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# Protein Quality Control Acts on Folding Intermediates to Shape the Effects of Mutations on Organismal Fitness

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**Running title:** PQC shapes the fitness effects of mutations

**Summary**

What are the molecular properties of proteins that fall on the radar of protein quality control (PQC)? Here we mutate the *E. coli*'s gene encoding dihydrofolate reductase (DHFR), and replace it with bacterial orthologous genes to determine how components of PQC modulate fitness effects of these genetic changes. We find that chaperonins GroEL/ES and protease Lon compete for binding to molten globule intermediate of DHFR, resulting in a peculiar symmetry in their action: Over-expression of GroEL/ES and deletion of Lon both restore growth of deleterious DHFR mutants and most of the slow-growing orthologous DHFR strains. Kinetic steady-state modeling predicts and experimentation verifies that mutations affect fitness by shifting the flux balance in cellular milieu between protein production, folding and degradation orchestrated by PQC through the interaction with folding intermediates.

**Introduction**

Much is known about how cells respond to external stresses like temperature and oxidation stress (Vabulas et al., 2010). However, despite a considerable effort, our understanding of how cells respond to a "genetic shock", *i.e.*, mutations, is still lacking. The major difficulty in projecting the effects of protein mutations on fitness landscape stems from the involvement of the intracellular environment, a milieu far more complex than the equilibrium conditions of a test tube. Indeed, mutations can cause misfolding and aggregation of destabilized proteins, leading to reduced fitness (Booth et al., 1997; Dobson, 2006; Wang and Moult, 2001), while components of the proteostasis machinery can potentially mitigate or further aggravate these unfavorable outcomes. The extent to which PQC determines the fitness effects of mutations remains unclear.

Considerable efforts have established how prominent components of PQC work, including the chaperonins, GroEL/ES, and the protease, Lon (Hartl et al., 2011; Horwich and Fenton, 2009; Parsell et al., 1994). Using *E. coli* to overexpress non-endogenous mutant proteins from various organisms, Tokuriki and Tawfik showed that overexpression of GroEL/ES promoted the folding of highly destabilized mutant proteins (Tokuriki and Tawfik, 2009a) *In vitro*. It should be noted that GroEL/ES only acts on a fraction of the *E. coli* proteome (Hartl et al., 2011), and it is unknown whether this observation also applies to mutant forms of the endogenous proteins. Like GroEL/ES, Lon has been connected to protein misfolding (Gur and Sauer, 2008; Powers et al., 2012).

While many *in vivo* studies have established genetic associations between the components of PQC and a phenotype (Fares et al., 2002; Maurizi et al., 1985; Munavar et al., 2005; Queitsch et al., 2002), several important questions remain: 1) How does PQC mediate the fitness effect of mutations? 2) Do the fitness effects of mutations correlate with the thermodynamic stability of proteins? 3) What are the key elements of PQC that detect and respond to structural changes in a mutated protein and how do they interact in the process of a PQC response?

There are two major hurdles to addressing these questions. Firstly, it is difficult to pinpoint the specific mutations and the corresponding changes in a protein's structural and thermodynamic states that evoke the PQC response *in vivo*. Secondly, it is hard to know which specific components of the PQC machinery act on these mutation-induced states.

In the current work, we overcome these difficulties by introducing chromosomally incorporated mutations in the endogenously expressed *E. coli* gene *folA* (encoding the *essential* protein DHFR) without perturbing the endogenous promoter, thus preserving the endogenous expression levels. We rationally chose the set of mutations directed at sites in the protein that would produce a broad range of *structurally* destabilizing mutations while ensuring a minimal change in activity (see *Supplemental Experimental Procedure* and ref (Bershtein et al., 2012)). In addition we explore the fitness effects of more dramatic genetic changes in the gene encoding DHFR by replacing it with bacterial orthologs.

We evaluated the *In vivo* response to mutations and orthologous replacements in the *folA* gene by perturbing the concentrations of the key components of the PQC system and monitoring how the perturbations affected the bacterial growth rate (i.e. fitness) of the mutant strains. Specifically, major non-essential ATP-dependent proteases were knocked-out, and the abundance of the chaperonins was increased. The analysis showed that both Lon protease and GroEL/ES

chaperonins act on the compact molten globule-like equilibrium folding intermediates of DHFR(Ionescu et al., 2000; Ptitsyn, 1995). Based on these findings, we developed a simple quantitative "triage"-like (Gottesman et al., 1997; Powers et al., 2012; Wickner et al., 1999) kinetic model where cytoplasm is viewed as an active steady-state medium under constant energy and material flow, rather than an equilibrated solution of proteins' thermodynamic states. The model predicts that the effect of mutations on protein abundance and fitness in active cytoplasm is fundamentally different from that in equilibrium *In vitro*.

## Results

**Mutant DHFR proteins interact with both branches of the PQC system**

Each strain was transformed with a plasmid carrying GroEL/ES genes (Table S1) to achieve a multi-fold overexpression of GroEL in the cytoplasm (10-fold over-expression from the plasmid was previously reported(Guisbert et al., 2004)). Comparison of the growth rates under endogenous (GroEL$^o$) and over-expressed (GroEL$^+$) levels revealed a dramatically different impact of GroEL on different *folA* mutants (Figures 1, 2). While wt *folA* strain grew similarly under both GroEL$^o$ and GroEL$^+$ regimes, strains with severely and moderately compromised growth rates showed dramatic improvement upon GroEL/ES overexpression, some even back to wt level (Figure 1A,B, Figure 2A *right panel*). Conversely, for most high-fitness mutant strains, GroEL$^+$ resulted in a slower growth (Figure 2A *right panel*). Although the most pronounced effect of the GroEL/ES over-expression was observed at 42$^o$C, similar pattern was also seen at lower (30$^0$C and 37$^0$C) temperatures (Figure 2A *right panel*). Since the analyzed mutant strains differ from each other solely by the mutations in the coding region of the *folA* gene, the differential effect of the GroEL/ES overexpression on the strains' fitness is expected to be the result of a specific GroEL - mutant DHFR interaction.

Next, we investigated whether variations in the *proteolytic* activity of ATP-dependent proteases *in vivo* might also result in a change in fitness of the *folA* mutant strains. To this end, **three** major, but non-essential, ATP-dependent proteases were knocked-out - one at a time: (*i*) ClpP – the protease component of ClpAP, ClpAPX, and ClpXP complexes, (*ii*) HslV - the protease component of HslUV, and (*iii*) Lon protease (see *Experimental Procedures*). Intervention at the protease level produced an intriguingly mixed picture in which ClpP and HslV deletion did not act in a mutant-specific manner (Figure S1), whereas Lon protease deletion turned out to be very specific to *folA* mutant strains. Specifically, Δ*lon* mutants rescued the same slow growing strains as the GroEL overexpression, while both Δ*lon* and GroEL$^+$ were mildly deleterious for most mutant strains that grew faster than wt (Figure 1C,D, Figure 2A *left panel*). Furthermore, changes in the growth rates caused by Δ*lon* were highly correlated with changes in the growth rates caused by GroEL$^+$ for all 26 *folA* mutant strains at all temperatures tested (Figure 2B-D). Our observations that the effects of Δ*lon* and GroEL$^+$ on growth rates are correlated for all *folA* mutants is consistent with the "triage" model that advocates a stoichiometric partitioning between folding and degradation branches of the PQC (Gottesman et al., 1997). It is worth noting that despite the strong similarities between Δ*lon* and GroEL$^+$ fitness effects, we also observed a notable difference in that Δ*lon* was mildly deleterious to wt growth rates at higher temperatures (Figure 1A,C, Figure 2A *left panel*), whereas GroEL$^+$ appeared neutral (Figures 1 and 2). Such behavior is expected, given the central role of the Lon protease in the maintenance of regulatory(Gonzalez et al., 1998; Nishii et al., 2002) and misfolded proteins(Chung and Goldberg, 1981; Gur et al., 2011). Additionally, Lon deletion is known to be deleterious (Torrescabassa and

Gottesman, 1987). Altogether, the observed *differential* fitness effects of Δ*lon* on various *folA* mutants in the cytoplasm points to a specific interaction between Lon protease and various mutant forms of DHFR.

**Chaperonins and Lon protease compete for the intermediate folding state of DHFR**

We hypothesized that GroEL and Lon both recognize the same physical state of the protein and compete for it in the cytoplasm. But what are the characteristics of such a state? There was no correlation between the fitness effects induced by Δ*lon* or GroEL$^+$ and the thermodynamic stability (Gibbs free energy difference between folded and unfolded states, $\Delta G$) (see (Bershtein et al., 2012)) of the corresponding DHFR mutant proteins (Figure S2A,B). This fact suggests that the physical state that is recognized by Lon and GroEL/ES might relate to an equilibrium folding intermediate rather than the folded or fully unfolded states of the protein. A clear candidate is a compact molten globule (MG) intermediate, or I-state (Ptitsyn, 1995), which is known to populate wt *E. coli* DHFR at high temperature (Ionescu et al., 2000). MG-like equilibrium folding intermediate represents an ensemble of compact conformations with exposed hydrophobic surface, making it potentially prone to interact with GroEL (Clark and Frieden, 1999; Martin et al., 1991), be recognized by Lon(Gur and Sauer, 2008; Koodathingal et al., 2009)*,* and form aggregates (Booth et al., 1997).

We used a fluorescent hydrophobic probe bis-1-Anilinonaphthalene-8-sulfonate (bis-ANS) to determine, *In vitro*, the population of the intermediate states in a broad range of temperatures for wt and the 19 mutants that we were able to purify (all the single and three double mutants). Bis-ANS is a standard probe to detect equilibrium and compact folding kinetic intermediates (Goldberg et al., 1990; Jones et al., 1994; Lindgren et al., 2005). We observed a significant variation in the population of I intermediates between mutants in a broad range of temperatures (Figure 3A,B, Figure S3, Table S2, and *Experimental Procedures*), yet no correlation can be found between bis-ANS fluorescence and overall stability $\Delta G$ (Figure S2C). Furthermore, there was no correlation between bis-ANS fluorescence and the propensity of the mutant DHFR proteins to form oligomeric states at the elevated temperatures (Figure S2D), albeit oligomerization was previously shown to correlate with thermodynamic stability(Bershtein et al., 2012). Consistent with previous reports (Ionescu et al., 2000), wt DHFR protein is characterized by a very low bis-ANS fluorescence in the 25-50$^o$C range with a sharp peak around 60$^o$C (Figure 3A). In fact, most mutant DHFR proteins have a well-defined apparent mid-transition temperature, T$_m^{app}$, for thermal transition to the MG state (Figure S3). Such behavior is expected– during thermal unfolding, proteins are known to populate molten globule state because hydrophobic interactions strengthen with temperature (Ptitsyn, 1995; Tanford, 1978). Comparison of the bis-ANS derived T$_m^{app}$ values with the T$_m^{app}$ values inferred from the Differential Scanning Calorimetry (DSC) analysis for the same set of the mutant DHFR proteins reveals a strong linear correlation, thus reaffirming the role of bis-ANS as a folding intermediate reporter in our system ((Bershtein et al., 2012) and Figure 3C).

We note that only a small group of the mutant DHFR proteins exhibited high bis-ANS fluorescence at room temperature (Figure 3B, Figure S3), while most mutants exhibit an elevated population of the I-state only at higher temperatures (Figure S3). Strikingly, the slow growing mutants whose growth is significantly rescued by GroEL$^+$ and/or Δ*lon in vivo* (Figure 2) belong to this second group of mutants (W133V, V75H+I155A, I91L+I155A). We hypothesize that this is probably true for the remaining mutants with the impaired fitness, which we were not able to purify (I91L+W133V, V75H+I91L+I155A, V75H+W133V+I155A). Another characteristic feature of the DHFR mutants in this subset is a monotonic decrease in the bis-ANS signal with

increasing temperature (Figure 3B, Figure S3). This drop in bis-ANS fluorescence with temperature increase can be explained either by thermal unfolding (molten-globule carrying exposed hydrophobic patches unfolds into a random coil that does not bind bis-ANS any longer), or by aggregation (that screens the hydrophobic areas from bis-ANS). To distinguish between these scenarios, we measured the aggregation propensity, using the Proteostat dye as an aggregation reporter, for several representative DHFR proteins under conditions that are identical to the bis-ANS experiment (see the *Supplemental Experimental Procedures*). Figure S4 shows that the aggregated fraction does not change substantially within 25°C-70°C temperature range, suggesting that thermal unfolding of the molten globule-like states into a random coil is the most plausible explanation for the observed bis-ANS fluorescence decay with temperature.

### *E. coli* can sustain a substantial drop in DHFR activity without compromising its fitness

An important question is why the strains expressing mutants with populated *non-functional* molten-globule state even at room temperature can still exhibit near-neutral phenotype at 30°C? For instance, V75H+I155A DHFR mutant shows 8-10 fold increase in bis-ANS fluorescence compared to the wt protein at 30°C (Figure 3B), yet the growth rate of the strain expressing this protein is similar to wt at the same temperature (Figure 2A, Table S1). We address this question by establishing the relation between the abundance of soluble DHFR in the cytoplasm and the bacterial growth rate. To that end we put the *folA* gene under the IPTG-controllable promoter (see Figure 4A and *Experimental Procedures*) (Lutz and Bujard, 1997). Under the saturating concentration of IPTG, this strain generates 20-25% of the endogenous DHFR protein level. Decrease in the IPTG concentration results in a tightly regulated drop in the amount of DHFR produced. Growth rates at each IPTG concentration were measured at 30°C, while the DHFR abundances were determined by Western Blot (see Figure 4B, and *Supplemental Experimental Procedures*). Similar to previous study by (Dykhuizen et al., 1987) on the dependence of fitness on the activity of $\beta$-galactozidase, DHFR abundance-fitness relation exhibits the Michaelis-Menten -like behavior. The behavior can be formally expressed as

$$G = G_{max} \frac{[F]}{[F] + F_0} \qquad (1)$$

where [F] is the number of functional DHFR molecules per cell, $G_{max}$ is a maximum growth rate achieved at saturation of DHFR, the constant $F_0$ (an analog of the Michaelis-Menten constant $K_M$) is the amount of the expressed DHFR needed to maintain 50% of the fitness. Our analysis (see Figure 4B) shows that best fit to the data is obtained at $F_0 \approx 10$ constituting roughly 10% of the basal level of DHFR in *E. coli* (Taniguchi et al., 2010). An important observation is that there is a broad regime at which the drop in the DHFR concentration (approximately up to 20% of the endogenous expression) does not cause appreciable drop in fitness. However, the drop in fitness upon further decrease of DHFR abundance is sharp and approximately linear. This finding implies that fitness-wise cells can sustain up to 80% loss of functional DHFR to a highly populated intermediate state. We measured the catalytic activity of the purified wt and V75H+I155A DHFR proteins (Figure S5, and *Supplemental Experimental Procedures*), and indeed found that V75H+I155A DHFR mutant retains approximately 20% of the wt activity at 30°C, which is sufficient to sustain the wt-like growth rates.

Our results suggest that GroEL and Lon might both recognize and compete for the I-state of DHFR. In such a scenario, Lon would act to eliminate the aggregation-prone proteins from the

cytoplasm, as protein folding intermediates often lead to formation of aggregates (Booth et al., 1997). This view is consistent with the earlier reports that showed an increase in the total protein aggregates upon Lon inactivation (Rosen et al., 2002). The analysis of the DHFR abundance in the *insoluble* (aggregated) fraction of cell lysates of the mutant DHFR strains further supports this view: We found that *lon* deletion most strongly affects the abundance of mutants that show highly populated I-state at low temperatures. While no aggregated DHFR can be detected for these mutants under the endogenous Lon levels, Lon depletion resulted in a pronounced insoluble fraction (Figure S6). Furthermore, when *lon* is deleted, the DHFR insoluble abundance strongly correlates with the bis-ANS fluorescence (Figure 5A, Table S2). This correlation disappears when Lon protease is present at its endogenous levels (Figure 5B).

**A kinetic model of DHFR homeostasis supports the active cytoplasm paradigm**

We used these results to develop a simple *In vivo* kinetic model of the DHFR homeostasis in active cytoplasm (see Graphical Abstract). The model is similar in spirit but not in detail to several earlier models (Kiefhaber et al., 1991) (Bandyopadhyay et al., 2012; Powers et al., 2012; Vendruscolo, 2012; Wickner et al., 1999). The main assumptions of our model are as follows: **1)** DHFR is present in the cytoplasm in two interconverting states, F and I, and mutations affect both kinetic $k_F, k_I$ and equilibrium $K_{eq} = k_F / k_I$ constants for the $F \leftrightarrow I$ transitions. **2)** GroEL/ES "captures" DHFR in the I-state and releases it in solution in the F state. **3)** Lon protease acts on the I state and degrades it but is insensitive to the F state. **4)** New DHFR proteins are synthesized in the cytoplasm at a steady state of C molecules per unit time and are released from the ribosome in the F state.

Equilibrium constants for the $F \leftrightarrow I$ transitions for each mutant can be obtained from *In vitro* experiments where bis-ANS fluorescence reports on the equilibrium population of I in solution:

$$[I]_{eq} = \alpha \bullet ANS = \frac{C_0}{K_{eq} + 1}$$

$$[F]_{eq} = C_0 - [I]_{eq} = \frac{C_0 K_{eq}}{K_{eq} + 1} \qquad (2)$$

where $[F]_{eq}$ and $[I]_{eq}$ are concentrations of the F and I states of the protein at equilibrium in solution at the conditions of the in *vitro* ANS fluorescence experiments. $C_0$ is the total concentration of DHFR in equilibrium solution under conditions of the *in vitro* experiment, $ANS$ is an ANS fluorescence signal, and $\alpha$ is a proportionality constant. If the cytoplasm were an equilibrium solution of proteins, the abundances of DHFR mutants in the F state in cytoplasm would be *linearly* anti-correlated with their signature ANS fluorescence obtained in *In vitro* measurements (Eq.2). On the contrary, under the active cytoplasm assumption, the *steady-state* abundance of the I-state of DHFR is determined not by its equilibrium with the F state, but rather by the balance between protein production and degradation. The kinetic model predicts that under the steady-state conditions the abundance of folded proteins would depend on the equilibrium constant quite differently than under the equilibrium conditions. Specifically, the model predicts that at low temperature (30°C), when the spontaneous unfolding of F to I is faster than the GroEL° F to I turnover, the concentration of F for a DHFR mutant should be proportional to the

equilibrium constant $K_{eq}$ for $K_{eq} \geq 1$, *i.e.* inversely proportional to the signature ANS fluorescence signal for a mutant (See *Supplemental Experimental Procedures*):

$$[F] = \frac{CK_{eq}}{k_0 K_{eq} + k_{Lon}} \approx \frac{A}{\alpha \bullet ANS} \quad (3)$$

When $k_0 K_{eq} \leq k_{Lon}$. Here, $k_{Lon}$ is a rate constant for degradation of I-state proteins by Lon, $k_0$ is degradation rate of F-state DHFR by other than Lon proteases and A is proportionality constant. Consistent with the active cytoplasm prediction Eq.(3), we found the *hyperbolic* relation between the soluble DHFR abundance in *folA* mutant strains and the corresponding *in vitro* bis-ANS fluorescence of the mutant DHFR (Figure 5C).

Next, we turned to the modeling of the $\Delta lon$ and GroEL$^+$ effects on fitness. The theory predicts the abundance of folded DHFR [F] in the cytoplasm in steady-state (see *Supplemental Experimental Procedures*, (Eq.S3)). Substituting this result into (Eq.1) for growth rates we get:

$$G = G_{\max} \left( \frac{C\tilde{k}_F}{\left(C + F_0 k_0\right)\tilde{k}_F + F_0 k_{Lon} k_u} \right) \quad (4)$$

Where the parameters $G_{\max}, F_0, k_{Lon}, k_u$ and $C$ are as defined before, and $\tilde{k}_F = k_F + k_{GroEL}^{Capture} + k_{Lon}$ is an effective folding rate that reflects both spontaneous folding and the effects of Lon and GroEL. $k_{GroEL}^{Capture}$ is the rate constant for GroEL capture of proteins in the I-state; it depends linearly on the concentration of GroEL.

The analysis of the model leads to the following predictions with regards to the impact of PQC on the growth rates of mutant DHFR strains: *i*) elimination of the Lon protease activity (*i.e.*, making $k_{Lon} = 0$ in Eq. (4)) should result in equalization of the mutants' growth rates:

$$G \to G_{\max} \frac{C}{C + F_0 k_0} \text{ as } k_{Lon} \to 0 \quad (5)$$

*i.e.* under the $\Delta lon$ conditions all strains should grow with similar rate.

*ii*) Unlike the Lon knockout, the GroEL overexpression that leads to a greater $\tilde{k}_F$ should provide increased growth rates, while maintaining their variation between strains. *iii)* The GroEL overexpression on the $\Delta lon$ background should retain homogeneity of growth rates between strains.

**Mimicking Horizontal Gene Transfer: Replacement of the *folA* gene in E. *coli* with bacterial orthologs.**

Consistent with the model above, we found that the growth rates of the mutant strains on the $\Delta lon$ background are indeed more homogenous than on the wt protease background, especially at lower temperatures (Figure 2, *left panel*). However, the range of growth rates between mutants is somewhat narrow to provide a definitive test of the theory. We sought to broaden it by making the *E. coli* strains whose *folA* genes are replaced by orthologs from highly divergent bacteria. Out of 290 available DHFR sequences of the mesophilic bacterial origin we selected 42 sequences whose

amino acids identity to the *E. coli*'s DHFR fell between 29 to 96% (Table S3). To avoid a possible codon-usage related bias, we converted the amino acid sequences of the orthologous DHFRs into the DNA sequence using the codon signature of the *E. coli*'s *folA* gene (see Table S4). The synthetic DNA sequences were then used to replace the protein coding part of the chromosomal *folA* gene, while preserving the integrity of the *E. coli*'s *folA* gene regulatory region. Growth rates of the orthologous DHFR strains at $30^0$C, $37^0$C, and $42^0$C fall in a broad range at all temperatures (Figure 6A, Figure 7 and Table S3). Next, we tested the effect of Δ*lon* and GroEL$^+$ on growth rates of the orthologous strains (Figure 6A). Similar to the fitness effects of Δ*lon* and GroEL$^+$ on the *folA* mutant strains, most of the othologous DHFR strains with impaired growth rates were restored back to the wild-type level, dropping slightly for strains whose growth rates were initially higher than wild-type. The symmetry between the fitness effects of Δ*lon* and GroEL$^+$ was also maintained at all temperatures (Figure 6B).

Consistent with the model prediction *(i)*, Δ*lon* resulted in a remarkable equalization of the growth rates for all strains (Figure 6A, Figure 7). GroEL/ES overexpression resulted in a much more dispersed distribution of the growth rates, as can be seen from Figure 7, thus confirming the prediction *(ii)*. GroEL$^+$ on the background of Δ*lon* further decreased the dispersion of the growth rates (Figure 7), as postulated by prediction *(iii)*.

**Discussion.**

The view of the cytoplasm as an active medium has been well established (Kiefhaber et al., 1991; Pechmann et al., 2009; Powers et al., 2012; Vabulas et al., 2010; Vendruscolo, 2012; Wickner et al., 1999). In particular, Gierasch and coworkers proposed a general mathematical model for protein homeostasis in *E. coli,* which considers dynamic interactions of proteins with key players of the PQC system (Powers et al., 2012). Here we reduced the experimentation and mathematical analysis to one protein – DHFR - and specific components of the PQC system, which play a key role in response to mutations. In case of DHFR, growth rate is directly related to the abundance of functional folded proteins in the cytoplasm (Figure 4), so that mutations affect fitness through the ''loss of function'' effect rather than general misfolding toxicity (Drummond and Wilke, 2008) (Pechmann et al., 2009). Therefore fitness effects of mutations in DHFR can be derived from the model predictions concerning the steady-state amount of folded protein.

Our experiments mimicking horizontal gene transfer of *folA* genes revealed a significant variability (more than 2-fold) of growth rates between *E. coli* strains with orthologous *folA* replacements, whereby the majority of replacements showed slower growth than wild-type *E. coli*. Remarkably, such diversity is greatly diminished on the Δ*lon* background in a way that matches the theory predictions. Since Lon cannot affect the catalytic activity of DHFR, we conclude that the variability of growth rates under the wt GroEL and Lon backgrounds can be attributed mainly to the variation of $F \leftrightarrow I$ interconversion stabilities between the DHFR orthologs from different bacterial species in the environment of the *E. coli* cytoplasm. This observation brings about an interesting possibility that proteins are thermodynamically and kinetically optimized to fit the environments of their endogenous cytoplasms. It is known that proteomes vary vastly in terms of their average hydrophobic content and charges (pIs) (Nandi et al., 2005). Some aspects of the variation can be attributed to thermal adaptation (Zeldovich et al., 2007a), but other factors such as pH, salinity, and presence of osmolytes (Bandyopadhyay et al., 2012) in the cytoplasm should be considered as well. Another interesting factor that might contribute to a variation of abundances of active orthologous proteins expressed in *E. coli* is the efficiency of cotranslational folding (Ugrinov and Clark, 2010).

The main insight of this study is that fitness effects of mutations in active cytoplasm are fundamentally different from those in a hypothetical "passive" cytoplasm viewed as a solution of proteins at thermodynamic equilibrium. At equilibrium, mutations result in thermal redistribution between U, I and F forms, while the total amount of protein remains unchanged. In the active cytoplasm mutations also perturb the total amount of protein because they shift the balance between protein synthesis, folding and degradation. Under the $\Delta lon$ condition, proteins in the I-state do not get degraded or otherwise removed from the cytoplasm (provided that they do not aggregate, which is the case at $30^0$C). In this case, the abundance of proteins in the folded state under $\Delta lon$ is governed by the balance between its production *in the folded state* and degradation *in the folded state*. The transitions to the I-state do decrease the concentration of the F state. However such decrease is perfectly compensated by the decrease in the F-concentration-dependent degradation rate making the abundance of folded DHFR in the steady-state cytoplasm under the $\Delta lon$ conditions independent on the $F \rightarrow I$ transition stability. Therefore, the $\Delta lon$ condition equalizes the abundances of DHFR in *E. coli* strains with mutant or orthologous *folA* replacements and as a consequence, these strains exhibit almost equal growth rates. In the same vein, GroEL$^+$ becomes inefficient under $\Delta lon$, because concentration of functional DHFR is already at saturation in the fitness-abundance curve.

In the active cytoplasm folding and unfolding kinetics rates play an important role, along with the equilibrium constants. This conclusion is intuitive. Indeed, any shifts towards the native state provided GroEL must be "memorized" upon the release of the protein into the cytoplasm where it carries out its function. If the protein rapidly equilibrates between its native and non-functional states, then all the "work" done by GroEL and burned ATP would be wasted upon release of the protein into cytoplasm. Therefore, for GroEL to be effective, its turnover rate must be comparable or faster than the rate of conversion of F back to I. Importantly, both active (Chakraborty et al., 2010; England et al., 2008; Stan et al., 2007) and passive (Horwich and Fenton, 2009; Tyagi et al., 2011) models of GroEL function will perform equally well under the assumptions of our model, *provided that DHFR is released from GroEL in its folded state*. Simple caging and release of I states by GroEL (*i. e.*, without converting it into the F form), although protective against aggregation and degradation by Lon and other I-specific proteases, will not affect the concentration of the folded (functional) F fraction at the steady-state, and, therefore, the fitness of the organism.

An important factor that our model currently omits is the aggregation of misfolded proteins. Some mutants which exhibit high bis-ANS fluorescence at room temperature were indeed shown to aggregate at higher temperatures ((Bershtein et al., 2012), Figure S4)). This factor probably introduces an additional variation in the growth rates observed at $42^0$C, even under the $\Delta lon$ regime, while at $30^0$C the theoretical prediction that $\Delta lon$ should lead to equalizing the growth rates holds with considerable accuracy. It is also possible that the steady-state conditions might be violated due to the feedback mechanisms that can lead to up- or down regulation of protein expression in response to mutations in the ORF. While this possibility is not considered in our present model, it can be included in its future extension.

Admittedly, the growth curve of a bacterial culture measured by changes in the optical density reflects on a total biomass production, and as such is oblivious to possible changes in cell morphology (shape, volume, *etc*) that may be induced by mutations or growth conditions(Ahmad et al., 1998). However, the *difference* in growth rates between the mutant strains serves as a good quantitative reporter on the role that cellular proteostasis machinery plays in shaping the fitness effects of mutations. We are currently working to extend the characterization of fitness effects by

including the morphological effects of mutations. While we were able to pinpoint GroEL and Lon as important players in the PQC response to mutations, we cannot rule out that there could be other yet unidentified cellular factors that come into play in response to mutations in complex cellular context. However, our minimalistic model is successful in predicting the consequences of genetic changes in the PQC system, suggesting that the mechanisms identified in this work do play an important role.

The distribution of fitness effects of mutations is the key component of most evolutionary models. It is either postulated a'priori (Hartl and Clark, 2007; Wilke, 2005) or derived from a biophysical model that posits a certain relationship between the molecular properties of proteins (e.g. stability, abundance ,*etc.*) and organismal fitness (Drummond and Wilke, 2008; Heo et al., 2011; Tokuriki and Tawfik, 2009b; Wylie and Shakhnovich, 2011; Zeldovich et al., 2007b; Zeldovich et al., 2007c). However, current biophysical multiscale models have two important limitations: 1) they usually view the cytoplasm as an equilibrium solution. 2) they assume unfolding transitions to be two-state between folded and unfolded states. Our study suggests how these assumptions could be improved. In particular, our analysis highlights the crucial importance of folding intermediates in cellular milieu suggesting a potential shift in paradigm in the studies of evolution of protein stability.

**Experimental Procedures**

**Knock-out of protease-encoding genes**

Genes encoding ATP-dependent proteases (Lon, HslUV, and ClpP – the protease components of ClpAP, ClpAPX, and ClpXP) were deleted using homologous recombination enhanced by lambda Red, essentially as described (Datsenko and Wanner, 2000).

**Analysis of folding intermediates (I)**

The relative fraction of the folding intermediate in a range of temperatures was determined *in vitro* by thermal unfolding of the DHFR proteins in the presence of bis-1- Anilinonaphthalene-8-sulfonat (bis-ANS) followed by a fluorescence signal. To this end, 2 μM of a DHFR protein (10 mM K-phoshate buffer pH8.2, 0.2 mM EDTA, 1 mM beta-mercaptoethanol, 750 μM NADPH) in the presence of 12 μM bis-ANS were heated from $25^{\text{o}}$C to $70^{\text{o}}$C at $0.2^{\text{o}}$C/min. The fluorescence signal that emanated from bis-ANS was monitored (excitation 395 nm, emission 490 nm) using Cary-eclipse fluorescence spectrophotometer, Varian. Integrals of the fluorescence signal over the entire temperature range, or values at $30^{\text{o}}$C, $37^{\text{o}}$C, and $42^{\text{o}}$C were obtained for each of the purified DHFR proteins and normalized against the wtDHFR signal, which was arbitrary set to 1 (Table S2).

**Generation of an IPTG- controllable *folA* regulatory region on the chromosome**

Regulatory region of the *folA* gene was synthesized (GenScript) with the following modifications: The operator sequence of the *lac* operon (*lac*O) was introduced upstream to -33 (position V) and -10 (position IV) promoter signals (see Figure 4A, terminology from (Lutz and Bujard, 1997)). The synthetic sequence was cloned in place of the endogenous *folA* regulatory region in the pKD13 plasmid. The homologous recombination technique described above was then used to generate MG1655 strain carrying a modified regulatory region of the *folA* gene. Finally, a Z1 cassette carrying *lacI* gene under constitutive promoter P$_{lacI}$$^{\text{q}}$ (Lutz and Bujard, 1997) was introduced into the chromosome by P1 transduction to generate B3 strain. Under the saturated IPTG concentration (0.6 mM), B3 strain produced 20-25% of the basal DHFR expression level found in wt MG1655 strain, as determined by Western Blot. Decrease in the

IPTG concentration resulted in further drop of DHFR production. When grown from a single colony, B3 strain cannot sustain growth unless supplemented with IPTG.

**Relating growth rates of B3 strain to fitness**

B3 strain cells were grown overnight at 30$^{\circ}$C from a single colony in supplemented M9 medium (0.2% glucose, 1mM MgSO4, 0.1% casamino acids, 0.5 $\mu g$ /ml thiamine) in the presence of 0.6 mM IPTG. Cells were then washed x3 times in M9 media, diluted 1/100, and grown for 5 hours in the absence of IPTG to decrease the intracellular DHFR pool. Next, cells were diluted 1/10, transferred into 96-well microtiter plates (16 wells per each strain), and grown at 30$^{\circ}$C in a range of IPTG concentrations (0.6 mM to 0). OD data were collected at 600nm at 35 min intervals. The resulting curves were fit to a bacterial growth model to obtain growth rate parameters. Since full depletion of the DHFR molecules cannot be achieved under this growth regime, the resulting growth rates were scaled from 1 to 0, whereas 1 was assigned to the growth rate of wt MG1655 strain (basal DHFR expression), and 0 was assigned to the lowest growth rate at 0 IPTG. The IPTG values were converted into protein abundance by Western Blot analysis of the soluble fraction of DHFR extracted from the strains grown under the identical conditions. Protein amounts were scaled against DHFR levels found in wt MG1655 strain (set to 100%). The resulted Protein abundance – growth rate data were fit to Michaelis-Menten-like kinetics, $[S]xV_{max}/([S]+K_M)$, where $[S]$ is the DHFR abundance, $V_{max}$ is the maximal growth rate obtained under the basal expression levels of DHFR, and $K_M$ is the level of DHFR produced at which growth rate is half of its maximal value, see Eq (1).

**Generation of strains expressing orthologous DHFR proteins**

The blast analysis against E. *coli*'s DHFR amino acids sequence amongst meshophilic bacteria produced 290 unique DHFR sequences (Huang et al., 2004). This dataset was used to select 42 sequences with amino acids identity to the E. *coli*'s DHFR ranging between 29 to 96% (Table S3). To avoid possible codon-usage related bias, the amino acid sequence of the chosen DHFRs was converted into the DNA sequence using the codon signature of the E. *coli*'s *folA* gene. Specifically, frequency of each codon was calculated, and codons with the highest score were used for protein – DNA sequence conversion (see Table S4). In addition, each DNA sequence, including the E. *coli*'s folA, was fused to a tag encoding 6 histidines. The resulted DNA sequences were synthesized (GenScript), and cloned into the pKD13 plasmid downstream to *folA*'s regulatory region. Using the homologous recombination technique (see above), 42 MG1655 E. *coli* strains were created in which homologous DHFR-encoding sequences replaced the ORF of the chromosomal *folA* gene, while maintaining the integrity of *folA*'s regulatory region.

**Analysis of growth curves.**

Cells were grown overnight at 30$^{\circ}$C from a single colony in supplemented M9 medium (0.2% glucose, 1mM MgSO4, 0.1% casamino acids, 0.5 μg/ml thiamine), diluted 1/100, transferred into 96-well microtiter plates (16 wells per each strain), and grown at 30$^{\circ}$C, 37$^{\circ}$C, and 42$^{\circ}$C. OD data were collected at 600nm at 35 min intervals. The resulting curves were fit to a bacterial growth model to obtain growth rate parameters (Zwietering et al., 1990)

**Statistics**

R and *P – values* for linear correlations were determined by ANOVA (analysis of variation) test.

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## Figure Legends

**Figure 1. GroEL/ES over-expression and *lon* deletion rescue a near-lethal phenotype of a DHFR mutant**. Growth curves of wt and mutant (I91L+W133V) DHFR strains at 42°C. (A, B) Strains with (*red*) and without (*blue*) GroEL/ES over-expression. (C, D) Strains carrying *lon* gene (*blue*) or *lon* deletion (*red*). Error bars represent s.d. of 16 independent measurements.

**Figure 2. The effect of GroEL/ES over-expression and *lon* deletion on the growth rates of DHFR mutant strains**. (A) Growth rates of the DHFR mutant strains at 30 °C, 37 °C and 42°C were sorted from the lowest to the highest (*black*). Growth rates obtained with GroEL/ES over-expression (right) and *lon* deletion (left) are shown in *red*. (See also Table S1). Dashed line indicates the wt DHFR strain. Error bars represent s.d. of 16 independent measurements. (B – D) Change in the growth rates of DHFR mutants upon *lon* deletion and GroEL/ES over-expression is linearly correlated at all temperatures tested (30°C, 37°C, and 42°C). Change in growth rate is calculated by subtracting the growth rates under endogenous conditions from the growth rates upon *lon* deletion or GroEL/ES over-expression. Error bars for changes in the growth rate were calculated by summation of the relative s.d. of endogenous and *lon* deletion or GroEL/ES over-expression values. R, and *P-values* are calculated by ANOVA.

**Figure 3. Detection of folding intermediate by bis-ANS fluorescence.** Bis-ANS fluorescent probe was used *in vitro* to monitor the population of folding intermediates during thermal unfolding of the purified DHFR proteins (25°C -70°C) (see *Experimetal Procedures*). Panels A and B show representative behavior, the data for all mutants are in Figure S3. (A) Wild-type DHFR protein is characterized by a very low fluorescent signal up to 50°C and a sharp transition through a folding intermediate around 60°C ($T_m$, mid-transition temperature). (B) V75H+I155A DHFR mutant exhibits a very pronounced folding intermediate already at room temperature (25°C) that tends to disappear with temperature increase due to unfolding. (C) $T_m^{app}$ values of the DHFR proteins derived from bis-ANS profiling during thermal unfolding (see also Figure S3) is correlated with $T_m^{app}$ values derived from the thermal unfolding measured by Differential Scanning Calorimetry (Bershtein et al., 2012).

**Figure 4. Dependence of fitness on protein abundance**. (A) Positions of lacO binding sites introduced upstream of the *folA* gene to provide IPTG-controllable expression (see *Experimental Procedures* for a detailed description). Nucleotide sequence represents the non-coding area upstream to *folA* ORF. Nucleotides in red denote the sequence of the introduced lacO V and IV operators. Underlined sequences represent the -33 and -10 promoter signals. Low case nucleotides indicate the initiation of transcription (+1). The first ATG of the coding sequence is in *italic*. CAT upstream to the ATG codon was introduced for the cloning purposes. (B) The effect of down-regulation of the wt DHFR expression on growth rate at 30°C. Growth rates of the B3 strain with IPTG-controlled *folA* expression were measured in the range of IPTG concentrations (see *Experimental Procedures*) and presented as a function of the corresponding DHFR expression level. Solid line is a fit to Michaelis-Menten-like kinetics (see *Experimental Procedures*). Error bars represent s.d. of 4 independent experiments.

**Figure 5. Protein abundance is correlated with population of folding intermediate (I)**. (A) Abundance of the *insoluble* DHFR fraction detected for all *lon* deleted DHFR mutant strains is correlated to bis-ANS fluorescence measured *in vitro* at 30°C. (B) Insoluble fraction of the DHFR proteins in *lon+* strains does not correlate with bis-ANS fluorescence (see also

Figure S6). Insoluble abundance is normalized against V75H+I91L DHFR strain (arbitrary set to 1). (C) Intracellular protein abundance of the *soluble* DHFR proteins in the strains grown at 30$^{\circ}$C *inversely* correlates with bis-ANS fluorescence, as predicted by Eq.3. Abundances are normalized against wt DHFR (arbitrary set to 1). bis-ANS fluorescence is normalized against wt DHFR (arbitrary set to 1), see also Table S2. Error bars respresent s.d. of 3 independent experiments.

**Figure 6. The effect of GroEL/ES over-expression and *lon* deletion on the growth rates of DHFR strains with orthologous replacements of the *folA* gene**. (A) Growth rates of the strains at 30 $^{\circ}$C, 37 $^{\circ}$C and 42$^{\circ}$C were sorted from the lowest to the highest (*black*). Growth rates obtained with GroEL/ES over-expression (right) and *lon* deletion (left) are shown in *red* (see *Experimental Procedures* and Table S3). Dashed line marks the strain expressing E. *coli*'s DHFR. Error bars represent s.d. of 16 independent measurements. (B – D) Change in the growth rates of the orthologously replaced DHFR strains upon *lon* deletion and GroEL/ES over-expression is linearly correlated at all temperatures tested (30$^{\circ}$C, 37$^{\circ}$C, and 42$^{\circ}$C). Change in growth rate is calculated by subtracting the growth rates under endogenous conditions from the growth rates upon *lon* deletion or GroEL/ES over-expression. Error bars for changes in the growth rate were calculated by summation of the relative s.d. of endogenous and *lon* deletion or GroEL/ES over-expression values. R, and *P-values* are calculated by ANOVA.

**Figure 7. Distribution of growth rates of DHFR strains with orthologous replacements of the *folA* gene.** The effect of $\Delta lon$ , GroEL+, and $\Delta lon$ with GroEL+ on the distribution of growth rates of the orthologous DHFR strains was measured at 30$^{\circ}$C (upper panel), 37$^{\circ}$C (middle panel), and 42$^{\circ}$C (lower panel). $\sigma$ is standard deviation. Dashed line indicates the growth rate of the strain expressing E. *coli*'s DHFR.