Current evidence and applications of photodynamic therapy in dermatology

Abstract: In photodynamic therapy (PDT) a photosensitizer – a molecule that is activated by light – is administered and exposed to a light source. This leads both to destruction of cells targeted by the particular type of photosensitizer, and immunomodulation. Given the ease with which photosensitizers and light can be delivered to the skin, it should come as no surprise that PDT is an increasingly utilized therapeutic in dermatology. PDT is used commonly to treat precancerous cells, sun-damaged skin, and acne. It has reportedly also been used to treat other conditions including inflammatory disorders and cutaneous infections. This review discusses the principles behind how PDT is used in dermatology, as well as evidence for current applications of PDT.

Keywords: photodynamic therapy, skin cancer, actinic keratosis, acne, aminolevulinic acid, methylaminolevulinate

Introduction

Photodynamic therapy (PDT) is a therapeutic method used with increasing frequency in dermatology. In the US, PDT is approved for the treatment of thin actinic keratoses, but off-label uses continue to increase. The concept of PDT is deceptively simple, in that it requires only three ingredients, ie, a photosensitizer, a light source, and oxygen. The therapeutic effect is achieved by light activation of a photosensitizing agent, and in the presence of oxygen, reactive oxygen intermediates are formed. These intermediates irreversibly oxidize essential cellular components, causing apoptosis and necrosis. PDT is safe and effective, and produces excellent cosmetic results with few adverse effects. It has the advantage of allowing application to multiple lesions. Pain, edema, erythema, pigmentation, and pustules are among the main complications, with the most common complaint being pain during delivery of treatment. In this paper, we review the evidence for current applications of PDT in dermatology.

History

At the beginning of the 20th century, Oscar Raab, a medical student, serendipitously discovered the toxic effect of cumulative acridine orange (a photosensitizer) and light on Paramecium caudatum cells. He confirmed his findings by testing the components individually, with no apparent damaging effect on the protozoa separately. His professor, Von Tappeiner, worked in collaboration with Jesionek, a dermatologist, on the first clinical trial, which was conducted in 1903 using eosin and light to treat the cutaneous manifestations of diseases such as condylomata lata, lupus vulgaris, psoriasis, stage II syphilis, and non-melanoma skin cancer. Von Tappeiner referred to this as “photodynamic therapy”. Despite this breakthrough, PDT was not widely used until...
the mid 1970s. For example, in 1975, Thomas Dougherty discovered that administration of a hematoporphyrin derivative and red light obliterated mammary tumor growth in mice.¹⁰

**Mechanism**

PDT requires three essential components: a photosensitizer, a light source, and oxygen. The photosensitizer is a molecule that localizes to the target cell and/or tissue and can only be activated by light. When the photosensitizer is exposed to specific wavelengths of light, it becomes activated from a “ground state” to an “excited state” (Figure 1). As it returns to the ground state, there are two ways in which the energy released can mediate selective cell killing. First, it can react with the substrate to form radicals, which further interact with oxygen to produce oxygen free radicals (type I reaction). Or the energy can be directly transferred to oxygen to form singlet oxygen (¹O₂), a subset of reactive oxygen species, which then oxidizes various substrates (type II reaction) and thus mediates selective cell killing.³ Type II photochemical reactions are thought to predominate in PDT.¹¹ Damage to surrounding healthy tissue can be minimized by modifying the selectivity of uptake and directing the duration and depth of the light source. Selectivity of PDT is determined by several factors, including: uptake of the photosensitizer into target cells/tissue; metabolism of the agent to its active form; and penetration and selectivity of the light source. Given these variables, the clinical reaction for each individual can be difficult to predict.

Phototoxic effects occur through intracellular localization of the photosensitizer and surrounding immunologic effects. Porphyrins are mainly localized in mitochondria, that lead to apoptosis or necrosis upon light exposure.²⁻⁴ Immune-specific responses include production of interleukin 1-beta, interleukin 2, tumor necrosis factor-alpha, and granulocyte colony-stimulating factor. PDT generally has a low potential for causing DNA damage, mutation, or carcinogenesis.¹⁵

**Clinical delivery**

**Photosensitizers**

There are many types of photosensitizers available and several routes (topical, oral, or intravenous) by which they can be delivered to the patient. Currently, the only photosensitizers approved by the US Food and Drug Administration for dermatologic indications are aminolevulinic acid (ALA) and methyl aminolevulinate (MAL). Both drugs are prodrugs that require conversion to porphyrin. After topical application of the photosensitizer, an “occlusion time” is permitted for the drug to be metabolized and accumulate porphyrins before light activation. Ultimately, porphyrin serves as the photosensitizer.

Most cells in the human body can metabolize ALA or MAL into photoactivatable porphyrins, in particular protoporphyrin IX, but the levels vary between tissue and cell types. For example, porphyrins accumulate mostly in sebaceous glands and in the epidermis and preferentially in dysplastic cells and hyperproliferative tissue.¹⁶⁻¹⁸ The main barrier to the absorption of photosensitizers is the stratum corneum.¹⁹ ALA crosses the cell membrane, and conversion to protoporphyrin IX occurs intracellularly. However ALA esters, such as MAL, are first hydrolyzed to ALA in

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Figure 1 Schema of a photochemical reaction during photodynamic therapy. Absorption of photons from a light source results in energy transfer to the photosensitizer. The photosensitizer moves from a ground state to an excited state. The energy released (as it returns to ground state) mediates cellular cytotoxicity.
the cytosol. ALA is then converted to protoporphyrin IX physiologically as part of the heme cycle. This extra conversion is thought to result in a delay in onset of MAL photosensitization, and therefore the incubation period for MAL needs to be longer. Additional factors, such as temperature, also affect the efficiency of protoporphyrin IX production in that higher temperatures facilitate conversion. Quenching of the existing photosensitizer is known as photobleaching, which results in what is visualized as fluorescence. For instance, using a camera with a filter for excitation (415 nm) and a filter for emission (635 nm) allows detection of the amount of photosensitizer in the tissue (Figure 2). The intensity of the measured fluorescence represents photosensitizer uptake and, correspondingly, can predict PDT tissue damage. In the future, utilization of such technology may help clinicians to determine the parameters for PDT (for example, length of incubation or fluence delivered from the light source).

Aminolevulinic acid
The only approved indication for ALA (Levulan®, DUSA Pharmaceuticals Inc) is for the treatment of hypertrophic actinic keratoses on the face and scalp in combination with blue light in North America. In Europe, a patch containing ALA (Alacare®, Spirig Pharma AG, Egerkingen, Switzerland) and a gel formulation ALA with nanoemulsion (Ameluz®, Spirit Healthcare Ltd, Oadby, UK) are also licensed for treatment in combination with red light. ALA is unstable as an aqueous formulation because it has low lipid solubility, limiting its ability to penetrate through skin or cell membranes and thus restricting its use in PDT to superficial disease. One study demonstrated that ALA was able to penetrate up to 2 mm deep in nodular basal cell carcinoma (BCC). Novel preparations of ALA, particularly the nanoscale vesicle formulation, have been shown to chemically stabilize the drug and increase liposomal penetration. For instance, nanoemulsion/nanocolloidal formulations of this drug have been observed to be superior to methyl ester methyl aminolevulinate in the treatment of actinic keratoses (complete clearance 78.2% versus 64.2%, respectively) and field cancerization.

Methyl aminolevulinate
A derivative of ALA, methyl ester methyl aminolevulinate, MAL (Metvixia®, Galderma SA, Lausanne, Switzerland) is available in a cream form and is approved in the US for the treatment of nonhyperkeratotic actinic keratoses of the face and scalp in immunocompetent patients. MAL (Metvix®, Galderma SA) is also approved in several European countries, New Zealand, and Australia for both superficial and nodular BCC. MAL is also approved in Europe for squamous cell carcinoma (SCC) in situ, also known as Bowen’s disease, when surgical excision is considered less appropriate, including for cosmetic purposes.

MAL is reported to have increased lipophilicity and deeper skin penetration when compared with ALA. However, there was no statistically significant difference in efficacy between ALA and MAL in the treatment of nodular BCC in one small pilot study or in the treatment of actinic keratosis.
in another randomized trial. In theory, MAL may be more selective than ALA in its affinity for lipophilic environments such as sebum, and thus would be predicted to have greater efficacy in the treatment of acne.

Other ALA derivatives are the subject of ongoing research. Long-chain esters, such as heptyl esters, are capable of achieving the same protoporphyrin IX fluorescence induction at much lower concentrations than pure ALA. Further, several new photosensitizers (hypericin, indocyanine green, and indole-3-acetic acid) have been assessed in cutaneous oncologic diseases and are in the commercial pipeline.

**Light source and methods of delivery**

The range of light sources is virtually limitless, and includes broad-spectrum continuous-wave light sources (blue, red, green light), incoherent polychromatic sources (gas discharge lamps, light-emitting diodes) or coherent monochromatic sources (intense pulsed light [IPL], potassium titanyl phosphate lasers, pulsed dye lasers, infrared lasers), PDT, photodynamic technology, and daylight have all been studied.

To generate a therapeutic effect, the spectral output of the light source should correspond to the excitation peaks of the photosensitizer. Protoporphyrin IX has two important peaks, 404–420 nm and 635 nm in the blue (Soret band) and red wavelength regions of the visible spectrum, respectively. The US Food and Drug Administration has approved the Blu-U® (Blue Light Photodynamic Therapy Illuminator Model 4170, DUSA Pharmaceuticals Inc, Wilmington, MA, USA) device, which emits blue wavelengths of the light spectrum for use with ALA and other devices, including the red light spectral output to be used in conjunction with MAL. The depth of light penetration into the skin increases with longer wavelengths. Although blue light allows sufficient tissue penetration for the treatment of thin actinic keratoses, red light penetrates deeper and is more effective for the treatment of thicker lesions and deeper targets such as the sebaceous gland.

In comparison trials, employing continuous wave light sources is more efficacious than pulsed light sources. Increasingly, white light sources, including direct sunlight, have also been utilized. In one careful study of MAL-PDT in the treatment of actinic keratoses, with long-term follow-up, a light-emitting diode was the more effective light source as compared with white light. Nonetheless, an interesting avenue of research involves utilizing sunlight as the light source, which has the advantage of being accessible and does not occupy office space. The main advantage of using sunlight is the reduction in pain, given that photoactivation takes place over a longer period of time. Recent studies suggest that sunlight is effective as a light source for the treatment of actinic keratoses.

Preparatory steps, particularly in the treatment of hypertrophic actinic keratoses, can improve photosensitizer uptake. The most recent studies include use of keratolytics, curettage/debulking, tape stripping, microdermabrasion, and laser ablation. One split-face study demonstrated slightly superior efficacy and long-term improvement of actinic keratoses when using microneedling as a delivery system. Use of microneedling in SCC in situ and BCC failed to demonstrate either increased fluorescence uptake or clinical superiority. Nonetheless, SCC in situ and BCC are lesions that may not derive additional benefit from surface preparation, given their already compromised surface. Another study from Denmark demonstrated that use of a fractionated CO₂ laser and PDT was significantly more effective than PDT alone at 3-month follow-up for all grades of severity in actinic keratosis.

**Contraindications and side effects**

Contraindications to PDT include a nonresponsive tumor, a history of porphyria, systemic lupus erythematosus, photosensitive dermatoses, and allergy to the active ingredients in the photosensitizer, which is considerably rare.

Common side effects of PDT include erythema, edema, itching, epithelial exfoliation, pustules, and post-inflammatory hyperpigmentation, especially for Fitzpatrick skin prototype IV–V. The most common complaint from patients is pain during administration of phototherapy. Some pain studies have indicated that ALA induces more pain as compared with MAL, while others have assessed the pain to be similar between the two photosensitizers.

**PDT in neoplastic disease**

**Actinic keratoses**

Actinic keratoses are rough, scaly lesions in chronically ultraviolet-exposed areas which can progress to SCC. Most SCCs arise from actinic keratoses, and various progression rates have been reported. These lesions often occur in multiples and are generally associated with alteration of surrounding skin (field) in a phenomenon known as “field cancerization”. Topical 5-fluorouracil (5%, 1%, 0.5%), imiquimod cream (5%, 3.75%), ingenol mebutate (0.05%, 0.015%), diclofenac sodium gel 3% with 2.5% hyaluronic gel, topical retinoids, chemical peels, lasers (ablative resurfacing with carbon dioxide) or erbium:yttrium aluminum garnet (YAG) and PDT are aimed at treating multiple lesions.
Studies on the treatment of actinic keratoses are notoriously difficult to perform. Quantitation of actinic keratoses before and after treatment is hindered by the fact that actinic keratoses can appear and disappear spontaneously. The literature on PDT for actinic keratoses is daunting, but convincingly, ALA-PDT is an effective treatment for actinic keratoses, with an excellent cosmetic outcome, especially compared with 5-fluorouracil and cryotherapy.57 One recent meta-analysis, which included 32 publications, found that ALA-PDT (blue light: relative risk [RR] 6.22, red light: RR 5.94,) or MAL-PDT (red light: RR 4.46,) was superior to placebo-PDT for treatment of individual lesions. Based on participant-observed complete clearance in eight interventions, efficacy from most effective to least effective was 5-fluorouracil > ALA-PDT - imiquimod - ingenol mebu-tate – MAL-PDT > cryotherapy > diclofenac > placebo.58

ALA-PDT with blue light is the standard treatment of actinic keratosis, but multiple protocols exist. At our institution, ALA is applied for a one-hour incubation under occlusion prior to blue light exposure (10 J/cm2). The use of a 5-ALA self-adhesive patch may eliminate discrepancies in ALA application. Red light has also been used for the treatment of actinic keratoses. One randomized controlled study found that complete clearance of actinic keratoses 8 weeks after treatment occurred with 4-hour incubation of the self-adhesive ALA patch (86% of actinic keratoses lesions) and red light therapy. Shorter incubation times at 2 hours (73%), one hour (72%), and half an hour (51%) proved to be subpar.59

In one Phase III study, the 5-ALA patch-PDT without crust removal proved superior (82% and 89%) to placebo-PDT (19% and 29%, P<0.001) and cryosurgery (77%) in improvement of actinic keratosis lesions on the scalp.60 Use of PDT has evolved from its application as monotherapy to an adjunct with other treatments. The value of sequential treatment with MAL-PDT and imiquimod was investigated in a randomized trial (n=105). Better response rates were seen for combination treatment than for either monotherapy; however, the difference in response was statistically significant only for the comparison between combination therapy and MAL-PDT monotherapy.61

Squamous cell carcinoma

Management of SCC is categorized by metastatic potential, ie, low-risk cutaneous SCC or high-risk (aggressive) cutaneous SCC, where surgical excision is the gold standard for the latter. Current therapies for SCC include surgical excision (Mohs surgery), cryotherapy, electrosurgery, topical treatments (5-fluorouracil and imiquimod), radiation therapy, and PDT. PDT is only recommended for treatment of SCC in situ, and not for the treatment of invasive SCC.62

PDT demonstrated superior efficacy and less scarring in the treatment of SCC in situ when compared with cryotherapy or 5-fluorouracil in a Cochrane review (n=363).63 In particular, ALA-PDT appeared to have greater efficacy than 5-fluorouracil but MAL-PDT was not demonstrated to be superior over 5-fluorouracil. Also, there was no difference in recurrence rates at 12 months with either MAL-PDT or ALA-PDT when compared with 5-fluorouracil.64 There are no randomized controlled trials directly comparing treatment with ALA-PDT versus MAL-PDT. The efficacy of PDT for SCC in situ was illustrated by a trial in which 225 patients were randomly assigned to two treatments of MAL-PDT (160 mg/g) with red light (570–670 nm, 75 J/cm2), PDT using a placebo, or conventional treatment (either cryotherapy or topical 5-fluorouracil). The lesion complete response rate at 12 months showed that MAL-PDT was superior to cryotherapy (80% versus 67%; odds ratio 1.77) and also better than 5-fluorouracil (80% versus 69%; odds ratio 1.64). Cosmesis at follow-up was excellent in 94% of patients treated with MAL-PDT versus 66% with cryotherapy and 76% with 5-fluorouracil. However, lesion recurrence rates at 12 months were similar with MAL-PDT, cryotherapy, and topical 5-fluorouracil (15%, 21%, and 17%, respectively).65 There was also no significant difference in efficacy between MAL-PDT and 5-fluorouracil in the treatment of SCC in situ in immunosuppressed individuals.66

Several studies have looked at varying light sources. An observational study (n=53), using MAL-PDT, occlusion for 3 hours, and red light (630 nm, 38 J/cm2, 7.5 minutes) demonstrated that 76% of the lesions achieved a complete response after two sessions with a medium follow-up of 16.6 months.62 There appears to be no superiority of ALA-PDT with two-fold illumination (light treated at 4 and 6 hours with 20 and 80 J/cm2) versus single illumination (light treated at 4 hours with 75 J/cm2).67,68 There was also no statistically significant difference between ALA-PDT with red light or green light regarding clearance of lesions, but there were significantly fewer recurrences at 12 months of lesions treated with red light.

In summary, PDT can be considered for treatment of SCC and SCC in situ when there are multiple lesions, in an area where multiple surgeries would result in morbidity (for example, the lower extremities), or when lesions are known to be noninvasive. For optimal treatment, red light should be utilized. MAL-PDT achieves better penetration and has been shown to be more effective than ALA-PDT.
Multiple treatments can also increase efficacy. PDT represents an attractive option for superficial SCC given its improved cosmetic profile and similar recurrence rate when compared with cryotherapy or 5-fluorouracil. However, due to the metastatic potential and reduced efficacy rates, PDT cannot be recommended for invasive SCC.

**Basal cell carcinoma**

Current treatment for BCC includes Mohs micrographic surgery, excisional surgery, curettage and electrodesiccation, radiation, cryotherapy, PDT, laser therapy, topical treatments (imiquimod and 5-fluorouracil), and vismodegib (Erivedge®, Roche Pharmaceuticals, Basel, Switzerland). Generally, PDT is recommended as a treatment option for superficial and thin nodular BCC (thickness <2 mm). However, some investigators accept 2–3 mm thick BCC for PDT when combined with pretreatment of lesion curettage. Preparation of the lesion prior to treatment is common, and more important for nodular BCC. Superficial debridement in a manner insufficient to cause pain is a common practice; some clinicians perform this weeks or hours in advance. One study showed a complete response, with histologic confirmation in 22 of 24 lesions (92%) treated with PDT and prior debridement.69,70

**ALA-PDT treatment of BCC**

A 10-year longitudinal study that reviewed clinical and histopathologic examination of 60 lesions found that the complete response rate for primary lesions after superficial curettage was 78%, with 63% after one session and 90% after two sessions. This study used ALA-PDT with halogen light (light intensity 150–230 mW/cm²). The cosmetic outcome was rated as good or excellent in over 91% of the evaluated cases.71 Another study (n=94) compared the complete response rate (mean follow-up 25 months) for superficial BCC in patients who received ALA-PDT (31/31, 100%) versus surgery (28/29, 96.55%). Patients with nodular BCC had better response rates with surgery (16/17, 94.12%; P=0.88) than those treated with ALA-PDT (15/17, 88.24%).72

Blue light PDT alone for BCC is controversial because lesions with a vertical growth greater than 2 mm have not been studied extensively. Clinicians should use ALA-PDT with caution for treatment of BCC, especially nodular BCC, because ALA-PDT did not seem to be an effective option for treatment of nodular BCC in one randomized controlled trial (n=173) with 3-year follow-up; recurrence rates for surgical excision (2.3%) were substantially less compared with PDT (30.3%).73 However, combined therapy of ALA-PDT and 5% imiquimod has been suggested to achieve a 15% improvement in complete remission of nodular BCC compared with ALA-PDT alone.74

**MAL-PDT in treatment of BCC**

One noninferiority trial reported no significant difference between MAL-PDT and cryotherapy in the treatment of superficial BCC.75 Another trial showed that MAL-PDT was not inferior to surgery for treatment of nodular BCC at 3-month and 24-month follow-up.76 One French group confirmed that, at 3 months, the treatment difference (cryotherapy versus MAL-PDT) was −2.2% (P=0.49). At 5 years, the overall lesion recurrence rate was 22% with MAL-PDT and 20% with cryotherapy.77 Szeimies et al determined that, at 3 months, the mean lesion count reduction was 92.2% for MAL-PDT versus 99.2% for surgery but this difference did not achieve statistical significance. Significantly, at 12 months, however, 9.3% of lesions (11 of 118) recurred in the MAL-PDT group versus none in the surgery group (0 of 117).77 Although MAL-PDT is noninferior to cryotherapy and surgery, the cosmetic advantage associated with MAL-PDT is of great interest for low-risk superficial BCC, because lesions are often multiple, typically affecting skin sites predisposed to dystrophic scarring (such as the trunk).78–80

In randomized trials comparing different treatment modalities for BCC, a recent study demonstrated increased efficacy of imiquimod over MAL-PDT (83.4% versus 72.8%) at 12-month follow-up. This again argues for the advantage of using combination therapies with PDT, namely adding on imiquimod after treatment.81

A consensus group in Canada and Europe analyzed nine studies and report that use of MAL-PDT can be considered as a safe and effective treatment for BCC in patients with Gorlin syndrome, the efficacy being proportionate to the thickness of the lesion.82 PDT has been observed to have chemopreventive effects in patients with Gorlin syndrome.83,84

**Cutaneous T cell lymphoma**

PDT has been widely used in the treatment of mycosis fungoides, an indolent subtype of cutaneous T cell lymphoma. A few studies and various case reports have reported complete or partial responses in the treatment of mycosis fungoides. Most of these report efficacy of MAL-PDT and ALA-PDT in the treatment of plaque-type (stage I) mycosis fungoides, but decreased efficacy against tumor-type (stage II) mycosis fungoides, and there has been a single report of erosive mycosis fungoides on the face treated successfully with ALA-PDT using red light.70,85–89 As is
true for other skin tumors, PDT is less effective in deep or tumor-stage lesions. One prospective study (n=29) reported an objective response in 75% of plaque or patchy lesions after monthly treatments for 6 months.98 However, a recent study observed two of five patients who appeared to have had a complete response initially, but relapsed at follow-up (10.0±10.5 months).99 MAL-PDT was successful in treatment-refractory mycosis fungoides (four patients with complete remission and one with partial remission).100 In conclusion, several consecutive treatments of PDT can be considered as an adjunct for treatment of mycosis fungoides, particularly for patch and plaque-stage mycosis fungoides, with good cosmetic results in sensitive skin areas.

Other tumors
PDT has also been reported in the treatment of Kaposi’s sarcoma, extramammary Paget’s disease, and cutaneous B cell lymphoma. Other proliferative disorders, such as vascular malformations, have also been treated with impressive results, likely due to the antiangiogenic effects of PDT.101

PDT in inflammatory diseases
Acne vulgaris
The most common dermatologic disorder, acne vulgaris, is thought to be primarily caused by the obstruction of sebaceous glands, leading to proliferation of bacteria, mainly Propionibacterium acnes. P. acnes naturally produces porphyrins (protoporphyrin IX and coproporphyrin III), so light sources alone (blue light > red light) can have a direct therapeutic photodynamic effect.102 It is hypothesized that once applied to the skin, ALA and MAL are preferentially taken up by the pilosebaceous unit and augment the response to light therapy. The available treatments for acne currently include salicylic acid, topical retinoids, benzoyl peroxide, sulfur, alpha hydroxy acids, and various light therapies.103,104

ALA-PDT and MAL-PDT with a light-emitting diode are commonly used as off-label treatments for acne. In general, inflammatory lesions respond well to PDT, whereas comedonal/noninflammatory lesions tend to show no significant change (Table 1). The literature has focused primarily on MAL-PDT followed by red light because targeting of the sebaceous glands is optimized with this regimen. In practice, however, this treatment tends to be painful, with increased edema and milium formation. It should be noted that a 180-minute incubation time maximizes production of porphyrin in the sebaceous glands and leads to longer remission of acne (Figure 3). In a split-face study, there was no significant difference in efficacy between ALA-PDT plus red light (34 J/cm²) and MAL-PDT plus red light (34 J/cm²) with 3-hour incubation times.106 Nonetheless, regimens using short incubation times (30–60 minutes) followed by blue light and/or IPL persist because they tend to be well tolerated. In these cases, frequent treatments are recommended. Such regimens have an advantage in Fitzpatrick skin types IV–VI because post-inflammatory hyperpigmentation is reduced. There may even be a role for ALA-PDT followed by IPL in the reduction of comedonal acne.

ALA-PDT in acne
The efficacy of PDT in acne was first described in a study of 22 patients, where 20% ALA was applied topically to the back with 3-hour occlusion followed by red light irradiation. This regimen was shown to reduce inflammatory acne lesions after multiple treatments (four treatments at one-week intervals) when compared with the other treatment groups (ALA alone, red light alone, untreated control) and compared with single PDT treatment in the respective study groups. After application of ALA, immunofluorescence revealed accumulation of porphyrin in areas of acne. Improvement initially was observed at 3 weeks following treatment, and was marked histologically by atrophic sebaceous glands, a granulomatous reaction, obliterated follicles, and perifollicular fibrosis. By the end of the study, there was complete destruction or a 45% decrease in sebaceous gland size. In this particular study, red light alone did not produce a therapeutic effect.107 Other small studies have also confirmed that interval treatment of ALA with varying occlusion times (3 and 4 hours) followed by red light is a highly effective treatment for acne (Table 1).99–102

The mechanism of ALA-PDT is thought to be due to selective destruction of the sebaceous unit after uptake of the photosensitizer, so the longest wavelengths capable of activating porphyrins (red light, 635 nm) have been utilized to target the sebaceous glands in the dermis. Most studies have focused on ALA with red light, but a few studies have also suggested that blue light PDT has increased efficacy compared with blue light alone in the treatment of acne.108 Nonetheless, in vitro studies comparing ALA followed by blue light (415 nm) or red light (635 nm) and examining the bactericidal effects on P. acnes, found that red light phototherapy was less effective for the eradication of P. acnes than blue light phototherapy with and without ALA.109 Therefore, there may still be a role for combined blue and red light activation of ALA in the treatment of acne.
Table 1  Comparative studies on PDT light sources in treatment of acne

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<td>14</td>
<td>Split-face</td>
<td>20% ALA-IPL versus IPL (560–590 nm, 25–30 J/cm², double pulse) only; 30 minutes</td>
<td>3× (3–4 weeks); 12 weeks</td>
<td>MAL-PDT &gt; placebo: inflammatory lesion reduction: 68% MAL-PDT, 0% control. No improvement in NI lesions. A 59% decrease in inflammatory lesions but no significant difference between MAL and ALA.</td>
</tr>
<tr>
<td>Hörfelt et al155</td>
<td>30</td>
<td>Split-face; blinded, prospective, randomized, placebo-controlled; moderate-to-severe acne</td>
<td>16.8% MAL-PDT versus placebo; 3 hours</td>
<td>2× (2 weeks); 10 weeks</td>
<td>MAL-PDT &gt; placebo: inflammatory lesion reduction: 54% MAL-PDT, 20% placebo. Difference in NI lesions not significant.</td>
</tr>
<tr>
<td>Wiegell and Wulf184</td>
<td>36</td>
<td>Randomized, controlled, investigator-blinded</td>
<td>16.8% MAL-PDT versus placebo; 3 hours</td>
<td>2× (2 weeks); 12 weeks</td>
<td>MAL-PDT &gt; placebo: inflammatory lesion reduction: 68% MAL-PDT, 0% control. No improvement in NI lesions. A 59% decrease in inflammatory lesions but no significant difference between MAL and ALA.</td>
</tr>
<tr>
<td>Wiegell and Wulf184</td>
<td>15</td>
<td>Split-face; randomized, controlled, investigator-blinded</td>
<td>20% ALA-PDT versus 16.8% MAL-PDT; both with red light (630 nm, 37 mW/cm²; 34 J/cm²); 3 hours</td>
<td>Once; 12 weeks</td>
<td>MAL-PDT &gt; placebo: inflammatory lesion reduction: 54% MAL-PDT, 20% placebo. Difference in NI lesions not significant.</td>
</tr>
<tr>
<td>Santos et al183</td>
<td>13</td>
<td>Split-face; I and NI lesions</td>
<td>20% ALA-IPL versus IPL (560 nm, 26 J/cm², double pulse) alone; 3 hours</td>
<td>2× (2 weeks); 8 weeks</td>
<td>ALA-IPL &gt; IPL alone: ALA-IPL visible improvement (76.9%), IPL alone returned to baseline of facial acne.</td>
</tr>
</tbody>
</table>

(Continued)
Table 1 (Continued)

<table>
<thead>
<tr>
<th>Reference</th>
<th>N</th>
<th>Type of trial; study population/type</th>
<th>Light source (dose); incubation time</th>
<th>Session number (interval); follow-up</th>
<th>Results</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hong and Lee†††</td>
<td>8</td>
<td>Split-face</td>
<td>20% ALA-PDT + red light (630±63 nm, 30 mW/cm², 18 J/cm²) versus placebo; 4 hours</td>
<td>Once; 6 months</td>
<td>Inflammatory lesion reduction: 41.9% ALA-PDT, 15.4% placebo. Reductions in noninflammatory lesions were not statistically significant.</td>
</tr>
<tr>
<td>Pollock et al††</td>
<td>10</td>
<td>Controlled; mild-to-moderate acne on the back</td>
<td>20% ALA-PDT versus red light (635 nm, 25 mW/cm², 10 J/cm²); 3 hours</td>
<td>3× (1 week); 3 weeks</td>
<td>Reduction in inflammatory acne lesions after second treatment at ALA-PDT site but not other sites or treatments. Inflammatory lesion reduction: 68% ALA-PDT, 40% blue light.</td>
</tr>
<tr>
<td>Goldman and Boyce†‡</td>
<td>22</td>
<td>Controlled; mild-to-moderate acne</td>
<td>20% ALA-PDT versus blue light (471±5 nm, 10 mW/cm², 3.6 J/cm²) only; 15 minutes</td>
<td>2×; 2 weeks</td>
<td></td>
</tr>
<tr>
<td>Hongcharu et al‡</td>
<td>22</td>
<td>Randomized; mild-to-moderate inflammatory acne</td>
<td>20% ALA-PDT + red light versus light alone (550–570 nm, 150 J/cm²) versus placebo; 3 hours</td>
<td>Randomized to 1× versus 4× (1 week); 20 weeks</td>
<td>ALA-PDT 4 sessions &gt; ALA-PDT 1 session &gt; red light alone &gt; placebo. Histology: sebaceous glands smaller after ALA-PDT. Mixed blue-red &gt; other treatments. At 8 weeks: 76% improvement in inflammatory lesions with blue-red light, greater than blue light and benzoyl peroxide (% not reported); 58% improvement in comedones with blue-red light (not significant).</td>
</tr>
<tr>
<td>Papageorgiou et al#§</td>
<td>107</td>
<td>Randomized; mild-to-moderate acne</td>
<td>Blue light (415 nm) versus mixed blue and red light (415 nm and 660 nm) versus 5% benzoyl peroxide versus cool white light; 15 minutes</td>
<td>Daily × (12 weeks); 8 weeks</td>
<td></td>
</tr>
</tbody>
</table>

Abbreviations: I, inflammatory; NI, noninflammatory; IL, intralesional; PDT, photodynamic therapy; ALA,aminolevulinic acid; MAL, methylaminolevulinate; IPL, intense pulsed light; LPDL, long-pulsed dye laser; LED, light-emitting diode; IR, infrared; KTP, potassium titanyl phosphate.

MAL-PDT in acne

MAL-PDT followed by red light has been well documented in the literature. One split-face study (n=30) used MAL with a 3-hour occlusion followed by red light, and showed a statistically significant median reduction in inflammatory lesion count at 12 weeks (54%) when compared with placebo (20%; 95% confidence interval 8%–50%). Another study (n=21) compared the use of MAL-PDT with the same regimen (3-hour occlusion and red light) versus placebo, and reported a median 68% reduction (P=0.0023) of inflammatory lesions upon clinical examination at 12 weeks post treatment. However, there was no significant difference in noninflammatory lesions. Interestingly, one study (n=16) concluded that a diluted concentration of 4% MAL resulted in similar efficacy (average 66% reduction for inflammatory lesions) with reduced side effects (most commonly, pain), and this formulation may be a more cost-effective strategy.

Other photosensitizers

Other topical photosensitizers, which have been studied less extensively, include indocyanine green (or methylene blue) and indole-3-acetic acid. Indole-3-acetic acid in particular may have an important role in clinical practice, primarily because patients receiving indole-3-acetic acid for acne experience less pain. It also has promising practical aspects, requiring shorter incubation times whilst producing equivalent efficacy (Table 2).

Rosacea

Rosacea, sometimes termed “adult acne”, although similar in appearance to acne, has a different pathophysiology. Common current treatments include topical metronidazole, topical azelaic acid, oral tetracyclines, and most recently, topical alpha-2 adrenergic agonists. Recent evidence suggests that rosacea may represent an altered immune reactivity to the microbes of the skin.

The use of ALA-PDT in rosacea is primarily anecdotal, with few randomized controlled studies published thus far. MAL-PDT with red light has been shown to improve the appearance of rosacea, in particular papulopustular lesions when compared with the erythematotelangiectatic types. One small, prospective study (n=4) demonstrated increased efficacy using PDT with long-pulsed dye laser (LPDL) versus LPDL alone in the treatment of inflammatory papulopustular rosacea. However, in a controlled study, the long-term benefit of MAL-PDT with LPDL compared with LPDL alone demonstrated no difference. This study does not rule out the possibility that other light sources may work in combination with ALA to treat rosacea effectively.
Figure 3 Improvement in a 27-year-old woman with inflammatory papules on the face. (A) Before treatment (top row). (B) Three-month follow-up after one treatment of MAL-PDT with red light, ie, 200 J/cm², and 180-minute incubation under occlusion (bottom row).

**Abbreviations:** MAL, methyl aminolevulinate; PDT, photodynamic therapy.

### Table 2 Use of indole-3-acetic acid and photodynamic therapy in treatment of acne

<table>
<thead>
<tr>
<th>Reference</th>
<th>Indication</th>
<th>n</th>
<th>Type of trial</th>
<th>Treatment regimen; session number (interval)</th>
<th>Results</th>
</tr>
</thead>
<tbody>
<tr>
<td>Kwon et al^1^ 2013</td>
<td>Seborrheic dermatitis</td>
<td>23</td>
<td>Prospective, single-blind</td>
<td>IAA-PDT with green light (520 nm); 2× (1 week)</td>
<td>Sebum excretion significantly reduced at week 2 until week 6. Inflammatory and noninflammatory acne lesions were significantly decreased; sebum secretion and erythema were reduced; histopathologic reduction of inflammation.</td>
</tr>
<tr>
<td>Huh et al^1^ 2012</td>
<td>Acne vulgaris</td>
<td>25</td>
<td>Prospective</td>
<td>IAA-PDT 15 minutes of occlusion, and green light for 15 minutes; 5× (1 week)</td>
<td>Inflammatory and noninflammatory acne lesions decreased; sebum secretion decreased; up to 3 months of follow-up for subjective satisfaction score; no statistically significant difference between the two treatments.</td>
</tr>
<tr>
<td>Jang et al^1^ 2011</td>
<td>Acne vulgaris</td>
<td>34</td>
<td>Prospective, single-blind, split-face</td>
<td>IAA-PDT with green light (520 nm) on half of the face and ICG with near-infrared radiation (805 nm) on the other half; 5× (1 week)</td>
<td>Inflammatory lesions and sebum secretion were reduced. Growth of <em>Propionibacterium acnes</em> and <em>Staphylococcus aureus</em> was significantly suppressed; treated skin showed destruction of follicular ostia epithelium; no significant difference between 4-hour and 30-minute incubation; inflammatory lesions and sebum secretion were reduced.</td>
</tr>
<tr>
<td>Na et al^1^ 2011</td>
<td>Acne vulgaris</td>
<td>14</td>
<td>Prospective, biopsies on nude mice as well</td>
<td>IAA-PDT with green light (520 nm); 3× (2 week)</td>
<td></td>
</tr>
</tbody>
</table>
Microbes such as Demodex and *Staphylococcus epidermidis* have both been implicated in the pathogenesis of rosacea. One in vitro study demonstrates the ability of ALA-PDT to decrease the biofilm of *Staphylococci* and another study demonstrated eradication of Demodex. In contrast, one clinical study suggested that there was no change in the bacterial flora of the skin after MAL-PDT.

Unlike acne, the results of PDT on rosacea are inconclusive at best. In general, the papulopustular elements seem to respond as compared with the erythematotelangiectatic elements. Patients should be warned that some may experience increased erythema after the therapy.

Other inflammatory disorders

Hidradenitis suppurativa has been treated with MAL-PDT with excellent results, although negative studies also exist. PDT has also been reported to have an effect on morphea and actinic cheilitis.

**PDT in microbial diseases**

**Viruses**

Human papillomaviruses can manifest in a variety of ways, including as warts, cervical carcinoma, anogenital SCC, and papillomatosis. Warts are the most common entity of this virus. Treatment of warts (verrucae vulgaris, verrucae plana) includes surgical excision, cryotherapy, curettage, surgical excision, topical cytotoxic medications (5-fluorouracil, dinitrochlorobenzene), intraleSIONal bleomycin, infrared coagulation, CO₂ laser therapy, PDL, PDT, and electrosurgery. However, some lesions remain recalcitrant to therapy, and many commonly recur after successful treatment. ALA-PDT has been shown to successfully treat cutaneous warts without significant side effects and excellent cosmetic results in several studies. Reported clearance rates are as high as 88%. The clearance rate seems proportionate to the size of the warts, and mean treatment time. ALA-PDT with white light (halogen lamp; 250 W Osram; delivered via slide projector) was found to be more efficacious than red or blue light and standard cryotherapy.

One case report demonstrated the use of fractional resurfacing to aid PDL-PDT delivery in a recalcitrant plantar wart.

Other virus-mediated lesions treated successfully with ALA-PDT include molluscum contagiosum and herpes simplex virus. In this setting, PDT is still working primarily in an antiproliferative manner. The advantage continues to be the ability to treat a large surface area, and with minimal scarring. In terms of recurrence rates, it is unclear whether PDT or CO₂ laser therapy is superior.

**Onychomycosis**

Past clinical trials have suggested that tinea cruris, tinea pedis, and interdigital mycoses recur frequently when treated with ALA-PDT. However, more recently, one clinical trial (n=30) used chemical avulsion (occlusion with urea for ten consecutive nights prior to PDT) and 20% ALA-PDT (3-hour incubation) followed by red light therapy, and demonstrated a 43.3% cure rate at 12-month follow-up, which dropped to 36.6% at 18-month follow-up. Two other case series reported complete resolution of fungal infection with PDT. One case demonstrated successful treatment of subungual onychomycosis after occlusion with urea for 7 days followed by MAL-PDT with broadband red light (37 J/cm²). This was repeated every 2 weeks for a total of three treatments. In this case, *Trichophyton rubrum* was the causative organism and previously had been demonstrated to be sensitive to PDT in vitro. Nondermatophyte molds have also been cleared with MAL-PDT and red light.

Other nonhematoporphyrin-derived photosensitizers (Sylsens B being the most effective) have also been shown to be efficacious in the treatment of onychomycosis. Major concerns regarding effective drug penetration through thick, hyperkeratotic ungual barriers have led to introduction of new delivery methods involving iontophoretic and ultrasound devices, which enable increased nail bed permeability. Most recently, one patient with treatment-resistant onychomycosis was successfully pretreated with a micro motor pneumatic diamond drill device and subsequent ALA-PDT with red light.

**Leishmaniasis**

Cutaneous leishmaniasis is the most common form of leishmaniasis, and is transmitted by sand flies. Various case reports and series have documented successful treatment of cutaneous leishmaniasis using PDT. Studies have also shown PDT to be effective in the treatment of antibiotic-resistant cutaneous leishmaniasis, further strengthened by another study comparing the efficacy of PDT versus paromomycin or methylbenzethonium chloride. Individual cases demonstrating successful treatment using MAL-PDT include an 8-year-old Italian girl and an Austrian patient infected...
with *Leishmania major*. Clinicians can consider PDT as a safe and efficacious treatment option for cutaneous leishmaniasis.

**Other infections**

Erythrasma is the cutaneous manifestation of *Corynebacterium minutissimum*, characterized by heterogeneous coral-red fluorescence due to presence of endogenous porphyrins in the bacteria. PDT with red light irradiation and without an exogenous photosensitizer achieved complete clearance in 23% of lesions (3/22) and partial clearance in 82% of lesions (18/22). Reports of unsuccessful ALA-PDT in the treatment of *Candida albicans* intertrigo have been documented.

**PDT in proliferative disease**

Photoaging/antiaging/photorejuvenation

Photoaging is characterized by increased skin elastosis associated with degraded collagen. Clinically, one can observe irreversible skin hyperpigmentation, ephelides, lentigines, abnormal elastic fibers, telangiectasias, sebaceous gland hyperplasia, and rhytids. Histopathologically, dilated blood vessels and increased pigment are found accumulated in the papillary dermis. Current treatments for photorejuvenation include retinoids, laser resurfacing, chemical peels, and various light therapies (Table 3). Photorejuvenation has been frequently observed in patients undergoing PDT for other disease entities, and therefore has become an area of further investigation.

**PDT with blue light**

ALA-PDT with blue light has been reported to improve skin elasticity, as well as skin texture, pigmentation, fine lines, and complexion. Deep wrinkling and mottled hyperpigmentation have not been reported to change following treatment.

**PDT with red light**

One study (n=20) revealed a statistically significant improvement (*P*<0.05) after administration of MAL-PDT and red light with respect to mottled hyperpigmentation, fine lines, skin roughness, and sallowness, but no improvement of deep wrinkles, superficial angiogenesis, facial erythema, and sebaceous gland hyperplasia. To quantify epidermal and dermal changes during PDT, an analysis using high-resolution echography showed increased skin thickness, which the authors postulated to be caused by increased collagen synthesis. One investigator-blinded study with a 2-month follow-up revealed moderate improvement in fine lines, tactile roughness, and skin tightness, especially on the half of the face that had a 3-hour incubation with MAL compared with a one-hour incubation. In contrast with other studies, there was no change in mottled hyperpigmentation, and consistent with other reports, no improvement of telangiectasias. A larger clinical split-face trial (n=94) demonstrated superiority in terms of improvement in global photodamage using MAL-PDT with red light versus placebo. Opposing studies exist, however, including one study that compared blue light versus red light and MAL-PDT. In this study, no difference in efficacy was observed in photodamaged skin.

**PDT with intense pulsed light**

One retrospective split-face study (n=20) compared pretreatment with 5-ALA (5-ALA first treatment, IPL only for last two treatments) on one half of the patient’s face versus IPL treatment alone. After three treatments, greater subjective improvement was observed in the ALA-IPL arm in terms of global photoaging, mottled pigmentation, and fine lines. Pretreatment with ALA did not improve observed sallowness or tactile roughness at one-month follow-up after five treatments. Following this, another split-face study (n=13) reported similar results, with improved appearance of crow’s feet (55% versus 29.5%), tactile skin roughness (55% versus 29.5%), mottled hyperpigmentation (60.3% versus 37.2%), and telangiectasias (84.6% versus 53.8%) in the ALA-IPL group. A major advantage of IPL compared with irradiation with a light-emitting diode is less time expenditure and less painful effects of heat delivery due to shorter intense exposure times. Clinicians should be aware that heat delivered by IPL may cause destruction of hair follicles, so it should be used with caution, especially in men with facial hair.

Mechanisms supporting PDT-induced photorejuvenation have been proposed. Studies involving punch biopsies after ALA-IPL demonstrate an increase in type I collagen. Interestingly, Orringer et al used biomarkers to examine the molecular effects of ALA-PDT and PDL, and showed upregulation of Ki-67 (an immunohistochemical biomarker of keratinocyte proliferation), along with elevated levels of procollagen I and procollagen III, indicating new formation of collagen.

**Other proliferative conditions**

PDT has been shown to reduce type I collagen synthesis and fibroblast activity in vitro, thus potentially having a role in the treatment of scars. Several groups have demonstrated improvement in the skin flexibility of hypertrophic scars using MAL-PDT. One recent study (n=20) demonstrated that three treatments of MAL-PDT were effective in reducing formation of keloid scars, which were...
less than 2 mm in height. There was a 95% (19/20) recurrence rate at 9-month follow-up. Furthermore, another study (n=21) showed improvement in post-surgical scar appearance, which directly correlated with the number of ALA/MAL-PDT sessions. PDT represents a promising, noninvasive treatment, but more definitive studies are required to elicit its role and the regimen required in the treatment of cicatrix.

**Concluding remarks**

PDT is a mainstay of treatment for actinic keratoses and superficial nonmelanoma skin cancers, and has been demonstrated to be an increasingly popular option for acne. With the advent of nanoemulsions and patch-ALA, recent advances have focused on improved vehicles and delivery. Improving standardization of ALA delivery and decreasing pain during the treatment are advances that will further popularize this modality. PDT is more frequently utilized in Europe as compared with the US. This discrepancy likely reflects the poor reimbursement rates for PDT in the US.

Well controlled studies are needed to demonstrate the efficacy of PDT in order to justify its use, and hopefully convince insurance companies that this viable treatment option with a low side effect profile and high cosmetic outcome is worth.
involves clinical effectiveness, efficacy, safety and cost-effectiveness. The use of PDT in the treatment of early-stage cancer is emerging due to its ability to target specific tissue areas. This review covers the historical development of PDT, current indications, and limitations.

**References**


