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Reproducing Deep Tunneling Splittings, Resonances and Quantum Frequencies in Vibrational Spectra From a Handful of Direct Ab Initio Semiclassical Trajectories

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Abstract

A time-dependent semiclassical approach for vibrational spectra calculations is shown to describe deep tunneling splittings, resonances and quantum frequencies in multidimensional multi-well systems, by propagating a very limited number of classical trajectories. The approach is tested on ammonia by evolving eight trajectories on a full-dimensional PES. Quantum effects are reproduced and results are in good agreement with time-independent quantum calculations. All the features are maintained when ab-initio “on-the-fly” dynamics is adopted, thus demonstrating that pre-computation of the PES can be avoided. The approach overcomes the typical scaling issues of quantum mechanical techniques without introducing any simplifications nor reductions of dimensionality of the problem. The proposed methodology is promising for further applications to systems of major complexity.

TOC/ABSTRACT GRAPHIC

KEYWORDS

Semiclassical dynamics; MC-TA-SC-IVR; \textit{ab initio} on-the-fly molecular dynamics; Quantum vibrational spectra; Ammonia; Deep resonant tunneling.
Vibrational spectroscopy is a powerful experimental tool for the identification of molecules and characterization of their internal motion. Theoretical simulation of spectra may help interpret experimental results. However, routine spectra calculation still represents a challenging task in quantum mechanics, for at least three reasons: Firstly, accurate potential energy surfaces are difficult and computationally expensive to determine; Secondly, quantum mechanical methods scale exponentially with the number of degrees of freedom of the problem; Finally, standard approximations like a normal mode treatment fail when trying to describe strong anharmonic systems or high-energy vibrational states. Our aim is to demonstrate that it is possible to develop a full-dimensional approach that does not require a pre-computed PES, with a numerical non-exponential scaling, and that provides a reliable approximation even in the case of anharmonic multidimensional multi-well potentials.

Tunneling is one of the main quantum effects related to molecular motion. In fact, quantum vibrational frequencies often present deep tunneling splittings, whose description is still an open problem for time-dependent methods. Using SC-IVR, very accurate splittings and energy levels for a van der Waals complex (the HCl dimer) described by a four-dimensional Hamiltonian were calculated.\(^1\) However, even if this application certainly represents a non-trivial test, it is characterized by a low rotational barrier (only \(\approx 70 \text{ cm}^{-1}\)) and a relatively large splitting amplitude (\(\approx 16 \text{ cm}^{-1}\) in the ground state, roughly 1/4 of the barrier height). Just a couple of states are under the barrier and a total of about 3,000 trajectories were needed to converge results, making the approach employed for the HCl dimer not suitable for on-the-fly calculations.

A more challenging problem for any time-dependent approach is the simulation of the vibrational spectrum of ammonia which is characterized by a much higher barrier and that is clearly in the deep-tunneling regime. As far as we are aware, previous semiclassical spectra calculations could not detect such deep tunneling splittings.\(^2\) Approaches based on the instanton approximation are focused on tunneling splitting calculations but cannot evaluate the vibrational levels.\(^3-5\) Variational time-dependent quantum mechanical techniques are usually more conveniently employed to short propagation time calculations, e.g. photodissociation or scattering processes.\(^6\)
In this manuscript, we report progress towards addressing these issues by developing the multiple coherent states time-averaging semiclassical initial value representation (MC-TA-SC-IVR) method\textsuperscript{7–10} for multidimensional multi-well systems. In MC-TA-SC-IVR, the spectrum is computed from the autocorrelation function of a wavepacket evolved “on-the-fly”. One of the attractive features of the method is that by careful consideration of initial states, a handful of trajectories is sufficient for convergence. This is promising for application to systems of increased complexity. Unlike our previous work,\textsuperscript{10} in which we resolved the coherent states in a reciprocal space, we resolve them in the direct space. This results in trajectories that originate in one well and are directed towards the other. Furthermore, a multi-reference initial state is introduced to characterize the double well of the ammonia potential.

From a general perspective, semiclassical methods\textsuperscript{11–13} can be naturally derived by stationary phase approximation (SPA) of the Feynman path integral (PI) propagator representation.\textsuperscript{14} They are exact quantum propagators for free particle, linear potential, and harmonic oscillator systems. The input is a set of quantities stemming from the classical dynamics of the system such as positions, momenta, potential energies, Hessians, and classical actions. The initial value representation of the semiclassical propagator (SC-IVR)\textsuperscript{15,16} yields a physical intuition of quantum evolution in terms of coherent states and is performed by a Monte Carlo integration over all possible trajectory initial conditions in phase space. A computationally-cheap version of the SC-IVR propagator\textsuperscript{17} has been employed for thermal density matrices calculations of a model monodimensional double well, both isolated\textsuperscript{18} and linearly coupled to a harmonic bath\textsuperscript{19}, and small Argon clusters.\textsuperscript{20} In this manuscript we show that the computational cost of the quantum propagator may be reduced to barely a few classical trajectories one, without losing the essence of the quantum effects necessary to accurately calculate quantum vibrational levels in an anharmonic multidimensional multi-well system. We employ the coherent states representation of the SC-IVR propagator due to Heller, Herman and Kluk (and later re-derived by Kay)\textsuperscript{12,21,22} and we look at a pure quantum observable such as the wavepacket survival probability $\langle \psi(0) | \psi(t) \rangle$. This is represented (for a system with $F$ degrees of freedom) in the SC-IVR approximation by the following classical integration,
\[
\langle \psi | e^{-i\hat{H}t/\hbar} | \psi \rangle = \int \frac{dp(0) dq(0)}{(2\pi\hbar)^F} C_t(p(0), q(0)) \times e^{iS_t(p(0), q(0))/\hbar} \langle \psi | p(t), q(t) \rangle \langle p(0), q(0) | \psi \rangle
\]

for any given reference state \( |\psi\rangle = |p_{eq}, q_{eq}\rangle \). In eq (1), \( (p(t), q(t)) \) is the set of \( 2F \)-dimensional classically-evolved phase space coordinates, \( S_t \) is the classical action and \( C_t \) is a pre-exponential factor derived (in part) from the SPA of the PI, i.e. it arises from local second-order fluctuations about the classical paths,

\[
C_t(p(0), q(0)) = \sqrt{\left| \frac{1}{2} \left( \frac{\partial q(t)}{\partial q(0)} + \Gamma^{-1} \frac{\partial p(t)}{\partial p(0)} - i\hbar \frac{\partial q(t)}{\partial p(0)} \Gamma + i \Gamma^{-1} \frac{\partial p(t)}{\partial q(0)} \right) \right|}
\]

and it is given by the square root of the determinant of the combination of the four \( F \times F \) size blocks of the \( 2F \times 2F \) monodromy matrix \( M(t) \equiv (\partial (p(t), q(t)) / \partial (p(0), q(0))) \). The \( \Gamma \) matrix is the coherent state matrix and defines the Gaussian width of the coherent state projection onto the configurational space. We choose \( \Gamma \) diagonal with elements that equal the square root of the Hessian eigenvalues at the equilibrium geometry. The oscillatory behavior of the integrand in eq (1) can be tamed and the number of Monte Carlo trajectories reduced to a few thousands by introducing a time-averaging filter\textsuperscript{23}. Thus, the vibrational spectral density, i.e. the Fourier transform of the autocorrelation function defined in eq (1), is written as

\[
I(E) = \int \frac{dp(0) dq(0)}{(2\pi\hbar)^F} \frac{\text{Re}}{\pi\hbar T} \int_0^T dt_1 \int_{t_1}^T dt_2 C_{t_2}(p(t_1), q(t_1)) \times \langle \psi | p(t_2), q(t_2) \rangle e^{i(S_{t_2}(p(0), q(0)) + E_{t_2})/\hbar} \left[ \langle \psi | p(t_1), q(t_1) \rangle e^{i(S_{t_1}(p(0), q(0)) + E_{t_1})/\hbar} \right]^* \text{,} (3)
\]

where \( (p(t_1), q(t_1)) \) and \( (p(t_2), q(t_2)) \) are positions and momenta evolved from the initial phase space point \( (p_0, q_0) \) at times \( t_1 \) and \( t_2 \), respectively, and \( T \) is the total simulation time. One can
recognize in eq (3) a Fourier transform integral \((t_2)\) (truncated at the simulation time \(T\)) and a time averaging one \((t_1)\). The computationally-intense nested do-cycles implied by the two time-integrals can be bypassed if one assumes that the pre-exponential factors is approximated as \(C_{t_2}(p(t_1), q(t_1)) \approx \text{Exp} \left[ i \left( \phi(t_2) - \phi(t_1) \right) / \hbar \right] \), where \(\phi(t) = \text{phase} \left[ C_t(p(0), q(0)) \right] \). This is a reasonable approximation for \(C_t\), since it has been demonstrated that it does not introduce significant errors.\(^{23}\)

Then, eq (3) becomes

\[
I(E) = \frac{1}{2\pi\hbar T} \frac{\int dp(0) \int dq(0)}{(2\pi\hbar)^E} \times \left| \int_0^T dt \langle \psi | p(t), q(t) \rangle \right|^2 \times e^{i(S(p(0), q(0)) + Et + \phi(p(0), q(0)) / \hbar)} ,
\]

and the single time integral is now positive-definite. The approximation of eq (4) (known as the separable approximation\(^{23}\)) has been proved to be much less computationally demanding than eq (3).\(^{2,7,8,23–25}\) The number of trajectories required for Monte Carlo convergence in eq (3) and eq (4) is of the order of thousands per each degree of freedom.\(^2\) Unfortunately, this amount of computational demand is out of reach for carrying out direct \(ab\ initio\) molecular dynamics.

The main idea behind MC-TA-SC-IVR is to place the coherent reference states composing the wavepacket \(|\psi\rangle\) in a way to maximize the overlap with the exact quantum eigenfunctions. In the case of ammonia, one can design a successful strategy by examination of a one-dimensional double well model. In Figure Figure 1, we schematically represent a double well potential on panel (a). The approximate vibrational (unsplitted) eigenvalues are depicted by horizontal dashed lines. On panel (b) of the same figure, we plot the corresponding vibrational power spectrum. Peak locations are readily determined by the Fourier transform of the autocorrelation function. A physical intuitive classical picture of the wavepacket dynamics in terms of coherent states is depicted in panel (c): trajectories with an energy that is near the eigenvalues (i.e. eigen-trajectories) are shown in blue line if confined into one of the wells. Red trajectories instead can cross between the two wells. We posit that these trajectories are very representative of the actual power spectrum. If the total
Figure 1: Schematic semiclassical physical pictures for ammonia vibrational dynamics: (a) potential energy surface cut along the umbrella inversion and (b) its pictorial power spectrum showing some peaks relative to the approximate (unsplitted) energy levels. On panel (c) the classical trajectories are depicted in phase space. They are blue if confined into a single well and red otherwise. The centers of the multiple coherent states composing the reference state $|\psi\rangle$ are represented as black filled circles. The classical trajectories start from the coherent state centers and follow the arrow directions.

In the case of a multidimensional double well, we write the wavepacket in a multi-reference fashion in terms of a combination of two sets of $N_s/2$ coherent states,

$$|\psi\rangle = \sum_{i=1; odd}^{N_s} |p_{eq,1}^i, q_{eq,1}^i\rangle + \sum_{i=1; even}^{N_s} |p_{eq,2}^i, q_{eq,2}^i\rangle$$

placed at minima locations $q_{eq,1}^i$ and $q_{eq,2}^i$. We distribute the momenta in a way to mimic the harmonic approximation of the vibrational spectrum of each separated well, namely $(p_{eq,1,j}^i)^2/2m = \hbar \omega_{1,j} (n_j^i + 1/2)$ for each normal mode frequency $\omega_{1,j}$ of the multidimensional well located at $q_{eq,1}$. A similar corresponding procedure is adopted for momenta of the coherent states pertaining the second well. To enhance wavepacket delocalization and quantum interferences, we choose to launch trajectories on each well with opposite momenta, as indicated by the arrows on panel (c) of Figure 1. This SC-IVR choice will allow the time-evolved wavepacket of each well to overlap.
with the coherent states of the other well at the same evolution time. In this way, a trajectory is generated for every coherent state and, by inserting eq (5) into eq (3), the expression for the MC-TA-SC-IVR spectra calculation (before the separable approximation is introduced) becomes,

\[
I(E) = \frac{1}{(2\pi\hbar)^F} \text{Re} \sum_{j=1}^{N_{maj}} \int_0^T dt_1 \int_{t_1}^T dt_2 C_{t_2} \left( p^j(t_1), q^j(t_1) \right) \\
	imes \sum_{i=1}^{N_{gates}} \left( p_{eq}^i, q_{eq}^i \left| p^j(t_2), q^j(t_2) \right\rangle e^{i(S_{t_2}(p(0),q(0))+E_{t_2})/\hbar} \\
	imes \left[ \sum_{i=1}^{N_{gates}} \left( p_{eq}^i, q_{eq}^i \left| p^j(t_1), q^j(t_1) \right\rangle e^{i(S_{t_1}(p(0),q(0))+E_{t_1})/\hbar} \right)^* \right] \right) \tag{6}
\]

where \( p_{eq}^i, q_{eq}^i \) is equal to \( p_{eq,1}^i, q_{eq,1}^i \) if \( i \) is odd and to \( p_{eq,2}^i, q_{eq,2}^i \) otherwise. In few words, the integration of eq (3) is reduced to a sum of trajectories starting from the convenient set of coherent state centers pictorially reported in Figure 1. Single-well simulations showed that the coherent state momenta do not need to be placed at an energy very close to the eigenvalues, because the Gaussian spreading of each coherent state is wide enough to include the peak energy shell. The necessary quantum mechanical delocalization is provided by the presence of several coherent states on each well with energy both below and above the barrier.

To start off, we test our MC-TA-SC-IVR approach on the ammonia coupled-cluster potential energy surface of Martin, Lee and Taylor (MLT). The exact quantum values were obtained by direct Hamiltonian Lanczos diagonalization. These quantum results provide the benchmark for our semiclassical method. Below, we show that quantum results may be reproduced with good accuracy and much lighter computational effort. In addition, previous semiclassical results are outperformed. The semiclassical power spectrum represented in Figure 2 is obtained in separable approximation employing eight classical trajectories (as given in Figure 1) evolved for approximately 700 fs. To better identify each spectral peak, we enforce the \( A_1 \) symmetry into our coherent states combination of eq (5) by doubling the number of coherent states. The \( A_1 \) vibrational levels are highlighted in Figure 2 allowing us to prove the presence of tunneling splittings of the order at least of a few wavenumbers. Considering that the barrier height for the
Figure 2: Ammonia vibrational spectrum from propagation of 8 trajectories on the MLT PES (black line). Peaks are more easily assigned by comparison with the $A_1$ symmetry spectrum (red line). The barrier height is 2246 cm$^{-1}$ and ZPE equals 7442 cm$^{-1}$.
MLT potential is $2246 \text{ cm}^{-1}$ and that we are employing eight classical trajectories, these splittings are examples of the possibility to correctly detect a deep tunneling effect. The simulation results are reported in Table 1. On the 1st column the spectroscopic terms are listed, while on the other columns vibrational energies at different level of calculations are presented. On the ZPE row, values (referred to the global minimum) are given in wavenumbers. The following rows, instead, report the vibrational energies with respect to the corresponding ZPE one. On the 2nd column, the harmonic vibrational spacing is reported for the reader to appreciate the significant amount of anharmonicity in the vibrational dynamics of this molecule. On the following column previous semiclassical results on the same PES are presented. Then, on the 4th column are the values of the peaks in Figure 2 and, finally, quantum mechanical results are on the 5th column. In the last two rows, mean absolute error (MAE) and root mean square deviation (RMSD) are provided to appreciate the enhanced accuracy of MC-TA-SC-IVR. In agreement with the aforementioned targets, the table clearly states that (i) our approach is properly dealing with this system, since the vibrational values are accurate and that (ii) it represents a big step forward with respect to previous semiclassical simulations where thousands of trajectories were sampled from one well according to a Husimi distribution. Advance in semiclassical performance is given both by the simulation of tunneling splittings originated from the umbrella inversion and by the drastic reduction in the number of trajectories requested.

In our effort to demonstrate that MC-TA-SC-IVR can regain all quantum features and that it is fully suitable for application even when the underlying electronic problem is tackled “on-the-fly”, we now turn into B3LYP/cc-pVDZ dynamics using the Q-Chem electronic structure package and run a set of eight trajectories of $\sim 450 \text{ fs}$, with a time-step of 10 a.u. The density functional theory (DFT) Born-Oppenheimer barrier is $2505 \text{ cm}^{-1}$ high, versus a value of $2246 \text{ cm}^{-1}$ for the coupled cluster MLT PES. For this reason, on the upper panel of Figure 3, peaks are slightly more spaced than in Figure 2, however all vibrational features observed in Figure 2 are preserved in Figure 3. To prove the quantum mechanical nature of the splittings and vibrational couplings (including the Darling-Dennison resonance between the nearly equal stretching
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\(^a\)Comparison of the MC-TA-SC-IVR results (MC) with harmonic approximation ones (Harm) and previous semiclassical results (KM) obtained for ammonia on the MLT PES. Exact quantum mechanical data (QM) are used as benchmark. The mean absolute error (MAE) and root mean square deviation (RMSD) are reported in the last two rows. \(^b\)The harmonic level spacing. \(^c\)Kaledin and Miller results \(^2\). \(^d\)Present method with 8 trajectories and 16 reference coherent states. \(^e\)Quantum mechanical results \(^27\). \(^f\)The vibrational terms. \(^g\)ZPE energy from the bottom of the well. \(^h\)Energy of the vibrational levels from the ZPE value.
Figure 3: *Ab initio* direct simulations of the vibrational spectra (black lines) of NH$_3$ (upper panel) and ND$_3$ (lower panel). Peaks are better assigned by comparison with the A$_1$ symmetry simulations (red lines). 8 trajectories have been propagated “on-the-fly”. ZPEs are respectively 7342 cm$^{-1}$ and 5370 cm$^{-1}$. Both vibrational peak spacings and tunneling splittings are significantly reduced after deuteration.
modes turned into three separate states), further calculations have been performed. A simulation
of the deuterated ammonia is reported on the bottom panel of Figure 3. This comparison
shows that the deuteration turns splittings off, that the amount of ZPE is greatly reduced and that
several peaks are shifted at lower frequencies. The deuterated ammonia spectrum resulted also to
be in very good agreement with one on an accurate PES.\(^{29}\) Another simulation consists in evaluat-

\begin{table}
\centering
\begin{tabular}{|c|c|c|c|c|c|}
\hline
State\(^{a}\) & \(\Delta_s(h = 1.00)\)\(^{b}\) & \(\Delta_s(h = 0.95)\)\(^{b}\) & \(\Delta_s(h = 0.90)\)\(^{b}\) & \(\Delta_s(h = 0.80)\)\(^{b}\) & \(\Delta(\Delta_s)\)\(^{c}\) \\
\hline
2_1 & 86 & 74 & 70 & 58 & -28 \\
2_2 & 80 & 72 & 64 & 48 & -32 \\
2_3 & 562 & 498 & 464 & 394 & -168 \\
\hline
\end{tabular}
\caption{Effect of different \(\hbar\) values on ammonia quantum tunneling splittings.\(^{a}\)}
\end{table}

\(^{a}\)States of the umbrella inversion mode. \(^{b}\)Tunneling splitting values for different values of \(\hbar\) (in
a.u.). \(^{c}\)Variations in calculated tunneling splitting from \(h=1.00\) to \(h=0.80\). All tunneling splitting
data are in wavenumbers and calculated from 8 trajectories on the MLT PES.

ing the effect of the change in the value of \(\hbar\) on tunneling splittings calculated using the MLT PES.

In the classical limit, we expect discretization of energy levels and quantum splittings to disappear.

In Table 2 tunneling splittings (\(\Delta_s\)) for three states of the umbrella inversion mode are reported
for four different and decreasing values of \(\hbar\). To restrict the dependence on \(\hbar\) only, we perform
the calculations using the same trajectories and coherent states of the original simulation while
parametrically changing \(\hbar\). If the splitted peaks were artificial ones, then the value of quantum
tunneling splittings would not be affected by our fractional change in the value of \(\hbar\). Instead, the
last column of Table 2, where the variations in tunneling splittings moving from \(h = 1.0\) a.u. to \(h = 0.8\) a.u. are reported, clearly demonstrates that quantum tunnel effects are gradually quenched as \(h\)
decreases. The dependence of tunneling splitting from \(h\) in the range considered is roughly linear,
in agreement with previous semiclassical periodic-orbit calculations for a double-well model.\(^{30}\)

All these considerations imply that quantum effects observed in our simulations are not an artifact
of the procedure adopted and that both on PES and on-the-fly MC-TA-SC-IVR implementation are
valuable tools.

Our results are less accurate for energies next to the barrier threshold. This is an expected
drawback of semiclassical methods, due to the instability of trajectories at those energies and the
consequent loss of accuracy in the separable approximation. The effect is anyway limited to a minor part of the spectrum and the accuracy of splittings for the few involved states is sensitive to the potential in use.

The major achievement of this work is the on-the-fly reproduction of quantum mechanical effects in vibrational spectra, by means of a time-dependent semiclassical technique, from just a handful of selected classical trajectories. Even for the difficult ammonia system, where deep tunneling, potential inversion and vibrational resonances occur, eight trajectories have been demonstrated to be enough. Besides, a comparison to previous semiclassical results for the same problem demonstrates that outcomes are greatly improved, while the computational effort drastically reduced. There are not special symmetry requirements and the approach is appropriately working on-the-fly: in this way, our time-dependent approach may offer an alternative for applications to high dimensional systems to powerful time-independent methods.\textsuperscript{6}

So far, the advantage of a time-dependent approach to the problem, able to avoid diagonalization of the Hamiltonian matrix, had not been exploited to describe the spectroscopy of full-dimensional multi-well systems, because of the time-delay introduced by the umbrella inversion. We think that the present approach could be successfully extended to time-dependent quantum wavepacket simulations and could allow time-dependent quantum dynamics to reproduce multi-well tunneling features. In fact, time-dependent quantum wavepacket simulations with low vibrational energy are mainly confined into one well and too a long simulation is needed to consistently tunnel across the umbrella inversion barrier. Time-dependent quantum and semiclassical simulations that are mainly confined into a single well do not provide information about the spectroscopy of umbrella inversion. On the other side, vibrational energy wavepackets with umbrella inverting energy do not properly describe the under-the-barrier vibrational states. The method presented here, instead, yields simply to choose appropriate initial conditions in order to fully describe the quantum properties of the system. A final observation is in order: the name of this multi-configurational method parallels the philosophy of multi-configurational methods in first-principles quantum chemistry.\textsuperscript{31} In MCSCF and CASSCF methods, it is the careful selection of
appropriate molecular orbitals by human intervention that helps reduce the exponential scaling of the configuration interaction method. The MC-TA-SC-IVR method also requires human insight to select the appropriate wavepackets for propagation. As in CASSCF, wrong human choices can lead to bad results. Exploration of compressed sensing approaches to further reduce the computational cost is underway.\textsuperscript{32,33}

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