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Identification of proteomic biomarkers predicting prostate cancer aggressiveness and lethality despite biopsy-sampling error

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Background: Key challenges of biopsy-based determination of prostate cancer aggressiveness include tumour heterogeneity, biopsy-sampling error, and variations in biopsy interpretation. The resulting uncertainty in risk assessment leads to significant overtreatment, with associated costs and morbidity. We developed a performance-based strategy to identify protein biomarkers predictive of prostate cancer aggressiveness and lethality regardless of biopsy-sampling variation.

Methods: Prostatectomy samples from a large patient cohort with long follow-up were blindly assessed by expert pathologists who identified the tissue regions with the highest and lowest Gleason grade from each patient. To simulate biopsy-sampling error, a core from a high- and a low-Gleason area from each patient sample was used to generate a ‘high’ and a ‘low’ tumour microarray, respectively.

Results: Using a quantitative proteomics approach, we identified from 160 candidates 12 biomarkers that predicted prostate cancer aggressiveness (surgical Gleason and TNM stage) and lethal outcome robustly in both high- and low-Gleason areas. Conversely, a previously reported lethal outcome-predictive marker signature for prostatectomy tissue was unable to perform under circumstances of maximal sampling error.

Conclusions: Our results have important implications for cancer biomarker discovery in general and development of a sampling error-resistant clinical biopsy test for prediction of prostate cancer aggressiveness.

Prostate cancer accounts for 27% of incident cancer diagnosed in men in the USA. The American Cancer Society estimates that, nationally, 233 000 new diagnoses of prostate cancer will be made in 2014 (Siegel et al., 2014). Of all men newly diagnosed with prostate cancer, only about one in seven will progress to metastatic disease over a lifetime, whereas approximately half of them will have localised disease that has a very low risk of progression (Carter et al., 2012; Siegel et al., 2014). Despite this low risk, as many as 90% of these low-risk prostate cancer patients in the United States undergo radical treatment, usually radical prostatectomy (RP) or ablative radiation therapy (Cooperberg et al., 2010). Such treatments for low-risk patients
may be excessive and often result in long-term adverse events, including urinary incontinence and erectile and bowel dysfunction (Wilt et al, 2008; Moyer and U.S. Preventive Services Task Force, 2012; Loeb et al, 2014).

Current guidance and accepted standards of care for the diagnosis and management of prostate cancer recommend the use of clinical and pathological parameters to assess the disease grade and stage on biopsy (NCCN, 2012a; NCCN, 2012b). Pathological evaluation of tissue obtained by needle biopsy is essential both to confirm a prostate cancer diagnosis and to grade the cancer. Tumour grade, as determined by the biopsy Gleason score (GS) is the most important predictor of outcome, and considered the most informative parameter for guiding management decisions. The GS is comprised of two Gleason patterns, with the more prevalent pattern specified first. The two are summed to determine the GS. According to a 2005 consensus on Gleason scoring, only three patterns (3, 4, and 5) are typically recognised on biopsy (Epstein et al, 2005). The accepted prognostic categories of GS are 3 + 3 = 6, 3 + 4 = 7, 4 + 3 = 7, 8, and 9–10. Importantly, although 3 + 4 = 7 and 4 + 3 = 7 have equivalent Gleason sums, the latter has significantly worse prognosis based on a higher amount of pattern 4 (Goodman et al, 2012; Reese et al, 2012). Approximately 80–85% of all prostate cancer biopsies have a GS of 3 + 3 = 6 or 3 + 4 = 7, representing a spectrum of cases with low to intermediate to high risk of progression (Epstein et al, 2012). Patients deemed to have indolent disease are candidates for active surveillance (AS) (Mohler et al, 2012; NCCN, 2012a; NCCN, 2012b). However, current methods of biopsy evaluation are often unable to place individual patients accurately along this spectrum (Cooperberg et al, 2010; Epstein et al, 2012).

Two recognised factors affect the accuracy of biopsy-based Gleason scoring: one is sampling variation (i.e., failing to sample the area with the highest Gleason grade), and the second is pathologist discordance in Gleason scoring (Porten et al, 2011; Corcoran et al, 2012; Epstein et al, 2012). Despite the current standard practice of multicore biopsy sampling, the most aggressive area of the tumour is frequently under-represented or over-represented (Corcoran et al, 2012; Bjurlin and Taneja, 2014). Indeed, 25–50% of cases of prostate cancer need to be either upgraded or downgraded from their initial biopsy score to a more accurate surgical GS after analysis and grading of prostatectomy tissue (Kvale et al, 2009; Davies et al, 2011; Epstein et al, 2012). Discordance between pathologists in Gleason grading can be as high as 30%, adding significantly to the difficulty of ensuring uniform and accurate prognostication (McKenney et al, 2011; Goodman et al, 2012).

Several clinical and pathological risk stratification systems have been developed to improve prediction of prostate cancer aggressiveness, including the D’Amico classification system, the Cancer of the Prostate Risk Assessment score, and the National Comprehensive Cancer Network guidelines (Cooperberg et al, 2005; D’Amico et al, 1998; NCCN, 2012a; Vellekoop et al, 2014). In addition, clinical nomogram systems such as the widely adopted systems described by Kattan and Steyerberg have been developed to predict indolent prostate cancer and prostate cancer outcome (Steyerberg et al, 2007). All such systems recognise the biopsy GS as the single most powerful variable in risk assessment. Importantly, all of the risk stratification systems used to guide clinical management depend on effective and consistent Gleason scoring and are therefore vulnerable to sampling variation and discordant scoring by pathologists.

Enhanced biopsy strategies have been proposed as one means to overcome sampling variation and errors. Among these, increasing the number or density of sampled cores might ensure more representative capture of tumour tissue. However, this could potentially increase the risk of adverse events from oversampling, and there is little evidence that it improves pathological classification (Eichler et al, 2006; Delongchamps et al, 2009).

There has also been interest in novel forms of image-guided biopsy. Currently, MRI-guided biopsy appears to improve detection of aggressive cancers, but long-term studies will be needed to determine whether it can improve patient selection for AS (Robertson et al, 2013).

Using a quantitative multiplex proteomics in situ imaging system, which enables accurate biomarker measurements from the intact tumour epithelium (Shipitsin et al, 2014), we here report the identification and evaluation of 12 biomarkers that are able to predict prostate cancer aggressiveness and lethal outcome. Importantly, the markers were specifically selected to be robust to sampling error. The study was designed to simulate biased biopsy-sampling error based on coring from areas of high and low GS on prostatectomy tissue from each patient to generate ‘high’ (H) and ‘low’ (L) tissue microarrays (TMAs), respectively. Biomarkers were then selected based on their ability to reflect true prostate pathology and lethal outcome, regardless of whether they were measured on cores with high or low GS. This performance-based approach not only identified novel biomarkers, but also confirmed known biomarkers predictive of prostate cancer aggressiveness and lethal outcome.

MATERIALS AND METHODS

Reagents and antibodies. All antibodies and reagents used in this study were procured from commercially available sources as described in Supplementary Table S4. Anti-fluorescein isothiocyanate MAb-Alexa 568, anti-CK8–Alexa 488, anti-CK18–Alexa 488, anti-CK5–Alexa 555, and anti-Trim29–Alexa 555 were conjugated with Alexa dyes using the appropriate protein conjugation kits (Life Technologies, Grand Island, NY, USA).

Slide processing and staining protocol. From TMA blocks, 5-μm sections were cut, placed on Histogrip (Life Technologies)-coated slides, and processed as described previously (Supplementary Materials). Briefly, after deparaffinisation, antigen retrieval was performed in 0.05% citraconic anhydride solution for 45 min at 95°C using a Lab Vision PT module (Thermo Scientific, Waltham, MA, USA). Staining was performed either manually or in automated fashion with an Autostainer 360 or 720 (Thermo Scientific).

The quantitative multiplex immunofluorescence (QMIF) staining procedure that combined two anti-biomarker antibodies with region-of-interest markers was performed as previously described (see Supplementary Materials and Methods).

Acquisition, processing, quality control, and annotation of FFPE prostate cancer tissue blocks. A set of FFPE human prostate cancer tissue blocks with clinical annotations and long-term patient outcome information was acquired from Folio Biosciences (Powell, OH, USA). Information about prostate cancer-specific deaths was obtained from either patient records or death certificates. Samples had been collected with appropriate institutional review board approval and all patient records were de-identified. For evaluation of candidate biomarker antibodies, FFPE human prostate cancer tissue blocks with limited clinical annotation were acquired from other commercial sources.

A series of 5-μm sections was cut from each FFPE block. For annotation, a 5-μm section that was the last to be cut from each FFPE block was stained with haematoxylin and eosin and scanned using a ScanScope XT system (Aperio, Buffalo Grove, IL, USA). The scanned images were remotely reviewed and annotated for GS in a blinded manner by expert clinical board-certified anatomical pathologists. Circles corresponding to 1-mm diameter cores were placed over four areas of highest and two areas of lowest Gleason patterns (see Figure 1, top).
**Generation of TMA blocks.** TMA blocks were prepared using a modified agarose block procedure (Yan et al., 2007). See Supplementary Materials for further details.

**Biomarker selection.** To identify biomarkers for prostate cancer aggressiveness, we developed a selection and evaluation process that could be broadly applicable across diseases and conditions. The process, shown in Figure 2, had biological, technical, performance, and validation stages. See Supplementary Methods for further details.

**Image acquisition.** Two Vectra Intelligent Slide Analysis Systems (PerkinElmer, Waltham, MA, USA) were used for automated image acquisition as described (Supplementary Materials and Methods). Multispectral images were processed into images for each separate fluorophore signal and sent for analysis with Definiens Developer script (Definiens AG, München, Germany).

**Definiens automated image analysis.** We developed an automated image analysis algorithm using Definiens Developer XD for tumour identification and biomarker quantification as described in Supplementary Materials.

**Data stratification and end points in the analysis.** Expression of 39 biomarkers was examined for correlation with tumour aggressiveness and lethality using the H and L TMAs. Disease aggressiveness was defined based on prostate pathology (aggressive disease = surgical Gleason ≥ 3 + 4 or T3b, N +, or M +). For aggressiveness analyses, we examined marker correlation based on measurements in both L TMA samples with core Gleason p3 +4 and the corresponding, matched H TMA samples.

For lethal outcome analyses, we created two different sample sets: (1) all cores with an observed GS p3 +4; and (2) all cores.

**Cohort composition.** Table 1a presents the cohort composition. Only those samples that had a complete set of clinical information were included. When performing an analysis using a certain set of biomarkers, only samples with values for those markers were considered. Hence, the numbers in the table are upper bounds.

**Univariate analysis of aggressiveness and lethality.** Our objectives for univariate analysis were two-fold: to characterise univariate behaviour as a performance assessment for potential inclusion in the final marker set, and to provide a reduced set of markers for exhaustive multivariable model exploration. See Supplementary Materials for details.

Figure 1. Creation of biopsy simulation tissue microarrays (TMAs). A tissue block from a prostatectomy sample was annotated with all visible Gleason patterns (top). The example shown is from a patient with an overall Gleason score (GS) of 4 + 3 = 7. As shown in a higher-magnification view (middle), patterns within the same block can be highly diverse. Two 1-mm cores were taken from each tissue block. One was taken from an area with the highest GS (4 + 4 = 8) and embedded into agarose/paraffin along with high-scoring cores from other blocks to create the H TMA (bottom left). The other was taken from an area with the lowest GS (3 + 3 = 6) and embedded into agarose/paraffin along with low-scoring cores from other blocks to create the L TMA (bottom right).

Figure 2. Biomarker selection strategy. Three types of criteria were used to select 12 final biomarkers. (DAB: Ab specificity assessed based on chromogenic tissue staining with diamino benzidine (DAB); IF: Ab specificity and performance based on immunofluorescent tissue staining).
Biomarker ranking for aggressiveness via exhaustive search of multimarker models. We sought to rank the biomarkers by importance in multimarker models; 31 biomarkers, refined from the original set of 39 to improve technical performance further, were used in an exhaustive biomarker search. We considered all combinations of up to five biomarkers from the 31 biomarkers tested in the L TMA in the H and L TMA analysis. See Supplementary Materials for further details.

Biomarker ranking for lethality via exhaustive search of multimarker models. The same model-building approach done for aggressiveness was followed for the biomarker ranking for prediction of lethality. Supplementary Table S3 shows frequency of biomarker utilisation (top 5%) for lethality.

RESULTS

Biopsy simulation. Our first goal was to develop a biopsy-sampling model to simulate and exaggerate the biopsy sample variation observed in clinical practice. For this purpose, we...
embedded cores from annotated prostatectomy tissue into TMAs. On the basis of centralised Gleason grading by expert urologic pathologists, a core was taken for each patient from the area with the least aggressive tumour (low GS) to generate a low-grade TMA (L TMA); in parallel, a core was taken from the area with the most aggressive tumour based on Gleason grading (high GS) to generate a high-grade TMA (H TMA) (Figure 1). Thus, we developed paired tissue TMAs with samples simulating biopsies biased in two directions, representing both more and less aggressive tumour areas from each patient.

Table 1a describes the clinical features for the multi-institution cohort of 380 patients for whom paired TMAs were prepared. Table 1b describes the subset of 301 cases with core Gleason of 3 or 4 on L TMA along with their corresponding core Gleason on H TMA and their surgical (prostatectomy) Gleason.

Sampling for the L TMA was specifically designed to underestimate disease severity. As shown in Tables 1a and b, 64.7% of L TMA samples had a core GS ≤ 6, whereas only 30% of these L TMA samples came from patients with a surgical GS ≤ 6. The probability of upgrade (Table 1b) for samples in the L TMA from cases with core GS of 3 or 4 to a higher surgical GS was 0.64 (95% Wilson confidence interval (CI): 0.59–0.69). This probability of upgrade is higher than that seen in clinical practice (Porten et al, 2004; True et al, 2006; Lapointe et al, 2007; Chevillet et al, 2008; Nakagawa et al, 2008; Graff et al, 2009; Makarov et al, 2009; Pressinotti et al, 2009; Gorlov et al, 2010; Taylor et al, 2010; Chen et al, 2011; Cima et al, 2011; Ding et al, 2011; Markert et al, 2011; Ross et al, 2011; Swanson and Quinn, 2011; Ding et al, 2012; Kristiansen, 2012). We further prioritised 120 of these markers based on availability of appropriate monoclonal antibodies (MBs) (see Supplementary Table S1 for a comprehensive biomarker candidate list). Our candidate list included well-characterised markers relevant for prostate cancer aggressiveness, such as EZH2, MTDH, FOXA1 (Hu et al, 2009; Yang and Yu, 2013; Mills, 2014), as well as the markers PTEN, SMAD4, Cyclin D1, SPP1, phospho-PRAS40-T246 (pPRAS40), and phospho-S6-Ser235/236 (pS6) previously identified as predictive of lethal outcome on prostatectomy tissue (Ding et al, 2011; Shipitsin et al, 2014).

We next procured MBs against these 120 prioritised candidates and tested them for specificity and suitability for the QMIF assay. Candidate MBs were further selected on the basis of signal intensity and specific immunofluorescence-staining patterns, as described (Shipitsin et al, 2014; Supplementary Materials). Candidate biomarker antibodies were selected based on signals that were more stable relative to those of epithelial markers.

Subsequently, we tested the 62 MBs that passed the previous steps and determined their dynamic range as well as their predictive performance. Using a small test TMA designed to represent the least aggressive areas from prostate tumours with high and low overall GSs, biomarkers were selected based on correlation of signal intensity with surgical GS. Specifically, we required minimally a three-fold difference of signals between lowest and highest expression values, in addition to the demonstrated difference in signal value distributions between nonaggressive and aggressive cases. The final 39 candidate MBs that fulfilled these criteria were tested on the clinical cohort represented by H and L TMA blocks described above.

Univariate analysis. Each of the 39 biomarkers was tested for their ability to predict disease aggressiveness (surgical GS ≥ 3 or 4 to a higher surgical GS was 0.64 (95% Wilson confidence interval (CI): 0.59–0.69). This probability of upgrade is higher than that seen in clinical practice (Porten et al, 2004; True et al, 2006; Lapointe et al, 2007; Chevillet et al, 2008; Nakagawa et al, 2008; Graff et al, 2009; Makarov et al, 2009; Pressinotti et al, 2009; Gorlov et al, 2010; Taylor et al, 2010; Chen et al, 2011; Cima et al, 2011; Ding et al, 2011; Markert et al, 2011; Ross et al, 2011; Swanson and Quinn, 2011; Ding et al, 2012; Kristiansen, 2012). We further prioritised 120 of these markers based on availability of appropriate monoclonal antibodies (MBs) (see Supplementary Table S1 for a comprehensive biomarker candidate list). Our candidate list included well-characterised markers relevant for prostate cancer aggressiveness, such as EZH2, MTDH, FOXA1 (Hu et al, 2009; Yang and Yu, 2013; Mills, 2014), as well as the markers PTEN, SMAD4, Cyclin D1, SPP1, phospho-PRAS40-T246 (pPRAS40), and phospho-S6-Ser235/236 (pS6) previously identified as predictive of lethal outcome on prostatectomy tissue (Ding et al, 2011; Shipitsin et al, 2014).

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Univariate analysis. Each of the 39 biomarkers was tested for their ability to predict disease aggressiveness (surgical GS ≥ 3 or 4

<table>
<thead>
<tr>
<th>Markers: PTEN, SMAD4, CCND1, SPP1</th>
<th>Mean AIC (2.5%, 97.5%)</th>
<th>Median train AUC (2.5%, 97.5%)</th>
<th>Median test AUC (2.5%, 97.5%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>H TMA lethal</td>
<td>282.2 (275.9, 293.2)</td>
<td>0.67 (0.64, 0.70)</td>
<td>0.65 (0.59, 0.74)</td>
</tr>
<tr>
<td>L TMA lethal</td>
<td>301.3 (298.6, 316.8)</td>
<td>0.6 (0.58, 0.63)</td>
<td>0.49 (0.42, 0.58)</td>
</tr>
<tr>
<td>H TMA aggressiveness</td>
<td>350.1 (330.4, 367.4)</td>
<td>0.62 (0.56, 0.68)</td>
<td>0.56 (0.44, 0.64)</td>
</tr>
<tr>
<td>L TMA aggressiveness</td>
<td>381.6 (353.0, 400.7)</td>
<td>0.61 (0.55, 0.68)</td>
<td>0.56 (0.46, 0.65)</td>
</tr>
</tbody>
</table>

Abbreviations: AIC = Akaike information criterion; ROC = receiver-operating characteristic curve; TMA = tissue microarray. The combination PTEN + SMAD4 + CCND1 + SPP1 has previously been shown to be prognostic for lethal outcome when measured on prostatectomy tissue. We confirmed that these markers are indeed predictive of lethal outcome when measured in the high-Gleason biopsy simulation tissue (H TMA). However, these markers are unable to predict lethality in the low-Gleason simulation biopsy (L TMA). The markers do not show statistically significant predictive performance for aggressive disease regardless of whether they were measured in high- (H TMA) or low- (L TMA)-Gleason tissue areas. C statistic, area under ROC curve.

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or pathological stage pT3b, and/or N+ or M+ and death from disease (survival analysis) when measured in either low- or high-Gleason areas (Figure 3). Markers shown in red text demonstrated predictive value \((P<0.1)\) for aggressive disease or death from prostate cancer based on either an increased or decreased expression in both low- and high-Gleason areas. This result suggests that these markers are resistant to varying degrees of sampling error. There were two markers that were predictive of aggressiveness and three markers of lethal outcome when measured in high, but not in low-Gleason areas, indicating that these markers are not robust to sampling error. Conversely, no markers were identified that had predictive performance only in low, but not in high-Gleason areas. Interestingly, out of the 14 markers with significant univariate performance for aggressiveness, 12 markers also exhibited significant univariate performance for lethal outcome, consistent with a strong correlation between aggressive disease and lethal outcome. Our performance-based biomarker selection approach also confirmed correlation between lethal outcome and expression of three known prostate cancer progression markers, EZH2, HoxB13, and MTDH2, as previously reported (Hu et al, 2009; Yang and Yu, 2013; Mills, 2014).

Multivariate analysis: biomarker combinations predicting tumour aggressiveness. To explore the best multivariate biomarker combinations to predict disease aggressiveness, we exhaustively searched all possible models with combinations up to and including five biomarkers (Figure 4A). We focused on 31 biomarkers, further refined from the original set of 39 based on technical criteria (see Materials and Methods). Initially, an ‘extreme’ model approach was used for the multivariate analysis, which included removal of ‘intermediate’ samples (GS = 3–4, ≤T3a and N0) for the model building and testing. We separated patient cores in the L TMA into independent training and test sets, and tested the resulting models on both L and H TMAs for aggressiveness.

Figure 3. Univariate performance of 39 biomarkers measured in both low- (L TMA; black bars) and high- (H TMA; brown bars) Gleason areas for disease aggressiveness and disease-specific mortality. (A) The odds ratio (OR) for predicting severe disease pathology (aggressiveness) was calculated for each marker. Markers with an OR to the left of the vertical line are negatively correlated with the severity of the disease as assessed by pathology. Those to the right of the line are positively correlated. The markers were ranked based on OR when measured in L TMA. (B) The hazard ratio for death from disease (lethality) was calculated for each marker and plotted as described for A. Biomarkers in red indicate statistical significance at the 0.1 level in both L and H TMAs. Biomarkers in blue indicate statistical significance in only H TMA, but not L TMA. Note the large overlap of biomarkers with statistically significant univariate performance for both aggressive disease and death from disease.
multivariate performance across sampling variation. For this purpose, we used logistic regression models to estimate biomarker coefficients using the training data set, estimated AUC from the resulting ROC in the testing set, and then repeated the process for another sampling.

In each case, the most frequently occurring biomarkers in the top 5 or 1% of the models, sorted by AIC (Akaike information criterion) (Lindsey and Jones, 1998) and test-set AUC, were determined. A final tally was generated encompassing ranking by test, ranking by AIC, and by both test and AIC (see Figure 4B for a representative example of a five-biomarker model ranked by AIC and test). Intriguingly, we observed a high degree of conservation of biomarker order in the top-performing biomarker models (see Figure 4C; Supplementary Table S2). The following biomarkers appeared among the top markers in at least 50% of the ranked lists: ACTN1, FUS, SMAD2, DERL1, YBX1, DEC1, pS6, HSPA9, HOXB13, PDSS2, SMAD4, and CD75. In addition, CUL2 was present in a number of highly ranked models (see Supplementary Table S2 for further details of the ranking results).

**Multivariate analysis: biomarkers predicting lethal outcome.** A similar modelling analysis to that applied to predict aggressiveness was performed for lethal outcome (Supplementary Table S3). Biomarkers appearing among top markers in at least 50% of the ranked lists included: MTDH2, ACTN1, COX6C, YBX1, SMAD2, DERL1, CD75, FUS, LMO7, PDSS2, FAK1, SMAD4, and DEC1 (see Supplementary Table S3 for further details of the ranking results).

**Final biomarker set predictive of prostate cancer aggressiveness and lethality.** We chose a final set of 12 biomarkers based on careful integration of univariate and multivariate performance, and analytical considerations, including minimally a three-fold

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**Figure 4. Performance-based biomarker selection process for disease aggressiveness.** (A) The bioinformatics workflow selected the most frequently utilised biomarkers from all combinations of up to five markers from a set of 31. (B) Example of performance of top-ranked five-marker models, including comparison with training on L TMA and then testing on independent samples from L TMA and H TMA. Note that the test performances on L TMA and H TMA are consistent, with substantial overlap in confidence intervals. (C) Combinations were generated allowing a maximum of three, four, or five biomarkers. The figure shows the proteins most frequently included when five-biomarker models were used to predict aggressive disease, ranked by test.
dynamic signal intensity range across tumour samples for all antibodies. Figure 5A shows the estimated odds ratios (ORs) associated with these 12 biomarkers for univariate prediction of aggressiveness, and Figure 5B provides a biological summary of the selected biomarkers. The final biomarker set was comprised of: FUS, PDSS2, DERL1, HSPA9, PLAG1, SMAD2, VDAC1, CUL2, YXB1, pS6, SMAD4, and ACTN1.

Each of the 12 marker antibodies was rigorously validated by specificity analyses including western blotting and an immunohistochemistry assay before and after target-specific knockdown, as shown in Supplementary Figure S1. Finally, we assessed the predictive potential of the final 12-biomarker set for both disease aggressiveness and disease-specific death on the entire patient cohort through logistic regression analyses as described (see Materials and Methods). As shown in Figure 5C, this resulted in an L TMA test AUC of 0.72 (95% CI: 0.64–0.79) and a corresponding OR for aggressive disease of 20 per 20 unit change in risk score (95% CI: 4.3–257). To confirm the ability

![Figure 5A](image)

**B**

<table>
<thead>
<tr>
<th>Marker abbreviation</th>
<th>Full name</th>
<th>Biological significance</th>
</tr>
</thead>
<tbody>
<tr>
<td>ACTN1</td>
<td>Actinin, Alpha 1</td>
<td>A bundling protein that anchors actin to a variety of intracellular structures</td>
</tr>
<tr>
<td>CUL2</td>
<td>Cullin 2</td>
<td>A component of E3 ubiquitin–protein ligase complex involved in ubiquitination of target proteins</td>
</tr>
<tr>
<td>DERL1</td>
<td>Derlin 1</td>
<td>A protein involved in endoplasmic reticulum degradation of misfolded lumen proteins</td>
</tr>
<tr>
<td>FUS</td>
<td>Fused in sarcoma</td>
<td>A component of the heterogeneous nuclear ribonucleoprotein complex involved in pre-mRNA splicing and the export of fully processed RNA into the cytoplasm</td>
</tr>
<tr>
<td>HSPA9 (Mortalin)</td>
<td>Heat Shock 70 kDa protein 9</td>
<td>A member of the heat shock protein 70 family involved in cell proliferation, stress response and the maintenance of the mitochondria</td>
</tr>
<tr>
<td>PDSS2</td>
<td>Prenyl (Decaprenyl) diphosphate synthase, subunit 2</td>
<td>An enzyme that synthesizes the prenyl side-chain of coenzyme Q, a key element in the respiratory chain</td>
</tr>
<tr>
<td>PLAG1</td>
<td>Pleiomorphic adenoma gene 1</td>
<td>A transcription factor involved in cell proliferation and transformation</td>
</tr>
<tr>
<td>pS6</td>
<td>Phospho-S6 ribosomal protein</td>
<td>A component of the 40S ribosome subunit involved in protein synthesis. Its phosphorylation reflects the PI3K and core MAPK pathway signaling activity</td>
</tr>
<tr>
<td>SMAD2</td>
<td>SMAD family member 2</td>
<td>A component of the transforming growth factor-β signaling pathway involved in the regulation of cell proliferation, apoptosis and differentiation</td>
</tr>
<tr>
<td>SMAD4</td>
<td>SMAD family member 4</td>
<td>A component of the transforming growth factor-β signaling pathway involved in the regulation of cell proliferation, apoptosis and differentiation</td>
</tr>
<tr>
<td>VDAC1</td>
<td>Voltage-dependent anion channel 1</td>
<td>A voltage-dependent anion channel protein in the outer membrane of the mitochondria</td>
</tr>
<tr>
<td>YXB1</td>
<td>Y Box-binding protein 1</td>
<td>A cytoplasmic mRNA-binding protein involved in pre-mRNA alternative splicing</td>
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<tr>
<th>Biomarker</th>
<th>Univariate aggressiveness</th>
<th>Univariate lethality</th>
<th>Multivariate aggressiveness</th>
<th>Multivariate lethality</th>
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**C**

<table>
<thead>
<tr>
<th>Test L TMA</th>
<th>Test H TMA</th>
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<tbody>
<tr>
<td>AUC aggressiveness (95% CI)</td>
<td>0.72 (0.64–0.79)</td>
</tr>
<tr>
<td>OR aggressiveness (95% CI)</td>
<td>20 (4.3–257)</td>
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<tr>
<td>AUC lethal outcome (95% CI)</td>
<td>0.72 (0.60–0.83)</td>
</tr>
<tr>
<td>HR lethal outcome (95% CI)</td>
<td>66 (5.1–6756)</td>
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**Figure 5.** Final biomarker set and selection criteria. (A) Twelve biomarkers were selected based on univariate performance for aggressiveness (shown as OR on left) and lethality as well as frequency of appearance in multivariate models for disease aggressiveness or lethal outcome (table on right) (B) The biomarker set comprises proteins known to function in the regulation of cell proliferation, cell survival, and metabolism. (C) A multivariate 12-marker model for disease aggressiveness was developed based on logistic regression. The resulting AUC and OR are shown. Subsequently, the risk scores generated by the aggressiveness model for all patients were correlated with lethal outcome. The resulting AUC and HR are shown.
Prostate cancer biomarkers robust to sampling error

To generalise across sampling error, the model derived from the L TMA-training set was also tested on H TMA for prediction of aggressive disease with consistent results (Figure 5C). Without any further changes to the aggressiveness model, we examined its performance on lethal outcome prediction by correlating the aggressiveness risk scores with death from disease. Of note, we found a similar AUC for lethal outcome as for aggressiveness on both L and H TMAs of 0.72 (95% CI: 0.60–0.83) and 0.71 (95% CI: 0.61–0.81), respectively. The corresponding HRs for lethal outcome on L and H TMAs were 66 per unit change (95% CI: 5.1–6756) and 36 (95% CI: 3.3–2889), respectively. We conclude that the 12 identified biomarkers are robust to sampling error and predictive of both disease aggressiveness and lethal outcome.

**DISCUSSION**

There is a continuing clinical need to assess prostate cancer aggressiveness more accurately at the time of initial diagnosis as well as for follow-up of patients, both those under active patient surveillance and those receiving active treatment (Bangma and Roobol, 2012; Carter et al, 2012; Brimo et al, 2013). Currently, in men with early disease, a biopsy GS of 3 + 4 or more is one of the prognostic determinants for active treatment (NCCN, 2012a; Heidenreich et al, 2014). However, biopsy-sampling error resulting from tumour heterogeneity and discordant Gleason scoring can significantly affect the accuracy and reliability of assessing a patient’s risk of cancer progression, aggressiveness, and lethality. This uncertainty in prognostication has contributed to significant over-treatment of patients with biopsies of Gleason grade 3 + 3 or 3 + 4 (Boorjian et al, 2009; Cooperberg et al, 2010; Bangma and Roobol, 2012; Epstein et al, 2012; Sandhu and Andriole, 2012; Loeb et al, 2014).

**Biomarkers predictive of prostate cancer aggressiveness and lethality.** In this manuscript, we describe the successful development of a performance-based strategy to identify and evaluate biomarkers predictive of prostate cancer aggressiveness and lethal outcome, even under circumstances of extreme sampling variation, an issue typically encountered during prostate biopsy taking. Using a large cohort (N=380) of annotated clinical prostatectomy samples with long-term follow-up, the areas of highest and lowest GS on each prostatectomy tissue were cored to generate paired TMAs (H and L TMAs) representing the entire cohort study designed to simulate two biopsies from each patient, one with ‘maximal’ sampling error (L TMA), and the other with minimal sampling error (H TMA). Without any further changes to the aggressiveness model, we examined its performance against aggressiveness and lethal outcome when measured on either low- or high-grade cancer regions from each patient. We first selected biomarkers with performance against aggressiveness and lethal outcome when measured in L TMA tissue, to identify those most robust to extreme sampling error. For this purpose, we only included L TMA samples with core Gleason ≤3 + 4 as clinically relevant, as biomarkers with GS 4 + 3 or higher inevitably will be aggressive therapy candidates. Most of the identified biomarkers from univariate analyses were predictive of both disease aggressiveness and prostate cancer-specific mortality regardless of whether they were measured in L or H TMA tissue samples, and hence robust to sampling variation (Figure 3). Moreover, performance of some well-established prostate cancer biomarkers predictive of progression risk and lethal outcome (SMAD4, EZH2, MTDH2, HoxB13, and PTEN) corroborated the validity of the approach.

As part of specificity validation of our antibodies, we learned through target knockdown analyses and mass spectrometry-based protein sequencing analysis that a MAb sold as anti-DCC actually recognised the unrelated protein HSPA9, or Mortalin. We found that HSPA9 was predictive as part of multivariate models and hence was included in the final 12-marker set. Our functional analyses revealed the involvement of HSPA9 in clonogenic colony formation and cell proliferation, consistent with previously reported data (see Supplementary Figure 2 and Flachbartova and Kovacech, 2013). This further validates our unbiased, performance-based marker selection approach.

On the basis of univariate performance as well as frequency of marker appearance in multivariate models for disease aggressiveness and lethal outcome, 12 biomarkers were selected (Figure 5A). A multivariate model based on these 12 markers showed similar predictive performance for aggressiveness across tissue-sampling variation (Figure 5C). Interestingly, the risk scores generated based on the 12-marker aggressiveness model were equally predictive for the separate end point of lethal outcome across tissue-sampling variation (Figure 5C). This indicates a clear linkage between aggressive features on surgical pathology and lethality, and, importantly, validates the usage of our pathologic end point for building our biomarker panel as relevant for long-term patient outcome. We conclude that the 12 identified biomarkers are relevant for prediction of tumour behaviour and could serve as the starting point for development of a clinical, evidence-based multivariate biopsy test for evaluating prostate cancer aggressiveness as a critical aid in early decision-making steps in patient management (NCCN, 2012a; NCCN, 2012b).

**Biomarkers robust to sampling error.** A key objective for our study was to identify and select markers that are highly robust to sampling error. One of the key reasons for biopsy-sampling error is the heterogeneity of prostate cancer. The inability to consistently acquire tissue from the most aggressive parts of the tumour leads to frequent underestimation of tumour aggressiveness and progression risk. By coring into the highest and lowest Gleason area from each patient, we generated paired TMAs of the entire cohort study designed to simulate two biopsies from each patient, one with ‘maximal’ sampling error (L TMA), and the other with minimal sampling error (H TMA). We focused on L TMAs with core Gleason ≤3 + 4, as these represent the clinically relevant cases where standard of care is insufficient for accurate prognosis. We found that ~54% of these L TMA cases were upgraded to a higher surgical GS, which is higher than observed in clinical practice (Porten et al, 2011), confirming that our approach provided a biased sampling error model.

The need for identification of biomarkers that are resistant to sampling error was underscored by examining a well-established four-marker signature based on Cyclin D1, SMAD4, PTEN, and SPP1 previously reported to be predictive of lethal outcome based on prostatectomy cohorts (Ding et al, 2011). Although we confirmed that the model was predictive for lethal outcome in H TMA, representing a situation of minimal sampling error, the model was not lethal outcome-predictive at all in our L TMA tissue cores, representing maximal sampling error (Table 2). This finding is consistent with a recent report that the four-marker signature is unable to predict lethal outcome in low-GS prostate tumours (Irshad et al, 2013).

On the basis of univariate marker analyses, we identified 14 and 18 markers with sampling error-robust performance across L and H TMA samples for disease aggressiveness and lethal outcome, respectively (markers with red font in Figure 4). Most of these univariately selected markers were predictive of both indications across sampling variation, supporting a correlation between disease aggressiveness and lethal outcome. Interestingly, although all markers that showed univariate performance for both indications on L TMA also were predictive on H TMA, two markers (PXN and MTDH2) and three markers (NGO2, CCND1 (Cyclin D1), and AKAP8) (Sattler et al, 2000; Xu and Li, 2003; Hu et al, 2009; Ding et al, 2011; Canton and Scott, 2013) were predictive of aggressive disease and lethal outcome, respectively, only when measured on H TMA, but not on L TMA (Figure 3). This suggests that these
markers are primarily in situations of minimal sampling error. Indeed, all these five markers have been shown as important regulators of cellular proliferation, migration, and oncogenesis (see e.g., Sattler et al, 2000; Xu and Li, 2003; Hu et al, 2009; Ding et al, 2011; Canton and Scott, 2013). The observation that Cyclin D1 is predictive of lethal outcome only in H TMA, but not L TMA, is consistent with the finding that the four-marker signature reported by Ding et al (2011) was not predictive of lethal outcome in our L TMA tissue, as well as on low-grade prostate cancer samples (Irshad et al, 2013). The fact that no markers were predictive for aggressive disease or lethal outcome on only L TMA, but not H TMA, is interesting given that we primarily selected for markers that can predict either aggressiveness or lethal outcome in L TMA, to reflect maximal sampling error robustness. This suggests that the identified markers likely reflect field effects from more aggressive tumour regions, consistent with their similar performance in L and H TMA tissue samples.

Genetic and proteomic approaches. An extensive search to find better prostate cancer biomarkers has led to the identification of possible genetic markers for clinical risk prognostication (Lapointe et al, 2004; Lapointe et al, 2007; Tomlins et al, 2007; Cheville et al, 2008; Cuzick et al, 2011; Scher et al, 2013). However, there are conflicting results regarding the reliability of many such markers in disease prognosis. For example, although TMPRSS2–ERG gene fusions are reported to be associated with high-risk tumours, more recent studies with large cohorts report no strong correlation between these fusions and patient outcome (Gopalan et al, 2009). A multivariate gene expression-based test has recently been reported to predict metastatic disease and lethal outcome based on a conservatively managed cohort of patients from the United Kingdom (Cuzick et al, 2012), as well as biochemical recurrence after treatment in actively managed cohorts in the United States (Cooperberg et al, 2013; Bishoff et al, 2014). The influence of sampling variation on this test has yet to be determined.

The results of this study suggest that taking a proteomic approach, which measures proteins from only the tumour region of intact tissue, can possibly improve accurate risk classification at the biopsy stage. The rationale for this idea is two-fold. First, because prostate cancer is a heterogeneous, multifocal disease, biopsies frequently contain only lower-grade components, and pathologists may classify them as low-risk cancers. However, higher-grade molecular features, not reflected morphologically, have been classified as low-risk cancers. However, higher-grade molecular features, not reflected morphologically, have been reported to extend throughout the cancer (Boyd et al, 2012; Sowalsky et al, 2013), and therefore are measurable in seemingly lower-grade-containing biopsies. It is possible to accurately and sensitively assess such high-grade molecular features in situ from tumour regions using a proteomic approach, even in tissue samples with variable amounts of tumour and benign components. This is an advantage over gene expression-based technologies requiring tissue homogenisation, resulting in variable dilution of the higher-grade molecular features depending on the amount of intermixed benign tissue. Second, Gleason grading on biopsy is subjective, with expert pathologists disagreeing on up to 30% of cases (McKenney et al, 2011; Goodman et al, 2012). Molecular features that can be objectively measured should improve risk classification.

Limitations to the study. The fact that the biomarkers were analysed in multiple ways for univariate and multivariate performance on a single, large cohort increases the potential risk that the results are over-fitted to patients included in this study. Also, we simulated prostate cancer biopsies by taking 1-mm diameter cores from prostate tissue blocks to generate TMA blocks. Protein measurements made from cross-sections of these cores may perform differently if measured in 20 × 1-mm biopsy sections, as typically encountered in routine pathology practice.

CONCLUSION

There is an urgent need for a reliable and accurate prognostic test for patients with prostate cancer, given the difficulties of predicting survival outcomes for patients diagnosed with early-stage cancer and the resulting overtreatment. Further investigation of the 12 biomarkers identified in this study will enable development of an objective clinical biopsy test based on multiplex proteomics in situ imaging. The next step is to identify the optimal biomarker subset algorithm from these 12 biomarkers, and to validate this in a large clinical cohort of Gleason- and TNM-annotated biopsy samples with matched prostatectomy and pathological specimens. These studies are currently under way.

We propose that the identification strategy for protein biomarkers described herein is likely to be applicable to other tumour types, and may serve as a model for performance-based selection of biomarkers that can be used to develop prognostic or predictive tests for other tumours where histological assessment is pivotal to risk stratification and prognostication.

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CONFLICT OF INTEREST

DLR is a paid consultant and equity holder in Metamark. DMB and ML are paid consultants to Metamark. All other authors are paid employees of Metamark.

REFERENCES


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Supplementary Information accompanies this paper on British Journal of Cancer website (http://www.nature.com/bjc)