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Closely related bird species demonstrate flexibility between beak morphology and underlying developmental programs

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The astonishing variation in the shape and size of bird beaks reflects a wide range of dietary specializations that played an important role in avian diversification. Among Darwin’s finches, ground finches (Geospiza spp.) have beaks that represent scaling variations of the same shape, which are generated by alterations in the signaling pathways that regulate growth of the two skeletal components of the beak: the prenasal cartilage (pnc) and the premaxillary bone (pmx). Whether this developmental mechanism is responsible for variation within groups of other closely related bird species, however, has remained unknown. Here, we report that the Caribbean bullfinches (Loxigilla spp.), which are closely related to Darwin’s finches, have independently evolved beaks of a novel shape, different from Geospiza, but also varying from each other only in scaling. However, despite sharing the same beak shape, the signaling pathways and tissues patterning Loxigilla beaks differ among the three species. In Loxigilla noctis, as in Geospiza, the pnc develops first, shaped by Bmp4 and CaM signaling, followed by the development of the pmx, regulated by TGFβII, β-catenin, and Dkk3 signaling. In contrast, beak morphogenesis in Loxigilla violacea and Loxigilla portoricensis is generated almost exclusively by the pmx through a mechanism in which Ihh and Bmp4 synergize to promote expansion of bone tissue. Together, our results demonstrate high flexibility in the relationship between morphology and underlying developmental causes, where different developmental programs can generate identical shapes, and similar developmental programs can pattern different shapes.

convergent evolution | craniofacial | morphogenesis

The role that genes play during development is key to understanding evolutionary processes that generate morphological diversity (1–3). Comprising 30 orders, 193 families, 2,099 genera, and close to 10,000 species, birds are the most diverse group of land vertebrates, and much of their success can be attributed to adaptive variation in beak morphology, a trait closely associated with feeding habits and ecological niche (4). The adaptive significance and diversity of bird beaks offers an excellent opportunity for evolutionary developmental studies probing the mechanisms underlying morphological diversification.

The shape of the beak determines its functional properties (5). We previously showed that in Darwin’s finches—a group of 14 closely related species representing a classic example of an adaptive radiation (6, 7)—beak shapes can be classified into three unique morphological groups based on morphometric similarity (8). Within each group (termed A, B, and C), beak shapes differ only in scale along specific dimensions (i.e., depth and/or length) and can be shown using scaling transformations to be expressions of a single common shape. By definition, variation between groups cannot be accounted for by changes in beak scales alone, implying that species in different morphological groups have fundamentally different beak shapes (characterized by a different upper-beak curvature profile) beyond changes in scale (8). Hereafter, we refer to birds as having the same beak shape if they differ only in scale along the depth and/or length dimensions (and thus are in the same morphological group).

In Darwin’s finches of the monophyletic genus Geospiza, which all belong to morphological group A, beaks are patterned by a common underlying molecular and developmental mechanism (9–11). At early embryonic stages (stages 26 and 27), Bmp4 and calmodulin (CaM) regulate the growth of the prenasal cartilage (pnc) skeleton (9), (10). Subsequently, the pnc ceases its expansion (12), and beak morphogenesis is completed by the developing premaxillary bone (pmx), which forms from a separate condensation and is patterned by a network of unrelated yet interacting regulatory genes, TGFβII, β-catenin, and Dkk3 (11) at the later stages 28–31. Differences in scaling between species arise through changes in the signaling pathways that alter the pnc and the pmx, the two separate developmental modules that form the beak, along different axes of growth (9–11). However, it is unknown whether this mechanism is unique to Geospiza or is also responsible for generating scaling variations and novel beak shapes in other bird species. We hypothesized that the previously discovered mechanisms controlling beak diversity in Darwin’s finches would explain similar beak shapes in other more distantly related bird species. To address this hypothesis, we capitalize on the remarkable beak shape variation in the 13 species most closely related to Darwin’s finches (6, 13, 14). Together with Darwin’s finches, these birds, which are mainly endemic to the Caribbean islands, form a monophyletic and recently diverged clade known as the Tholospiza, the “dome finches,” because its members build dome-shaped nests with side entrances (14). Despite high genetic similarity, the Tholospiza have extraordinary levels of beak diversity that are comparable to those seen among members of disparate bird families on mainland (14, 15). The marked beak diversity of Tholospiza could be explained by ecological factors, such as strong selection pressures upon colonization of specific island niches, by unique aspects about the beak developmental genetic architecture of its ancestor, or by a combination of both (14). Here, we report that the three members of the genus Loxigilla, which form part of the Tholospiza, have evolved beaks of the same shape, different from that of Geospiza, varying among each other only in scaling. However, in contrast to Geospiza, Loxigilla species’ beaks are patterned by a unique morphological mechanism described previously (8).

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The authors declare no conflict of interest.

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shapes through distinct signaling pathways and tissues. In one species, beaks are patterned by the same mechanisms as in *Geospiza*, whereas the other two species use different signaling pathways and tissues. Overall, these results demonstrate flexibility between developmental mechanisms and morphology among the closely related members of Thalospiza.

**Results and Discussion**

To provide a robust phylogenetic framework for our comparative study, analysis of beak shapes in Thalospiza species was undertaken with reference to a phylogenetic analysis reported here based on six genes (Fig. 1A and Materials and Methods), rather than the single genetic marker used previously (14, 16). Upper-beak profiles obtained from images of museum specimens of all Thalospiza members (Materials and Methods) (8) showed that although six of the 13 species (not including Darwin’s finches) belonged to the previously identified group A (8), there were three additional morphological groups, termed D, E, and F (Fig. 1A and B and Fig. S1). To study in detail the developmental mechanisms that generate novel shapes and the variation within them, we chose to focus on beak morphogenesis in the Caribbean bullfinches of the genus *Loxigilla* (*L*. *noctis*, *L*. *violacea*, and *L*. *portoricensis*) for three reasons: (i) *Loxigilla* species (group D) have deep and wide conical seed-eating beaks that resemble those of *Geospiza* (group A) and thus the comparison of the developmental mechanisms of both groups has a relevant ecological context; (ii) distribution of their beak morphology (*L*. *noctis* has proportionally the least deep/wide beak, *L*. *violacea* an intermediate sized beak, and *L*. *portoricensis* has the largest and deepest beak) (13) allows for analyzing the mechanisms originating scaling variation within this morphological group (Fig. 1C); and (iii) our beak shape analysis and the phylogenetic evidence from this and a previous study (14) shows that, although *L*. *noctis*, *L*. *violacea*, and *L*. *portoricensis* have been traditionally grouped under the same genus based on similarities in plumage coloration and beak characters (13), their beak shape has evolved convergently (Fig. 1A and Fig. S2), with *L*. *noctis* more closely related to Darwin’s finches than to the other two species of *Loxigilla*. Therefore, these birds are ideal to further investigate the principles of beak evolution, such as presence of possible developmental constraints in shape patterning.

Because the pnc tissue plays an important role in patterning the beaks of *Geospiza* at early stages of development, through the action of *Bmp4* and CaM (9, 10), we first wanted to examine the extent to which this tissue and signaling mechanisms were also contributing to beak morphogenesis in the three *Loxigilla* species. The expression of *Col2a1*, a cartilage marker, in stage 27 embryos reveals that the pnc occupies the majority of the developing beak

![Fig. 1. Thalospiza phylogeny and classification of beak shapes. (A) ML phylogeny of Thalospiza based on six genes. Closed circles represent branches supported by Bayesian posterior probabilities higher than 0.95 and ML bootstrap support values higher than 70%; open circles represent branches with this level of support in one analysis but not the other. For Darwin’s finches, we show the summarized results from the detailed beak shape analysis that was done previously (8). Bird illustrations were reproduced with permission from refs. 33–36. (B) Heat map of pairwise comparisons between different beak shapes of species in Thalospiza. Crosses (x) indicate pairs where no minimum in the defined measures of shape difference, $E_1$ and $E_2$, as a function of the scaling factors could be found. Conversely, comparisons not marked with an x indicate that a minimum exists. The plotted color represents the residual of the shape difference measure, $E_r(s_1, s_2)$. The same results were obtained for the residual, $E_r(s_1, s_2)$. For those pairs marked with an x, the plotted color indicates the minimal value of $E_r$ in the range of scaling factors that the experimental error allows to search for. In our analysis, two beak shapes collapse under scaling transformation if a minimum in both measures describing the difference between the shapes exists (no x) and the associated residual is low (black color; Materials and Methods) (8). Morphological groups, defined as groups of species where the beaks of all its members collapse onto each other under scaling transformations (and differ thus only by their scales, such as depth and length), are outlined in the phylogeny and in the heat map with colors (color legend for morphological groups is shown in the Lower Left). (C) Beak profiles of the three *Loxigilla* species as obtained from digitization of the beak profile (Left) and after being collapsed onto a common shape by nonuniform (anisotropic) scaling transformations (Right).]
in *L. noctis*, similar to what is seen in *Geospiza*, whereas the pnc represents proportionally a much smaller part of the developing beaks of *L. violacea* and *L. portoricensis* (Fig. 2A and Fig. S3). *Bmp4* and *CaM* are specifically expressed around the rostral portion of the pnc in *L. noctis*, but are not expressed in *L. violacea* and in *L. portoricensis* at this stage (Fig. 2A and Figs. S3, S4/A, and S5). In *Geospiza*, expression levels of *Bmp4* and *CaM* correlate positively with the size of the pnc, and functional tests in chicken embryos show that these two genes drive outgrowth of this tissue at this stage of beak development (9, 10). Importantly, only mesenchymal *Bmp4* controls beak skeleton morphology at these developmental stages (9). These results suggest that the pnc contributes to beak shape patterning in *L. noctis* through the action of *Bmp4* and *CaM*, similar to what is observed in *Geospiza* (9, 10), but is not involved in shaping the beaks of *L. violacea* and *L. portoricensis*. Importantly, the pnc does not expand later in embryonic development, ruling out the possibility that this tissue plays a role in beak patterning at subsequent stages (Fig. S6).

We then sought to determine the relative contribution of the pnc tissue to the developing beaks of *Loxigilla* by examining the expression of an osteoblast marker (alkaline phosphatase) in stage 30 embryos (Fig. 2B). Because our previous studies suggested that *TGFβIIr*, *β-catenin*, and *Dkk3* pattern the pnc in stage 30 *Geospiza* (11) embryos, we examined the expression of these genes in *Loxigilla* embryos to test whether they were involved in regulating this tissue. In *L. noctis*, all three genes were expressed in the pnc at levels that correlated with the size of this tissue (Fig. 2B and Figs. S4B). In contrast, in *L. violacea*, the expression of these three molecules was restricted to a very small, almost undetectable domain that did not correlate with the large pnc condensation of this species (Fig. 2B and Figs. S4B and S5). Similarly, *L. portoricensis* showed undetectable levels of expression of *TGFβIIr* (Fig. 2B and Fig. S4B). In this species, however, *β-catenin* and *Dkk3* were broadly expressed in the pnc relative to the other two *Loxigilla* species (Fig. 2B and Figs. S4B and S5). Elevated levels of *β-catenin* can promote osteogenesis when this molecule is mobilized into the nucleus (17), but contrary to what is seen in *Geospiza*, *L. portoricensis* has no accumulation of nuclear *β-catenin* in its pnc (Fig. S7), suggesting that this gene is not contributing to pnc expansion in this species at this embryonic stage. Alternatively, because up-regulation of *Dkk3* drives pnc osteogenesis (11), the high expression levels of *Dkk3* observed in *L. portoricensis* could explain the expansion of the pnc in this species (Fig. 2B and Fig. S4B). However, because *Dkk3* up-regulation is known to increase beak depth and length (11), this molecule does not explain the larger beak depth and width seen in *L. portoricensis* relative to the other species of *Tholospiza* (14), indicating that other factors must be involved (11). Together, our results suggest that the *TGFβIIr*, *β-catenin*, and *Dkk3* combined signaling network is involved in patterning the pnc of *L. noctis*, similar to what is seen in *Geospiza* (11), but does not pattern this tissue in *L. violacea* or in *L. portoricensis*.

To establish which molecules regulate the pnc in *L. violacea* and *L. portoricensis*, we examined the expression of other skeletogenic factors known to control avian craniofacial development (18–21). We found that in these two species, early in development *Bmp4* is primarily expressed in domains coinciding with their developing pnc (compare the bone condensation in Fig. 2B with expression of *Bmp4* in Fig. 3). In contrast, in stage-matched *L. noctis* (Fig. 3) and in *Geospiza* (9) embryos, *Bmp4* expression was restricted to a very small, almost undetectable domain that did not correlate with the large pnc condensation of this species (Fig. 2B and Figs. S4B and S5). Similarly, *L. portoricensis* showed undetectable levels of expression of *TGFβIIr* (Fig. 2B and Fig. S4B). In this species, however, *β-catenin* and *Dkk3* were broadly expressed in the pnc relative to the other two *Loxigilla* species (Fig. 2B and Figs. S4B and S5). Elevated levels of *β-catenin* can promote osteogenesis when this molecule is mobilized into the nucleus (17), but contrary to what is seen in *Geospiza*, *L. portoricensis* has no accumulation of nuclear *β-catenin* in its pnc (Fig. S7), suggesting that this gene is not contributing to pnc expansion in this species at this embryonic stage. Alternatively, because up-regulation of *Dkk3* drives pnc osteogenesis (11), the high expression levels of *Dkk3* observed in *L. portoricensis* could explain the expansion of the pnc in this species (Fig. 2B and Fig. S4B). However, because *Dkk3* up-regulation is known to increase beak depth and length (11), this molecule does not explain the larger beak depth and width seen in *L. portoricensis* relative to the other species of *Tholospiza* (14), indicating that other factors must be involved (11). Together, our results suggest that the *TGFβIIr*, *β-catenin*, and *Dkk3* combined signaling network is involved in patterning the pnc of *L. noctis*, similar to what is seen in *Geospiza* (11), but does not pattern this tissue in *L. violacea* or in *L. portoricensis*.

![Fig. 2](https://example.com/figure2.png)

**Fig. 2.** Comparative analysis of gene expression patterns in the developing beaks of *Loxigilla*. (A) In *L. noctis* and in Darwin’s finches from the genus *Geospiza* (9, 10), the pnc, labeled with *Col2a1*, plays a marked role in beak patterning and occupies a larger portion of the developing beak than in *L. violacea* and *L. portoricensis*. At stage 27, *Bmp4* and *CaM* are strongly expressed in *L. noctis*, similar to what is seen in *Geospiza* (9, 10), whereas they are not expressed in *L. violacea* or in *L. portoricensis*. (Scale bar: 0.1 mm.) (B) The pmx condensation, labeled with alkaline phosphatase, is shown for the three *Loxigilla* species. In stage 30 *L. noctis* and *Geospiza* (11) embryos, the size and location of the pmx condensation correlate with expression of *TGFβIIr*, *β-catenin*, and *Dkk3*. In *L. violacea*, these genes were expressed at small, almost undetectable levels that do not correlate with the large pmx condensation of this species. In *L. portoricensis*, *TGFβIIr* expression was not detected, and although *β-catenin* and *Dkk3* were expressed at high levels, it is unlikely that these two latter genes are involved in patterning the pmx in this species (see text for details). (Scale bar: 0.2 mm.) Together, expression patterns in A and B indicate that the beak developmental program of *L. noctis* is similar to that of *Geospiza* (9–11), whereas it differs from that of *L. violacea* and *L. portoricensis*. Arrowheads in A and B show the specific regions where we detected expression of the skeletal markers and genes examined. Beak profiles for *TGFβIIr* and *β-catenin* stains are outlined with a white dashed line. *Geospiza* images were reproduced with permission from refs. 9–11 and are shown for comparison.
expression extends to areas around the pnc. 

overexpression of both molecules (RCAS::Bmp4 + RCAS::Ihh) produced beaks with a markedly increased pnx (Fig. 4 and Fig. S8A). Because our injection techniques do not allow us to restrict infection to the developing pnx tissue, and some diffusion of signaling molecules occurs to other surrounding tissues, we also observed an increase in the pnc tissue (Fig. 3), an effect that is likely due exclusively to Bmp4 exposure, because Ihh alone does not influence cartilage growth in the developing beak (21). To verify that the excess bone seen in RCAS::Bmp4 + RCAS::Ihh-infected embryos is due to intramembranous ossification rather than to other processes, such as induction of endochondral ossification from ectopic cartilage, we infected the frontal bone of developing chicken embryos with RCAS::Bmp4 + RCAS::Ihh. Unlike the developing beak, the frontal bone does not have any physically associated cartilage tissue, and thus this experiment allowed us to restrict the infection exclusively to a bone of intramembranous origin. Similar to what is seen in the beak, we found that there was a marked increase in ossification and associated molecular markers (Fig. S8B).

To complement our gain-of-function experiments, we performed reciprocal loss-of-function experiments using viruses carrying Noggin and Hip1, two known negative regulators of Bmp4 and Ihh signaling (24, 25), respectively. Contrary to the effect found when we simultaneously increased Bmp4 and Ihh signaling, down-regulation of these pathways led to a marked decrease of the pnx, as revealed by histological stains and osteogenic markers (Fig. 4 and Fig. S8A). Thus, our complementary gain- and loss-of-function experiments show that though Ihh and Bmp4 signaling is required for beak bone development, only the combined Ihh and Bmp4 synergy is sufficient and required to expand the pnx tissue. Moreover, beaks injected with RCAS::Bmp4 + RCAS::Ihh were significantly deeper and wider than those of wild-type embryos, but their length did not change (Fig. S9). In a good correlation, L. violacea and L. portoricensis show a relative increase in beak depth and width with respect to L. noctis, and the length remains unchanged (Fig. S9), suggesting that Ihh and Bmp4 can account for the scaling differences observed among the beaks of Loxigilla (Fig. S9).

We have shown that a set of different signaling pathways and developmental mechanisms, involving different tissues (cartilage and bone), can be associated with identical beak shapes varying only in scaling dimensions. Specifically, the beak development program in L. noctis is similar to that of Darwin’s finches of the genus Geospiza (9–11), with a marked contribution from two developmental modules: the pnc, shaped by Bmp4 and CaM signaling, followed by the pnx, regulated by TGFβ/Hh, β-catenin, and Dkk3 signaling. In contrast, in L. violacea and L. portoricensis, the contribution of the pnc to beak shape is negligible. Instead, beak patterning in these species is established by a single developmental module through a mechanism in which Ihh and Bmp4, two regulatory molecules that interfere with normal dermal bone development when up-regulated individually, synergize to promote expansion of pnx. It is worth pointing out that the gene expression differences seen in Loxigilla demonstrate formally that underlying developmental programs are different, whereas our functional experiments in chicken embryos serve to reinforce the conclusion that such differences, when mimicked in another bird system, can lead to variation in beak patterning and morphogenesis. The use of chicken embryos for functional tests assumes that the developmental gene toolkit for craniofacial morphogenesis and skeletogenesis is largely conserved in all birds, and indeed all vertebrates. For example, most of the known functions for molecules such as Ihh and Bmp4 come from studies on both chick and mouse embryos (23, 26–28). In fact, similar craniofacial mechanisms have been observed in groups as disparate as fishes and birds (e.g., Bmp4 plays a role in deep/strong jaw morphology in cichlids) (29). However, only functional experiments performed in Geospiza and Loxigilla will
determine with certainty whether the genes examined here cause the species-specific morphologies.

Our results are in agreement with the evolutionary relations among the Tholospiza, which show that L. noctis is more closely related to Geospiza than to L. violacea or L. portoricensis (Fig. 1A). As suggested previously (14), it is possible that the ancestor of the Tholospiza possessed a unique developmental genetic architecture that facilitated the evolution of high levels of beak diversity and, as the various lineages colonized different environments and occupied specialized niches, they acquired specific developmental programs by either de novo evolution or by inheritance from the ancestor. Thus, the mechanisms that pattern the beaks of L. noctis and Geospiza could have arisen after a lineage leading up to these species diverged from the L. violacea and L. portoricensis lineage, or could represent a condition present in the ancestor of Tholospiza (Fig. 1A). Regardless of these two alternative scenarios, we have shown that different developmental pathways can be involved in development of the same beak shape.

Together, our results in Loxigilla and in Geospiza (9–11) show that the signaling pathways we uncovered so far regulate scaling variation within the beak shapes analyzed, and that there is a rather flexible association between both scaling and group shape variation and the underlying modular developmental mechanisms (Fig. 5). This finding is revealing, because scaling variation has significant biomechanical consequences that have been shown to play a critical role in a bird’s survival (5, 7). It still remains unknown how the group beak shapes are established and maintained throughout development. One possible explanation is that the exact level of activation of each signaling system might determine if the axes shift proportionally, therefore producing the same shape, or nonproportionally, therefore altering the shape. Alternatively, a distinct set of molecules might exist, which would be more directly involved in generating the differences in beak curvatures that are characteristic of the group beak shapes.

This work has expanded our previous studies on Darwin’s finches by examining a group of related birds featuring similar levels of phenotypic diversity in a different geographical region,
and in doing so we have demonstrated flexibility in the relationship between morphology and underlying developmental causes. Future efforts should be aimed at determining whether functional ecological demands can explain the ultimate causes driving the maintenance of the same beak shape within a lineage, the emergence of novel shapes as well as beak shape convergence among parapatric taxa, such as that found in *Loxigilla*. In addition to the proposed biomechanical studies, sampling more birds with drastically different beak shapes, such as the needle-shaped beaks of hummingbirds or the wide and shallow beaks of flycatchers, will help to determine whether other developmental mechanisms exist by which the entire beak diversity in avians is more comprehensively explained.

Materials and Methods

Phylogenetic Reconstruction. For phylogenetic analyses we included representative Darwin’s finches and all 13 non-Darwin’s finches belonging to Tholospiza. We used sequences from two mitochondrial (cytochrome b and nicotinamide dehydrogenase subunit 2) and four nuclear markers (β-fibrinogen intron 5, myoglobin intron 2, recombination-activating gene, and aconitase 1 intron 10). Phylogenetic analyses were performed using maximum likelihood and Bayesian inference methods. See SI Materials and Methods for additional details and sequence accession numbers.

Beak Shape Analysis. The birds used for this analysis were obtained from the Museum of Comparative Zoology at Harvard University, and the beak shape analysis followed procedures outlined previously (8). See SI Materials and Methods for additional details.

Embryo Collection and Preparation. Embryos of the three *Loxigilla* species were collected according to regulations established by the Secretaria de Estado de Medio Ambiente y Recursos Naturales (Dominican Republic), Departamento de Recursos Naturales y Ambientales (Puerto Rico), and the Natural Heritage Department, Ministry of Energy and the Environment (Barbados) using methods described in detail elsewhere (11). Embryos of *L. noctis* were collected in Hatoelent, Barbados (stage 27, n = 5; stage 30, n = 5). *L. violacea* embryos were collected in the Sierra de Bahoruco National Park, Dominican Republic (stage 27, n = 5; stage 30, n = 5). *L. portoricensis* embryos were collected in the surroundings of Guánica, Puerto Rico (stage 27, n = 5; stage 30, n = 5).

In Situ Hybridization and Immunohistochemistry. In situ hybridizations, anti-body stains, alkaline phosphatase assays, and quantifications of gene expression were performed as described previously (11). For immunostaining, we used anti-TGFβII (rc-400; Santa Cruz) and anti-β-catenin (610153; BD Transduction Laboratories) antibodies using methods described previously (11). In situ hybridizations were carried using chicken mRNA probes as described previously (8–11). See SI Materials and Methods for a detailed description on our methods for assessing gene expression in beaks and our use of controls.

Chicken Embryo Manipulations. Fertilized eggs were obtained from SPAFAS, incubated at 37 °C, and staged according to Hamburger and Hamilton (30). Frontal nasal processes were infected at stage 24 with RCAS::Bmp4, RCAS::Ihh, RCAS::Noggin, and RCAS::Hippo constructs, which have been described previously (9, 25, 31, 32). Embryos were collected at stage 39 (embryonic day 13) for in situ hybridizations and quantitative PCR (qPCR) and at stage 41 (embryonic day 15) for cartilage and bone staining. RCAS::Bmp4 and RCAS::Ihh were made using two different viral coats ("A" for RCAS::Bmp4 and "E" for RCAS::Ihh) (31, 32), allowing us to superinfect embryos and test the simultaneous effect of overexpressing both genes. Stage 41 embryos were dehydrated in 95% (vol/vol) ethanol for 5 d and stained with alcian blue to reveal cartilage and with alizarin red to reveal bone. See SI Materials and Methods for qPCR methods. All animal experiments have been approved by the Institutional Biosafety Committee of the Faculty of Arts and Sciences and Committee on Microbiological Safety (COMS) of Harvard University.

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