Risk of infections in renal cell carcinoma (RCC) and non-RCC patients treated with mammalian target of rapamycin inhibitors

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Background: Mammalian target of rapamycin (mTOR) inhibitors are used in a variety of malignancies. Infections have been reported with these drugs. We performed an up-to-date meta-analysis to further characterise the risk of infections in cancer patients treated with these agents.

Methods: Pubmed and oncology conferences’ proceedings were searched for studies from January 1966 to June 2012. Studies were limited to phase II and III randomised controlled trials (RCTs) of everolimus or temsirolimus reporting on cancer patients with adequate safety profiles. Summary incidences, relative risks (RRs), and 95% confidence intervals (CIs) were calculated.

Results: A total of 3180 patients were included. The incidence of all-grade and high-grade infections due to mTOR inhibitors was 33.1% (95% CI, 24.5–43.0%) and 5.6% (95% CI, 3.8–8.3%), respectively. Compared with controls, the RR of all-grade and high-grade infections due to mTOR inhibitors was 2.00 (95% CI, 1.76–2.28, \(P<0.001\)) and 2.60 (95% CI, 1.54–4.41, \(P<0.001\)), respectively.

Subgroup analysis found no difference in incidences or risks between everolimus and temsirolimus or between different tumour types (renal cell carcinoma (RCC) vs non-RCC). Infections included respiratory tract (61.7%), genitourinary (29.4%), skin/soft tissue (4.2%), and others (4.9%).

Conclusion: Treatment with mTOR inhibitors is associated with a significant increase in risk of infections. Close monitoring for any signs of infections is warranted.
Giant cell astrocytomas associated with tuberous sclerosis (Krueger et al., 2010) and most recently in combination with exemestane for advanced hormone receptor-positive, HER-2-negative breast cancer (Baselga et al., 2012). Temsirolimus (Torisel, Pfizer, New York, NY, USA) is approved by the FDA for the indication of advanced RCC (Hudes et al., 2007). This class of agents inhibits tumour cell proliferation by first binding to an intracellular protein (FKBP-12), which results in a protein–drug complex with mTOR, thereby inhibiting phosphorylation of p70S6 kinase and S6 ribosomal protein in the PI3 kinase/AKT pathway (Nashan, 2002; Abraham and Gibbons, 2007). This process ultimately leads to the downregulation of hypoxia inducible factor genes, including vascular endothelial growth factor, necessary for cancer cell growth, survival, and angiogenesis (Thomas et al., 2006).

Mammalian target of rapamycin inhibitors do not usually produce the systemic toxicities that are traditionally seen with chemotherapy such as nausea, vomiting, alopecia, and bone marrow suppression. However, as more targeted agents are developed they differ from existing class-specific toxicities are emerging. Trials exploring the safety and efficacy of everolimus and temsirolimus reported some unique side effects including rash, mucositis, infection, pneumonitis, hyperlipidaemia, and hyperglycaemia (Hutson et al., 2008; Ravaud, 2011; Elsen et al., 2012). Specifically, infections were reported in both everolimus and temsirolimus trials as a common side effect, as a cause of treatment disruption, and in some cases led to fatalities (Galanis et al., 2005; Ansell et al., 2008; Krueger et al., 2010; Motzer et al., 2010; Sakaria et al., 2010; Tahirni et al., 2010; Buddle et al., 2012; Choueiri et al., 2013).

The association of infection with mTOR inhibitors and its possible clinical significance warrants further characterisation. We sought out to assess the magnitude of this problem by looking at the incidence and risk of infections in patients receiving mTOR inhibitors in a large, up-to-date meta-analysis of randomised control trials.

**MATERIALS AND METHODS**

**Study selection.** An independent review of the Pubmed databases from January 1966 to June 2012 was conducted. Searches were performed, limited to only human studies, with either the key terms ‘everolimus,’ ‘Afinitor,’ ‘RAD-001’ or ‘temsirolimus,’ ‘Torisel,’ ‘CCI-777.’ Citations investigating mTOR inhibitors in a non-oncological setting were excluded. Potentially relevant abstracts were collected and independently coded by four investigators (MDK, GS, CJR, and TKC). The full texts of the selected abstracts were obtained and analysed for appropriate trial design and safety reporting. Only randomised phase II or III studies with a placebo/control arm were used in order to properly calculate relative risk (RR). The Jadad scale was used to assess study quality based on study randomisation, double-blinding practice, and handling of withdrawals (Jadad et al., 1996). When more than one publication was drawn from the same clinical trial, the most recent or most complete report was used. The most recent package insert for each agent was assessed for the most current clinical information, and the manufacturer and/or the overall investigator was contacted to obtain clarification on infection information. The process was duplicated using the same search terms and limitations in the American Society of Clinical Oncology online databases of meeting abstracts from 2000 to 2012.

**Data extraction.** Data extraction was conducted according to the Preferred Reporting Items for Systemic Reviews of Meta-Analyses statement (Moher et al., 2009). Any discrepancies between reviewers’ classifications of publications were resolved by consensus. The following information was extracted from each study: first author’s name, year of publication, trial phase, underlying malignancy, number of enrolled patients, treatment arms, median age and range, median treatment duration and range, median overall survival and 95% confidence interval (CI), number of patients available for analysis, number of all-grade infections attributed to study drug, and number of high-grade infections attributed to study drug. All-grade and high-grade infections were defined according to the National Cancer Institute Common Toxicity Criteria version 3.0 in all trials used for the analysis.

**Statistical analysis.** We extracted the number of patients with all-grade and high-grade infections and the number of patients who were treated with mTOR inhibitors or control from the selected clinical trials to calculate incidence. The proportion of patients with all-grade infections and 95% CIs were derived from each trial. RRs and 95% CIs were also calculated by comparing the incidence of all-grade infection in patients assigned to mTOR inhibitors with the incidence among controls in the same trial. For studies reporting zero events in a treatment or control arm, we applied a classic half-integer continuity correction to calculate the RR and variance. We then repeated this for high-grade infections.

Statistical heterogeneity among trials included in the meta-analysis was evaluated using Cochrane’s $Q$ statistic (Cochran, 1954), and inconsistency was quantified with the $I^2$ statistic that estimates the percentage of total variation across studies due to heterogeneity rather than chance (Higgins et al., 2003). The assumption of homogeneity was considered invalid for $P$-values < 0.1. To calculate the summary incidence or RR of all-grade or high-grade infections, we combined trial-specific estimates using random-effects or fixed-effects models depending on the heterogeneity of included trials. When no substantial heterogeneity among trials was found, the summary estimate calculated on the basis of the fixed-effects model was reported by using the inverse variance method. When substantial heterogeneity among trials was observed, the summary estimate calculated on the basis of the random-effects model was reported by using the DerSimonian and Laird (1986) method that considers both within-study and between-study variations. For trials with multiple treatment groups examining varying doses of mTOR inhibitors, we combined the treatment groups for the overall analysis.

To explore the possible reasons for the heterogeneity, we conducted meta-regression analyses to see whether there was a variation in risk estimates by type of drug, underlying malignancy, type of trial, and Jadad score. In addition, we conducted sensitivity analyses by omitting one study at a time to see the influence of each trial on the overall effect estimate. Finally, publication bias was evaluated through funnel plots (that is, plots of study results against precision) and with the Begg’s and Egger’s test (Begg and Mazumdar, 1994; Egger et al., 1997). A two-tailed $P$-value of < 0.05 was considered statistically significant. All statistical analyses were performed using Stata SE version 12.0 software (Stata Corporation, College Station, TX, USA).

**RESULTS**

**Population characteristics.** Our initial search yielded a total of 366 potentially relevant abstracts in Pubmed, with 309 abstracts searching for everolimus studies and 57 abstracts searching for temsirolimus studies. Two hundred and thirty-five everolimus studies were immediately excluded for not being oncological studies. One study from the temsirolimus search was excluded for not relating to an mTOR-inhibiting drug. Subsequently, an additional 118 studies were excluded for one of the following reasons: non-randomised trials, phase I trials, commentaries, review articles, editorials, letters, or only study design reporting. Four everolimus studies were excluded for being duplicates or subgroup-only analyses of previously reported trial data (Motzer...
292 patients or 61.7% of all infections due to mTOR inhibitors. The most common were respiratory tract infections, representing studies that reported on the underlying type of infection (infection was not otherwise specified in the studies). Of the six incidence of high-grade infections. There were 262 (35.6%) CI, 10.2–22.8%) for all-grade infections and was 1.6% (95% CI, 0.8–3.1%) for high-grade infections.

Inclusion criteria typically required that patients had good adequate bone marrow, hepatic, pulmonary, and renal functions. Overall incidence and types of infection. All mTOR inhibitor treatment arms were included in the analysis to calculate the overall incidence of infections. All-grade infections due to mTOR inhibitors occurred in 735 patients, representing an incidence of 33.1% (95% CI, 24.5–43.0%), whereas high-grade infections occurred in 100 patients, representing an incidence of 5.6% (95% CI, 3.8–8.3%). When subgrouped by drug type, the incidence of all-grade infections was 27.1% (95% CI, 16.5–41.0%) for everolimus and 41.8% (95% CI, 26.6–58.7%) for temsirolimus. For high-grade infection, the incidence for everolimus was 4.5% (95% CI, 2.3–8.6%) and the incidence for temsirolimus was 7.1% (95% CI, 5.2–9.7%). The incidence for the placebo/control arm was 15.5% (95% CI, 10.2–22.8%) for all-grade infections and was 1.6% (95% CI, 0.8–3.1%) for high-grade infections.

All of the studies used in the meta-analysis documented the rates of both all-grade and high-grade infections, with the exception of one temsirolimus study that did not indicate the incidence of high-grade infections. There were 262 (35.6%) reported infections on mTOR inhibitors in which the type of the infection was not otherwise specified in the studies. Of the six studies that reported on the underlying type of infection (N = 473), the most common were respiratory tract infections, representing 292 patients or 61.7% of all infections due to mTOR inhibitors.

Genitourinary infections (29.4%), skin/soft tissue infections (4.2%), sepsis (1.5%), and gastrointestinal infections (0.2%) were the other infections that were reported in the studies (Table 1). Fungal infections, including candida (1.3%), aspergillosis (0.4%), and others (0.2%) were reported in the studies. Viral infections, including herpes (1.1%) and hepatitis (0.2%), and parasitic infections (0.2%) were also reported (Table 1). Some of these infections were severe and led to the most common cause of fatal adverse events in the trials (Choueiri et al., 2013).

RRs of infections and subgroup analysis. All eight trials (five everolimus + three temsirolimus) reported the incidence of all-grade infections and seven trials (five everolimus + two temsirolimus) reported the incidence of high-grade infections, which were used to calculate the RRs of infections associated with mTOR inhibitor use.

The overall RR of developing an all-grade infection for mTOR inhibitors vs control was 2.00 (95% CI, 1.76–2.28, P < 0.001). No significant heterogeneity was found among the trials (Q = 5.02, I² = 0.0%, P = 0.658; Figure 2). By drug type, everolimus-treated patients (5 trials, 1312 patients) had an increased risk of all-grade infection, with a RR of 2.14 (95% CI, 1.91–2.33, P < 0.001), and temsirolimus-treated patients (3 trials, 612 patients) had an increased risk of all-grade infection, with a RR of 1.04 (95% CI, 0.48–2.22, P < 0.001). Comparing the RRs of everolimus with temsirolimus demonstrated no significant difference (P = 0.26; Table 2).

When considering only high-grade infection events, the overall RR for mTOR inhibitors vs control was 2.60 (95% CI, 1.54–4.41, P < 0.001). No significant heterogeneity was found among the studies reporting high-grade infections (Q = 7.41, I² = 19.1%, P = 0.284) (Figure 2). By drug type, everolimus-treated patients (5 trials, 1312 patients) had an increased risk of high-grade infection, with a RR of 3.63 (95% CI, 1.60–7.94, P = 0.001). Temsirolimus-treated patients (2 trials, 524 patients) tended to have an increased risk of a high-grade infection, but the RR for temsirolimus vs control (RR = 1.97; 95% CI, 0.97–4.03, P = 0.062) did not reach a level of statistical significance because of smaller numbers. No significant differences were observed when comparing the RRs of high-grade infection between everolimus and temsirolimus (P = 0.35; Table 2).

To determine whether the observed increases in RRs of all-grade and high-grade infections were influenced by tumour type, we performed a subgroup analysis of RCC, the most commonly occurring malignancy (3 studies and 1197 total patients or 37.6% of all patients), vs all other malignancies. The RR of all-grade infection in patients treated with RCC was 1.84 (95% CI, 1.53–2.21; P < 0.001), whereas the RR of all-grade infection in patients with other malignancies (five trials) was 2.18 (95% CI, 1.82–2.60; P < 0.001). No significant difference was observed in RRs of all-grade infection between the patients with RCC or non-RCC (P = 0.25). Similarly, the RR of high-grade infection in patients with RCC treated with mTOR inhibitors (two trials) was 2.76 (95% CI, 1.31–5.81; P = 0.007). For non-RCC patients (five trials), the RR of all-grade infection was 2.46 (95% CI, 1.16–5.19; P = 0.018). No difference was found when comparing the RRs of high-grade infection in patients having RCC with other malignancies (P = 0.63; Table 2).

Study quality. Randomised treatment allocation sequences were generated in all trials used in the analysis. All five everolimus trials were double-blinded, placebo-controlled, and of highest quality achieving the highest Jadad score of 5. All three temsirolimus trials were not double-blinded nor placebo-controlled, but they did all have active treatment controls. All studies were of good methodological quality according to the 5-point Jadad score (all trials ≥ 3). To further assess study quality, we also compared the RRs of all-grade and high-grade infection among phase II vs phase III trials. There were no statistically significant differences between

et al, 2008; Beaumont et al, 2011; Calvo et al, 2011; Tsukamoto et al, 2011). The remaining eight trials, including five studies with everolimus (Baselga et al, 2009; Motzer et al, 2010; Pavel et al, 2011; Yao et al, 2011; Baselga et al, 2012) and three studies with temsirolimus (Hudes et al, 2007; Hess et al, 2009; Negrier et al, 2011), were selected for the meta-analysis. Of the eight selected trials, six were phase III and two were phase II trials as shown in the selection process outlined in Figure 1. The baseline characteristics of each trial are presented in Table 1. There were 2233 patients from the everolimus trials and 947 patients from the temsirolimus trials for a total of 3180 patients available for safety analysis. The selected trials investigated patients with RCC (Hudes et al, 2007; Motzer et al, 2010; Negrier et al, 2011), hormone receptor-positive breast cancer (Baselga et al, 2009, 2012), PNET (Yao et al, 2011), all types of neuroendocrine tumours (Pavel et al, 2011), and mantle cell lymphoma (Hess et al, 2009) (Table 1). Inclusion criteria typically required that patients had good performance status and no active baseline infection, as well as adequate bone marrow, hepatic, pulmonary, and renal functions.
Table 1. Baseline characteristics of the included trials in the meta-analysis

<table>
<thead>
<tr>
<th>Author, year</th>
<th>Phase</th>
<th>Histology</th>
<th>Patients enrolled</th>
<th>Treatment arms/ dose</th>
<th>No. of infections as a result of study drug</th>
<th>Median OS (range) (months)</th>
<th>Median PFS (range) (months)</th>
<th>Median treatment duration (range) (months)</th>
<th>Patients for safety analysis</th>
<th>Patients for the meta-analysis</th>
<th>Baseline characteristics of the included trials in the meta-analysis</th>
</tr>
</thead>
<tbody>
<tr>
<td>Baserga et al., 2012</td>
<td>2/4</td>
<td>BC</td>
<td>2481</td>
<td>Everolimus 10 mg QD + exemestane 25 mg QD</td>
<td>11 (15)</td>
<td>Not reached</td>
<td>Not reached</td>
<td>Not reported</td>
<td>241</td>
<td>24</td>
<td>Reported all-grade infections: Resp, GU, sepsis, candida, NOS</td>
</tr>
<tr>
<td>Baselga et al., 2009</td>
<td>2/4</td>
<td>BC</td>
<td>482</td>
<td>Everolimus 10 mg QD + exemestane 25 mg QD</td>
<td>11 (23)</td>
<td>Not reached</td>
<td>Not reached</td>
<td>Not reported</td>
<td>241</td>
<td>24</td>
<td>Reported all-grade infections: Resp, GU, sepsis, candida, NOS</td>
</tr>
<tr>
<td>Meister et al., 2010</td>
<td>2/4</td>
<td>NET</td>
<td>482</td>
<td>Everolimus 10 mg QD + exemestane 25 mg QD</td>
<td>11 (23)</td>
<td>Not reached</td>
<td>Not reached</td>
<td>Not reported</td>
<td>241</td>
<td>24</td>
<td>Reported all-grade infections: Resp, GU, sepsis, candida, NOS</td>
</tr>
<tr>
<td>Pavel et al., 2011</td>
<td>2/4</td>
<td>NET</td>
<td>410</td>
<td>Everolimus 10 mg QD + Octreotide 30 mg Q28D</td>
<td>11 (23)</td>
<td>Not reached</td>
<td>Not reached</td>
<td>Not reported</td>
<td>241</td>
<td>24</td>
<td>Reported all-grade infections: Resp, GU, sepsis, candida, NOS</td>
</tr>
<tr>
<td>Hess et al., 2009</td>
<td>2/4</td>
<td>MCL</td>
<td>162</td>
<td>Temsirolimus 175 mg followed by 75 mg QW</td>
<td>11 (23)</td>
<td>Not reached</td>
<td>Not reached</td>
<td>Not reported</td>
<td>241</td>
<td>24</td>
<td>Reported all-grade infections: Resp, GU, sepsis, candida, NOS</td>
</tr>
<tr>
<td>Hudes et al., 2009</td>
<td>2/4</td>
<td>RCC</td>
<td>429</td>
<td>Temsirolimus 25 mg QW + INF-a 6 mIU TIW</td>
<td>11 (23)</td>
<td>Not reached</td>
<td>Not reached</td>
<td>Not reported</td>
<td>241</td>
<td>24</td>
<td>Reported all-grade infections: Resp, GU, sepsis, candida, NOS</td>
</tr>
<tr>
<td>Neiser et al., 2011</td>
<td>2/4</td>
<td>PNET</td>
<td>421</td>
<td>Temsirolimus 25 mg QW + INF-a 6 mIU TIW</td>
<td>11 (23)</td>
<td>Not reached</td>
<td>Not reached</td>
<td>Not reported</td>
<td>241</td>
<td>24</td>
<td>Reported all-grade infections: Resp, GU, sepsis, candida, NOS</td>
</tr>
</tbody>
</table>
the phase subgroups for either grade (all-grade $P = 0.33$; high-grade $P = 0.57$). To assess the influence of each study on the overall RRs of all-grade and high-grade infection, we performed a sensitivity analysis by omitting one study at a time and found that there were no studies that had a significant impact on the overall RR.

Finally, we attempted to assess the possible association between risk of infection and increased exposure to mTOR inhibitors. In convention with other studies, we calculated the mean of the median durations of therapy as a cut-off, which was found to be 5.8 months. When comparing the RRs of all-grade and high-grade infections due to mTOR inhibitors amongst long-coursed trials

**Table 2. Incidences and relative risks of all-grade and high-grade infections associated with mTOR inhibitors**

<table>
<thead>
<tr>
<th></th>
<th>No. of infection/No. of subjects</th>
<th>Incidence</th>
<th>Relative risk (95% CI)</th>
<th>Test for heterogeneity</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Number of studies</td>
<td>mTOR inhibitors</td>
<td>Placebo</td>
<td>mTOR inhibitors</td>
</tr>
<tr>
<td>All grade</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Overall</td>
<td>8</td>
<td>735/1924</td>
<td>218/1256</td>
<td>33.1% (24.5–43.0)</td>
</tr>
<tr>
<td>Everolimus</td>
<td>5</td>
<td>470/1312</td>
<td>136/921</td>
<td>27.1% (16.5–41.0)</td>
</tr>
<tr>
<td>Temsirolimus</td>
<td>3</td>
<td>265/612</td>
<td>82/335</td>
<td>41.8% (26.6–58.7)</td>
</tr>
<tr>
<td>High grade</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Overall</td>
<td>7</td>
<td>100/1836</td>
<td>17/1174</td>
<td>5.6% (3.8–8.3)</td>
</tr>
<tr>
<td>Everolimus</td>
<td>5</td>
<td>63/1312</td>
<td>8/921</td>
<td>4.5% (2.3–8.6)</td>
</tr>
<tr>
<td>Temsirolimus</td>
<td>2</td>
<td>37/524</td>
<td>9/253</td>
<td>7.1% (5.2–9.7)</td>
</tr>
</tbody>
</table>

Abbreviations: CI = confidence interval; mTOR = mammalian target of rapamycin; No. = number.
incidence in our analysis (37% everolimus-treated RCC patients, which was similar to the incidence of mTOR inhibitors). After reporting the incidence of infection in the RECORD-1 Study Group subsequently published recommendations for the management of infections and other adverse events that the true incidence of these infections could be widely underestimated. Indeed, the true incidence of infections than patients on studies with shorter treatment durations (P > 0.05 for all-grade and high-grade). The findings do not support the association of infection risk and cumulative exposure; however, information on the time of occurrence of infection and individual data points on treatment duration may be needed to properly investigate the association.

Despite the size of this meta-analysis, our study has several limitations. First, we only had access to the available data published in the clinical trials, so there were patient variables that were not known, such as co-morbidities, previous treatment exposure, concomitant medications, and dose interruptions. Second, patients in trials have adequate organ and haematological function, which may not be the case in common oncology practice. It is conceivable that the true incidence and risk of treatment-related adverse effects is higher in actual practice. Third, not all of the included studies were double-blinded, but blinding is not always possible with parenteral administration. Although some of the included studies were not blinded, they were all of good methodological quality. Lastly, and despite our attempts, the reported safety data did not disclose the specific aetiologies of all the infections that occurred.

In conclusion, the mTOR inhibitors everolimus and temsirolimus are associated with an increased risk of all-grade and high-grade infections. These targeted agents are of great clinical benefit in various malignancies and the benefits outweigh the risks in the vast majority of cases, and thus their FDA approval. However, the immediate detection and effective management of the potential bacterial, viral, and fungal infections that can occur with these agents is crucial for optimal patient outcomes.

**ACKNOWLEDGEMENTS**

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**DISCLAIMER**

GS: Speaker’s bureau for Novartis, GSK; Advisory board for Novartis, Pfizer. DYCH: Advisory board/consultancy, Pfizer, Novartis, Bayer/Onyx. TKC: Advisory Board, Pfizer, GSK, Novartis, Aveo, Genentech, Bayer/Onyx. The remaining authors declare no conflict of interest.

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Risk of infections and mTOR inhibitors in cancer patients


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