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Structure-Based Design, Synthesis and Validation of CD4-Mimetic Small Molecule Inhibitors of HIV-1 Entry: Conversion of a Viral Entry Agonist to an Antagonist

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CONSPECTUS: This Account provides an overview of a multidisciplinary consortium focused on structure-based strategies to devise small molecule antagonists of HIV-1 entry into human T-cells, which if successful would hold considerable promise for the development of prophylactic modalities to prevent HIV transmission and thereby alter the course of the AIDS pandemic.

Entry of the human immunodeficiency virus (HIV) into target T-cells entails an interaction between CD4 on the host T-cell and gp120, a component of the trimeric envelope glycoprotein spike on the virion surface. The resultant interaction initiates a series of conformational changes within the envelope spike that permits binding to a chemokine receptor, formation of the gp41 fusion complex, and cell entry. A hydrophobic cavity at the CD4−gp120 interface, defined by X-ray crystallography, provided an initial site for small molecule antagonist design. This site however has evolved to facilitate viral entry. As such, the binding of prospective small molecule inhibitors within this gp120 cavity can inadvertently trigger an allosteric entry signal.

Structural characterization of the CD4−gp120 interface, which provided the foundation for small molecule structure-based inhibitor design, will be presented first. An integrated approach combining biochemical, virological, structural, computational, and synthetic studies, along with a detailed analysis of ligand binding energetics, revealed that modestly active small molecule inhibitors of HIV entry can also promote viral entry into cells lacking the CD4 receptor protein; these competitive inhibitors were termed small molecule CD4 mimetics. Related congeners were subsequently identified with both improved binding affinity and more potent viral entry inhibition. Further assessment of the affinity-enhanced small molecule CD4 mimetics demonstrated that premature initiation of conformational change within the viral envelope spike, prior to cell encounter, can lead to irreversible deactivation of viral entry machinery. Related congeners, which bind the same gp120 site, possess different propensities to elicit the allosteric response that underlies the undesired enhancement of CD4-independent viral entry.

Subsequently, key hotspots in the CD4−gp120 interface were categorized using mutagenesis and isothermal titration calorimetry according to the capacity to increase binding affinity without triggering the allosteric signal. This analysis, combined with cocrystal structures of small molecule viral entry agonists with gp120, led to the development of fully functional antagonists of HIV-1 entry. Additional structure-based design exploiting two hotspots followed by synthesis has now yielded low micromolar inhibitors of viral entry.

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INTRODUCTION

The acquired immunodeficiency syndrome (AIDS) derives from the infection and subsequent depletion of T lymphocytes, orchestrated by the human immunodeficiency viruses (HIV-1 and HIV-2). One potential tactic to intervene in the AIDS pandemic would be to block the viral entry process, exploiting a prophylactic microbicide or a therapeutic comprised of a small molecule viral entry inhibitor. To achieve this goal, a detailed understanding of the mechanism of the initial steps of the HIV entry cascade is required. This Account will provide an overview of an interdisciplinary research program to understand the molecular interactions that govern the initial virus–host cell recognition and entry events and in turn to develop small molecule probes that permit interrogation of the dynamic processes that underlie entry. Subsequent structure-based design and synthesis, in conjunction with thermodynamic characterization of hotspots for binding or allosteric activation within the interaction of the viral gp120 protein with the T-cell CD4 receptor protein has led to the development of small molecule antagonists of HIV-1 entry.

THE HIV ENTRY PROCESS: A SERIES OF COORDINATED CONFORMATIONAL CHANGES DRIVE VIRAL ENTRY

The first step of HIV entry into the host cells is mediated by a viral membrane glycoprotein assembly, organized as non-covalently associated trimers, collectively referred to as the envelope glycoprotein spike (Env; Figure 1). The glycoprotein monomer, initially produced as a single polypeptide (gp160), is post-translationally cleaved into glycoproteins 41 (gp41) and 120 (gp120). The transmembrane region of gp41 anchors the viral membrane and is the major target for neutralizing antibodies, vaccines, and small molecule entry inhibitors.

The primary human T-cell receptor for the Env is CD4, a membrane-associated glycoprotein present on the cell surface that binds gp120 with high affinity (Kd ≈ 4 nM). Binding between CD4 and gp120 leads to major conformational changes in the viral Env spike, revealing the binding epitope of a second host cell co-receptor (Figure 1). All HIV-1 strains utilize one of the transmembrane G-protein coupled chemokine receptors, CCR5 and CXCR4. Considerable progress has been achieved to develop CCR5 antagonists to block cellular HIV-1 penetration, with Pfizer’s maraviro approved for treatment of individuals not responsive to standard highly active anti-retroviral therapy (HAART). A second approved entry inhibitor is enfuvirtide, a synthetic 36 amino acid peptide homologue of the HR2 subunit of gp41, currently employed in “salvage HAART” regimens.

The viral fusion model, involving specific recognition events leading to unmasking of the co-receptor epitopes, is consistent with the triggered release of fusogenic conformations in other enveloped viruses and as such offers multiple opportunities for intervention (Figure 1). For HIV-1, cleavage of the gp160 precursor protein in conjunction with further post-translational modifications leads to a high potential energy state of the Env spike. Through a series of ligand-induced conformational transitions, the Env adopts lower energy states that deliver the driving force for viral entry.

Several tactics can be envisioned to interrupt the entry cascade including allosteric diversion of the initial unliganded state of the Env, premature allosteric activation of the Env to the CD4-bound state prior to encounter with transmembrane co-receptor, and direct blocking of either the CD4/gp120 or co-receptor/gp120 interactions. Although both “allosteric diversion” and receptor and co-receptor blockade are conceptually straightforward, “premature allosteric activation” and blocking CD4 binding with small molecule CD4 mimetics will be described in this Account.

At the outset, targeting the Env complex presented a minimum of three challenges: (1) The Env complex is conformationally dynamic and undergoes structural changes during viral entry. Although a variety of X-ray structures of gp120 in both ligand-bound and “unliganded” complexes were available, these structures reveal only portions of the unliganded Env. (2) The Env sequence differs among the three major HIV-1 subtypes and readily develops escape mutants. However, given the prerequisite of CD4 binding to initiate viral entry, the residues lining the gp120–CD4 binding site are highly conserved. (3) The surface of gp120, particularly the solvent-exposed outer domain, is heavily glycosylated, restricting access to large sections of the Env. Small molecule entry inhibitors have the advantage of potentially avoiding the “glycan shield”; thus, inhibitor development efforts recorded here focused on the CD4-binding site.

Figure 1. The HIV entry process (green arrows) illustrating strategies to disrupt the coordinated events that mediate viral entry (red boxes). Portions adapted from ref 5.

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Prior to CD4–gp120 crystal structures, mutagenesis studies mapped the gp120 binding sites on CD4. These studies revealed that residues CDRivation of CD4Phe43 to alanine led to a 550-fold reduction in binding affinity for gp120, whereas mutation of CD4Arg59 to alanine reduced the affinity 9-fold. A significant milestone in HIV structural biology was achieved in 1998 when Kwong, Sodroski, and Hendrickson reported the 2.5 Å resolution X-ray crystal structure of a ternary complex composed of (1) two-domain (D1D2) CD4, (2) the deglycosylated core of gp120, and (3) the Fab of antibody 17b, which binds to a site that overlaps the co-receptor binding site on gp120 (Figure 2).17,18

Approximately 65% of the gp120 protein was preserved in the core; a total of 19 and 52 residues were deleted from the C- and N-termini, respectively, and 67 residues of the V1/V2 variable loops and 32 residues from the V3 variable loop were replaced with the tripeptide Gly-Ala-Gly to facilitate crystallization. Notwithstanding these deletions, substitutions, and removal of over 90% of the carbohydrates, this gp120 core interacted with both CD4 and a variety of CD4-binding site antibodies at or near wild-type affinity.

Analysis of the gp120 core led to the definition of three domains: (1) the inner domain, (2) the outer domain, and (3) the bridging sheet domain. The inner domain, proximal to the trimeric axis of the Env spike, contains the N- and C-termini that interact with gp41. The bridging sheet domain comprises an antiparallel four-stranded β-sheet, composed of the V1/V2 loop stem emanating from the inner domain and the β20/21 hairpin. During viral entry, CD4-induced conformational changes occur within the inner and bridging sheet domains and propagate to gp41. Finally, the outer domain, distal from the trimer axis and forming the majority of the solvent exposed surface of the Env spike, is heavily glycosylated and conformationally invariant.

A unique feature of the CD4–gp120 interface is the large spherical, water-accessible hydrophobic cavity formed at the intersection of the gp120 domains (Figure 2C). When CD4 binds to gp120, the CD4Phe43 phenyl ring extends midway into the cavity and “seals” the entrance. From the perspective of structure-based inhibitor design, the serendipitous co-crystallization of an isopropyl alcohol in the Phe43 cavity (Figure 2C) demonstrates that the cavity can accept small molecule fragments.18

At the outset of this program, site-directed mutagenesis was employed to incorporate a reactive cysteine residue at position 43 to afford D1D2-F43Cd4 (Figure 3).20 This construct was selectively alkylated with a library of diverse α-bromoacetamides to furnish D1D2–CD4 conjugates that could deliver structural probes precisely to the Phe43 cavity.

Interactions between the Phe43 cavity and the probes were characterized by X-ray crystallography.21,22 Unexpectedly, the Phe43 cavity exhibits significant plasticity, adapting to accommodate increasingly large fragments. The structures suggested that residues lining the Phe43 cavity might be involved in transduction of conformational changes, upon cavity occupation, to more remote portions of gp120. Thus, binding within and proximal to the Phe43 cavity hotspot was reasoned to be associated with eliciting an allosteric response.

In a recent analysis employing alanine-scanning mutagenesis within CD4, isothermal titration calorimetry (ITC) was employed to characterize the energetic contributions to the CD4–gp120 interactions.23 The results revealed that the residues within the binding interface contribute differently to affinity and to the conformational restructuring that leads to co-receptor binding. Residues CD4Phe43 and CD4Arg59 were of particular interest. The results demonstrate that CD4Phe43 contributes significantly to both the binding affinity and allosteric activation of gp120, whereas the interaction between CD4Arg59 and gp120Asp368 contributes much more to affinity than allosteric activation. Additional residues within gp120 strongly associated with binding (cf. gp120Met426) were also identified. We therefore viewed designing interactions between small molecule ligands and gp120 "binding hotspot" residues to be critical for the successful development of viral entry antagonists that do not promote an allosteric response.23
Although the cocrystal structure of CD4/gp120/Fab17b provided a foundation for rational design and synthesis of gp120-directed inhibitors, the initial small molecules were designed using the CD4-bound conformation of gp120. This dilemma was resolved in part with the structure of an extended, unliganded gp120 core, by altering the truncations to the V1/V2 and V3 loops and incorporating additional N-terminal residues (Figure 4).24 Remarkably, the CD4-bound and unliganded microbicide for prophylaxis, in conjunction with both a coreceptor and gp41-directed entry antagonist, Moore in collaboration with BMS, demonstrated that macaque monkeys were protected from vaginal challenge by a human/simian immunodeficiency hybrid virus that exploits the CCR5 coreceptor.30 The BMS team reported that BMS-378806 binds within the Phe43 cavity and directly inhibits the CD4–gp120 interaction. Data from our laboratories however support an alternative mode of action, wherein BMS-378806 binds to the unliganded state of gp120 and prevents the requisite conformational transitions to expose the gp41 heptad repeat region 1 (HR1).31,32

In 2005, in what must be considered a major discovery, Debnath and co-workers reported the identification of two small molecule HIV-1 viral entry inhibitors, NBD-556 and NBD-557 (Figure 5), via high-throughput screening.33 While these small molecules inhibit HIV entry into cells expressing the CD4 protein, we were intrigued to discover that they also enhance viral entry into cells that lack the CD4 receptor.34 The activation of HIV-1 entry led us to focus on defining this mechanism. The subsequent understanding provided the cornerstone that led to rational, structure-based conversion of the initial NBD small molecule viral entry agonists to full functional antagonists.

Figure 4. The crystal structure of the unliganded “extended” gp120 core, with the star denoting the location of the Phe43 cavity (additional N-terminal residues, relative to Figure 2A, are shown in black). Structures of the gp120 core proved quite similar. More recently, cryo-ET and single-particle cryo-EM of various trimer constructs revealed the overall architecture of the Env trimer in both the unliganded and bound states.25–27

### SMALL MOLECULE INHIBITORS OF THE CD4–gp120 INTERACTION

A major effort at Bristol-Myers Squibb led to a series of small molecule HIV-1 viral entry inhibitors. Central here was BMS-378806 (Figure 5; EC₅₀ = 3–62 nM).29 When formulated as a prodrug, BMS-378806 binds within the Phe43 cavity and directly inhibits the CD4–gp120 interaction. The crystal structure of the unliganded gp120, with the star denoting the location of the Phe43 cavity (additional N-terminal residues, relative to Figure 2A, are shown in black). Structures of the gp120 core proved quite similar. More recently, cryo-ET and single-particle cryo-EM of various trimer constructs revealed the overall architecture of the Env trimer in both the unliganded and bound states.25–27

Figure 5. Small molecule inhibitors of HIV-1 viral entry.
binding and the enhancement of entry in the absence of cell-associated CD4, we termed NBD-556 a small molecule CD4 mimetic.\textsuperscript{34}

Docking studies employing the CD4-bound conformation of gp120 suggested that NBD-556 binds within the Phe43 cavity.\textsuperscript{35} The modeling results also predict that the para-chlorophenyl ring (Region 1; Figure 5) binds ~6.5 Å more deeply within the cavity than that of the CD4/Phe43 side chain in the native CD4/gp120 interaction (Figure 2). Moreover, when the Phe43 "cavity filling mutant" S375W-gp120 was tested, no binding between NBD-556 and S375W-gp120 mutant was observed (Figure 7). Taken together, these results suggested that congeners of NBD-556 with enhanced affinity for gp120 might compete more efficiently with CD4 for binding to gp120 and in turn, if appropriately designed, prevent the downstream allosteric events in the entry cascade that are initiated by CD4 binding at the Phe43 cavity allosteric hotspot.

To explore binding within the Phe43 cavity, we first examined region 1 congeners. Biological evaluation revealed that alterations to the aromatic ring had a significant effect on affinity for gp120 and the degree of CD4 mimicry. This led to the identification of JRC-II-191 possessing a m-fluorine on NBD-556, which possessed both a higher affinity for gp120 ($K_d = 0.76 \mu M$) and a 2-fold enhancement of viral entry into CD4\textsuperscript{−}CCR5\textsuperscript{+} T-cells relative to NBD-556.\textsuperscript{35} Pleasingly, modest inhibition of HIV entry (IC$_{50} = 54.4 \mu M$) into CD4\textsuperscript{−}CCR5\textsuperscript{+} target T-cells was also observed. These observations led us to ask: How can a small molecule mimic of CD4 both enhance viral entry in the absence of CD4 and modestly inhibit entry when CD4 is present on the target cell?

To answer this question, a magnetically controlled assay was developed to regulate temporally the association of target cells with HIV-1 virions activated either by soluble CD4 or JRC-II-191. This study revealed that sCD4 and JRC-II-191 activate the Env to mediate viral fusion to cells lacking CD4. This activated state of the Env is, however, transient and leads to irreversible changes in the Env conformation that inactivate the virus, demonstrating for the first time that premature allosteric activation (vide supra) by a small molecule comprises a means to inhibit viral entry (Figure 8).\textsuperscript{36}

We next turned to optimizing regions 2 and 3 of the JRC-II-191 scaffold. While changes to region 2 were not tolerated, a second binding hotspot in the native protein—protein interaction at $\text{gly}^{-120}\text{Asp368}$, located within the vestibule of the Phe43 cavity and proximal to region 3, contributes significantly to binding affinity, without induction of conformational transitions in the native protein—protein interaction. We thus sought to generate a $\text{gly}^{-120}\text{Asp368}$ interaction with the designed small molecule CD4 mimetics to increase binding affinity without promoting enhancement of CD4-independent viral entry.

A virtual screening program led to identification of a new series of scaffolds designed to recapitulate the native CD4\textsuperscript{Arg59}–$\text{gly}^{-120}\text{Asp368}$ ionic interaction (Figure 9).\textsuperscript{37} In particular, we searched for commercially available amines that contained a hydrogen bond donor for $\text{gly}^{-120}\text{Asp368}$ that could also be readily coupled to a common region 1 and 2 precursor. A modest improvement was observed when the piperidine ring was methylated to furnish TS-II-224 (IC$_{50} = 48.8 \pm 3.6 \mu M$; $K_d = 0.30 \mu M$). Congener MAE-II-120 also revealed an improved IC$_{50}$ (38.5 ± 10.1 \mu M), despite a modest loss in affinity ($K_d = 0.60 \mu M$). Both TS-II-224 and MAE-II-120 however enhance CD4-independent viral entry into CD4\textsuperscript{−}CCR5\textsuperscript{+} cells, with a ~2 fold increase relative to JRC-II-191. In contrast, MAE-II-116 had an acceptable affinity for gp120 ($K_d = 2.1 \mu M$), but remained a weak inhibitor of entry that, importantly, did not enhance CD4-independent viral entry into CD4\textsuperscript{−}CCR5\textsuperscript{+} cells.

This combination of SAR and thermodynamic analysis, employing a collection of small molecule congeners, demonstrated that small...
molecule inhibitors can bind to the same site of gp120 with similar affinities yet elicit different allosteric responses. The results of these analyses in turn supported the important hypothesis that structural alterations to region 3 might modulate the observed allosteric activation. Unfortunately, a large number of the NBD congeners that were designed and synthesized during the virtual screening program proved inactive. Undaunted, guided by a collection of X-ray cocrystal structures of the small-molecule CD4 mimetics bound to an extended gp120 core, we achieved significant advancement. Specifically, the cocrystal structure of NBD-556 bound to the extended gp120 core demonstrated that the region II oxalamide moiety formed two hydrogen bonds with backbone carbonyls of residues on opposite sides of the Phe43 cavity. The subsequent cocrystal structure of TS-II-224 bound to this extended gp120 core was obtained. In neither structure however were interactions observed between region 3 and the gp120Asp368 hotspot.

We therefore refined the virtual screening program. Analysis of the TS-II-224 cocrystal structure revealed that substituents such as an amino group located at the C4 position of the piperidine ring would be directed toward the gp120Asp368 side chain. A hypothetical gem-diamine was therefore designed as a new region 3 scaffold. This prototype structure, while not chemically stable, was employed as a virtual screening search query. These efforts suggested amino indanol (+)-TK-II-52 (Figure 9) might comprise a promising scaffold; however, upon synthesis (+)-TK-II-52 proved to be a weak, nonselective inhibitor of HIV-1 entry. To increase interactions with gp120Asp368, the hydroxyl was converted to the amine. While we were pleased to find that (+)-AWS-I-50 possessed a Kd = 1.9 μM, nonselective entry inhibition and enhancement of CD4-independent HIV-1 entry continued.

The cocrystal structure of (+)-AWS-I-50 bound to the extended gp120 core revealed that, as with the earlier NBD congeners, the amine was oriented away from the gp120Asp368 hotspot. However, comparison with the CD4-bound gp120 structure indicated that the indane ring effectively mimics the CD4 β-turn positioned over the Phe43 cavity of gp120. Clearly we had yet to test the hypothesis that simultaneous engagement of the gp120Asp368 and Phe43 cavity hotspots would lead to functional antagonists of viral entry.

Encouraged by the affinity of (+)-AWS-I-50 for gp120, as well as the CD4 β-turn mimicry by the indane scaffold, an effort was made to replicate the CD4Arg59 side chain interaction via incorporation of a guanidinium group. Significant improvements in both affinity and functional entry inhibition were observed upon evaluation of a guanidinium congener (+)-DMJ-I-228 (Figure 12; Kd = 250 nM). Moreover, in the cell-based assay, HIV-1 entry inhibition was observed (IC50 = 22.9 μM) with excellent selectivity. Equally significant, when (+)-DMJ-I-228 was tested against a panel of 42 primary HIV-1 isolates, 57% of
the isolates tested display an IC50 < 10 μM, with a mean IC50 = 7.9 μM. In contrast, the original lead compound NBD-556 has a mean IC50 = 29.3 μM, while it possesses an IC50 < 10 μM against only 12% of the viral isolates examined.39 Of critical importance, however, (+)-DMJ-I-228 did not enhance CD4-independent viral entry, unlike the previous small molecule CD4 mimetics.39 When the thermodynamic signature of (+)-DMJ-I-228 binding to gp120 was determined, a significant reduction in the entropic penalty was observed, relative to NBD-556, suggesting that (+)-DMJ-I-228 does not stabilize gp120 conformationally to the same degree as the earlier small molecule CD4 mimetics.39

To derive a structural understanding, the high-resolution crystal structure of the (+)-DMJ-I-228/gp120 complex was completed, demonstrating interactions with the two well-conserved gp120 hotspots, namely, the Phe43 cavity and gp120Asp368. Figure 12. We currently hypothesize that the acquisition of interactions with the affinity hotspot gp120Asp368 is at least partially responsible for obtaining a fully functional antagonist of viral entry that does not promote CD4-independent viral entry relative to NBD-556. The development of (+)-DMJ-I-228 thus demonstrated for the first time the feasibility of converting an agonist of HIV-1 entry to an antagonist, achieved by an integrated understanding of ligand interactions with hotspots that are associated with driving binding affinity, and
not allosteric transduction, in the native protein–protein interaction. The (+)-DMJ-I-228/gp120 cocrystal structure was subsequently exploited in structure-based design and synthesis by addition of a methylene spacer between the indane core and the guanidinium functionality to improve both the binding affinity and HIV-1 entry inhibition; (+)-DMJ-II-121 (Figure 13) exhibited an enhancement in affinity ($K_D = 110$ nM). Moreover, a 10-fold improvement in viral entry inhibition ($IC_{50} = 2.3 \pm 0.05 \mu M$) was observed.

The cocrystal structure of the (+)-DMJ-II-121/gp120 (Clade A/E) complex revealed that instead of the anticipated improved interactions with the $^{\alpha}^{120}$Asp368 hotspot, the extended guanidinium moiety displaces crystallographic water molecules observed in the (+)-DMJ-I-228 structure, thus forming a direct hydrogen bond to bridging sheet residue $^{\beta}^{120}$Met426. Given that our first-generation dual hotspot antagonist (+)-DMJ-I-228 interacts with $^{\beta}^{120}$Met426 via a water-mediated hydrogen bond, the direct interaction between (+)-DMJ-II-121 and the backbone bone carbonyl of $^{\alpha}^{120}$Asp368 clearly leads to an improvement in activity. We take these results as very exciting, given that $^{\beta}^{120}$Met426 was previously defined as a binding hotspot (vide supra). Importantly, interactions between the antagonist ligand and a backbone carbonyl are less prone to resistance mutations.

**SUMMARY**

The development of (+)-DMJ-I-228 and (+)-DMJ-II-121 demonstrates that HIV-1 entry inhibitors that bind the conformationally dynamic Env trimer and exhibit fully functional antagonist activity in viral infectivity assays can be achieved. Such inhibitors were obtained through interdisciplinary research, involving structure-based ligand design based on alanine-scanning mutagenesis, thermodynamic profiling, and cocrystal structures with the gp120 core, in conjunction with synthesis and detailed biological evaluation. Studies continue with the hope of developing a viable tactic for the preventive intervention of the AIDS pandemic.

**NOTE ADDED IN PROOF**

During the review of this Account structures of an Env trimer construct (termed the BG505 SOSIP.664 gp140 trimer) obtained by X-ray crystallography and cryo-electron microscopy were reported.

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**Notes**

The authors declare no competing financial interest.

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**Amos B. Smith, III** is the Rhodes-Thompson Professor of Chemistry at Penn and Founding Editor-in-Chief of *Organic Letters*. 

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**Figure 12.** The conversion of amino indane (+)-AWS-I-50 to dual hotspot inhibitor (+)-DMJ-I-228. The 1.89 Å resolution (+)-DMJ-I-228/gp120 E cocrystal structure (PDB 4DKQ). A network of crystallographic water molecules (water 501 labeled) mediates interactions between (+)-DMJ-I-228, $^{\alpha}^{120}$Asp368, and the bridging sheet.

**Figure 13.** (A) Modification of the guanidinium indane core to afford (+)-DMJ-II-121. B. The (+)-DMJ-II-121/gp120 E X-ray cocrystal structure demonstrates that (+)-DMJ-II-121 interacts with the Phe43 cavity and $^{\beta}^{120}$Met426 hotspots. (C) The interactions between (+)-DMJ-I-228 (yellow) and (+)-DMJ-II-121 (cyan) with main chain carbonyls of gp120.
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Acknowledgments

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**NOTE ADDED AFTER ASAP PUBLICATION**

This paper was published ASAP on February 6, 2014 with errors in references 41 and 42. The corrected version was reposted on February 20, 2014.