### Asthma and the Diversity of Fungal Spores in Air

The Harvard community has made this article openly available. Please share how this access benefits you. Your story matters

<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Published Version</td>
<td>doi:10.1371/journal.ppat.1003371</td>
</tr>
<tr>
<td>Citable link</td>
<td><a href="http://nrs.harvard.edu/urn-3:HUL.InstRepos:11708608">http://nrs.harvard.edu/urn-3:HUL.InstRepos:11708608</a></td>
</tr>
<tr>
<td>Terms of Use</td>
<td>This article was downloaded from Harvard University’s DASH repository, and is made available under the terms and conditions applicable to Other Posted Material, as set forth at <a href="http://nrs.harvard.edu/urn-3:HUL.InstRepos:dash.current.terms-of-use#LAA">http://nrs.harvard.edu/urn-3:HUL.InstRepos:dash.current.terms-of-use#LAA</a></td>
</tr>
</tbody>
</table>
The diversity of fungal spores in air is vast ([1,2], Figure 1), but research on asthma focuses on a handful of easily identified, culturable species. Ecologists are developing new tools to probe communities and identify the full complement of fungi in habitats. These tools may enable identification of novel asthma triggers, but scientists involved in public health or medicine rarely interact with mycologists focused on ecology. With this primer, my aim is to facilitate communication by providing doctors with a basic, modern guide to spores, by teaching mycologists the essential facts of asthma, and by providing patients with a succinct summary of what is known about spores and asthma. By highlighting the use of emerging metagenomics technologies in ecology, I intend to illustrate how these tools might be used to more thoroughly understand the potential diversity of fungi involved in asthma.

**What Is Asthma?**

Asthma is a complex disease without a single cause, defined by its symptoms [3]. Wheezing, chest tightness, and breathlessness are triggered by airway inflammation. The natural histories of asthma are diverse and involve both genetic and environmental parameters. For example, children with variants of the *ADAM33* gene are at risk for asthma [4], while attendance at day care in the first six months of life and the presence of older siblings appear to protect against asthma [5]. Allergies are intimately associated with asthma. Asthma can result from direct inhalation of allergens, but atopic asthmatics are caused by the body’s interaction with allergens outside of the lungs. Asthma is a public health burden, and the prevalence of asthma is increasing [6]. In countries including Australia, Canada, and Peru, over a quarter of 13 to 14-year-old children report wheezing [7], and in 2007, medical expenses associated with asthma cost the United States US$50.1 billion (www.cdc.gov/asthma/). Asthma may count for one in 170,000 spores/m³ in 2 h [17]. However, other sources often make multiple kinds of spores; for example, over the course of its life cycle, a rust pathogen may make one sexual and up to five different types of asexual spores. The numbers involved are staggering. A single mushroom of the giant puffball *Calvatia gigantea* discharges 7 trillion spores, and the smaller *Coprinus sterquilinus* discharges 100 million, though *C. sterquilinus* often grows as clusters of multiple mushrooms [9]. These species are Basidiomycetes. A typical estimate for an Ascomycete is 10 billion spores per plant, taken from the plant pathogen *A. alternata* [10]. Global average abundance is estimated at 1 μg per m³ of air [11]. Statistics on the average number of spores a human breathes are difficult to find, perhaps because spores will be far more abundant in particular habitats (for example, enclosed, damp, poorly ventilated spaces [12]), and fungi will sporulate at greater rates at particular times of year (for example, late summer in temperate climates [13]). One potentially conservative estimate is between 60–60,000 spores per day; the higher number is relevant to moldy buildings or the outdoors [14]. However, no matter the location or season, humans interact with fungi and spores on a daily basis.

**Do Fungal Spores Cause Asthma?**

A wealth of correlative evidence suggests asthma is associated with fungi and triggered by elevated numbers of fungal spores in the environment [15]. Most intriguing are reports of “thunderstorm asthma.” In a now classic study from the United Kingdom, an outbreak of acute asthma was linked to increases in *Dolichella exitialis* ascospores and *Sporobolomyces* basidiospores associated with a severe weather event [16]. Thunderstorms are associated with spore plumes: when spore concentrations increase dramatically over a short period of time, for example from 20,000 spores/m³ to over 170,000 spores/m³ in 2 h [17]. However, other sources consider pollen or pollution as causes of thunderstorm asthma [18]. Transoceanic and transcontinental dust events move large numbers of spores across vast distances and have the potential to "imperfect" because molecular approaches have confirmed deuteromycetes as lineages of Ascomycetes or Basidiomycetes [8]; however, the terms are still found in current asthma literature. Other fungal phyla make spores, including for example the Glomeromycota and Chytridiomycota, but they appear to be a minority component of the biodiversity of air [1,2].

Fungi make spores to disperse to new habitats. A single species often makes multiple kinds of spores; for example, over the course of its life cycle, a rust pathogen may make one sexual and up to five different types of asexual spores. The numbers involved are staggering. A single mushroom of the giant puffball *Calvatia gigantea* discharges 7 trillion spores, and the smaller *Coprinus sterquilinus* discharges 100 million, though *C. sterquilinus* often grows as clusters of multiple mushrooms [9]. These species are Basidiomycetes. A typical estimate for an Ascomycete is 10 million spores per plant, taken from the plant pathogen *A. alternata* [10]. Global average abundance is estimated at 1 μg per m³ of air [11]. Statistics on the average number of spores a human breathes are difficult to find, perhaps because spores will be far more abundant in particular habitats (for example, enclosed, damp, poorly ventilated spaces [12]), and fungi will sporulate at greater rates at particular times of year (for example, late summer in temperate climates [13]). One potentially conservative estimate is between 60–60,000 spores per day; the higher number is relevant to moldy buildings or the outdoors [14]. However, no matter the location or season, humans interact with fungi and spores on a daily basis.

**Why Do Fungi Make Spores? And a Guide to Terminology**

The jargon associated with fungal spores is fantastically diverse, but basic aspects of identification hinge on reproductive mode and identification to phylum. Most species make both sexual and asexual spores. Sexual spores are meiospores and asexual spores are mitospores. Sexual spores of species in the phylum Ascomycota are ascospores, and sexual spores of the phylum Basidiomycota are basidiospores. Asexual spores of either group are often termed conidia, although asexual spores have other names as well. Fungi are no longer classified as “deuteromycetes” or discussed as...
impact public health [19], and similar correlative evidence links dust blown off the Sahara with pediatric emergency room admissions on the island of Trinidad [20]. However, other studies have found no association between asthma and the fungi in Saharan dust [21]. Indoors, asthma symptoms are strongly correlated with exposure to fungi [12,15], for example *Alternaria alternata* in the home [22], but for reasons which may have to do with genetics or circumstances, not all persons are susceptible. Moreover, despite the focus on spores, there is evidence to suggest hyphal fragments will be far more common than spores in indoor environments, and will also play a role in exposure to fungi [23]. Hyphal fragments are typically smaller than spores (<1 μm) and may penetrate lungs more effectively. Correlations between spores and asthma symptoms may reflect a different correlation between spores and hyphal fragments.

When spores trigger asthma, it’s not clear if the spores are provoking an immune response or functioning as small particles that penetrate and irritate the lungs; both mechanisms may be relevant. Immune responses may be caused by allergens bound to the surface of a spore, or perhaps more commonly, by allergens appearing after a spore germinates, when a fungus is growing. The ability of any particular species to provoke asthma may depend on environmental parameters; for example, genes encoding known allergens are more highly expressed in conidia of *Aspergillus fumigatus* grown at lower temperatures [24].

**Which Species Are Associated with Asthma?**

Research on asthma and fungi is often inconsistent, and public health data focus on very few species. A typical count of spores lists *Alternaria*, *Cladosporium*, *Ganoderma*, “other Basidiomycetes,” and “Ascomycetes” (because *Alternaria* and *Cladosporium* are considered as deuteromycetes). The data are being driven by what can be identified visually and counted, and not by the true species

---

**Figure 1. Drawings of spores found in air.** Some are common targets of research on asthma (*Alternaria alternata*), while many are not (*Laccaria laccata*, *Epicoccum nigrum*). A drawing of a very small “dust” seed (*Orchis masculata*) is provided for comparison. A = ascospore, B = basidiospore, M = mitospore. Figure adapted from [30].

doi:10.1371/journal.ppat.1003371.g001
If Identification to Species Matters, Will New Tools Provide Needed Data?

Yes. While establishing a cause and effect between spores and asthma may remain a challenge, metagenomic technologies will establish correlations between the diversity of fungi and asthma more effectively. Ecologists faced a similar dilemma at the start of the molecular revolution: the biodiversity of what can be seen and counted is different from true biodiversity [27]. Ecologists used to count mushrooms to measure numbers of species, but direct sequencing of environmental samples suggests in most ecosystems, richness of air. For example, Packe and Ayres [16] give no data for the ascospores and basidiospores of fungi other than Cladosporium, D. exitialis, and Sporobolomyces, and the species of Cladosporium and Sporobolomyces are not identified. But they had more reason than most authors to focus on this subset of species: workers at the Rothamsted Agricultural research station had identified D. exitialis as particularly abundant in late summer in England and suspected it as a trigger of their own asthma, a suspicion supported by skin tests [25]. But the fungi encompass several million species and all of these make spores, many (even most) of which will be found in the air humans breathe. Different species or spore types may have different surface properties or internal metabolisms, and different potentials as causes of asthma. While logic would dictate a systematic search for triggers grounded in what’s most abundant in the environment [1,2], instead research has focused on easily identified species or spore types.

9. Buller AHR (1922) Researches on fungi volume II: further investigations upon asthma (compare [2,16]). Perhaps profiles of lungs will reveal basidiospores as more common than previously thought, or identify a currently undescribed species as particularly abundant [27]. That undescribed species may emerge as a novel target for research.

As disease prevalence continues to rise, enabling research on the roles of fungi in asthma makes sense. At the moment, there are as many questions as answers. Moreover, humans appear to be reshaping the ecology of the kingdom: elevated CO₂ concentrations appear to stimulate sporulation [10,29], and climate change appears to influence the timing of sporulation [13]. A current hypothesis suggests a connection between global change and the rise of asthma and allergies [10]. There has never been a more relevant moment for interactions between medicine and ecology.