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Photochemistry of Biogenic Emissions Over the Amazon Forest

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The boundary layer chemistry over the Amazon forest during the dry season is simulated with a photochemical model. Results are in good agreement with measurements of isoprene, NO, ozone, and organic acids. Photochemical reactions of biogenic isoprene and NO_x can supply most of the ozone observed in the boundary layer. Production of ozone is very sensitive to the availability of NO_x, but is insensitive to the isoprene source strength. High concentrations of total odd nitrogen (NO_y) are predicted for the planetary boundary layer, about 1 ppb in the mixed layer and 0.75 ppb in the convective cloud layer. Most of the odd nitrogen (≈70%) is present as PAN-type species, which are removed by dry deposition to the forest. The observed daytime variations of isoprene are explained by a strong dependence of the isoprene emission flux on sun angle. Nighttime losses of isoprene exceed rates of reaction with NO₃ and O₃ and appear to reflect dry-deposition processes. The 24-hour averaged isoprene emission flux is calculated to be 38 mg m⁻² d⁻¹. Photooxidation of isoprene could account for a large fraction of the CO enrichment observed in the boundary layer under unpolluted conditions and could constitute an important atmospheric source of formic acid, methacrylic acid, and pyruvic acid.

1. INTRODUCTION

The Amazon Boundary Layer Experiment (ABLE 2A) [Harris *et al.*, this issue] provided a detailed survey of atmospheric composition over the central Amazon forest during the dry season. High concentrations of isoprene, a hydrocarbon emitted by vegetation, were measured [Zimmerman *et al.*, this issue; Rasmussen and Khalil, this issue]. The forest soil was found to be a strong source of NO [Kaplan *et al.*, this issue]. In this paper we examine some implications of these findings.

In the absence of biomass burning, ozone concentrations over the Amazon Basin are lower in the boundary layer than in the free troposphere [Delany *et al.*, 1985; Crutzen *et al.*, 1985; Gregory *et al.*, this issue]. During ABLE 2A, Gregory *et al.* [this issue] measured O₃ concentrations of 0–25 ppb and 30–40 ppb in the boundary layer and in the free troposphere, respectively. Delany *et al.* [1985] report similar values. On the basis of the data from Delany *et al.* [1985], Crutzen *et al.* [1985] have argued that O₃ in the boundary layer is supplied by downward transport from the free troposphere and is removed by deposition to the canopy and by reaction with biogenic hydrocarbons. This theory implies that photochemical production of O₃ in the boundary layer is slow relative to mass exchange across the trade wind inversion which separates the boundary layer from the free troposphere.

Model investigations by Lurmann *et al.* [1983] indicate that O₃ production from biogenic emissions is relatively slow in the rural United States. In some cases, photochemical reactions may even consume O₃ because of the scarcity of NO_x, a result in harmony with the theory of Crutzen *et al.* [1985]. However, soils in the Amazon forest are much stronger sources of NO than soils at mid-latitudes [Galbally, 1985; Kaplan *et al.*, this issue]. Observed concentrations of NO in the mixed layer during ABLE 2A were in the range 20–65 parts per trillion (ppt) [Torres and Buchan, this issue], sufficient for significant production of O₃. As discussed further later, NO emissions from soils play an important role in the photochemistry over the Amazon forest. The photochemistry of NO_x radicals is moderated by conversion to PAN-type species, which are predicted to be the dominant form of atmo-

spheric odd nitrogen. We argue that the O₃ concentrations observed in the boundary layer during ABLE 2A can be explained largely on the basis of photochemical production within the boundary layer, driven by biogenic isoprene and NO_x.

Photochemical decomposition of isoprene produces a number of oxygenated hydrocarbon species [Lloyd *et al.*, 1983], including organic acids. These are of particular interest, since they constitute the major component of rainwater acidity over the Amazon Basin [Andreae *et al.*, this issue (a)]. We propose that formic acid, methacrylic acid, and pyruvic acid can be produced in large quantities from decomposition of isoprene. Model predictions for formic and pyruvic acids are consistent with concentrations measured during ABLE 2A [Andreae *et al.*, 1987, this issue (a)].

Most of the carbon in atmospheric isoprene is eventually oxidized to CO [Zimmerman *et al.*, 1978]. Elevated concentrations of CO were often observed over the Amazon forest during ABLE 2A and were usually associated with pollution plumes from biomass burning or from the urban environs of Manaus [Andreae *et al.*, this issue (b)]. However, even in the absence of evident pollution, CO concentrations in the boundary layer were observed to be 10–30 ppb higher than in the free troposphere [Sachse *et al.*, this issue]. This enrichment of CO may be attributed to primary emissions from vegetation, to photooxidation of biogenic hydrocarbons, or to long-range transport of pollution. We show below that the photochemical degradation of isoprene can account for 10–20 ppb enhancement of CO in the boundary layer relative to the free troposphere.

Our discussion will be based on a photochemical model using the detailed chemical mechanism of Lurmann *et al.*, [1986], modified as described in section 2. The dynamics of the boundary layer are simulated with a simple scheme described in section 3, intended to capture the main features of vertical transport in the lower troposphere over the Amazon forest. We then discuss model results, focusing on isoprene and CO in section 4, odd nitrogen in Section 5, ozone in section 6, and organic acids in section 7.

2. CHEMICAL MECHANISM

The gas-phase photochemistry of isoprene and NO_x is simulated using a suite of chemical reactions adapted from Lur-

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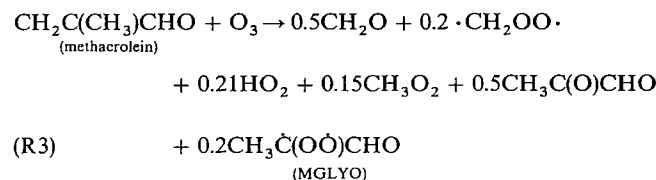
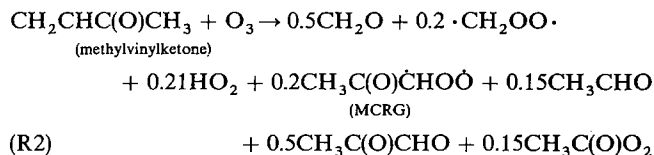
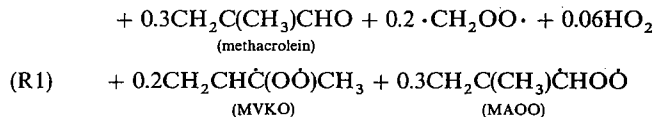
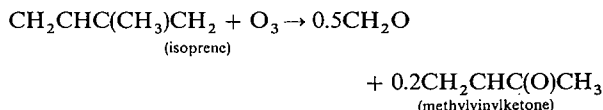
mann *et al.* [1986]. Isoprene reacts with OH, O₃, and NO₃ to produce methylvinylketone and methacrolein. These two species react further with OH, O₃, and NO₃, producing a number of carbonyl compounds. The rate constant for the isoprene + OH reaction has been updated to $2.5 \times 10^{-11} \exp(409/T) \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$, following the recommendation by Atkinson [1986].

The RO₂ radicals produced from photooxidation of hydrocarbons play a key role in atmospheric photochemistry. When NO levels are high, RO₂ radicals react mainly with NO, resulting in ozone formation. When little NO is available, RO₂ may react instead with HO₂, consuming odd hydrogen. In general, the Lurmann *et al.* [1986] mechanism allows RO₂ radicals to react with both NO and HO₂. However, many reactions in the mechanism are represented by global stoichiometric expressions in which the RO₂ radicals are treated as transient intermediates assumed to react instantaneously with NO. These stoichiometric expressions include zero-order loss terms for NO and are valid only for high NO concentrations. When NO concentrations are of order 10–100 ppt, as over the Amazon forest [Torres and Buchan, this issue], use of the original Lurmann *et al.* [1986] mechanism is inappropriate and would lead to serious errors.

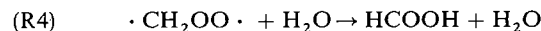
Therefore we modified the Lurmann *et al.* [1986] mechanism to include an explicit description of RO₂ + NO and RO₂ + HO₂ reactions for all intermediate RO₂ radicals produced by photooxidation of hydrocarbons. The rate constants selected for these reactions are $4.2 \times 10^{-12} \exp(180/T) \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$ and $3 \times 10^{-12} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$, respectively, in accord with the values assumed by Lurmann *et al.* [1986] for such reactions. The RO₂ + NO reactions are assumed to give products consistent with the global stoichiometric expressions of the original mechanism. The RO₂ + HO₂ reactions produce organic peroxides, which are assumed to be removed by reaction with OH ($k = 1 \times 10^{-11} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$), by photolysis ($k = 5 \times 10^{-4} J_{\text{NO}_2}$), and by deposition to the canopy.

Formic acid is produced in the gas phase by reaction of CH₂O with HO₂ [Su *et al.*, 1979], and by reactions of alkenes with O₃ [Atkinson and Lloyd, 1984]. The reaction CH₂O + HO₂ produces the intermediate O₂CH₂OH, which may either decompose back to the original reactants or react with NO or HO₂ to give HCOOH [Su *et al.*, 1979; Atkinson and Lloyd, 1984]. Lurmann *et al.* [1986] assume that the CH₂O + HO₂ reaction produces HCOOH with a yield of unity, i.e., they ignore the back reaction of O₂CH₂OH. However, this assumption may be justified only for NO concentrations in excess of 10 ppb, when the reaction O₂CH₂OH + NO is sufficiently fast that the back reaction may be neglected [Atkinson and Lloyd, 1984]. At lower NO concentrations the HCOOH yield is much less than unity, so that the Lurmann *et al.* [1986] mechanism would produce excessive amounts of HCOOH. We have included the back reaction of O₂CH₂OH in our calculations and find that the CH₂O + HO₂ reaction is a negligible source of HCOOH at the NO levels observed over the Amazon forest by Torres and Buchan [this issue].

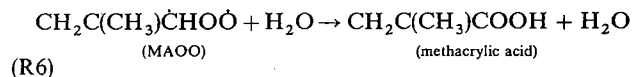
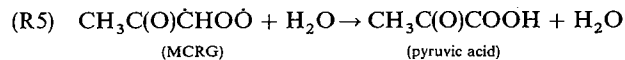
The main gas-phase source of HCOOH over the Amazon forest involves decomposition of the Criegee biradical, ·CH₂OO·, produced by ozonolysis of isoprene, methylvinylketone, and methacrolein:



Reaction with water vapor is the main sink for ·CH₂OO· [Atkinson and Lloyd, 1984], and produces HCOOH with a yield of unity [Hatakeyama *et al.*, 1981]:



The higher Criegee biradicals (MVKO, MAOO, MCRG, and MGLYO) should also be produced by (R1)–(R3). Lurmann *et al.* [1986] give MCRG as the biradical produced by (R3), but we expect on mechanistic grounds that MGLYO should be produced instead. Reactions of the higher biradicals have not been measured experimentally and are not included in the Lurmann *et al.* mechanism. We have proposed [Jacob and Wofsy, 1988] that MCRG and MAOO should react primarily with H₂O, in the same way as ·CH₂OO·, to produce pyruvic acid and methacrylic acid, respectively:



A rate constant, $k = 4 \times 10^{-18} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$, has been recommended for (R4) [Atkinson and Lloyd, 1984], and we assume this same rate constant for (R5) and (R6). In the case of the biradicals MGLYO and MVKO, the radical carbon is fully substituted and reaction with water vapor (if it occurs) would not produce a carboxylic acid. We assume here that MGLYO and MVKO decompose to unreactive products.

Formic acid is removed primarily by dry deposition, wash-out, and aqueous-phase oxidation in clouds [Chameides, 1984; Jacob, 1986]. It does not photolyze [Calvert and Pitts, 1966], and gas-phase reaction with OH is slow ($k = 4.6 \times 10^{-13} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$; Wine *et al.* [1985]). Pyruvic acid photolyzes in the troposphere on a time scale of a few hours [Grosjean, 1983], by absorption of radiation as wavelengths up to 370 nm with a quantum yield near unity for dissociation to CO₂ [Yamamoto and Back, 1985]. Reaction of pyruvic acid with OH is slow ($k < 5 \times 10^{-14} \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$; Grosjean [1983]). Methacrylic acid does not photolyze at tropospheric wavelengths [Rosenfeld and Weiner, 1983], but it probably reacts rapidly with OH by addition to the C=C bond. We assume that the reaction of OH with methacrylic acid proceeds with the same rate constant as the reaction of OH with 2-methyl propene ($k = 1.2 \times 10^{-11} \exp(500/T) \text{ cm}^3 \text{ molecule}^{-1} \text{ s}^{-1}$; Atkinson [1986]).

Radiation fields in the photochemical model are calculated

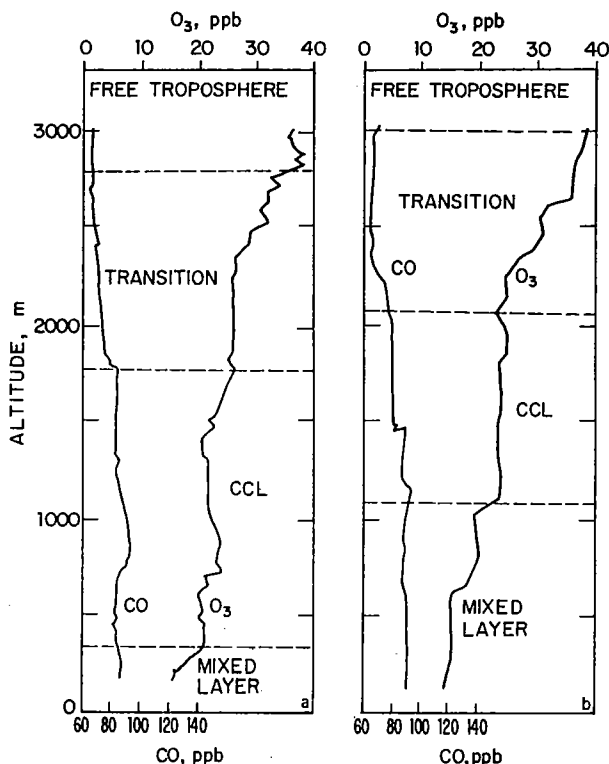


Fig. 1. Vertical profiles of O_3 and CO measured during ABLE 2A flight 5 (July 23, 1985) at (a) 0815 LT and (b) 1045 LT. Data are reproduced from Gregory *et al.* [this issue] and Sachse *et al.* [this issue].

for 0° latitude, a surface albedo of 0.1, and an O_3 column of 5.5×10^{18} molecules cm^{-2} (V. W. J. H. Kirchhoff, E. V. Browell, and G. L. Gregory, Ozone profile measurements in Amazonia, submitted to the *Journal of Geophysical Research*, 1987, hereafter referred to as Kirchhoff *et al.*, submitted manuscript, 1987). Light extinction by aerosols is assumed to provide 0.1 optical depth at 310 nm and to vary as the inverse of wavelength [Logan *et al.*, 1981]. Photolysis rates in the mixed layer are calculated for 30% cloud cover, on the basis of radiation flux measurements by Fitzjarrald *et al.* [this issue].

3. DYNAMICAL MODEL

The vertical structure of the atmosphere over the Amazon Basin was resolved during ABLE 2A by aircraft profiles and by frequent soundings using a tethered balloon [Martin *et al.*, this issue]. Measurements included temperature, dew point, and winds [Harriss *et al.*, this issue], and concentrations of aerosols and O_3 [Gregory *et al.*, this issue], CO [Sachse *et al.*, this issue], and CO_2 [Wofsy *et al.*, this issue]. It can be con-

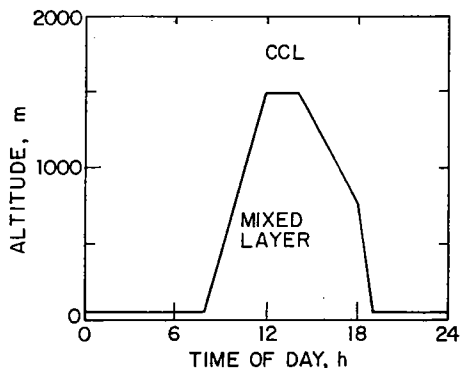


Fig. 2. Height of the mixed layer as a function of time of day.

cluded from these data that the air column over the forest is typically divided into three major layers, with boundaries defined by temperature inversions and by sharp concentration gradients for one or more tracers. These layers are (1) the planetary boundary layer (PBL) extending from the top of the canopy up to about 2000 m, (2) a fairly stable transition layer extending from the top of the PBL to the trade wind inversion, and (3) the free troposphere above. Profiles of O_3 and CO from flight 5 (Figure 1) illustrate this vertical structure. The trade wind inversion over the Amazon Basin is based at 2200–3200 m [Browell *et al.*, this issue]. It is a quasi-permanent feature of the synoptic meteorology during the dry season and constitutes a strong barrier to vertical transport.

The vertical profiles of Figure 1 indicate clearly that the PBL, as defined earlier, is not homogeneous. At least two sublayers can usually be identified: the mixed layer and the convective cloud layer (CCL). The depth of the mixed layer is determined by the radiative balance at the top of the canopy and peaks at about 1500 m in the middle of the day [Martin *et al.*, this issue]. The CCL lies above the mixed layer and is defined by frequent, small-scale cumulus activity in the daytime. Transport from the mixed layer to the CCL is expected to proceed rapidly during the daytime hours, with exchange localized in areas of cloud updrafts and downdrafts. Transport from the CCL to the transition layer above 2000 m appears to be much slower, as indicated by gradients of trace species. We expect that biogenic gases with lifetimes between 1 and 10 hours should affect significantly the compositions of the mixed layer and the CCL but have a much smaller influence on the air above 2000 m.

On the basis of these observations, we adopt a two-layer dynamical model describing the growth and decay of the mixed layer and the coupling between the mixed layer and the CCL. Mass exchange at the top of the CCL is represented by flux boundary conditions. Mixed layer heights are assumed to follow a diurnal cycle, as shown in Figure 2, which is typical of observations during ABLE 2A [Martin *et al.*, this issue; Wofsy *et al.*, this issue]. At night, the mixed layer extends to only a few tens of meters above the canopy. Following sunrise, the mixed layer grows rapidly in response to solar heating, at a rate of 10 cm s^{-1} during the midmorning hours. The mixed layer depth peaks at 1500 m between noon and 1400 LT. Cloud formation eventually reduces the positive radiation balance at the top of the canopy [Fitzjarrald *et al.*, this issue], so that the mixed layer decays over the course of the afternoon. At sunset the radiative balance becomes negative (net cooling at canopy top), and the mixed layer decays rapidly to its nighttime depth. The diurnal cycle of temperature (Figure 3) is taken from Fitzjarrald *et al.* [this issue].

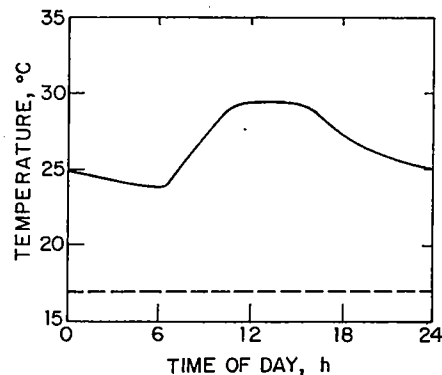


Fig. 3. Diurnal variation of temperature in the mixed layer (solid line) and in the CCL (dashed line).

Vertical displacement of the mixed layer/CCL inversion during the day results in substantial transfer of mass from one layer to the other. As the mixed layer grows during the morning hours, it entrains air from the CCL. The reverse process takes place in the afternoon as the mixed layer decays. Turbulent transport through the inversion provides an additional means of mass exchange. We represent this turbulent transport using an exchange velocity, V (in centimeters per second), which defines the flux F between the two layers as

$$F = V(C_2 - C_1) \quad (1)$$

where C_1 and C_2 are the concentrations in each layer. Characteristic length scales for heat and moisture transport above the canopy are about 100 m in the daytime and 50 m at night [Fitzjarrald *et al.*, this issue]. Eddy diffusion coefficients across the interface between the mixed layer and the CCL are estimated to be of order $1 \times 10^5 \text{ cm}^2 \text{ s}^{-1}$ in the daytime and $2 \times 10^3 \text{ cm}^2 \text{ s}^{-1}$ at night [cf. Kaplan *et al.*, this issue; Kirchhoff *et al.*, submitted manuscript, 1987]. Therefore we assume turbulent exchange velocities between the two layers of 10 cm s^{-1} and 0.4 cm s^{-1} for day and night, respectively.

Measured or estimated emission and deposition rates provide flux boundary conditions at the bottom of the mixed layer. The canopy is regarded as a source of nitrogen oxides and isoprene to the mixed layer. Nitrogen oxides are emitted from soils and are transported vertically through the canopy to the atmosphere above [Kaplan *et al.*, this issue]. We assume an emission flux for NO_x of 5.2×10^{10} molecules $\text{cm}^{-2} \text{ s}^{-1}$, which is the median value measured during ABLE 2A by Kaplan *et al.* [this issue]. Isoprene emission from vegetation is strongly sensitive to temperature and radiation and will be modeled as discussed in section 4. Primary emissions of nonmethane hydrocarbons other than isoprene are ignored, since these hydrocarbons are present at concentrations much lower than isoprene and have little influence on photochemistry [Zimmerman *et al.*, this issue].

Deposition of trace gases to the forest appears to proceed rapidly for many species. During ABLE 2A, Kaplan *et al.* [this issue] measured an average deposition velocity of 2 cm s^{-1} for O_3 . This high value may be explained by the large surface area associated with the vegetation canopy. The vertical gradient of O_3 was found by Kaplan *et al.* [this issue] to be confined mostly to the lower 10 m above the ground, indicating little aerodynamic resistance to downward transport above the canopy. On the basis of this result we assume deposition velocities at the bottom of the mixed layer of 3 cm s^{-1} for HNO_3 ; 2 cm s^{-1} for O_3 , isoprene, NO_2 , and PAN-type species; 1 cm s^{-1} for aldehydes, peroxides, and organic acids; and 0.5 cm s^{-1} for NO. It is assumed that other species are not removed by deposition.

Transport through the top of the CCL appears to be slow. For example, Kousky and Kagano [1981] report very small mean subsidence velocities, in the range $0\text{--}0.5 \text{ cm s}^{-1}$, at 2000 m during the dry season. Some convective mass exchange must occur occasionally, but the rate is difficult to evaluate. We assume, somewhat arbitrarily, a mass exchange velocity of 0.2 cm s^{-1} as a boundary condition at the top of the CCL. The layer above 2000 m is assumed to contain 70 ppb CO, 40 ppb O_3 , 130 ppt PAN, 15 ppt NO, and 45 ppt NO_2 [Gregory *et al.*, this issue; Sachse *et al.*, this issue; Torres and Buchan, this issue; Wofsy *et al.*, this issue; Crutzen *et al.*, 1985]. Concentrations of other trace species are assumed to be negligibly small. The predicted concentrations in the mixed layer and in

the CCL show little sensitivity to the choice of these boundary conditions, because the fluxes through the 2000-m level are usually much smaller than exchanges between the canopy, the mixed layer, and the CCL.

A typical model run represented 5 successive days. The PBL composition was initialized at noon preceding the first day, using the assumed concentrations for the air above 2000 m. After 5 days of simulation most of the species in the PBL were near steady state, i.e., their concentrations changed little between the fourth and the fifth day. Exceptions included CO and formic acid, as discussed later; concentrations of these species were still increasing on the fifth model day. The results presented in this paper are taken from day 5 of the simulation.

4. ISOPRENE

Isoprene emissions from vegetation are strongly dependent on temperature and radiation. We assume an exponential dependence on temperature with a coefficient of 0.2 per degree Kelvin, on the basis of data reported by Lamb *et al.* [1985]. Nighttime emission is negligible. In the daytime we model the forest canopy as a gray absorbing layer with optical depth $\tau = 3$ [Geiger, 1956]. We assume that isoprene emission throughout the canopy responds to light, in the manner reported by Tingey *et al.* [1979]. The daytime isoprene emission flux E (in molecules per square centimeter per second) is then given by

$$E = 8 \times 10^7 \exp [0.2(T - 298)]$$

$$\int_0^\tau \exp \left(\frac{10.2}{1 + \exp \{-0.0064[I(s) - 11.0]\}} \right) ds \quad (2)$$

with

$$I(s) = I_0 \exp \frac{-s}{\cos \alpha} \quad (3)$$

where T is the temperature (in degrees Kelvin), assumed uniform throughout the canopy, α is the sun angle, I_0 is the photosynthetically active irradiance (in micromoles photons $\text{m}^{-2} \text{ s}^{-1}$) at the top of the canopy, and s is an integration variable, which ranges from 0 to τ . We use the mean diurnal cycle of incident radiation measured at the top of the canopy during ABLE 2A [Fitzjarrald *et al.*, this issue] and assume that 50% of this incident radiation is photosynthetically active [Strickland, 1958]. The multiplicative constant (8×10^7) is the only adjustable parameter in our expression of the isoprene emission flux and is selected to match the midday concentrations of isoprene observed by Zimmerman *et al.* [this issue] during ABLE 2A.

The diurnal range of air temperatures over the Amazon forest is small (Figure 3), so that radiation is the main factor responsible for variation in isoprene emission during the day. Equation (2) indicates that isoprene emission becomes light saturated at irradiances above $400 \mu\text{moles photons m}^{-2} \text{ s}^{-1}$. At the top of the canopy, such a value is attained 1 hour after sunrise. However, the irradiance is much lower within the canopy, as a result of absorption and scattering of light by vegetation. Therefore the dependence of isoprene emission on incident radiation remains strong within the canopy throughout the day, so that model emission rates maximize at noon (Figure 4). The isoprene emission rate averaged over the daytime hours is 7.7×10^{11} molecules $\text{cm}^{-2} \text{ s}^{-1}$, or $38 \text{ mg isoprene m}^{-2} \text{ d}^{-1}$. This value is in the range of emission rates

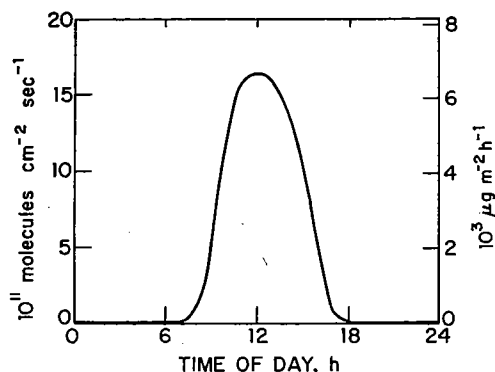


Fig. 4. Calculated isoprene emission flux from the Amazon forest as a function of time of day.

reported by *Lamb et al.* [1985] for deciduous forests in North America.

A strong dependence of isoprene emission on sun angle, as simulated earlier, is necessary to explain the observation that isoprene concentrations in the mixed layer peak at midday [*Zimmerman et al.*, this issue]. If isoprene were emitted at a constant rate during the daylight hours, concentrations in the mixed layer would instead peak in the early morning and in the late afternoon, when vertical dilution is minimum and the chemical sink is slow [*Jacob and Wofsy*, 1988].

Isoprene was found to be depleted from the mixed layer within a few hours following sunset but was not depleted aloft. Nighttime vertical profiles of isoprene measured during ABLE 2A indicate a rapid sink of isoprene within the canopy [*Zimmerman et al.*, this issue; *Rasmussen and Khalil*, this issue]. We represented the apparent nighttime isoprene sink by a deposition velocity (2 cm s^{-1}) at the bottom of the mixed layer (top of the canopy). *Zimmerman et al.* [this issue] have suggested that reaction with NO_3 may provide the main nighttime sink of isoprene in the canopy. They argue that NO emissions from soils are sufficiently rapid to quantitatively deplete isoprene, even if only a small fraction of the emitted NO is converted to NO_3 . However, the rate-limiting step for NO_3 production is not emission of NO , but reaction of NO_2 with O_3 , which is slow. From the data of *Kaplan et al.* [this issue] we estimate upper limits for the concentrations of NO_2 and O_3 within the canopy of 1 and 20 ppb, respectively. The maximum NO_3 production rate calculated from these upper limits is 55 ppt per hour, which is much too slow to result in significant isoprene depletion over the course of the night. Therefore we argue that reaction with NO_3 cannot be the primary mechanism for isoprene removal at night. Some other mechanism, such as deposition to vegetation, must be involved.

The calculated diurnal cycles of isoprene in the mixed layer and in the CCL are shown in Figure 5. The median concentrations measured by *Zimmerman et al.* [this issue] in the mixed layer are superimposed on the simulated values. The model reproduces observations very well, but this is expected, since model parameters have been adjusted to obtain this result. The unusually high 1500 LT measurements, which are not reproduced by the model, were reported for an isolated day where meteorological conditions may have departed significantly from those assumed in our calculations.

The daytime mixed layer concentrations of 2.5–3 ppb are controlled by a balance between emission from vegetation and reaction with OH, the main daytime sink. The atmospheric lifetime of isoprene at noon is about 3 hours. Levels of isoprene are sufficiently high that the concentration of OH is, in

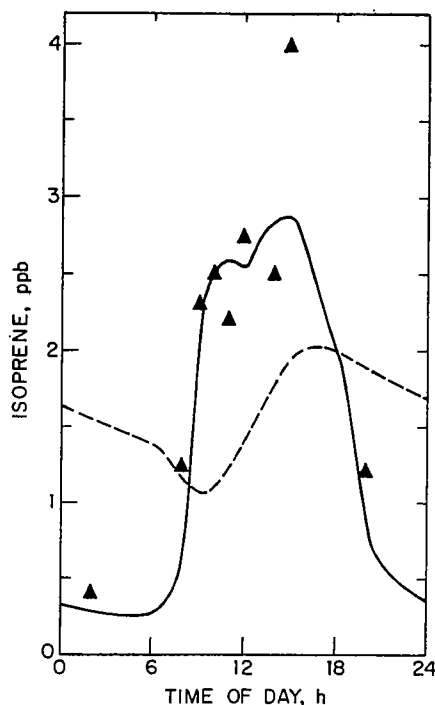


Fig. 5. Isoprene concentrations as a function of time of day in the mixed layer (solid line) and in the CCL (dashed line). The triangles are the median mixed layer concentrations measured by *Zimmerman et al.* [this issue] during ABLE 2A.

turn, controlled by isoprene; the main sinks for OH are reactions with isoprene and its decomposition products (methylvinylketone, methacrolein, formaldehyde). As a result, the computed isoprene concentration responds in a strongly nonlinear manner to changes in the assumed source. In Figure 6 we show the predicted noontime concentrations of isoprene and OH as a function of the isoprene source strength. The concentration of OH decreases as the isoprene source increases, and consequently, the isoprene concentration rises almost as the square of the source strength. Therefore the isoprene data collected during ABLE 2A provide a relatively strong constraint on the assumed rate for isoprene emission.

Most of the isoprene emitted over the course of the day is converted to oxygenated hydrocarbons within the PBL. Photooxidation of isoprene in the model produces 17 ppb of non-methane hydrocarbons in the daytime mixed layer (Table 1). The main species produced are organic peroxides, formaldehyde, methylvinylketone, and methacrolein. These species may be oxidized further within the PBL to eventually produce CO. Alternatively, they may be removed by deposition or by transport to the free troposphere. In our simulation the accumulation of CO in the PBL due to the isoprene source amounts to 4 ppb d^{-1} ; CO levels increase from 70 to 89 ppb over 5 days of undisturbed conditions. A CO enrichment of this magnitude is typical of those observed during ABLE 2A (Figure 1; see also *Sachse et al.* [this issue]). Evidently, isoprene photooxidation could be significant in producing the CO enrichments observed in the PBL under nonpolluted conditions.

5. ODD NITROGEN

The concentrations of odd nitrogen species in each layer are shown in Figure 7 as a function of time of day. We define NO_x as $(\text{NO} + \text{NO}_2)$, NO_y as $(\text{NO}_x + \text{HNO}_2 + \text{HNO}_3)$, and NO_z

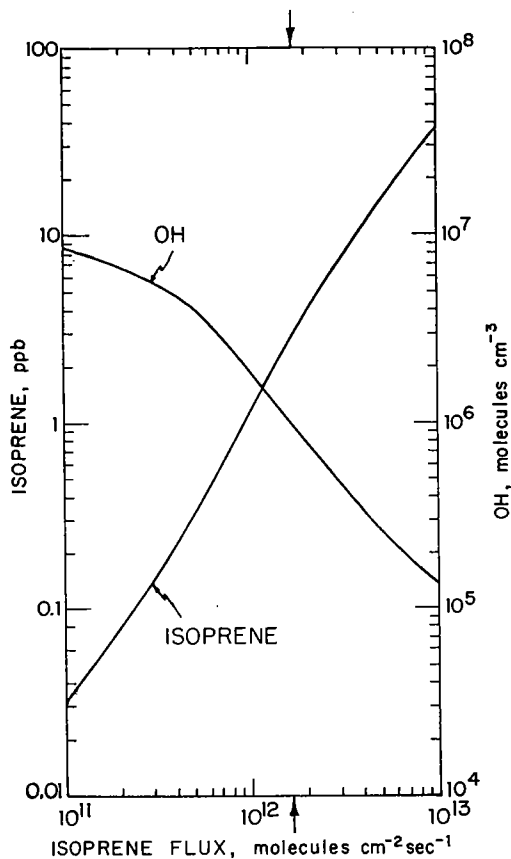


Fig. 6. Isoprene and OH concentrations at noon in the mixed layer, as a function of the noontime isoprene emission flux. The isoprene fluxes were calculated from equation (2) with a range of values for the multiplicative constant (8×10^7 in the standard simulation). The arrow on the abscissa scale indicates the emission flux used in the standard model simulation.

as (NO_x + PANS + organic nitrates). The other odd nitrogen species, NO_3 , N_2O_5 , and HNO_4 , do not contribute significantly to the odd nitrogen pool. The notation "PANS" represents the sum of the species PAN, PPN, MPAN, and IPAN (Table 2). The organic nitrates are low-yield addition products of the $\text{RO}_2 + \text{NO}$ reactions [Atkinson and Lloyd, 1984].

The main source of NO_x is emission of NO from soils, and the main sink is deposition as NO_2 and PANS. Exchange with air aloft has only a small effect on the NO_x budget in the model. Nighttime NO_x concentrations in the mixed layer are relatively high because vertical dilution of emissions is minimum. Values are relatively constant through the night, reflecting a balance between emission of NO and deposition of NO_2 . At sunrise a transient increase in NO_x is predicted. This increase is due to photolysis of NO_2 to NO, resulting in a decrease of the rate for deposition. The NO_x concentration in the mixed layer declines during the morning hours as low- NO_x air from the CCL is progressively entrained into the mixed layer. By 1100 LT, the gradient between the mixed layer and the CCL has vanished. Deposition is a relatively inefficient sink in the daytime because the mixed layer is deep. As a result, NO_x concentrations in both layers increase during the afternoon hours.

Concentrations measured during ABLE 2A under unpolluted conditions are generally consistent with our model results. Daytime concentrations of NO reported by Torres and Buchan [this issue] ranged from 20 to 35 ppt in the mixed

layer, except between 0800 and 0900 LT, when concentrations were higher (up to 65 ppt). Concentrations in the CCL ranged from 10 to 20 ppt. Model predictions are in excellent agreement with these data (Figure 7). Andreae et al. [this issue (b)] reported a mean boundary layer concentration of 171 ppt for total inorganic nitrate, ($\text{HNO}_3(\text{g}) + \text{NO}_3^-$). They found that most of the inorganic nitrate was present as aerosol NO_3^- . The model, which does not take into account formation of aerosol NO_3^- , predicts $\text{HNO}_3(\text{g})$ concentrations of about 70 ppt. The main sink for $\text{HNO}_3(\text{g})$ is deposition and, since the deposition velocity of aerosol NO_3^- is expected to be lower than that of $\text{HNO}_3(\text{g})$, 70 ppt would constitute a lower limit for inorganic nitrate as predicted by the model. Good agreement with data can be achieved by assuming that conversion of $\text{HNO}_3(\text{g})$ to NO_3^- aerosol leads to a decrease by a factor of 4 in the deposition velocity of inorganic nitrate, which seems reasonable.

Most of the NO_x in the model is present in the form of PANS. We predict concentrations of PANS as high as 0.5 ppb at midday in the CCL, with slightly lower values in the mixed layer (Figure 7c). Peroxyacetyl nitrate is the dominant PAN species, but higher PANS also make substantial contributions, in particular MPAN produced from the reaction of methacrolein with OH (Table 2). Because the PANS are produced photochemically, their concentrations are highest during the day. At night, very low levels are predicted in the mixed layer because of deposition. However, concentrations aloft remain fairly high (0.4 ppb) through the night.

6. OZONE

We show the predicted diurnal cycles of ozone in the mixed layer and in the CCL in Figure 8. Data from a number of vertical profiles [Gregory et al., this issue], averaged over each layer, are superimposed on the model predictions. Profiles affected by biomass burning, as diagnosed by CO levels higher than 100 ppb [Andreae et al., this issue (b)] are excluded. Model and observations are seen to be in good agreement.

A diurnal cycle of large amplitude is predicted in the mixed layer, consistent with the data of Gregory et al. [this issue] and Kirchhoff et al. (submitted manuscript, 1987). The O_3 concentration drops to less than 5 ppb at night because of rapid deposition to the forest. In the morning the onset of photochemical activity leads to a rapid increase in con-

TABLE 1. Predicted Concentrations of Nonmethane Hydrocarbons Produced From Isoprene

Species	Concentration, ppb
Organic peroxides	4.3
Formaldehyde	3.2
Isoprene	2.5
Methylvinylketone	2.5
Methacrolein	2.0
Organic acids	0.6
C_3 -aldehydes	0.5
Hydroxyacetaldehyde	0.4
PANS	0.4
Acetaldehyde	0.3
Methylglyoxal	0.1
Alkyl nitrates	0.1
Methanol	0.1
Total	17.0

Values are for mixed layer at noon.

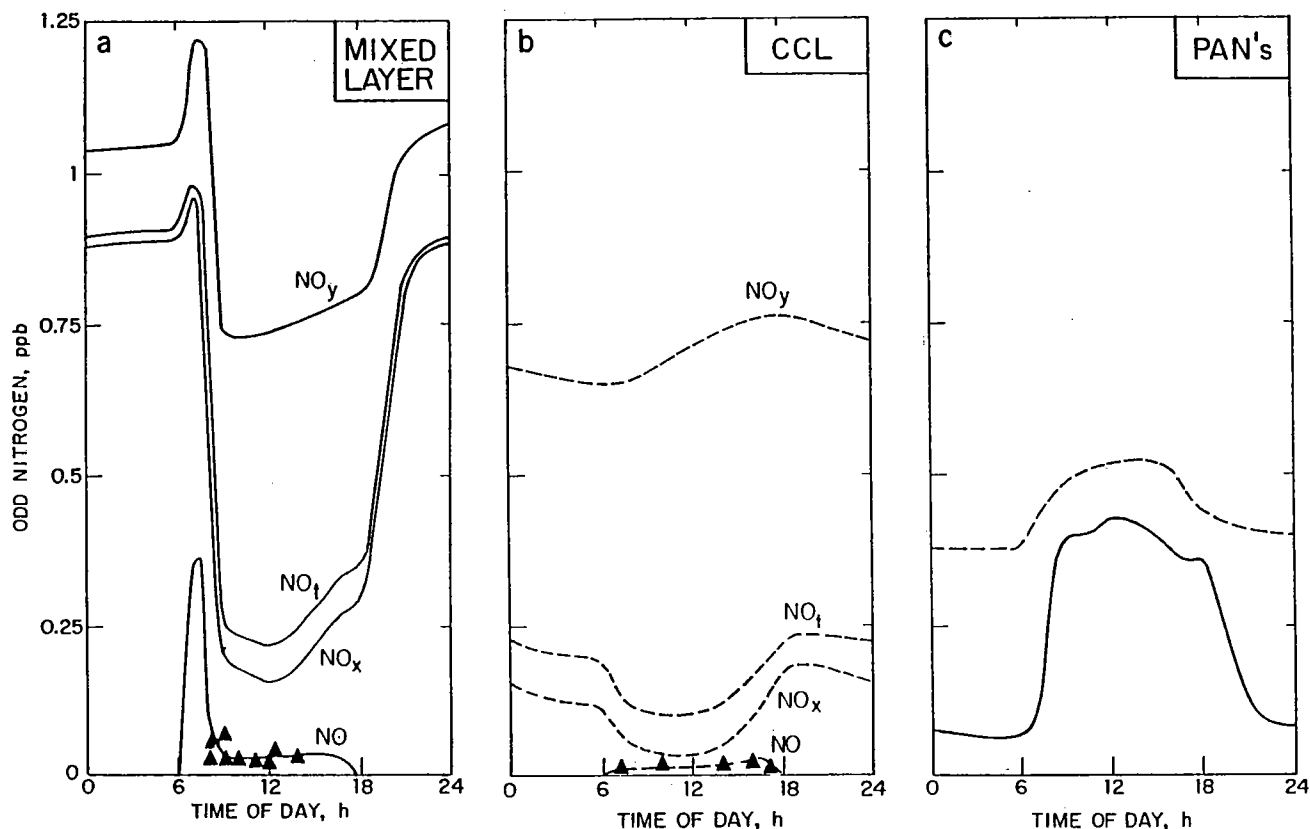


Fig. 7. Odd nitrogen concentrations as a function of time of day: (a) mixed layer; (b) CCL; (c) PAN's in the mixed layer (solid line) and in the CCL (dashed line). NO_x denotes ($\text{NO} + \text{NO}_2$), NO_t denotes ($\text{NO}_x + \text{HNO}_2 + \text{HNO}_3$), and NO_y denotes ($\text{NO}_t + \text{PANs} + \text{organic nitrates}$). The triangles are the median NO concentrations measured by Torres and Buchan [this issue] during ABLE 2A.

centration. A broad maximum of 20 ppb is predicted between 1200 and 1700 LT. By contrast, little diurnal variation is predicted in the CCL. The concentration peaks at 22 ppb in the midafternoon, but remains near 20 ppb at night. Vertical profiles of O_3 concentrations are strongly inverted at night and in the morning because of the diurnal cycle in the mixed layer. The profiles are still inverted in the afternoon, but the gradients are considerably weaker.

Ozone concentrations in the model are controlled primarily by photochemical production and by deposition to the forest. Downward transport of O_3 from the free troposphere is slow compared to the photochemical source. To evaluate the effect of the source from the free troposphere in the model, we con-

ducted a simulation in which no exchange across the top of the CCL was allowed. This simulation predicted noontime O_3 concentrations of 18.5 and 18.3 ppb in the mixed layer and in the CCL, respectively, as compared to 19.9 and 20.2 ppb from the standard simulation (Figure 8). Although the difference between these results is small, the downward flux of O_3 from the free troposphere helps to maintain a slight inverted gradi-

TABLE 2. Predicted Concentrations of PANs and Organic Nitrates Produced From Isoprene

Species	Formula	Concentration, ppb
PANs		
PAN	$\text{CH}_3\text{C}(\text{O})\text{OONO}_2$	0.27
MPAN	$\text{CH}_2\text{C}(\text{CH}_3)\text{C}(\text{O})\text{OONO}_2$	0.09
PPN	$\text{CH}_3\text{CH}_2\text{C}(\text{O})\text{OONO}_2$	0.04
IPAN	$\text{HOCH}_2\text{C}(\text{O})\text{OONO}_2$	0.03
Organic nitrates	$\text{CH}_2\text{C}(\text{CH}_3)\text{CH}(\text{OH})\text{CH}_2\text{ONO}_2$ (and isomers)	0.06
C_4 alkyl nitrates		0.02
Total		0.51

Values are for mixed layer at noon.

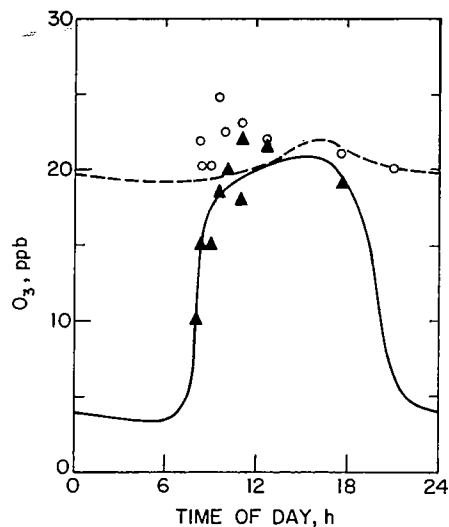


Fig. 8. Ozone concentrations as a function of time of day in the mixed layer (solid line) and in the CCL (dashed line). The solid triangles and the open circles are observed concentrations in the mixed layer and in the CCL, respectively [Gregory et al., this issue], averaged as described in the text.

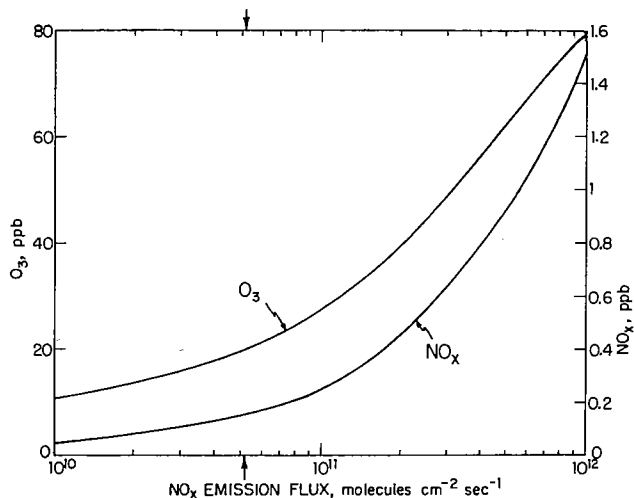


Fig. 9. Ozone and NO_x concentrations at noon in the mixed layer as a function of the NO_x emission flux. The arrow on the abscissa scale indicates the emission flux used in the standard model simulation.

ent in the O_3 vertical profile throughout the day, as observed by Gregory *et al.* [this issue].

Downward transport of O_3 from the free troposphere could possibly affect PBL concentrations to a larger extent than is simulated by the model, because the rates of mass transport across the trade wind inversion are uncertain. Nevertheless, local photochemical production of O_3 must proceed rapidly over the forest, considering that NO concentrations measured in the mixed layer ranged from 20 to 65 ppt [Torres and Buchan, this issue]. Lidar measurements [Browell *et al.*, this issue] provide strong evidence of a biogenic source for O_3 . Isolated regions of high O_3 concentrations (30–40 ppb) were observed above the forest canopy which were not associated with biomass burning or urban pollution (as would be indicated by elevated CO). Browell *et al.* [this issue] found that O_3 levels in the PBL fluctuated substantially over spatial scales of a few kilometers and attributed this behavior to inhomogeneities in the upward flux of NO_x from the canopy to the mixed layer. Indeed, the data of Torres and Buchan [this issue] indicate large fluctuations of NO concentrations above the canopy.

Photochemical production of O_3 in the PBL is controlled by the availability of NO_x . Midday levels of NO in the mixed layer are only about 30 ppt, as compared to 17 ppb of non-methane hydrocarbons. Figure 9 shows the response of the noontime mixed layer O_3 concentration to changes in emission of NO_x . The computed O_3 concentration is strongly dependent on the strength of the NO_x source for the range of NO emissions reported by Kaplan *et al.* [this issue]. As the NO source decreases below the value reported by Kaplan *et al.* [this issue] photochemical production of O_3 slows down, and O_3 levels become increasingly controlled by downward transport from the free troposphere.

By contrast, the strength of the isoprene source has little effect on O_3 production (Figure 10). In the absence of isoprene, oxidation of CO would be sufficient to drive the odd hydrogen cycle and to supply noontime O_3 concentrations of about 20 ppb. Very large isoprene sources would deplete O_3 , because of direct reactions of O_3 with isoprene and methylvinylketone. However, such strong sources would imply isoprene concentrations considerably in excess of those measured in ABLE 2A (see Figure 6).

Model O_3 concentrations in the CCL peak at a slightly later time of day than in the mixed layer. This result reflects the distribution of NO_x in the model. High concentrations of NO_x in the morning mixed layer (Figure 7) lead to rapid production of O_3 beginning shortly after sunrise. By contrast, there is little O_3 production in the CCL, where the NO_x levels are much lower. Ozone production is more significant in the CCL during the afternoon hours due to upward transport of NO_x from the mixed layer.

We have shown in the previous section that most of the NO_x in the PBL is present in the form of PANs. Evidently, conversion of NO_x to PANs plays a critical role in moderating the boundary layer photochemistry: if PANs were not formed, considerably more ozone would be produced. One might expect that an increase in the isoprene source would lead to a reduction in ozone production by enhancing the fraction of odd nitrogen stored as PANs. Such an effect is not predicted by the model, however, because of the feedback between isoprene and OH (Figure 6). We find that the predicted concentrations of PANs are insensitive to the strength of the isoprene source once that source is large enough to control the OH concentration (about half of the isoprene flux assumed in our simulation).

7. ORGANIC ACIDS

The model predicts that photochemical decomposition of isoprene in the gas phase produces substantial concentrations of formic acid, methacrylic acid, and pyruvic acid (Figure 11). Starting from an initially low concentration, HCOOH accumulates to 0.5 ppb after 5 model days, and its concentration is still increasing. During the fifth model day, HCOOH is produced in the PBL at a rate of 0.28 ppb d^{-1} , primarily from the reactions of isoprene and methylvinylketone with O_3 . It is removed by deposition (0.15 ppb d^{-1}) and by ventilation through the top of the CCL (0.05 ppb d^{-1}). The balance (0.08 ppb d^{-1}) accumulates in the PBL. The HCOOH concentration in the mixed layer drops to a low value at night, as a result of rapid deposition. The concentration aloft does not vary significantly with time of day.

The deposition and ventilation sinks, as formulated in the model, result in a lifetime for HCOOH of 2.5 days in the PBL. A steady state HCOOH concentration of 0.7 ppb would thus be reached after about 8 model days. It must be pointed out that the predicted HCOOH levels scale as the inverse of the

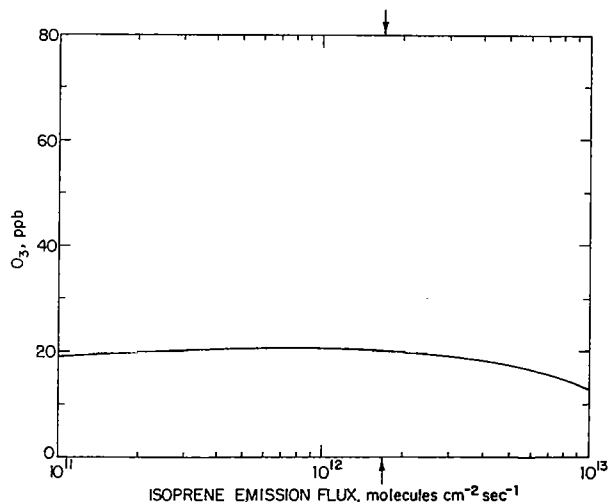


Fig. 10. Ozone concentrations at noon in the mixed layer as a function of the isoprene emission flux. The arrow on the abscissa scale indicates the emission flux used in the standard simulation.

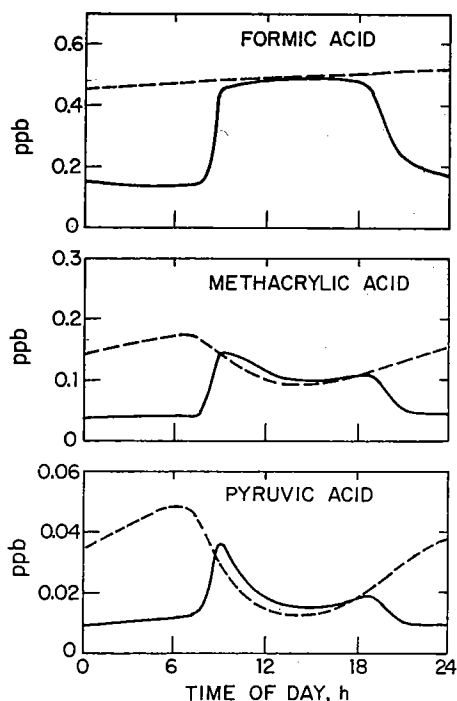


Fig. 11. Concentrations of formic acid, methacrylic acid, and pyruvic acid as a function of time of day in the mixed layer (solid line) and in the CCL (dashed line). Day 5 of the simulation is shown; note the secular increase of HCOOH.

rates assumed for deposition and ventilation. These rates are probably uncertain by about a factor of 2, and similar uncertainty would apply to the predicted concentrations of HCOOH.

Clouds in the CCL can play a major role in the HCOOH budget. Formic acid is produced rapidly in cloud droplets by aqueous-phase oxidation of formaldehyde [Chameides, 1984]. The mean PBL formaldehyde concentration calculated from the isoprene source is 3.2 ppb (Table 1), so that substantial production of HCOOH could take place by processing of air through the CCL. However, HCOOH is also rapidly destroyed in cloud droplets by aqueous-phase oxidation of HCOO⁻, and this sink may balance the aqueous-phase source [Jacob, 1986]. We simulated in a previous study the coupled gas-phase/aqueous-phase chemistry occurring within clouds over the Amazon forest, and concluded that total HCOOH (HCOOH(g) + HCOOH(aq) + HCOO⁻) concentrations in the clouds could be at most 1 ppb [Jacob and Wofsy, 1988].

The above analysis suggests that the isoprene source could account for HCOOH concentrations in the range 0.5–1 ppb over the Amazon forest. These can be compared to the values measured by Andreae *et al.* [this issue (a)] within the forest canopy during ABLE 2A. The HCOOH concentrations measured by Andreae *et al.* were approximately constant during the daylight hours and dropped to low values at night, a result in harmony with model predictions. Observed daytime concentrations were in the range 1–3 ppb, somewhat higher than simulated values. This discrepancy suggests that other sources besides oxidation of isoprene could be important for HCOOH. Oxidation of ethene or propene could not provide a significant source at the concentrations measured by Zimmerman *et al.* [this issue]. Primary emissions from vegetation, ants [Graedel, 1987], and soils may need to be considered. Since the measurements of Andreae *et al.* [this issue (a)] were made within the canopy, they would be particularly affected by a primary source.

According to our mechanism, photochemical decomposition of isoprene produces methacrylic acid and pyruvic acid in addition to HCOOH. Both methacrylic acid and pyruvic acid have fairly rapid photochemical sinks, which would tend to control their concentrations. The formic:methacrylic:pyruvic concentration ratios predicted from the isoprene source are of order (25–50):5:1. We are not aware of any measurements of methacrylic acid in the atmosphere, but measurements of pyruvic acid were made by Andreae *et al.* [1987] during ABLE 2A. Pyruvic acid was present ubiquitously in gas-phase, aerosol, and precipitation samples. The formic-to-pyruvic ratios in precipitation ranged from 13 to 62, with a mean value of 40. Gas-phase concentrations of pyruvic acid within the canopy ranged from 80 to 400 ppt, with a mean value of 180 ppt. These results are consistent with an isoprene source for pyruvic acid as simulated by the model, though observed gas-phase concentrations are higher than predicted. Pyruvic acid is unlikely to be released from vegetation because of its high acidity constant (*pK* 2.4), and appears to have no significant atmospheric sources other than the oxidation of isoprene. Grosjean [1984] has reported the photochemical production of pyruvic acid from *o*-cresol, but this source may be significant only in polluted urban atmospheres.

High levels of acetic acid have been observed in the Amazon forest. Andreae *et al.* [this issue (a)] reported daytime CH₃COOH concentrations ranging from 1 to 5 ppb within the canopy. Keene and Galloway [1986] reported a mean CH₃COO⁻ concentration of 3.7 μeq L⁻¹ in precipitation over central Brazil. In our chemical mechanism, photooxidation of isoprene does not produce CH₃COOH. Furthermore, we have shown previously that production of CH₃COOH by aqueous-phase reactions in clouds is negligibly slow [Jacob and Wofsy, 1988]. It appears that primary emissions from vegetation or soils may constitute the main source of atmospheric CH₃COOH over the Amazon forest. Atmospheric oxidation of terpenes could also, perhaps, produce CH₃COOH. Keene and Galloway [1986] have argued that HCOOH and CH₃COOH must be produced by a common source in order to explain the high correlation observed between HCOO⁻ and CH₃COO⁻ levels in precipitation. However, such a correlation could be rationalized also for a mechanism where HCOOH was a secondary product from isoprene oxidation, while CH₃COOH was a primary product of the forest vegetation or a secondary product from oxidation of terpenes.

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