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Fluid Retention Associated with Imatinib Treatment in Patients with Gastrointestinal Stromal Tumor: Quantitative Radiologic Assessment and Implications for Management

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Objective: We aimed to describe radiologic signs and time-course of imatinib-associated fluid retention (FR) in patients with gastrointestinal stromal tumor (GIST), and its implications for management.

Materials and Methods: In this Institutional Review Board-approved, retrospective study of 403 patients with GIST treated with imatinib, 15 patients with imaging findings of FR were identified by screening radiology reports, followed by manual confirmation. Subcutaneous edema, ascites, pleural effusion, and pericardial effusion were graded on a four-point scale on CT scans; total score was the sum of these four scores.

Results: The most common radiologic sign of FR was subcutaneous edema (15/15, 100%), followed by ascites (12/15, 80%), pleural effusion (11/15, 73%), and pericardial effusion (6/15, 40%) at the time of maximum FR. Two distinct types of FR were observed: 1) acute/progressive FR, characterized by acute aggravation of FR and rapid improvement after management, 2) intermittent/steady FR, characterized by occasional or persistent mild FR. Acute/progressive FR always occurred early after drug initiation/dose escalation (median 1.9 month, range 0.3–4.0 months), while intermittent/steady FR occurred at any time. Compared to intermittent/steady FR, acute/progressive FR was severe (median score, 5 vs. 2.5, *p* = 0.002), and often required drug-cessation/dose-reduction.

Conclusion: Two distinct types (acute/progressive and intermittent/steady FR) of imatinib-associated FR are observed and each type requires different management.

Index terms: Imatinib; Fluid retention; Subcutaneous edema; Ascites; Computed tomography

INTRODUCTION

The era of molecular targeted therapeutics (MTTs) began with the development of imatinib mesylate, first approved MTT for treatment of chronic myeloid leukemia in 2001 and for treatment of gastrointestinal stromal tumor (GIST) in 2003 (1). Over the last decade, the safety profile and adverse effects of imatinib have been well explored as well as its treatment efficacy. The adverse effects of imatinib include fluid retention (FR), hepatotoxicity, skin rash, fatigue, nausea/vomiting, and diarrhea. Fluid retention is a common adverse effect and one of the important dose limiting toxicities (2). Specifically, FR manifesting as edema
in periorbital regions, lower extremities and/or body were noted in 74–84% in phase II (3, 4) and phase III trials (5, 6), which was usually mild and manageable. Severe FR resulting in generalized edema (anasarca), ascites, pleural or pericardial effusions was less frequently reported in 2.8–9% of GIST patients (4, 6), which often necessitates dose-reduction or drug-cessation.

As the indications and use of imatinib have expanded greatly, the prevalence of adverse effects has also been reported increasingly over the last decade. Nonetheless, the majority of radiology literature regarding GIST patients treated with imatinib has focused on treatment response and recurrence patterns, and the literature about the imaging characteristics of imatinib-associated FR is very limited (7, 8). This becomes important as imaging findings of FR are sometimes misinterpreted as findings of peritoneal metastatic disease. Therefore, we aimed to systematically describe the imaging characteristics of FR in GIST patients treated with imatinib over time and its implications for management.

MATERIALS AND METHODS

Patients

This study was approved by the Institutional Review Board and the requirement for informed consent was waived. Search from our Research Patient Data Registry, which pools clinical data from hospital billing and electronic medical record systems, revealed 403 patients with GIST who were treated with imatinib from January 2006 through December 2012. The radiology reports of body CT of these patients during the course of imatinib treatment were then queried for terms indicating presence of FR, such as “edema”, “anasarca”, “stranding”, “swelling”, “fluid”, “retention”, “ascites”, or “effusion”, followed by manual confirmation by review of the imaging study by a fellowship-trained oncoradiologist. This search yielded 36 patients who had one or more of the following: subcutaneous edema, anasarca, ascites, pleural or pericardial effusions. During the image review of serial computed tomography (CT) scans of these 36 patients, 21 patients who showed signs of FR at baseline CT before starting imatinib treatment from other potential causes such as post-operative FR (n = 16), renal dysfunction (n = 1), heart failure due to atrial fibrillation (n = 1), and widespread peritoneal metastatic disease with ascites and/or pleural effusion (n = 3) were excluded. Finally, 15 patients (mean age 66.7 ± 18.1 years, 6 men and 9 women) with GIST, in whom radiologic signs of FR developed during imatinib treatment, were included in this study.

Imatinib was orally administered daily. Generally, the standard initial dose was 400 mg/day and high dose of 800 mg/day imatinib was applied to patients with exon 9 mutant GISTs. Dose adjustment was performed during the treatment course according to the clinical need. All included patients underwent contrast-enhanced CT of the chest, abdomen, and pelvis at treatment initiation (baseline CT). The patients were followed up with contrast-enhanced CT every 2–6 months (follow-up CT).

CT Acquisition

CT scans of the abdomen/pelvis and/or chest were performed by using a 64-row multidetector CT (MDCT) scanner (Aquilion 64; Toshiba America Medical Systems, Tustin, CA, USA). The CT protocols are as follows: 64-row MDCT scanner at 0.5 mm collimation, 120 kVp, tube current maximum of 500 mA using dose modulation with noise index of 12.5 Hounsfield units, 0.5 seconds gantry rotation time, and a table speed of 26.5 mm per rotation. One hundred milliliters of iopromide (Ultravist 300; Bayer HealthCare, San Francisco, CA, USA) were injected intravenously at a rate of 2–3 mL/s, with a scan delay of 60 seconds. Oral contrast (Gastrografin, Bracco Diagnostics, Princeton, NJ, USA) was administrated prior to the CT scans. Axial images with 5 mm thickness and coronal images with 4 mm thickness were reconstructed using standard abdominal algorithms.

Imaging Analysis and Quantification

All CT scans of the included 15 patients performed at baseline and during the course of imatinib treatment were evaluated in consensus by two radiologists (with 13 and 8 years of experience) for the presence of radiologic signs of FR. Imaging findings were analyzed for the presence of four components, including subcutaneous edema, anasarca, ascites, pleural or pericardial effusions. During the image review of serial computed tomography (CT) scans of these 36 patients, 21 patients who showed signs of FR at baseline CT before starting imatinib treatment from other potential causes such as post-operative FR (n = 16), renal dysfunction (n = 1), heart failure due to atrial fibrillation (n = 1), and widespread peritoneal metastatic disease with ascites and/or pleural effusion (n = 3) were excluded. Finally, 15 patients (mean age 66.7 ± 18.1 years, 6 men and 9 women) with GIST, in whom radiologic signs of FR developed during imatinib treatment, were included in this study.

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1) “Subcutaneous edema” was graded according to the extent of subcutaneous fat stranding and/or the presence of a measurable subcutaneous fluid collection, as mild (less than half of abdominal wall, without fluid collection),
moderate (more than half of abdominal wall, without fluid collection), or severe (circumferential involvement of full-thickness of abdominal wall, with areas of fluid collection/dispersion) (Fig. 1). Localized subcutaneous fat stranding along the paraspinal muscles posteriorly was not regarded as FR, because it may be secondary to posturing or dependent changes.

2) “Ascites” was graded as mild (few small collections of fluid confined to the pelvic cavity or dependent areas, with largest dimension ≤ 3 cm), moderate (multiple collections or diffuse dispersion of free fluid, with smallest dimension > 3 cm), and severe (large volume generalized fluid in whole abdominopelvic cavity, with floating bowel) (9).

3) “Pleural effusion” was graded according to the ratio of anteroposterior height of fluid collection to anteroposterior diameter of pleural cavity on axial CT, as mild (≤ 25%), moderate (> 25%, ≤ 50%), and severe (> 50%). In cases of bilateral pleural effusions, the side of the larger effusion was graded (9).

4) “Pericardial effusion” was graded according to the maximal thickness of pericardial fluid on axial or coronal CT, as mild (> 0.5 cm, ≤ 1 cm), moderate (> 1 cm, ≤ 1.5 cm), and severe (> 1.5 cm) (10).

Then, the total score of radiologic FR for each patient was calculated by summing up the scores of each of the four radiologic sign of FR. In this study, “maximum FR” refers to a condition when a patient’s total score of radiologic FR is highest during imatinib treatment.

Medical Records Review

Clinical data regarding patient demographics, the setting of imatinib treatment (neoadjuvant, adjuvant, or metastatic), imatinib dosage, relevant concomitant medications, and clinical signs/symptoms of FR were
recorded during review of the medical records for all 15 patients. Laboratory data at baseline and during follow-up were recorded. The presence of an underlying cardiac comorbidity, such as congestive heart failure, was also reviewed. Note was also made of any medical events at the time of maximum FR, which may have exacerbated the FR (i.e., hypoalbuminemia, renal dysfunction, cardiac disease, and infection). The management of FR were noted, including permanent drug-cessation, temporary withholding of the drug, dose-reduction, and diuretic use.

Statistical Analysis

Ordinal scoring system to measure the severity of the four radiologic signs of FR was used for quantitative analysis. First, we plotted the scores of the radiologic signs of FR over time during imatinib treatment. The patterns of radiologic FR were evaluated initially by visual inspection in consensus of two reviewers. Subsequently, two distinct types of FR were categorized by the following quantitative criteria, as described in the results section. Second, the difference in patient demographics, characteristics of radiologic FR and clinical management of FR between two groups of patients with distinct types of FR was evaluated. The unpaired Student t test was used to compare patient’s age, Mann-Whitney U test was used to compare median scores of radiologic FR, and Fisher’s exact test or chi-square test was used to compare the categorical data. The statistical analyses were performed using GraphPad Prism Version 6 (GraphPad Prism Software Inc., La Jolla, CA, USA). All two-sided p values less than 0.05 were considered to indicate a statistically significant difference.

Table 1. Clinical Characteristics and Radiologic Findings of All Patients

<table>
<thead>
<tr>
<th>No</th>
<th>Age/Sex</th>
<th>Clinical Setting</th>
<th>Clinical Signs or Symptoms of FR</th>
<th>Imatinib Dose (mg)</th>
<th>Total Score</th>
<th>Time to Maximum FR* (Months)</th>
<th>Response</th>
<th>Management of FR</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>47/F</td>
<td>Metastatic</td>
<td>Anasarca, POE, LEE</td>
<td>800</td>
<td>7</td>
<td>1.3</td>
<td>PR</td>
<td>Imatinib cessation</td>
</tr>
<tr>
<td>2</td>
<td>29/M</td>
<td>Metastatic</td>
<td>Anasarca, LEE, scrotal edema</td>
<td>600</td>
<td>5</td>
<td>0.3</td>
<td>PR</td>
<td>Imatinib cessation (reinitiation 6 month later with reduced dose)</td>
</tr>
<tr>
<td>3</td>
<td>51/F</td>
<td>Adjuvant</td>
<td>Dyspnea, chest pain, LEE</td>
<td>400</td>
<td>6</td>
<td>1.3</td>
<td>No recur</td>
<td>Pericardiocentesis, dose-reduction, and diuretics use</td>
</tr>
<tr>
<td>4</td>
<td>81/M</td>
<td>Metastatic</td>
<td>LEE, scrotal edema</td>
<td>800</td>
<td>5</td>
<td>1.9</td>
<td>SD</td>
<td>Temporary drug withholding, diuretics use, and dose-reduction</td>
</tr>
<tr>
<td>5</td>
<td>88/M</td>
<td>Metastatic</td>
<td>POE, LEE</td>
<td>400</td>
<td>4</td>
<td>4.0</td>
<td>PR</td>
<td>Continued current dose with diuretics use</td>
</tr>
<tr>
<td>6</td>
<td>71/F</td>
<td>Neoadjuvant</td>
<td>POE, LEE</td>
<td>800</td>
<td>7</td>
<td>1.9</td>
<td>SD</td>
<td>Imatinib cessation</td>
</tr>
<tr>
<td>7</td>
<td>39/F</td>
<td>Metastatic</td>
<td>LEE</td>
<td>800</td>
<td>4</td>
<td>1.0</td>
<td>SD</td>
<td>Continued current dose with diuretics use</td>
</tr>
<tr>
<td>8</td>
<td>81/F</td>
<td>Metastatic</td>
<td>POE, LEE, dyspnea, weight gain</td>
<td>800</td>
<td>7</td>
<td>3.3</td>
<td>PR</td>
<td>Temporary drug withholding, diuretics use, and dose-reduction</td>
</tr>
<tr>
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<td>68/F</td>
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<td>POE, LEE</td>
<td>600</td>
<td>4</td>
<td>2</td>
<td>PR</td>
<td>Diuretics use and dose-reduction</td>
</tr>
<tr>
<td>10</td>
<td>80/F</td>
<td>Adjuvant</td>
<td>POE, LEE</td>
<td>400</td>
<td>3</td>
<td>10.5</td>
<td>No recur</td>
<td>Continued current dose</td>
</tr>
<tr>
<td>11</td>
<td>67/M</td>
<td>Metastatic</td>
<td>POE, LEE, scrotal edema</td>
<td>800</td>
<td>3</td>
<td>0.3</td>
<td>SD</td>
<td>Continued current dose</td>
</tr>
<tr>
<td>12</td>
<td>82/M</td>
<td>Neoadjuvant</td>
<td>POE, LEE</td>
<td>400</td>
<td>4</td>
<td>23.6</td>
<td>PR</td>
<td>Continued current dose with diuretics use</td>
</tr>
<tr>
<td>13</td>
<td>79/F</td>
<td>Metastatic</td>
<td>LEE</td>
<td>400</td>
<td>2</td>
<td>3.0</td>
<td>PR</td>
<td>Continued current dose</td>
</tr>
<tr>
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<td>81/F</td>
<td>Metastatic</td>
<td>POE</td>
<td>400</td>
<td>1</td>
<td>2.3</td>
<td>SD</td>
<td>Continued current dose</td>
</tr>
<tr>
<td>15</td>
<td>56/M</td>
<td>Metastatic</td>
<td>POE</td>
<td>600</td>
<td>2</td>
<td>2.7</td>
<td>PR</td>
<td>Continued current dose</td>
</tr>
</tbody>
</table>

Note.— *Maximum radiologic FR refers to condition at highest total score of FR, †Time from drug initiation or latest drug escalation. FR = fluid retention, LEE = lower extremity edema, POE = periorbital edema, PR = partial response, SD = stable disease
Fig. 2. Time-course of radiologic signs of fluid retention (FR) of all patients. Vertical axis represents total score and each score of radiologic signs of FR and transverse axis represents time from imatinib initiation. Nine patients (cases 1–9) show acute/progressive FR, while six patients (cases 10–15) show only intermittent/steady FR, with mild persistent FR or occasional episode of mild FR. AS = ascites, PC = pericardial effusion, PL = pleural effusion, SE = subcutaneous edema.
Imatinib Associated Fluid Retention

RESULTS

Radiologic Evaluation and Quantification of FR

The clinical and radiologic features of all patients are summarized in Table 1. On the plot of scores of radiologic signs of FR over time during imatinib treatment (Fig. 2), the FR was categorized into two distinct types, acute/progressive FR and intermittent/steady FR, based on the following quantitative criteria: 1) the slope of the plot between recent drug initiation/dose change to the highest point $\geq 1$ score/month, and 2) the highest total score of radiologic FR $\geq 4$. Acute/progressive FR was defined with the two criteria were met simultaneously. The first type, acute/progressive FR, is characterized by a relatively acute aggravation of FR and rapid improvement after management, observed in 9 patients (cases 1–9, referred to group 1). The second type, intermittent/steady FR, is characterized by occasional or persistent mild FR, observed in 6 patients (cases 10–15, referred to group 2).

Patient demographics, characteristics of radiologic FR, and management of FR in groups 1 and 2 are summarized in Table 2. In group 1, the acute/progressive FR always occurred early after drug initiation/dose escalation (9/9, 100%), with median time to maximum FR from drug initiation/dose escalation of 1.9 months (range 0.3–4.0 months) (Fig. 3). In group 2, an episode of mild FR (total score $\geq 2$) occurred at any time during imatinib treatment, including during stable imatinib dosing (n = 4, 67%) and early after imatinib initiation or dose escalation (n = 2, 33%). Acute/progressive FR had higher total score compared to intermittent/steady FR (median score, 5 vs. 2.5, $p = 0.002$).

The proportions of signs of radiologic FR are illustrated in Figure 4. In all patients, the most common imaging manifestation of FR was subcutaneous edema (15/15, 100%), followed by ascites (12/15, 80%), pleural effusion (11/15, 73%), and pericardial effusion (6/15, 40%) at the time of maximum FR. Subcutaneous edema occurred in almost all patients, while ascites, pleural effusion, and pericardial effusion occur mostly in patients with acute/progressive FR. These results indicate that subcutaneous edema is the most common and most sensitive radiologic sign of FR.

Peritoneal metastases were found in 7 patients (cases 1, 2, 4, 7–9, 11). In these 7 patients, ascites was noted at...
the time of maximum FR when the metastatic disease was otherwise stable or improving. In addition, subcutaneous edema and/or pleural or pericardial effusion occurred, as ascites did (Fig. 5), and the ascites resolved or improved after management of FR in all of these 7 patients. These observations may suggest that the ascites was part of imatinib-associated FR rather related to peritoneal metastases.

**Clinical Features**

The common clinical signs/symptoms in our series were periorbital edema and lower extremity edema. Less frequently, scrotal edema was present (3/15, 33%). One patient (case 3) had a moderate pericardial effusion which required pericardiocentesis and imatinib dose-reduction. However, echocardiography performed at baseline and at the time of maximum FR showed normal cardiac function, which may mean that FR was not related to the cardiotoxicity in all patients.

In our series, the imatinib dose at the time of maximum FR was 400 mg (n = 6, 40%), 600 mg (n = 3, 20%), and 800 mg (n = 6, 40%), and showed moderate correlation with total score of radiologic FR (Pearson correlation coefficient $r = 0.526$) (Fig. 6A). According to severity of FR and patient’s tolerance, five levels of management were performed as follows: continuing current dose with close monitoring (level 1), continuing the current imatinib dose with diuretic use (level 2), dose-reduction and/or diuretic use (level 3), temporary drug withholding with reinitiating at a lower dose (level 4), and permanent imatinib cessation (level 5). Strong positive correlation ($r = 0.879$) between the level of management and total score of radiologic FR was observed on scatterplot (Fig. 6B).

Aggressive management, drug-cessation/dose-reduction
(level 3–5), was generally required in cases with a score of 5 or higher; while conservative management and continuing current dose and/or diuretics (level 1, 2) were generally required in cases with a score of 4 or lower. Moderate to severe FR requiring aggressive management (level 3–5) occurred more frequently in cases with high imatinib dose 600–800 mg (6/15, 40%) compared with doses of 400 mg (1/15, 7%). Differences in the management of FR between groups 1 and 2 were also observed (Table 2). Aggressive management (level 3–5) was performed in the majority of patients in group 1 (7/9, 78%), while all patients in group 2 were treated with conservative management (level 1, 2).

**DISCUSSION**

Clinical manifestations of imatinib-associated FR have been described generally as mild, but occasionally severe (grade 3–4, according to the Common Terminology Criteria for Adverse Events [CTCAE] of National Cancer Institute) (11) with anasarca, ascites, pleural effusion, and pericardial effusion; and symptomatic FR can occur early in imatinib therapy and diminish over time with management (1, 12). These clinical features of FR are concordant with time-course and radiologic signs of FR in our series, which illustrates the serial changes of FR detailed with quantitative scoring system. In our study, two distinct types or courses of FR were observed as follows: 1) acute/progressive FR occurred early after drug initiation or dose escalation which improved after clinical management, and 2) intermittent/steady FR with mild, occasional or persistent FR.

Currently, clinical trials and oncologists in routine practice use the CTCAE to grade the severity of adverse events. CTCAE criteria are generally designed to identify acute toxicity of a particular agent, and the evaluation is often based on the severity and level of intervention needed (11). Due to the subjective and qualitative nature of CTCAE criteria, hospitals and individual practitioners may use different criteria based on their own previous experiences and their patients’ expectations (12). In addition to the clinical assessment of FR, radiologic evaluation using a scoring system may be a good complementary method to assess the patient’s condition in an objective and quantitative way. Indeed, strong correlation between the total score of radiologic FR and the management level of FR ($r = 0.879$) in our study, may indicate that quantitative radiologic evaluation of FR may be helpful to determine the appropriate level of management.

The detailed quantitative illustration of the time-course and types of radiologic FR provides several noteworthy points of interest. First of all, the acute/progressive
FR early after drug initiation or dose escalation, the reversibility of the retention after drug-cessation/dose-reduction, and the moderate dose-severity correlation suggest that FR might occur when the pharmacologic effect exceeds the compensation capability of human body, reflecting a degree of intolerance (13, 14). Even during the period of intermittent/steady FR, the drug effect to cause FR is continually balanced by compensation mechanism of human body. These pharmacokinetic/pharmacodynamic characteristics of imatinib are influenced by many factors such as age, sex, body weight, pharmacogenetic characteristics, imatinib dose, interaction with concomitant medications, and comorbidities such as heart disease, renal dysfunction, anemia or hypoaalbuminemia (1, 15, 16). Indeed, FR was aggravated in patients on a stable imatinib dose, probably related with predisposing acute medical events in two patients (case 11, 12).

In our study, echocardiography performed at baseline and at the time of maximum FR showed normal cardiac contractile function supports the notion that FR in our series is not related to the cardiotoxicity of imatinib. Although a report that imatinib was associated with the development of severe heart failure alarmed the oncology community (17), cardiotoxicity associated with imatinib is nowadays thought to be rare. The estimated incidence of cardiotoxicity evidenced by new onset heart failure or left ventricular dysfunction is very uncommon, 0.2% per year, and similar to the incidence in the general population (2, 18, 19).

The fact that subcutaneous edema was the most common sign of FR in all patients while ascites, pleural effusion, and pericardial effusion occurred significantly in acute/progressive FR only, may suggest the imatinib-specific pharmacological mechanism of action works on the subcutaneous fluid distribution. The mechanism of the FR, however, remains unclear. Even though the most popular theory is that imatinib inhibits the platelet-derived growth factor receptor (PDGFR) which regulates interstitial fluid homeostasis in dermal layer (20, 21), nilotinib, which also inhibits PDGFR in similar way to imatinib, does not cause FR. Dasatinib, which is also an ABL inhibitor like imatinib, specifically causes pleural and pericardial effusion without subcutaneous edema, through its preferential action on the Src kinases within the pleural and pericardial mesothelial cells (22). The mechanism of imatinib-associated FR which predominantly occurs in the form of subcutaneous edema is unknown but may be due to drug-specific mechanism of action.

Knowledge about the time-course and types of radiologic FR would be very helpful to interpret CT images of patients treated with imatinib. Any new ascites or pleural effusion should not be mistakenly interpreted as a sign of peritoneal metastases or worsening tumor, especially when tumor elsewhere is stable or improving. The presence of concomitant subcutaneous edema and its interval change should be evaluated, and history of recent dose change or recent acute medical condition is also quite helpful. Furthermore, careful attention of the interval increase in subcutaneous edema and other signs of FR and prompt notification of the treating oncologist will enormously enhance the quality of patient care. For best patient care in the era of molecular targeted therapeutic agents, a multidisciplinary approach with close collaboration between oncologists and radiologists is essential to assess treatment response as well as toxicity of MTTs. Radiologists should be familiar with the clinical and radiological characteristics as well as the overall approach of diagnosis and management of imatinib-associated FR.

This study has several limitations. The number of patients was small, partly due to the rarity of symptomatic FR and partly due to the retrospective nature of the study based on search terms. In clinical practice, CT scan at the time of FR may be performed selectively only for clinically severe FR, which may raise the issue of selection bias and underestimation of incidence of the mild FR on imaging. True incidence of the radiologic signs of FR has not been previously examined and could not be evaluated in our study due to its retrospective nature.

In summary, regarding the types and time-course of FR, two distinct types were observed, including an acute/progressive FR usually requiring aggressive management and a intermittent/steady FR with mild fluctuation generally requiring conservative management. Acute/progressive FR generally occurs following treatment initiation or dose-escalation. Regarding the radiologic signs of FR, subcutaneous edema is the most common and earliest finding, while ascites, pleural effusion, and pericardial effusion occur mostly in acute/progressive FR.

REFERENCES

Imatinib Associated Fluid Retention