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Disruption of the petal identity gene *APETALA3-3* is highly correlated with loss of petals within the buttercup family (Ranunculaceae)

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Absence of petals, or being apetalous, is usually one of the most important features that characterizes a group of flowering plants at high taxonomic ranks (i.e., family and above). The petaloid condition, however, appears to be the result of parallel or convergent evolution with unknown genetic causes. Here we show that within the buttercup family (Ranunculaceae), apetalous genera in at least seven different lineages were all derived from petalous ancestors, indicative of parallel petal loss. We also show that independent petal losses within this family were strongly associated with decreased or eliminated expression of a single floral organ identity gene, *APETALA3-3* (AP3-3), apparently owing to species-specific molecular lesions. In an apetalous mutant of *Nigella*, insertion of a transposable element into the second intron has led to silencing of the gene and transformation of petals into sepals. In several naturally occurring apetalous genera, such as *Thalictrum*, *Beesia*, and *Enemion*, the gene has either been lost altogether or disrupted by deletions in coding or regulatory regions. In *Clematis*, a large genus in which petalous species evolved secondarily from apetalous ones, the gene exhibits hallmark marks of a pseudogene. These results suggest that, as a petal identity gene, AP3-3 has been silenced or down-regulated by different mechanisms in different evolutionary lineages. This also suggests that petal identity did not evolve many times independently across the Ranunculaceae but was lost in numerous instances. The genetic mechanisms underlying the independent petal losses, however, may be complex, with disruption of AP3-3 being either cause or effect.

**ABC model | development | flower | parallel evolution**

Petals are sterile floral organs that lie between the outer sepals and the inner reproductive parts (i.e., stamens and carpels) of a flower with a dimorphic perianth. Compared with sepals, which are the outermost parts of the flower, petals are usually brightly colored and/or unusually shaped to attract pollinators. Petals show tremendous diversity in number, size, shape, structure, arrangement, orientation, and coloration, and are believed to be results of both divergent and parallel/convergent evolution (1, 2). Traditional morphological and anatomical studies have proposed that petals originated multiple times in different lineages, either from stamens (andropetals) or from sepals (bractopetals) (3, 4). Recent studies, however, tend to suggest that although andropetals and bractopetals are morphologically and anatomically distinguishable, they share a common developmental program (i.e., process homology) (5–7). According to the “ABC”, “ABCE”, and “Quartet” models for flower development, this program requires combinational activities of A-, B-, and E-class genes, the representatives in the model plant *Arabidopsis thaliana* (hereafter called *Arabidopsis*) being *APETALA1* (AP1), *APETALA3* (AP3) and *PISTILLATA* (PI), and *SEPALLATA1-4* (SEP1-4), respectively (8–12). The fact that counterparts (or orthologs) of these genes are expressed in petaloid organs across flowering plants (including the basal-most lineage, *Amborella*) (13-16), raises the possibility that the genetic program for petal development was established before the diversification of extant angiosperms. Then, during evolution, this program has been modified in different directions and to varying degrees, giving rise to the production of a huge variety of petals and petaloid structures (6, 17). The petal identity program may have also been inactivated multiple times, leading to the independent origins of apetalous groups in different evolutionary lineages (2, 4, 18, 19).

Interestingly, some of the apetalous groups, such as the piper (Piperaceae), sandalwood (Santalaceae), mulberry (Moraceae), elm (Ulmaceae), nettle (Urticaceae), birch (Betulaceae), oak (Fagaceae), and walnut (Juglandaceae) families, as well as several genera within the buttercup family (Ranunculaceae), are very rich in species diversity, suggesting that loss of petals is not necessarily disadvantageous.

Ranunculaceae is a large, globally distributed family that consists of around 1,800 species in about 50 genera (20). The family has been the focus of centuries of morphological, anatomical, systematic, evolutionary, and phytochemical studies owing to its unusual position in the angiosperm phylogeny, enormous diversity in reproductive and vegetative structures, and wide ornamental and medical uses. Petals in this family are of particular interest because they show tremendous diversity in both appearance and function (20). In many species, petals are laminar, petaloid, tubular, labiate, or cup-shaped and possess spurs or scale-pocket-like structures that secrete nectar. In others, petals are equally showy, attractive, and specialized but do not produce nectar, suggestive of shifts in function. There are also genera in which petals are entirely missing and the attractive function is fulfilled mostly by sepals, which are commonly showy, attractive, and petaloid across the family, while stamens occupy the positions where petals are expected to be. Based on comparative morphological and anatomical studies, it has been proposed that petals in this and related families (such as Berberidaceae, Menispermaceae, and Lardizabalaceae) are all andropetals that resulted from independent modifications of stamens (1, 2). Recent phylogenetic analyses, however, tend to suggest that the key to having petals, or being petaloid, is an ancestral character state whereas being apetalous is a derived one (21, 22). Indeed, when the two states of this character were mapped onto the phylogenetic tree of the family, it became evident that in at least seven lineages apetalous


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genera have had petalous ancestors (Fig. 1 and Fig. S1). This suggests that, rather than being independently gained many times, petals have been lost in parallel in different lineages during the evolution of the Ranunculaceae.

The mechanism underlying the parallel petal losses within the Ranunculaceae is still unclear. However, recent studies indicated that absences of petals in this and related families are correlated with lack of the expression of a floral organ identity gene, AP3-3 (7, 23, 24). AP3-3 is an AP3-like gene, which, along with its two closest paralogs, AP3-1 and AP3-2, was generated through two successive gene duplication events before the divergence of the Ranunculaceae from its allies (7, 24). In the species that possess petals, AP3-3 orthologs are specifically expressed in petal primordia and developing petals, with their transcripts being detectable by using the conventional reverse transcription-polymerase chain reaction (RT-PCR) and in situ hybridization techniques. In the species lacking petals, however, AP3-3 expression could not be detected, despite considerable efforts. Based on these observations, it has been postulated that the independent petal losses in different Ranunculaceae lineages were caused by parallel inactivation of the AP3-3 orthologs (7, 24).

However, because only a few species have been investigated extensively, it is still unclear whether petal losses are indeed correlated with AP3-3 expression, how AP3-3 orthologs could have been silenced or down-regulated, and whether silencing/down-regulation of AP3-3 was the only cause for parallel petal losses.

**Results**

**AP3-3 Orthologs Are Generally Not Expressed in Apetalous Taxa.** To determine whether petal loss is indeed correlated with AP3-3 expression, we first sought to isolate AP3-3 orthologs from representative apetalous species. Four apetalous species (Thalictrum petaloideum, Beesia calthifolia, Enemion raddeanum, and Clematis heracleifolia; hereafter called Thalictrum, Beesia, Enemion, and apetalous Clematis, respectively) were sampled, each of which represents a separate lineage (Fig. 1 and Fig. S1). Because these apetalous species are only distantly related to each other, we also included four petalous species (Leptopyrum fumarioides, Souliea vaginata, Isopyrum manshuricum, and Clematis macropetala; hereafter called Leptopyrum, Souliea, Isopyrum, and petalous Clematis, respectively) as references. In addition, as has been pointed out by several authors, petals of the Clematis species may have been derived from stamens secondarily and recently, and as such are no longer homologous to those in other petalous genera (20, 25); our own ancestral character state reconstruction also supports this viewpoint (Fig. S1). For this reason, we included Ranunculus japonicus (hereafter called Ranunculus) as a second petalous reference to the apetalous Clematis. More interestingly, during the study, we encountered a “Double Sepal” mutant of Nigella damascena (hereafter called Nigella) in which petals are transformed into sepal. Because this mutant has apparently experienced a recent petal-loss event, we also added it into the analyses. By using the conventional RT-PCR technique, we obtained AP3-3 orthologs from floral buds of Leptopyrum, Souliea, Isopyrum, Ranunculus, the petalous Clematis, and the wild-type Nigella, but not from those of Thalictrum, Beesia, Enemion, the apetalous Clematis or the mutant Nigella (Fig. 1). Each transcript for presence/absence of petals and expression of AP3-3 (Fig. 1), we conducted a 2x2 conditional binomial exact test and found a significant evolutionary correlation between petal loss and non-expression of AP3-3 (one-sided, P = 0.0146). The fact that the other two AP3-like genes, AP3-1 and AP3-2, could be obtained from all these species, however, suggests that the failure to isolate AP3-3 from apetalous species was not due to technical problems.

**AP3-3 Orthologs Are Highly and Specifically Expressed in Petals.** To understand the roles of AP3-3 orthologs in flower development, we investigated their expression patterns in detail. Our quantitative real-time RT-PCR (qRT-PCR) analyses indicated that in five of the six petal taxa (Leptopyrum, Souliea, Isopyrum, Ranunculus, and the wild-type Nigella, but not the petalous Clematis), the AP3-3 orthologs are all specifically expressed in petals, and the expression levels are always exceptionally high, at least compared with AP3-1 and AP3-2 (Figs. 2 and 3). In situ hybridization analyses further revealed that the Nigella, Leptopyrum, and Souliea AP3-3 genes are initially expressed in petal primordia and developing petals and then restricted to the inner parts of the near-mature petals, from where nectary tissues will be formed (Fig. 2 and see Figs. S3 and S4). Therefore, in petalous species (except for Clematis), AP3-3 may function to specify petal identity at early stages of flower development and control nectary formation at later stages. In the petalous Clematis, however, the role of AP3-3 is still unclear, because its expression level is very low, even in petals (Fig. 3). Presumably, petals in Clematis are controlled by a different developmental program, to which the contribution of AP3-3 is negligible.

**Apetalous Nigella Mutant Was Caused by Insertion of a Transposable Element.** To understand why mRNAs of AP3-3 could not be isolated from the apetalous Nigella mutant, we conducted additional expression analyses using the qRT-PCR and in situ hybridization techniques. We found that AP3-3 is simply not expressed in the mutant, whereas AP3-1 and AP3-2 show normal expression patterns (Fig. 2 and Fig. S2). This suggests that AP3-3 has been completely inactivated in the mutant. We then obtained its genomic sequence and found that the mutant sequence is completely identical to the wild-type one except in the length of the second intron (Fig. 2 and Fig. S2). Close inspection of this intron further revealed that the mutant contains a 253-bp fragment that has the characteristics of a transposable element and can form stem-loop structures (Fig. S2). Specifically, this fragment resembles a Gypsy/Ty3-like miniature inverted-repeat transposable element (MITE) (26). Numerous studies have indicated that when a MITE was inserted into a gene, the gene became
inactivated immediately because the formation of one or more stem-loop structures can interrupt the transcription and splicing processes (27, 28). Therefore, in the newly formed petalous Nigella mutant, petal loss via transformation into sepals may have been caused by the insertion of the MITE element and the disruption of the AP3-3 expression. To search for more evidence supporting this idea, we examined the genotypes of the Nigella plants. We found that whereas all petalous plants are homozygous for the insertion allele (i.e., ap3-3/3ap3-3), the petalous ones are either AP3-3/3AP3-3 or AP3-3/3ap3-3. This, together with the segregation data obtained from crossing AP3-3/AP3-3 and ap3-3/3ap3-3 individuals (Fig. 2), confirmed that loss of petals in the Nigella mutant was indeed caused by the MITE insertion.

**AP3-3 Ortholog in Thalictrum No Longer Exists.** To understand whether AP3-3 has been down-regulated, silenced, or lost in *Thalictrum*, we conducted qRT-PCR experiments. However, because no AP3-3 ortholog has ever been obtained from this species, we used instead the primers designed for its ortholog in *Leptopyrum*. Meanwhile, to ensure the validity of the experiments, we included AP3-1 and AP3-2 as positive controls because, theoretically, if this interspecific amplification strategy works for one gene, it should work for others. We found that whereas analyses of AP3-1 and AP3-2 gave expected results, no signal could be obtained for AP3-3 (Fig. 3), suggesting that the failure to capture AP3-3 transcripts from *Thalictrum* was due to its extremely low level of expression. Rather, it may have been caused by degeneration or deletion of the primer regions, or inactivation or loss of the gene. For this reason, we then performed interspecific in situ hybridization analyses using the probes designed for the *Leptopyrum* AP3-1, AP3-2, and AP3-3 genes. Again, hybridization of the first two genes gave reasonable results, whereas no signal was detected for the last one (Fig. S3). This suggests that the lack of qRT-PCR product for AP3-3 was not due to degeneration or deletion of the primer regions but, rather, lack of expression. We therefore attempted to amplify its genomic sequences, but no product could be obtained, even after extensive efforts (i.e., multiple primer combinations, high numbers of PCR cycles, and the use of an additional, congeneric species, *Thalictrum aquilegifolium*, as DNA template). Finally, we performed Southern hybridization using a probe derived from the *Leptopyrum* AP3-3. We found that while no signal could be detected for *Thalictrum*, the probe cross-reacted with *Leptopyrum* and a more distantly related species, *Aquilegia coerulea* (hereafter called *Aquilegia*) (Fig. S3). This, together with the positive Southern results for AP3-1 and AP3-2, strongly suggests that the AP3-3 ortholog has been lost altogether from the *Thalictrum* genome.

**AP3-3 Ortholog in Beesia Is Down-Regulated by Two Deletions in Coding Regions.** We also applied the same interspecific strategy to *Beesia*. As expected, analyses of AP3-1 and AP3-2 gave excellent results (Fig. 3 and Fig. S4), suggesting that the primers and probes derived from the *Souliea* genes worked well for *Beesia*. In the AP3-3 case, however, only very weak signals were detected in *Beesia* (Fig. 3 and Fig. S4), suggesting that the gene may have been expressed but the expression was too weak to be detected using the conventional RT-PCR technique. To gain more insight into the possible reasons for its down-regulation, we obtained the genomic sequence of the gene. We found that, compared with its ortholog in *Souliea*, the *Beesia* AP3-3 gene is defective in its exon–intron structure: Two deletions in the last exon, one 4 bp long and the other 12 bp long, have resulted in a shift in reading frame and the occurrence of a premature stop codon (Fig. 3 and Fig. S4). Because the 4-bp deletion is located at the 5′ end of the last exon, it may have also affected or even interrupted the transcription and/or splicing process. In eukaryotes, this kind of structural defect is usually a signature of imprecise transposon excision and can cause instability of unspliced mRNAs (27). Thus, in *Beesia*, it is possible that the two deletions are responsible for the down-regulation. To test this hypothesis, we examined the expression of the *Beesia* AP3-3 gene and its corrected version in *Arabidopsis*. We found that, compared with the corrected version, the uncorrected one was expressed at
significantly lower levels (Fig. S4), suggesting that the deletions can indeed cause down-regulation.

**AP3-3 Ortholog in *Enemion* Has a Deletion in the Promoter Region.** To determine why *AP3-3* was not expressed in *Enemion*, we obtained its genomic sequence. Comparison of this gene with its orthologs in *Isopyrum* and other genera suggests that the gene is not defective in its exon–intron organization or coding regions (Fig. 3 and Fig. S5). However, qRT-PCR analyses using multiple primer combinations indicated that its expression level is negligibly low (Fig. 3 and Table S1). For this reason, we also inspected its regulatory regions and found a 12-bp deletion in an otherwise
conserved promoter region upstream of a highly conserved CARG-box motif (Fig. S5). In eukaryotes, and plants in particular, CARG-box motifs are the DNA binding sites of MADS-box-containing transcription factors proteins. AP3-like genes are themselves MADS-box genes, whose expression are commonly regulated by AP3 and other MADS-box proteins (29-31). It has been shown that to ensure the occurrence and stability of the protein–DNA interaction, the flanking DNA sequences of the CARG-box motifs must bend to some extent to form a special secondary/ tertiary structure (32–34). Therefore, the 12-bp deletion in this region may have affected or even prohibited the protein-DNA interaction and caused down-regulation or inactivation of the gene. Notably, another AP3-3 homolog, the Aquilegia AqAP3-3b, which appears to be a pseudogene and is expressed at very low levels, also has deletions in this region (Fig. S5), suggesting that this region may be critical for proper functioning of the AP3-3 genes. However, it is also possible that the deletion has destroyed a separate cis-regulatory element, or that additional trans-regulatory changes are responsible for the down-regulation.

**AP3-3 Orthologs in Clematis Have the Characteristics of Pseudogenes.** To understand why the expression levels of the *Clematis* AP3-3 genes are so low, we obtained their genomic sequences. Comparison of these sequences with those of their orthologs in other species suggests that the deletions detected in these paralogs are specific to petals. In *Thalictrum*, the regulatory regions (Fig. 3 and Fig. S6). Nevertheless, we noticed that the coding regions of the two *Clematis* genes are quite divergent from each other and from their orthologs, suggestive of functional divergence or possible pseudogenization. We therefore performed molecular evolutionary studies. We found that while the AP3-3 orthologs from all other petalous species have evolved under strong purifying selection, the *Clematis* genes, as well as the *Aquilegia* *AqAP3-3b*, have evolved under relaxed, or nearly neutral, selection (Fig. S6). This suggests that the *Clematis* genes may have become pseudogenes, although the gene structures remain intact. The AP3-3 orthologs from two other apetalous genera (*Beesia* and *Enemion*), however, do not show clear signature of relaxed selection, suggesting that they may either still be functional or have become pseudogenes very recently.

**Discussion**

**AP3-3-Based Petal Identity.** It is remarkable that parallel petal losses in different Ranunculaceae lineages were strongly correlated with silencing or down-regulation of a single gene, AP3-3. What intrigues us more is that the apparent cause for the change in petal expression patterns varies from species to species. In *Thalictrum*, the gene has been lost altogether, whereas in *Beesia*, *Enemion*, and the apetalous *Nigella* mutant the gene was disrupted by deletions or insertion in the coding, promoter, or intronic regions. In *Clematis*, the AP3-3 orthologs have become pseudogenes, although the underlying mechanism for the possible pseudogenization or reduced expression is still unclear. This, together with the fact that knockdown of the AP3-3 gene in *Aquilegia* can result in petal-to-sepal transformation (24), strongly suggests that AP3-3 is a petal identity gene, and that the specific role of AP3-3 in petal identity is broadly conserved across the Ranunculaceae. More importantly, because *Aquilegia* and *Nigella* are two deeply divergent members of the family, these results provide the most compelling argument yet that the AP3-3-based petal identity program did not evolve many times independently but rather was lost in numerous instances.

**Why AP3-3?** As one of the three AP3-like genes in the Ranunculaceae and related families, AP3-3 is unique in its expression pattern and functional properties. Unlike AP3-1 and AP3-2, whose expression patterns are rather broad and nonspecific, the AP3-3 orthologs are usually specifically expressed in petals, and the expression levels are generally exceptionally high (7, 35). Silencing of AP3-3 in *Aquilegia* and *Nigella* only resulted in homeotic transformations of petals into sepalas, whereas all other floral organs remained largely unchanged (24). Up to now, it is still unclear whether AP3-3 evolved its current functions through changes in coding, regulatory, or both regions, yet the available data suggest that (i) it is a key regulator of petal development and (ii) it does not have pleiotropic roles. Being a key regulator of petal development implies that each time having petals is no longer advantageous it will become useless and accumulate destructive mutations; otherwise, it evolves under stringent functional constraints, as is confirmed by a recent molecular evolutionary study (24). Meanwhile, because it is not pleiotropic, and because of the existence of two functionally redundant (at least in stamens) paralogs (AP3-1 and AP3-2), down-regulation, silencing, or loss of it will only affect the expression of a few downstream genes and, consequently, is unlikely to cause obvious phenotypic alterations outside petals. It may be because of the properties that AP3-3, rather than its paralogs, has the potential to be the key to understanding the underlying mechanisms for parallel petal losses.

**Cause or Effect?** Despite the potential, it is still difficult to conclude that disruption of AP3-3 was the sole cause for petal losses, for two reasons. First, in the *Nigella* and *Aquilegia* ap3-3 mutants, petals were converted into sepalas and thus the number of sepalas increased (24). In the natural apetalous species, such as *Thalictrum*, *Beesia*, *Enemion*, and *Clematis*, however, the numbers of sepalas did not increase, at least compared with their respective petalous relatives (36). This suggests that silencing of AP3-3 itself may not be sufficient for petal loss in natural apetalous species; other factors, such as shifts in the expression of C-function genes, may also be necessary. According to the ABC model for flower development (8), outward expansion of the C function into petals will lead to the homeotic transformations of petals into stamens, whereas the number of sepalas remains unchanged. Therefore, if loss of petals was actually caused by petal-to-stamen transformation, outward expansion of the C function would be a more probable explanation. Second, although deletions in the coding and regulatory regions were very likely the causes for the silencing or down-regulation of the *Beesia* and *Enemion* AP3-3 orthologs, they can also be explained as degeneration following nonfunctionalization and relaxed selection. Because AP3-3 is specifically expressed in petals, if this identity program is silenced via a genetically upstream mechanism, expression of AP3-3 will be lost and the gene will evolve under relaxed selection, accumulating destructive mutations. In this case, defects in AP3-3 would be the effect of, rather than cause for, petal losses. More data are needed to elucidate the cause-or-effect relationship between the loss of petals and the disruption of AP3-3.

**Loss of Petals Could Be Advantageous.** The parallel petal losses within the buttercup family and many other lineages not only reflect the existence of similar selective pressures in different spatial and temporal dimensions, but also highlight the astonishing ability of plants to adjust their phenotypes in response to a changing environment. In flowering plants, it has long been postulated that presence of petals is beneficial because the showy and attractive organs can facilitate pollination (1). Indeed, the reason why some groups of flowering plants (such as the Orchidaceae, Zingiberales, Lamiales, and so forth) are extremely rich in species diversity is that they have evolved conspicuous and specialized petals or petal-like structures (1, 2, 19). However, petal loss may have also been advantageous, at least in certain circumstances, as is evidenced by the occurrence of apetalous species in many lineages. In addition, in the Ranunculaceae and many other families, sepalas or sometimes bracts are large, showy, and attractive, whereas petals are relatively small in size and inconspicuous in appearance (18, 20). Therefore, loss of petals would unlikely change the general display of the flower. Rather, because the formation of petals and nectaries requires energy, having petals may not be advantageous when resources become limited and pollinators are not specialized. Actually, many Ranunculaceae species have evolved rather generalized pollination systems, in which open flowers can use pollen as a reward.
Materials and Methods

Gene Isolation and Confirmation. For each species (details in Table S2), total RNA was extracted from floral buds at various developmental stages using PureLink Plant RNA Reagent (Invitrogen), and then reverse-transcribed into cDNA with the SuperScript III first-strand cDNA synthesis kit (Invitrogen). AP3-like genes were amplified by two rounds of conventional RT-PCR with degenerate forward primers and a reverse adaptor primer (Tables S1 and S3). Amplified fragments of expected lengths were then purified and cloned into pEASY-T3 cloning vector (TransGen). At least 20 positive clones were sequenced for each gene, and the sequences were confirmed by BLAST searches and phylogenetic analysis as described (16) (Fig. 57). To obtain the genomic DNA of the AP3-2 orthologs, gene-specific primers were designed, and a PCR-based genome walking method was performed (Takara). Full-length fragments of each gene were assembled by ContigExpress and confirmed by PCR-based sequencing.

qRT-PCR. Total RNAs were isolated from leaves, inflorescences (including floral buds at different developmental stages), and individual floral organs at the nearly-mature stages. MRNAS were first purified from total RNAs with Oligotex mRNA kit (Qiagen) and then reverse-transcribed into cDNA as above described. Amplification efficiency of the primer combinations (Tables S1 and S3) for each gene was determined by comparing the standard curves, and the best combinations were used for further qRT-PCR, which was performed using PrimerScript RT reagent kit (Perfect Real Time) (Takara) in a Stratagene MX3000P. All reactions were run with three biological replicates (except for the Leptopus petals, which are very tiny and difficult to collect) and three technical replicates. Relative gene expression values were first normalized as amplified house-keeping gene, ACTIN, and then renormalized to the expression of AP2-1 in stamens (38). Previous (7, 24, 39) and our own observations have found that the expression of the AP3-1 orthologs in stamens are generally comparable between species.

In situ Hybridization. Floral buds at various developmental stages were fixed in 4% (wt/vol) paraformaldehyde and embedded in Paraplast (Sigma). A fragment spanning the C-terminal end of the coding region and the 3' UTR was used as template for synthesis of sense and antisense digoxigenin-labeled RNA probes with the DIG RNA labeling kit (Roche) (Tables S1 and S3). Treatments of the sections (8–10 μm) were performed as described (40), with several modifications depending on species. Images were captured with a Zeiss Axio imaging microscope.

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