded and maintained in memory (Ingvar, 1985; Szpunar, Addis, & Schacter, in press). There is indirect evidence to support this idea. For instance, 500 individuals tend to act in a way that is consistent with or constrained by how they have 501 imagined themselves in those situations (Johnson & Sherman, 1990), implying that some 502 record of that simulation influences later behavior. There is typically a high correspondence 503 of stated intentions and subsequent behavior (Fishbein & Ajzen, 1980). Consider also 504 prospective memory, where an intention is encoded into memory and later accessed and 505 implemented when triggered by a target event or time cue. It is likely that the intentions 506 involved in prospective memory range in the degree to which they draw upon simulations. 507 Particularly relevant to the idea of episodic simulation is the process of forming 508 "implementation intentions" (Gollwitzer, 1999) which involve imagining and rehearsing a 509 plan with reference to the specific future context in which it will be executed. Research has 510 shown that creating implementation intentions significantly increases the likelihood of 511 carrying out that intention (Chasteen, Park, & Schwarz, 2001; Orbell, Hodgkins, & Sheeran, 512 513 1997), again suggesting that these simulations are not only stored in memory but do influence future behavior. Poppenk and colleagues (Poppenk, Moscovitch, McIntosh, Ozcelik, & Craik, 514 2010) directly investigated the process of encoding intentions, using fMRI to see whether 515 later memory for intentions was associated with hippocampal activity during encoding. They 516 found that successful encoding of intentions engaged the hippocampus, as did the encoding of 517 other forms of information, such as present actions. But unique to the prospective task was 518 the recruitment of frontopolar cortex, consistent with finding that damage to this region 519 results in deficits of prospective memory (e.g., Burgess, Veitch, de Lacy Costello, & Shallice, 520 2000). 521

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523 If the involvement of the hippocampus in future simulation is only to encode imagined

scenarios, then hippocampal damage would not necessarily result in an inability to construct

simulations – just an inability to encode and retain them. There are some data to suggest that

this might be the case (see Table 1). For instance, although children with hippocampal

527 damage can imagine scenarios, when asked to recall them the following day, they do so with

less accuracy and consistency than healthy controls (Cooper et al., 2011). Additionally, adults
with hippocampal damage appear to repeat themselves more than controls when describing
future events, possibly indicative of a failure to sufficiently encode the scenario as it is
constructed (Squire et al., 2010).

532

We conducted an fMRI study (Martin et al., 2011) to investigate whether hippocampal 533 activity during future simulation is indeed related to successful encoding by incorporating the 534 experimental recombination (Addis, Pan, et al., 2009) and subsequent memory (e.g., Wagner 535 et al., 1998) paradigms. During scanning, participants were presented with random 536 537 recombinations of person, location, and object details taken from their own memories and for each set of details, they imagined a novel future event involving all three details. After 538 scanning, participants completed an unexpected cued recall test, in which they were showed 539 two details and had to recall the third. By this design, we had an objective measure of 540 whether the critical details comprising each simulation were successfully encoded. As 541 predicted, successfully encoded simulations were associated with greater activity in the 542 anterior right hippocampus than simulations that were later forgotten. Moreover, the posterior 543 right hippocampus was also modulated by encoding success. A functional connectivity 544 analysis revealed that both the anterior and posterior hippocampus exhibited connectivity 545 with each other and a wider brain network (including medial prefrontal and medial parietal 546 regions) during successful encoding. When encoding was not successful, the posterior 547 548 hippocampus did not show this pattern of connectivity. However, it is interesting to note that during unsuccessful encoding, the anterior region still exhibited connectivity with the wider 549 core network. It is possible that this neural pattern reflects the attempt to construct a 550 simulation, even if it is ultimately not encoded sufficiently to be recalled later. We also 551 found that the imagined events that were later-remembered were on average more detailed 552 that later-forgotten ones, and activity in regions exhibiting an encoding effect was also 553 modulated by the level of detail. Together, these observations suggest that constructing a 554 memorable scenario may be related, at least in part, to how well the composite details were 555 retrieved from memory and recombined. 556

557

558 5. Future directions: mapping component processes to hippocampal regions

559 Considering together the patient and neuroimaging data reviewed here, there appears to be 560 evidence supporting the idea that there are three important component processes involved in 561 the simulation of episodic future events. First, details stored in episodic memory with which 562 to furnish the simulation must be accessed. Second, the details extracted from various 563 memories need to be recombined and integrated into a spatiotemporal context in order imbue 564 a simulation with a sense of coherence. Third, if a simulation is to influence and guide future 565 behaviours, it needs to be successfully encoded into memory. The evidence reviewed herein 566 suggests that these different processes all rely, to some extent, on the hippocampus. It 567 remains an open and important question as to whether different subregions of the 568 hippocampus are specifically associated with specific component processes. While the 569 posterior hippocampus likely supports the retrieval of previously experienced details, 570 particularly those spatial in nature, the anterior hippocampus supports the recombination of 571 extracted details into a coherent scenario, and both regions support successful encoding. 572 573

This framework may be able to inform the debate on whether hippocampal damage disrupts the ability to imagine the future (Maguire & Hassabis, 2011; Squire et al., 2010). It is critical that future research on patients with hippocampal damage employ more refined experimental 577 designs to probe whether detail access, detail recombination and/or encoding of simulations is disrupted. The case study approach may particularly important here. There is considerable 578 variance of performance across patients with hippocampal damage, and it will be important to 579 understand the specific patterns of spared and impaired sub-processes within each case. 580 Moreover, it is likely that the nature and location of damage to the hippocampus is critical. 581 Differential impairments of the construction and/or encoding of future simulations may 582 emerge depending on the nature of the hippocampal damage: whether it is confined to the 583 anterior and/or posterior aspects, affects primarily the right hippocampus, affects the entirety 584 of the structure, or extends beyond its boundaries. Moreover, it will be critical in future 585 586 studies to ascertain whether damage in amnesic patients is restricted to the hippocampus or extends more broadly. 587

588

Another challenge will be to find ways in which to differentiate the process of recombining 589 details to construct a simulation and the encoding of those simulations. These processes are 590 closely related in two ways: cognitively, with more detailed simulations being more 591 successfully encoded; and neurally, with both processes engaging the anterior right 592 hippocampus. As such, they may be difficult to disentangle. One fruitful avenue may be to 593 investigate whether detail recombination and successful encoding are mediated by specific 594 595 hippocampal subfields. The hippocampal formation is a circuit comprised of several anatomically-distinct subregions, including the dentate gyrus, three cornu ammonis 596 597 (CA₁/CA₂/CA₃) areas, and the subiculum. Recent work suggests a functional distinction between the input structures into the hippocampus (dentate gyrus/CA₂/CA₃) and the output 598 (subiculum/CA₁). Specifically, while the input structures appear to be involved in encoding, 599 the output structures may be more involved in binding (Carr, Rissman, & Wagner, 2010). 600 Moreover, the finding that the dentate gyrus is involved in encoding is consistent with the 601 hypothesis that the ability to form temporal associations among new experiences that happen 602 close together in time is ultimately dependent upon the continuous production of new-born 603 granule cells in the dentate gyrus (Aimone, Wiles, & Gage, 2006; Deng, Aimone, & Gage, 604 2010). Extrapolating these findings to the realm of future simulation, it is possible that detail 605 recombination during future simulation may be differentially associated with CA₁/subiculum, 606 and successful encoding with dentate gyrus/CA2/CA3. Recent developments in ultra-high-607 field 7T MRI to obtain exceptionally high resolution images of hippocampal subfield 608 anatomy – including distinct layers within subfields (e.g. Kerchner et al., 2010) – will no 609 doubt facilitate more detailed investigations of the roles of different hippocampal subfields. 610 611

Neuroimaging studies to date suggest there may also be lateralization effects in the 612 hippocampal activity that is differentially associated with future thinking. Specifically, we 613 initially reported that hippocampal activity common to past and future events was evident in 614 the left hippocampus, but that the future>past effect was specific to the right hippocampus 615 (Addis, Wong, et al., 2007). A number of other studies finding future-related activity also 616 report a right lateralization (Addis et al., 2011; Martin et al., 2011; Weiler et al., 2010a, 617 2010b), although some studies report such activity is bilateral (Addis, Pan, et al., 2009). 618 Interestingly, a patient with damage that affected only the right hippocampus exhibited 619 620 difficulties in generating detailed future simulations (Race et al., 2011), suggesting the right hippocampus may indeed be critical to this ability. However, it remains to be determined 621 what specific contribution the right hippocampus might be making to future simulation. 622 623

The research considered here is in an early stage of development. It is only during the past
 few years that studies examining the contribution of the hippocampus to imagining the future

have begun in earnest, and it is clear that much remains to be learned. Further integration of
this new line of work with more firmly established research on hippocampal contributions to
memory encoding and retrieval will be critical to advancing our understanding, as will
integration with animal studies of such related phenomena as prospective coding in the
hippocampus (e.g., Ferbinteanu & Shapiro, 2003; Foster & Wilson, 2006; Johnson & Redish,
2007; for discussion, see Buckner, 2010). We are hopeful that these kinds of studies will
help to increase our understanding of the neural and cognitive processes that link memory

and imagination, and in so doing, provide new insights into how the future depends on the past.

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