Goodwillie Approximations to Higher Categories

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Goodwillie approximations to higher categories

A dissertation presented

by

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to

The Department of Mathematics

in partial fulfillment of the requirements
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Goodwillie approximations to higher categories

Abstract

Goodwillie calculus involves the approximation of functors between higher categories by so-called polynomial functors. We show (under mild hypotheses) how to associate to a higher category $\mathcal{C}$ a Goodwillie tower, consisting of categories which are polynomial in an appropriate sense. These polynomial approximations enjoy universal properties with respect to polynomial functors out of $\mathcal{C}$. Furthermore, we provide a classification of such Goodwillie towers in terms of the stabilization of $\mathcal{C}$ and the derivatives of the identity functor. In special cases this classification becomes very simple, allowing us to draw conclusions about the structure of the category $\mathcal{C}$. As an example we give an application to Quillen's rational homotopy theory. In the sequel to this paper we work out consequences for the study of v_n -periodic unstable homotopy theory and the Bousfield-Kuhn functors.

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1 Introduction

Let F be a functor from the category of pointed spaces $\mathcal{S}_*$ to itself which preserves weak equivalences, filtered colimits and the basepoint. Goodwillie calculus allows us to form the polynomial approximations $P_n F$ of this functor. These approximations fit together into a tower

$$\begin{array}{ccccc}
 & & \vdots & & \\
 & & \downarrow & & \\
 \vdots & & P_3 F & \longleftarrow & D_3 F \\
 & \nearrow & \downarrow & & \\
 & & P_2 F & \longleftarrow & D_2 F \\
 & \nearrow & \downarrow & & \\
 F & \longrightarrow & P_1 F & = & D_1 F,
 \end{array}$$

where $D_n F$ denotes the homotopy fiber of the natural transformation $P_n F \rightarrow P_{n-1} F$. This tower is in many ways analogous to the Taylor series of a smooth function. The layers $D_n F$ are *homogeneous functors* of the form

$$D_n F(X) = \Omega^\infty((\partial_n F \wedge X^{\wedge n})_{h\Sigma_n}),$$

where $\partial_n F$ is a spectrum with Σ_n -action called the *n'th derivative of F* . A case of particular interest is the identity functor $\text{id} : \mathcal{S}_* \rightarrow \mathcal{S}_*$. Ching [5] showed that the symmetric sequence of derivatives $\partial_n \text{id}$ has a natural operad structure; furthermore, this operad is the cobar construction of the commutative cooperad and as such could be considered as the (desuspension of) the Lie operad in the category of spectra. In particular, taking integral homology reproduces the ordinary Lie operad in the category of abelian groups. One can perform a variant of this calculation on the category of *rational* pointed spaces; the derivatives of the identity functor will then produce (a degree shift of) the Lie operad in vector spaces over $\mathbb{Q}$.

Another setting in which Goodwillie calculus can be performed is the category of algebras over an operad $\mathbf{O}$ in, for example, spectra, or chain complexes over a field [28]. (We will suppress technicalities for now, but let us assume that $\mathbf{O}(1)$ is the tensor unit and that $\mathbf{O}$ is nonunital, i.e. there is no nullary term $\mathbf{O}(0)$.) Calculating the derivatives of the identity functor on such a category will again produce an operad, namely $\mathbf{O}$ itself.

These calculations are tantalizing from the point of view of rational homotopy theory; we have two homotopy theories, namely those of rational pointed spaces and of rational differential graded Lie algebras, having identical stabilization (namely the homotopy theory of chain complexes over $\mathbb{Q}$) and the same derivatives of the identity functor (namely the Lie operad). Quillen's results [29] show that these homotopy theories are closely related; more precisely, they are equivalent when restricting attention to simply-connected rational spaces and connected Lie algebras. This raises the following question:

Question 1: Is a (suitably nice) homotopy theory determined up to equivalence by its stabilization and the operad formed by the derivatives of its identity functor?

Remark 1.1. In this introduction we will suppress the technical assumptions needed to ‘do Goodwillie calculus on a homotopy theory $\mathcal{C}$ ’. Also, for simplicity of language, we will in this section assume a natural operad structure on the derivatives of the identity functor of $\mathcal{C}$, although given the current state of the literature this has not been established in general. In particular, we are assuming that the stabilization of $\mathcal{C}$ comes with a reasonable notion of tensor product. In the main body of this paper we will be much more precise about our assumptions and questions. In particular, we will circumvent the need for an operad structure on the derivatives of the identity by working in a Koszul dual setting. What follows in this introduction should be considered heuristics.

The answer to Question 1 is no. For example, the homotopy theories of pointed spaces and of algebras over the spectrum-level Lie operad mentioned above have identical stabilization and derivatives of their respective identity functors. However, these homotopy theories are not equivalent. A slightly less naive question is:

Question 2: Given a (suitably nice) stable homotopy theory and an operad in it, can we classify the homotopy theories with this stabilization and this operad as their derivatives of the identity?

This question is still too imprecise. The main problem is that of *convergence* of the Goodwillie tower. For a space (or algebra) X , let us say that the Goodwillie tower of the identity functor *converges on X* if the natural map

$$X \longrightarrow \operatorname{holim}_n P_n X$$

is a weak equivalence. As a general principle, one should not expect to be able to use the

Goodwillie tower of the identity to learn much about objects on which it does not converge.

A variant of Question 2 which we will be able to address concerns the classification of *Goodwillie towers of categories*. We will demonstrate how to associate to a suitable homotopy theory $\mathcal{C}$, such as that of spaces or of differential graded Lie algebras, a Goodwillie tower

$$\begin{array}{ccc}
 & \vdots & \\
 & \downarrow & \\
 \vdots & & \mathcal{P}_3\mathcal{C} \\
 & \searrow & \downarrow \\
 & & \mathcal{P}_2\mathcal{C} \\
 & \searrow & \downarrow \\
 \mathcal{C} & \longrightarrow & \mathcal{P}_1\mathcal{C}
 \end{array}$$

The homotopy theories $\mathcal{P}_n\mathcal{C}$ satisfy universal properties with respect to polynomial functors out of $\mathcal{C}$. The homotopy theory $\mathcal{P}_1\mathcal{C}$ coincides with the stabilization $\mathrm{Sp}(\mathcal{C})$ of $\mathcal{C}$. Furthermore, the categories $\mathcal{P}_n\mathcal{C}$ all have $\mathrm{Sp}(\mathcal{C})$ as their stabilization. The derivatives of the identity functor on $\mathcal{P}_n\mathcal{C}$ agree up to order n with those of $\mathcal{C}$ itself and are zero otherwise. Thus, one can think of the $\mathcal{P}_n\mathcal{C}$ as successive approximations to $\mathcal{C}$ which get better as n increases. Formulated somewhat informally, we will show the following: the functor $\mathcal{C} \rightarrow \mathrm{holim}_n \mathcal{P}_n\mathcal{C}$ is fully faithful when restricted to objects $X \in \mathcal{C}$ on which the Goodwillie tower of the identity functor converges. The question on which this paper focuses is then:

Question 3: Given a (suitably nice) stable homotopy theory and an operad in it, can we classify the Goodwillie towers of homotopy theories with this stabilization and this operad as their derivatives of the identity?

We will derive an inductive description of the homotopy type of the space of such Goodwillie towers. This space is potentially very complicated, but in special cases our classification becomes manageable. To explain this simplification, let us recall the definition of *Tate cohomology*. Say X is an object of a stable homotopy theory (for example a spectrum or a chain complex) and X carries an action of a finite group G . We can then form the homotopy coinvariants X_{hG} and the homotopy invariants X^{hG} of this action. Furthermore, there is a natural *norm map*

$$\mathrm{Nm} : X_{hG} \longrightarrow X^{hG}.$$

Informally speaking, this map is induced by averaging over the group G . The associated *Tate cohomology* X^{tG} is defined to be the cofiber of this map. In special circumstances such Tate cohomology objects will be contractible. An example of this occurs when working in chain complexes over a field in which the order of G is invertible; dividing by this order then induces an inverse to the norm map. In particular, in the homotopy theory of chain complexes over $\mathbb{Q}$ all Tate cohomology vanishes. One of the consequences of our classification of Goodwillie towers and a partial answer to Question 3 can be formulated informally as follows:

Theorem 1.2. *Suppose $\mathcal{D}$ is a (suitably nice) stable homotopy theory and $\mathbf{O}$ is a nonunital operad in $\mathcal{D}$ such that $\mathbf{O}(1)$ is equivalent to the tensor unit. Furthermore, suppose all Tate cohomology in $\mathcal{D}$ vanishes. If $\mathcal{C}$ is a homotopy theory with stabilization $\mathcal{D}$ and derivatives of the identity $\mathbf{O}$, then the Goodwillie tower of $\mathcal{C}$ is canonically equivalent to the Goodwillie tower of $\mathrm{Alg}_{\mathbf{O}}(\mathcal{D})$, the homotopy theory of $\mathbf{O}$ -algebras in $\mathcal{D}$.*

Remark 1.3. Another way to formulate this theorem is to say that the space of Goodwillie towers associated to $\mathcal{D}$ and $\mathbf{O}$ is contractible and contains the Goodwillie tower of $\mathrm{Alg}_{\mathbf{O}}(\mathcal{D})$.

In case $\mathcal{D}$ is the homotopy theory of rational chain complexes and $\mathbf{O}$ is the Lie operad, this shows that the Goodwillie towers of the homotopy theories of pointed rational spaces and rational differential graded Lie algebras are equivalent. With only little more effort one can then reprove Quillen's result on rational homotopy theory (see Section 7). Other interesting settings where Tate cohomology vanishes and our theorem can be fruitfully applied arise in chromatic homotopy theory. Indeed, by a result of Greenlees and Sadofsky [12] all norm maps are equivalences in the homotopy theory $\mathrm{Sp}_{K(n)}$ of $K(n)$ -local spectra, where $n > 0$ and $K(n)$ is the n 'th Morava K -theory at a prime p . Furthermore, Kuhn [19] proved that the same is true in $\mathrm{Sp}_{T(n)}$, the homotopy theory of $T(n)$ -local spectra, where now $T(n)$ is the telescope of a v_n -self map on a finite p -local type n spectrum. In the sequel to this paper [13] we will set up an analogue of Quillen's results in these settings, providing a Lie algebra model for v_n -periodic unstable homotopy theory at every height n . This description will also yield a new perspective on the Bousfield-Kuhn functors [21].

2 Main results

Throughout this paper we will use ∞ -categories, or *quasicategories*, as our preferred formalism for higher category theory. Our reason for using these instead of for example model categories is that there are good and tractable notions of homotopy limits and colimits of ∞ -categories, which we will make extensive use of. The works of Joyal [16, 17] and Lurie [22] are the basic references.

Our results will apply to the class of ∞ -categories that are *pointed*, meaning they have an object that is both initial and final, and *compactly generated*. Recall from [22] that an ∞ -category is compactly generated if it is presentable and ω -accessible. Alternatively, an ∞ -category is compactly generated if and only if it is equivalent to an ∞ -category of the form $\mathrm{Ind}(\mathcal{D})$, where $\mathcal{D}$ is a small ∞ -category which admits finite colimits. Here $\mathrm{Ind}(\mathcal{D})$ denotes the category of Ind-objects in $\mathcal{D}$. It is the free cocompletion of $\mathcal{D}$ with respect to filtered colimits.

The typical examples of pointed compactly generated ∞ -categories we have in mind are $\mathcal{S}_*$ (the ∞ -category of pointed spaces) and $\mathrm{Alg}_{\mathbf{O}}(\mathrm{Sp})$, the ∞ -category of $\mathbf{O}$ -algebras in spectra, for $\mathbf{O}$ a nonunital operad in spectra. We will also consider variants of this latter category, for example replacing spectra with chain complexes over a field.

Goodwillie calculus was originally introduced in the context of spaces and spectra [11], but later generalized to apply to more general homotopy theories [20, 24, 27]. We will use the version of the theory developed in [24]. In particular, it shows that all the standard methods of Goodwillie calculus apply to pointed compactly generated ∞ -categories. For the reader unfamiliar with Goodwillie calculus, the original paper [11] is still the most accessible introduction to the topic. Throughout this paper, we will freely make use of such terminology as *n-excisive functors* and *n'th derivatives of functors*, although we recall several of the standard constructions throughout the text and in the appendix. Unless otherwise indicated, we usually assume functors to preserve zero objects and *filtered* colimits. The first is just a matter of convenience, the second is essential for some of the fundamental results of calculus, e.g. the characterization of homogeneous functors.

We will associate to a pointed compactly generated ∞ -category $\mathcal{C}$ an *n-excisive approximation* $\mathcal{P}_n\mathcal{C}$, which is universal in a certain sense. Before defining these approximations, let us make some obvious but necessary observations:

Lemma 2.1. *Consider an adjunction between ∞ -categories (left adjoint on the left)*

$$F : \mathcal{C} \rightleftarrows \mathcal{D} : G$$

and suppose the identity functor $\mathrm{id}_{\mathcal{D}}$ of $\mathcal{D}$ is n -excisive. Then both F and G are n -excisive functors and so is the composition GF . By the universal property of the n -excisive approximation P_n , the unit and counit of this adjunction induce maps $P_n \mathrm{id}_{\mathcal{C}} \rightarrow GF$ and $P_n(FG) \rightarrow \mathrm{id}_{\mathcal{D}}$.

Definition 2.2. Let $\mathcal{C}$ be a pointed, compactly generated ∞ -category. An adjunction (left adjoint on the left)

$$F : \mathcal{C} \rightleftarrows \mathcal{D} : G$$

is a *weak n -excisive approximation* to $\mathcal{C}$ if the following two conditions are satisfied:

- (a) The ∞ -category $\mathcal{D}$ is pointed and compactly generated. Moreover, its identity functor $\mathrm{id}_{\mathcal{D}}$ is n -excisive.
- (b) The map $P_n \mathrm{id}_{\mathcal{C}} \rightarrow GF$ induced by the unit (see Lemma 2.1) is an equivalence. Also, the natural transformation $P_n(FG) \rightarrow \mathrm{id}_{\mathcal{D}}$ induced by the counit is an equivalence.

If $\mathcal{C}$ is a pointed compactly generated ∞ -category whose identity functor is itself n -excisive, then for any weak n -excisive approximation $F : \mathcal{C} \rightleftarrows \mathcal{D} : G$ the functor F is fully faithful. Indeed, in this case property (b) above tells us that the unit $\mathrm{id}_{\mathcal{C}} \rightarrow GF$ is an equivalence. In other words, the functor F exhibits $\mathcal{C}$ as a colocalization of $\mathcal{D}$. We will be especially interested in those n -excisive approximations which are ‘maximal’ in the following sense:

Definition 2.3. A pointed compactly generated ∞ -category $\mathcal{D}$ is *n -excisive* if every weak n -excisive approximation $F' : \mathcal{D} \rightleftarrows \mathcal{E} : G'$ is an equivalence. A weak n -excisive approximation $F : \mathcal{C} \rightleftarrows \mathcal{D} : G$ as in Definition 2.2 is said to be a *strong n -excisive approximation* if the ∞ -category $\mathcal{D}$ is n -excisive.

Remark 2.4. We will often abuse language and refer to the ∞ -category $\mathcal{D}$ as a strong n -excisive approximation to $\mathcal{C}$, leaving the adjunction between $\mathcal{C}$ and $\mathcal{D}$ understood. If $\mathcal{C}$ is the ∞ -category of pointed spaces, then the ∞ -category $\mathrm{Sp}^{\geq n}$ of n -connective spectra, for any $n \leq 0$, is a weak 1-excisive approximation to $\mathcal{C}$. The ∞ -category Sp of spectra is a strong 1-excisive approximation. In fact, for any pointed compactly generated ∞ -category $\mathcal{C}$, the stabilization $\mathrm{Sp}(\mathcal{C})$ is a 1-excisive approximation. In Corollary 3.16 we will give an alternative characterization of the maximality

property of Definition 2.3 which generalizes the notion of stability to the cases of n being greater than one.

As far as n -excisive functors are concerned, any weak approximation of $\mathcal{C}$ is ‘as good as’ $\mathcal{C}$ itself. The following lemma is a straightforward formal consequence of our definitions. Here $\text{Fun}^{\leq n}$ denotes the ∞ -category of n -excisive functors that commute with filtered colimits.

Lemma 2.5. *Let $F_{\mathcal{C}} : \mathcal{C} \rightrightarrows \mathcal{C}_n : G_{\mathcal{C}}$ and $F_{\mathcal{D}} : \mathcal{D} \rightrightarrows \mathcal{D}_n : G_{\mathcal{D}}$ be weak n -excisive approximations to $\mathcal{C}$ and $\mathcal{D}$ respectively. Then composing with $G_{\mathcal{D}}$ and $F_{\mathcal{C}}$ yields an equivalence*

$$G_{\mathcal{D}} \circ - \circ F_{\mathcal{C}} : \text{Fun}^{\leq n}(\mathcal{C}_n, \mathcal{D}_n) \longrightarrow \text{Fun}^{\leq n}(\mathcal{C}, \mathcal{D}).$$

Our first main result concerns the existence, naturality and uniqueness of n -excisive approximations. To phrase the kind of functoriality that n -excisive approximations satisfy we introduce some notation: let us write $\mathbf{Cat}_*^{\omega}$ for the (large) ∞ -category of pointed compactly generated ∞ -categories and functors which preserve small colimits and compact objects. Also, let us write $\mathbf{Cat}_{*, \leq n}^{\omega}$ for the full subcategory of $\mathbf{Cat}_*^{\omega}$ on the n -excisive ∞ -categories of Definition 2.3. Note that colimit-preserving functors between n -excisive categories are automatically n -excisive functors.

Remark 2.6. By the adjoint functor theorem (see [22]), a colimit-preserving functor F between compactly generated categories admits a right adjoint G . The condition that F preserves compact objects is equivalent to the condition that G preserves filtered colimits.

Theorem 2.7. *The inclusion $i_n : \mathbf{Cat}_{*, \leq n}^{\omega} \rightarrow \mathbf{Cat}_*^{\omega}$ admits a left adjoint $\mathcal{P}_n$, which satisfies the following properties:*

(a) *For any $\mathcal{C} \in \mathbf{Cat}_*^{\omega}$, the unit*

$$\mathcal{C} \longrightarrow i_n \mathcal{P}_n \mathcal{C}$$

provides a strong n -excisive approximation to $\mathcal{C}$.

(b) *The counit is an equivalence between $\mathcal{P}_n i_n$ and the identity functor of $\mathbf{Cat}_{*, \leq n}^{\omega}$. In other words, $\mathcal{P}_n$ exhibits $\mathbf{Cat}_{*, \leq n}^{\omega}$ as a localization of $\mathbf{Cat}_*^{\omega}$.*

(c) *The functor $\mathcal{P}_n$ preserves finite limits.*

In particular, any pointed compactly generated ∞ -category $\mathcal{C}$ admits a strong n -excisive approximation. Moreover, such an approximation is unique up to canonical equivalence.

We will prove Theorem 2.7 in Section 3 by providing a direct construction of $\mathcal{P}_n\mathcal{C}$ and proving the necessary properties. We will usually omit the inclusion i_n from the notation; it should be clear from context in which category we are working. Also, for $\mathcal{C} \in \mathbf{Cat}_*^\omega$, we will use the notation

$$\mathcal{C} \begin{matrix} \xrightarrow{\Sigma_n^\infty} \\ \xleftarrow{\Omega_n^\infty} \end{matrix} \mathcal{P}_n\mathcal{C}$$

for the n -excisive approximation to $\mathcal{C}$ provided by Theorem 2.7. It is a straightforward formal exercise to see that for $m \leq n$, one has the transitivity property $\mathcal{P}_m(\mathcal{P}_n\mathcal{C}) \simeq \mathcal{P}_m\mathcal{C}$ and so in particular a functor

$$\mathcal{P}_n\mathcal{C} \longrightarrow \mathcal{P}_m\mathcal{C}.$$

With this observation one can assemble the n -excisive approximations for various n into a *Goodwillie tower* for $\mathcal{C}$:

$$\begin{array}{ccc} & & \vdots \\ & & \downarrow \\ \vdots & & \mathcal{P}_3\mathcal{C} \\ & \nearrow \Sigma_3^\infty & \downarrow \\ & \Sigma_2^\infty & \mathcal{P}_2\mathcal{C} \\ \mathcal{C} & \nearrow \Sigma_1^\infty & \downarrow \\ & \Sigma_1^\infty & \mathcal{P}_1\mathcal{C} \end{array}$$

The construction of this Goodwillie tower is natural with respect to functors preserving colimits and compact objects. For $m < n$, we will denote the functor $\mathcal{P}_n\mathcal{C} \rightarrow \mathcal{P}_m\mathcal{C}$ by $\Sigma_{n,m}^\infty$ and its right adjoint by $\Omega_{n,m}^\infty$. The reader should in particular observe that the unit of the adjunction

$$\mathcal{C} \begin{matrix} \xrightarrow{\quad} \\ \xleftarrow{\quad} \end{matrix} \varprojlim \mathcal{P}_n\mathcal{C}$$

is the natural transformation

$$\mathrm{id}_{\mathcal{C}} \longrightarrow \varprojlim \mathcal{P}_n \mathrm{id}_{\mathcal{C}}.$$

Write $\mathcal{C}^{\mathrm{conv}}$ for the full subcategory of $\mathcal{C}$ on objects for which the Goodwillie tower of the

identity converges, i.e. those X at which the evaluation of the natural transformation above is an equivalence. Then we immediately obtain the following:

Lemma 2.8. *The functor $\mathcal{C}^{\text{conv}} \rightarrow \varprojlim \mathcal{P}_n \mathcal{C}$ is fully faithful.*

We will now address Question 3 from the introduction. First, let us rephrase it in the language of *stable ∞ -operads*. For background on ∞ -operads see Chapter 2 of [24]. For more on stable ∞ -operads the reader can consult Chapter 6 of the same reference. Throughout this text we will almost exclusively work with *nonunital ∞ -operads*, i.e. ∞ -operads whose structure map to $\mathbf{NFin}_*$ factors through $\mathbf{NSurj}$, with $\mathbf{Surj}$ denoting the subcategory of $\mathbf{NFin}_*$ containing only the surjective maps of finite pointed sets. For any ∞ -operad $\mathcal{O}^\otimes$ one may construct a nonunital variant $\mathcal{O}_{\text{nu}}^\otimes$ of it by pulling it back along the map $\mathbf{NSurj} \rightarrow \mathbf{NFin}_*$. For an ∞ -operad $\mathcal{O}^\otimes \rightarrow \mathbf{NFin}_*$ we will always write $\mathcal{O}$ for its underlying ∞ -category, i.e. its fiber over $\langle 1 \rangle$.

Definition 2.9. A nonunital ∞ -operad $p : \mathcal{O}^\otimes \rightarrow \mathbf{NSurj}$ is *stable* if it satisfies the following conditions:

- (1) It is *corepresentable*, meaning the map p is a locally coCartesian fibration. Equivalently, this means that for every non-empty collection of objects $\{X_1, \dots, X_n\}$ of $\mathcal{O}$, the functor

$$\mathcal{O}^\otimes(X_1, \dots, X_n; -) : \mathcal{O} \longrightarrow \mathcal{S}$$

parametrizing operations in $\mathcal{O}^\otimes$ with these inputs is corepresentable by an object we denote $X_1 \otimes^n \dots \otimes^n X_n$. This determines, for every non-empty finite set I , a functor

$$\mathcal{O}^I \longrightarrow \mathcal{O} : \{X_i\}_{i \in I} \longmapsto \otimes^I \{X_i\}_{i \in I}.$$

- (2) Its underlying ∞ -category $\mathcal{O}$ is stable and compactly generated.
- (3) For every non-empty finite set I , the tensor product functor $\otimes^I : \mathcal{O}^I \rightarrow \mathcal{O}$ preserves colimits in each variable separately.

Remark 2.10. Observe that our definition of a stable ∞ -operad is slightly more restrictive than Lurie's: he does not require the underlying ∞ -category to be compactly generated and only requires the functors $\otimes_I$ to preserve *finite* colimits in each variable separately. Also, he does not restrict to the nonunital case.

Remark 2.11. As an example, suppose $p : \mathcal{O}^\otimes \rightarrow \mathbf{NSurj}$ is stable and that the underlying ∞ -category $\mathcal{O}$ is the ∞ -category $\mathbf{Sp}$ of spectra. Then condition (3) forces the tensor products $\otimes_I$ to be of the form

$$\otimes^I(\{X_i\}_{i \in I}) \simeq C_I \wedge \left(\bigwedge_{i \in I} X_i \right)$$

for some fixed spectrum C_I , where $\wedge$ denotes the smash product of spectra. Using the composition maps of $\mathcal{O}^\otimes$ and its corepresentability, one finds natural maps

$$\begin{array}{ccc} & X_1 \otimes^3 X_2 \otimes^3 X_3 & \\ \swarrow & & \searrow \\ (X_1 \otimes^2 X_2) \otimes^2 X_3 & & X_1 \otimes^2 (X_2 \otimes^2 X_3) \end{array}$$

and hence two different maps

$$\begin{array}{ccc} & C_3 & \\ \swarrow & & \searrow \\ C_2 \otimes_2 C_2 & & C_2 \otimes_2 C_2. \end{array}$$

Such maps exist more generally for any finite set I and an equivalence relation on it. They give the collection of the spectra C_I the structure of a *cooperad*. See Section 6.3 of [24] for more on this dictionary between stable ∞ -operads and cooperads. The reader might wish to keep it in mind to relate our results and techniques to those found in the literature, for example in the papers of Arone and Ching [1].

Remark 2.12. In the main body of this text we use Lurie's formalism for ∞ -operads because it allows us to cite results from [24]. However, in the appendix we switch to the formalism of *dendroidal sets* to prove some of the more technical results. The equivalence between these two formalisms (in the setting of nonunital ∞ -operads) is proved in [14].

Let $\mathcal{C}$ be a pointed compactly generated ∞ -category. The Cartesian product on $\mathcal{C}$ gives it the structure of a symmetric monoidal ∞ -category, which is encoded as an ∞ -operad $\mathcal{C}^\times \rightarrow \mathbf{NFin}_*$ whose structure map is coCartesian fibration. In [24], Lurie proves the existence of a *stabilization* $\mathbf{Sp}(\mathcal{C})^\otimes \rightarrow \mathbf{NSurj}$ of the ∞ -operad $\mathcal{C}_{\mathrm{nu}}^\times$ and proves its existence. It has the following properties:

- (1) It is stable.
- (2) There is a map of ∞ -operads $\mathbf{Sp}(\mathcal{C})^\otimes \rightarrow \mathcal{C}_{\mathrm{nu}}^\times$ whose fiber over $\langle 1 \rangle$ is the functor $\Omega^\infty :$

$$\mathrm{Sp}(\mathcal{C}) \rightarrow \mathcal{C}.$$

(3) For every finite set I , the induced natural transformation

$$\times^I \circ (\Omega^\infty)^I \longrightarrow \Omega^\infty \circ \otimes^I$$

exhibits $\otimes^I$ as a derivative (or *multilinearization*) of $\times^I$.

Remark 2.13. Lurie in fact works with the stabilization of $\mathcal{C}^\times$ rather than the nonunital $\mathcal{C}_{\mathrm{nu}}^\times$. There is no essential loss of information in passing from the first to the second, but the second will be more convenient to us.

Remark 2.14. Unraveling the definitions (see Lemma B.3), one sees that $D_n(\Sigma^\infty \Omega^\infty)(X)$ is equivalent to $(X \otimes^n \cdots \otimes^n X)_{\Sigma_n}$. Thus, informally speaking, the data of the stable ∞ -operad $\mathrm{Sp}(\mathcal{C})^\otimes$ is equivalent to the data of the stabilization $\mathrm{Sp}(\mathcal{C})$ and the derivatives of the functor $\Sigma^\infty \Omega^\infty$. The derivatives of the identity functor on $\mathcal{C}$ can be extracted from these by a cobar construction. This was done for the case of spaces and spectra in the work of Arone and Ching [1]. In this paper we will mostly work with the stable ∞ -operad $\mathrm{Sp}(\mathcal{C})^\otimes$, but the correspondence just described can be useful to keep in mind as a guiding principle. See also the discussion in Section 6.3 of [24].

Remark 2.15. A product-preserving functor $F : \mathcal{C} \rightarrow \mathcal{D}$ induces a map $\mathcal{C}^\times \rightarrow \mathcal{D}^\times$ of ∞ -operads. Moreover, if F preserves all finite limits, it induces a map $\mathrm{Sp}(\mathcal{C})^\otimes \rightarrow \mathrm{Sp}(\mathcal{D})^\otimes$ of stable ∞ -operads.

We can now phrase a version of Question 3 as follows. Given a nonunital stable ∞ -operad $p : \mathcal{O}^\otimes \rightarrow \mathrm{NSurj}$, can we classify the possible Goodwillie towers of pointed compactly generated ∞ -categories $\mathcal{C}$ for which $\mathcal{O}^\otimes$ is the stabilization of $\mathcal{C}_{\mathrm{nu}}^\times$? We will take an inductive approach to answering this question. Write $\mathrm{Surj}_{\leq n}$ for the full subcategory of the category Surj on the objects $\langle i \rangle$ for $0 \leq i \leq n$. For a nonunital ∞ -operad $\mathcal{O}^\otimes \rightarrow \mathrm{NSurj}$ we use the notation

$$\mathcal{O}_{\leq n}^\otimes := \mathcal{O}^\otimes \times_{\mathrm{NSurj}} \mathrm{NSurj}_{\leq n}.$$

We will refer to $\mathcal{O}_{\leq n}^\otimes$ as the *n-truncation* of $\mathcal{O}$. Informally speaking, $\mathcal{O}_{\leq n}^\otimes$ knows about the operations of $\mathcal{O}^\otimes$ with at most n inputs.

Definition 2.16. Let $p : \mathcal{O}^\otimes \rightarrow \mathbf{NSurj}$ be a nonunital stable ∞ -operad. An n -stage for $\mathcal{O}^\otimes$ is an n -excisive ∞ -category $\mathcal{C}$ and a map $f : \mathrm{Sp}(\mathcal{C})^\otimes \rightarrow \mathcal{O}^\otimes$ of ∞ -operads such that the induced map $\mathrm{Sp}(\mathcal{C})_{\leq n}^\otimes \rightarrow \mathcal{O}_{\leq n}^\otimes$ on n -truncations is an equivalence of ∞ -categories.

We will prove the following lemma in Section C.3:

Lemma 2.17. *For a pointed compactly generated ∞ -category $\mathcal{C}$, the functor $\Omega_n^\infty : \mathcal{P}_n \mathcal{C} \rightarrow \mathcal{C}$ induces an equivalence of n -truncations*

$$\mathrm{Sp}(\mathcal{P}_n \mathcal{C})_{\leq n}^\otimes \longrightarrow \mathrm{Sp}(\mathcal{C})_{\leq n}^\otimes.$$

In particular, Ω_n^∞ exhibits $\mathcal{P}_n \mathcal{C}$ as an n -stage for $\mathcal{C}$.

Remark 2.18. One can in fact show that $\mathrm{Sp}(\mathcal{P}_n \mathcal{C})^\otimes$ is the *initial* stable ∞ -operad which maps to $\mathrm{Sp}(\mathcal{C})^\otimes$ and induces an equivalence on n -truncations. We will show that for any nonunital stable ∞ -operad $\mathcal{O}^\otimes$ there is a stable ∞ -operad $\tau_n \mathcal{O}^\otimes$ with this universal property. One can think of it as agreeing with $\mathcal{O}^\otimes$ up to operations of arity n and being free above that.

Definition 2.19. Let $\mathcal{O}^\otimes$ be a nonunital stable ∞ -operad. Denote by $\mathbf{Cat}_n$ the maximal Kan complex contained in the ∞ -category $\mathbf{Cat}_{*, \leq n}^\omega$ of n -excisive ∞ -categories. Then denote by $\mathcal{G}_n(\mathcal{O}^\otimes)$ the homotopy fiber in the following diagram:

$$\begin{array}{ccc} \mathcal{G}_n(\mathcal{O}^\otimes) & \longrightarrow & \mathbf{Cat}_n \\ \downarrow & & \downarrow \\ * & \xrightarrow[\mathcal{O}_{\leq n}^\otimes]{} & \mathbf{Cat}_\infty / \mathbf{NSurj}_{\leq n}. \end{array}$$

The vertical map on the right takes the n -truncation of the stabilization of the ∞ -operad $\mathcal{C}_{\mathrm{nu}}^\times$, for $\mathcal{C}$ an n -excisive ∞ -category. We will refer to $\mathcal{G}_n(\mathcal{O}^\otimes)$ as the *space of n -stages for $\mathcal{O}^\otimes$* .

The formation of n -excisive approximations yields a sequence of maps

$$\cdots \longrightarrow \mathcal{G}_3(\mathcal{O}^\otimes) \longrightarrow \mathcal{G}_2(\mathcal{O}^\otimes) \longrightarrow \mathcal{G}_1(\mathcal{O}^\otimes).$$

Note that $\mathcal{G}_1(\mathcal{O}^\otimes)$ is contractible. We will now give an inductive description of the homotopy type of $\mathcal{G}_n(\mathcal{O}^\otimes)$. First we recall the Tate construction. Let X be an object of a compactly generated stable ∞ -category $\mathcal{O}$ and assume X is equipped with a Σ_n -action. Then the invariants X^{Σ_n} and

coinvariants X_{Σ_n} both exist in $\mathcal{O}$ and there is a natural *norm map*

$$\mathrm{Nm} : X_{\Sigma_n} \longrightarrow X^{\Sigma_n}$$

between the two (see [15, 18]). Among natural transformations $F(X) \rightarrow X^{\Sigma_n}$, it is characterized up to canonical equivalence by the requirements that it be an equivalence on compact objects of $\mathcal{O}^G$ (the ∞ -category of objects of $\mathcal{O}$ with a G -action) and that F preserves colimits. The *Tate construction* $X^{t\Sigma_n}$ is defined to be the cofiber of this map.

Now suppose $\mathcal{C}_{n-1}$ is an $(n-1)$ -stage for $\mathcal{O}^\otimes$. The stable ∞ -operad $\mathrm{Sp}(\mathcal{C}_{n-1})^\otimes$ induces a tensor product functor

$$\otimes_{\mathcal{C}_{n-1}}^n : \mathcal{O}^n \longrightarrow \mathcal{O},$$

which we will abbreviate by the notation $\odot^n$. Furthermore, the map $\mathrm{Sp}(\mathcal{C}_{n-1})^\otimes \rightarrow \mathcal{O}^\otimes$ of ∞ -operads induces a natural transformation $\otimes^n \rightarrow \odot^n$, with $\otimes^n$ denoting the n -fold tensor product in the stable ∞ -operad $\mathcal{O}^\otimes$. In fact, the definition of the functor $\odot^n$ does not depend on the particular choice of $(n-1)$ -stage $\mathcal{C}_{n-1}$, but is the n -fold tensor product in the stable ∞ -operad $\tau_{n-1}\mathcal{O}^\otimes$ (see Remark 2.18). The Cartesian product on $\mathcal{C}_{n-1}$ gives rise to a diagonal map $\Delta_n : \mathrm{id}_{\mathcal{C}_{n-1}} \rightarrow \times^n$. By multilinearizing the Cartesian product, this then gives a natural transformation

$$\delta_n : \Sigma_{n-1,1}^\infty \longrightarrow \odot^n \circ (\Sigma_{n-1,1}^\infty)^n.$$

between functors from $\mathcal{C}_{n-1}$ to $\mathrm{Sp}(\mathcal{C}) \simeq \mathcal{O}$. The symmetric group Σ_n acts on the codomain of this natural transformation. Denote the Tate construction of this action by $\Psi_{\mathcal{C}_{n-1}}$. Then δ_n induces a natural transformation

$$\psi_n : \Sigma_{n-1,1}^\infty \longrightarrow \Psi_{\mathcal{C}_{n-1}}.$$

In Section 6.1 we will construct a space $\widehat{\mathcal{T}}_n$ fibered over $\mathcal{G}_{n-1}(\mathcal{O}^\otimes)$, whose fiber over $\mathcal{C}_{n-1}$ is the space of natural transformations $\mathrm{Nat}(\Sigma_{n-1,1}^\infty, \Psi_{\mathcal{C}_{n-1}})$. The construction of ψ_n can be made functorial to yield a section of this fibration.

Similarly, write $\Theta_{\mathcal{C}_{n-1}}$ for the Tate construction of the natural Σ_n -action on $\otimes^n \circ (\Sigma_{n-1,1}^\infty)^n$. We will construct another space $\mathcal{T}_n$ fibered over $\mathcal{G}_{n-1}(\mathcal{O}^\otimes)$ whose fiber over $\mathcal{C}_{n-1}$ is the space of natural transformations $\mathrm{Nat}(\Sigma_{n-1,1}^\infty, \Theta_{\mathcal{C}_{n-1}})$. The second main result of this paper is the

following:

Theorem 2.20. *There exists a pullback square in the ∞ -category $\mathcal{S}$ of spaces as follows:*

$$\begin{array}{ccc} \mathcal{G}_n(\mathcal{O}^\otimes) & \longrightarrow & \mathcal{T}_n \\ \downarrow & & \downarrow \\ \mathcal{G}_{n-1}(\mathcal{O}^\otimes) & \longrightarrow & \widehat{\mathcal{T}}_n. \end{array}$$

Remark 2.21. In Section 7.3 we will be more explicit about the fiber of the map $\mathcal{T}_n \rightarrow \widehat{\mathcal{T}}_n$. It can be described in terms of a cobar construction formed from the stable ∞ -operad $\mathrm{Sp}(\mathcal{C})^\otimes$.

Definition 2.22. We will say a compactly generated stable ∞ -category $\mathcal{O}$ *has no Tate objects* if for all n and every object X of $\mathcal{O}$ equipped with a Σ_n -action, the norm map

$$\mathrm{Nm} : X_{\Sigma_n} \longrightarrow X^{\Sigma_n}$$

is an equivalence, or in other words if all Tate constructions are contractible.

From Theorem 2.20 we immediately deduce the following:

Corollary 2.23. *Let $\mathcal{O}^\otimes$ be a nonunital stable ∞ -operad whose underlying stable ∞ -category has no Tate objects. Then all the spaces $\mathcal{G}_n(\mathcal{O}^\otimes)$ are contractible.*

More informally, this means that if all Tate constructions vanish in $\mathcal{O}$ there is only one possible Goodwillie tower of ∞ -categories associated to the ∞ -operad $\mathcal{O}^\otimes$. As an example of how our methods apply in such settings we will reprove some well-known results from the rational homotopy theory of Quillen [29]:

Theorem 2.24. *Let $\mathcal{S}_{\mathbb{Q}}^{\geq 2}$ denote the ∞ -category of simply connected rational spaces, $\mathrm{coAlg}_{\mathbb{Q}}^{\geq 2}$ the ∞ -category of simply connected differential graded commutative coalgebras over $\mathbb{Q}$ and $\mathrm{Lie}_{\mathbb{Q}}^{\geq 1}$ the ∞ -category of connected differential graded Lie algebras over $\mathbb{Q}$. Then there exists a diagram*

$$\begin{array}{ccc} & \mathcal{S}_{\mathbb{Q}}^{\geq 2} & \\ \swarrow & & \searrow \\ \mathrm{Lie}_{\mathbb{Q}}^{\geq 1} & \longrightarrow & \mathrm{coAlg}_{\mathbb{Q}}^{\geq 2} \end{array}$$

in which each of the three functors is an equivalence of ∞ -categories.

In the sequel to this paper we work out the consequences of Corollary 2.23 for the study of v_n -periodic unstable homotopy theory and the Bousfield-Kuhn functors.

3 Constructing n -excisive approximations

The goal of this section is to give an explicit construction of the functor $\mathcal{P}_n$ of Theorem 2.7 and establish the necessary properties. This construction is closely related to Goodwillie's construction of n -excisive approximations to functors [11], which we will also briefly review below. First we introduce some notation and terminology.

For every integer $n \geq 1$, write $\mathbf{P}(n)$ for the power set of the set $\{1, \dots, n\}$, regarded as a partially ordered set under inclusion. Also, write $\mathbf{P}_0(n)$ for the partially ordered set $\mathbf{P}(n) - \{\emptyset\}$. If $\mathcal{C}$ is an ∞ -category, an n -cube (resp. a *punctured n -cube*) in $\mathcal{C}$ is a functor

$$\mathcal{X} : \mathbf{NP}(n) \longrightarrow \mathcal{C}$$

(resp. $\mathcal{X} : \mathbf{NP}_0(n) \longrightarrow \mathcal{C}$). An n -cube is *strongly coCartesian* if every face of it is a pushout square or, more precisely, if for every $I, J \subseteq \{1, \dots, n\}$ the square

$$\begin{array}{ccc} \mathcal{X}(I \cap J) & \longrightarrow & \mathcal{X}(I) \\ \downarrow & & \downarrow \\ \mathcal{X}(J) & \longrightarrow & \mathcal{X}(I \cup J) \end{array}$$

is a pushout square. Similarly, we say a punctured n -cube is strongly coCartesian if every face of it is a pushout square. This amounts to the same condition as above, with the added requirement that I, J and $I \cap J$ all be non-empty. If the ∞ -category $\mathcal{C}$ has a terminal object $*$, we will say that a punctured n -cube $\mathcal{X}$ in $\mathcal{C}$ is *special* if it is strongly coCartesian and moreover satisfies $\mathcal{X}(\{i\}) \simeq *$ for all $1 \leq i \leq n$.

Example 3.1. A 2-cube is simply a square and a punctured 2-cube a diagram of the form

$$\mathcal{X}_1 \longrightarrow \mathcal{X}_{12} \longleftarrow \mathcal{X}_2,$$

in what should be obvious notation. The requirement that a punctured 2-cube be strongly

coCartesian is vacuous. A punctured 3-cube is a diagram of the following form:

$$\begin{array}{ccc}
 \mathcal{X}_1 & \longrightarrow & \mathcal{X}_{12} \\
 \downarrow & \nearrow \mathcal{X}_2 & \downarrow \\
 & \mathcal{X}_{13} \longrightarrow \mathcal{X}_{123} & \\
 \nearrow \mathcal{X}_3 & \downarrow & \nearrow \\
 \mathcal{X}_3 & \longrightarrow & \mathcal{X}_{23}
 \end{array}$$

Such a punctured 3-cube is strongly coCartesian if the three squares in this diagram are pushouts. Moreover, it is special if $\mathcal{X}_1 \simeq \mathcal{X}_2 \simeq \mathcal{X}_3 \simeq *$.

Definition 3.2. Let $\mathcal{C}$ be an ∞ -category which has a terminal object. Then define $\mathcal{T}_n\mathcal{C}$ to be the full subcategory of the ∞ -category $\text{Fun}(\mathbf{P}_0(n+1), \mathcal{C})$ spanned by the special punctured $(n+1)$ -cubes.

Observe that the construction $\mathcal{T}_n$ is functorial with respect to functors preserving terminal objects and finite colimits. Moreover, if $\mathcal{C}$ admits finite colimits, we can construct a functor

$$L_n : \mathcal{C} \longrightarrow \mathcal{T}_n\mathcal{C}$$

as follows. Consider the full subcategory $\bar{\mathcal{T}}_n\mathcal{C}$ of $\text{Fun}(\mathbf{P}(n+1), \mathcal{C})$ spanned by the $(n+1)$ -cubes $\mathcal{X}$ satisfying the following two conditions:

- (i) For each $1 \leq i \leq n+1$, we have $\mathcal{X}(\{i\}) \simeq *$.
- (ii) The cube $\mathcal{X}$ is strongly coCartesian.

There are obvious functors

$$\begin{array}{ccc}
 & \bar{\mathcal{T}}_n\mathcal{C} & \\
 \swarrow & & \searrow \\
 \mathcal{C} & & \mathcal{T}_n\mathcal{C}.
 \end{array}$$

The left functor evaluates at $\emptyset$, the right functor forgets the initial vertex of the cube. Moreover, the left map is a trivial Kan fibration (see [22]). We may therefore pick a section, which we will denote C_n , and compose with the right arrow to obtain a functor $L_n : \mathcal{C} \longrightarrow \mathcal{T}_n\mathcal{C}$ as claimed above. This functor has a right adjoint R_n , which can be described on objects by the formula

$$R_n(\mathcal{X}) = \varprojlim \mathcal{X},$$

where $\mathcal{X}$ is a special punctured $(n+1)$ -cube. The discussion above can be refined to make the assignment which sends $\mathcal{C}$ to the adjunction

$$\mathcal{C} \begin{matrix} \xrightarrow{L_n} \\ \xleftarrow{R_n} \end{matrix} \mathcal{T}_n \mathcal{C}$$

natural in $\mathcal{C}$, at least with respect to functors preserving terminal objects and finite colimits.

Remark 3.3. Observe that our discussion in particular applies to pointed compactly generated ∞ -categories $\mathcal{C}$. In this case, the functor L_n preserves compact objects.

Remark 3.4. In the construction above, we defined for every $X \in \mathcal{C}$ a strongly coCartesian $(n+1)$ -cube $C_n(X)$ in $\mathcal{C}$. The reader should observe that for every non-empty $S \subseteq \{1, \dots, n+1\}$ there is an equivalence

$$C_n(X)(S) \simeq \bigvee_{|S|-1} \Sigma X,$$

i.e. the $(|S|-1)$ -fold coproduct of the suspension of X with itself. In particular, if $f : X \rightarrow Y$ is a map such that Σf is an equivalence, then $C_n(f)$ is an equivalence at every vertex corresponding to a non-empty subset S .

Definition 3.5. For a pointed compactly generated ∞ -category $\mathcal{C}$, define

$$\mathcal{P}_n \mathcal{C} := \varinjlim (\mathcal{C} \longrightarrow \mathcal{T}_n \mathcal{C} \longrightarrow \mathcal{T}_n(\mathcal{T}_n \mathcal{C}) \longrightarrow \dots),$$

where the colimit is taken inside the ∞ -category $\mathbf{Cat}_*^\omega$ and the arrows are the functors L_n . Denote the resulting adjunction by

$$\mathcal{C} \begin{matrix} \xrightarrow{\Sigma_n^\infty} \\ \xleftarrow{\Omega_n^\infty} \end{matrix} \mathcal{P}_n \mathcal{C}.$$

Remark 3.6. The assignment $\mathcal{P}_n$ is a functor $\mathbf{Cat}_*^\omega \rightarrow \mathbf{Cat}_*^\omega$. Instead of forming the above colimit in $\mathbf{Cat}_*^\omega$ one could instead form the following colimit in $\mathbf{Cat}_*$, the ∞ -category of small pointed ∞ -categories:

$$\mathcal{P}_n \mathcal{C}^c := \varinjlim (\mathcal{C}^c \longrightarrow \mathcal{T}_n \mathcal{C}^c \longrightarrow \mathcal{T}_n(\mathcal{T}_n \mathcal{C})^c \longrightarrow \dots).$$

Here a superscript c denotes taking the full subcategory spanned by compact objects. Indeed, Lemma A.3 shows that

$$\mathcal{P}_n \mathcal{C} := \mathrm{Ind}(\mathcal{P}_n \mathcal{C}^c).$$

Remark 3.7. The ∞ -category $\mathcal{P}_1\mathcal{C}$ is precisely the stabilization $\mathrm{Sp}(\mathcal{C})$ of $\mathcal{C}$.

Lemma 3.8. *The functor $\mathcal{P}_n : \mathbf{Cat}_*^\omega \rightarrow \mathbf{Cat}_*^\omega$ preserves finite limits.*

Proof. This is immediate from Lemma A.4. □

Let us briefly review Goodwillie's construction of n -excisive approximations to functors. The reader can consult [11] for the original treatment (valid for the categories of spaces and spectra) or [24] for an exposition that applies to the current setting. Given a functor $F : \mathcal{C} \rightarrow \mathcal{D}$ between pointed compactly generated ∞ -categories, one defines a new functor $T_n F : \mathcal{C} \rightarrow \mathcal{D}$ as the composite

$$\mathcal{C} \xrightarrow{L_n} \mathcal{T}_n \mathcal{C} \xrightarrow{F \circ -} \mathrm{Fun}(\mathbf{P}_0(n+1), \mathcal{D}) \xrightarrow{\varprojlim} \mathcal{D}.$$

There is an evident natural transformation $t_n F : F \rightarrow T_n F$ which we use to define

$$P_n F := \varinjlim (F \xrightarrow{t_n F} T_n F \xrightarrow{t_n(t_n F)} T_n^2 F \longrightarrow \dots).$$

The following observations are now immediately clear from our constructions:

Lemma 3.9. *Let $F : \mathcal{C} \rightarrow \mathcal{D}$ be a functor between pointed compactly generated ∞ -categories.*

Then:

(a) *The n -excisive approximation $P_n F$ canonically factors as follows:*

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{P_n F} & \mathcal{D} \\ \Sigma_n^\infty \downarrow & \nearrow & \\ \mathcal{P}_n \mathcal{C} & & \end{array}$$

(b) *If F preserves colimits and compact objects, then $P_n F$ canonically factors as follows:*

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{P_n F} & \mathcal{D} \\ \Sigma_n^\infty \downarrow & & \uparrow \Omega_n^\infty \\ \mathcal{P}_n \mathcal{C} & \xrightarrow{\mathcal{P}_n F} & \mathcal{P}_n \mathcal{D} \end{array}$$

(c) *The unit $\mathrm{id}_{\mathcal{C}} \rightarrow \Omega_n^\infty \Sigma_n^\infty$ of the adjunction $\mathcal{C} \rightleftarrows \mathcal{P}_n \mathcal{C}$ coincides with the natural transformation*

$$\mathrm{id}_{\mathcal{C}} \longrightarrow P_n \mathrm{id}_{\mathcal{C}}.$$

The following lemma and its corollaries will be needed later in this section.

Lemma 3.10. *The functor $\Sigma_n^\infty : \mathcal{C} \rightarrow \mathcal{P}_n \mathcal{C}$ induces an equivalence on stabilizations. More precisely, there is a commutative diagram of functors*

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{\Sigma_n^\infty} & \mathcal{P}_n \mathcal{C} \\ \Sigma^\infty \downarrow & & \downarrow \Sigma^\infty \\ \mathrm{Sp}(\mathcal{C}) & \xrightarrow[\partial \Sigma_n^\infty]{} & \mathrm{Sp}(\mathcal{P}_n \mathcal{C}) \end{array}$$

in which the bottom functor is an equivalence.

Proof. We will prove a slightly stronger claim, namely that the functor $L_n : \mathcal{C} \rightarrow \mathcal{T}_n \mathcal{C}$ induces an equivalence on stabilizations. Indeed, chasing through the definitions, the induced functor can be identified with $L_n : \mathrm{Sp}(\mathcal{C}) \rightarrow \mathcal{T}_n \mathrm{Sp}(\mathcal{C})$, where L_n now denotes the same construction as before, but applied to the ∞ -category $\mathrm{Sp}(\mathcal{C})$. We claim that this functor is an equivalence. Indeed, the composite

$$\mathrm{Sp}(\mathcal{C}) \xrightarrow{L_n} \mathcal{T}_n \mathrm{Sp}(\mathcal{C}) \xrightarrow{R_n} \mathrm{Sp}(\mathcal{C})$$

is equivalent to the identity, since in a stable ∞ -category a coCartesian cube is also Cartesian. To see that $L_n R_n$ is equivalent to the identity, suppose we are given $\mathcal{X}_0 \in \mathcal{T}_n \mathrm{Sp}(\mathcal{C})$, i.e. a special punctured $(n+1)$ -cube in $\mathrm{Sp}(\mathcal{C})$. We can complete this to a Cartesian $(n+1)$ -cube $\mathcal{X}$ in $\mathrm{Sp}(\mathcal{C})$ with

$$\mathcal{X}(\emptyset) = \varprojlim \mathcal{X}_0.$$

Since we are working in a stable ∞ -category, $\mathcal{X}$ is also coCartesian. We claim it is in fact strongly coCartesian, which follows from Lemma 3.11 below. Now, since $\mathcal{X}$ is a strongly coCartesian cube, it follows that the map $L_n \mathcal{X}(\emptyset) \rightarrow \mathcal{X}_0$ is an equivalence, which concludes the proof. $\square$

In the previous proof we used the following general fact in the particular case where $\mathcal{D} = \mathrm{Sp}(\mathcal{C})$.

Lemma 3.11. *Suppose $\mathcal{D}$ is a pointed ∞ -category with finite colimits, $k \geq 1$ and $\mathcal{X} : \mathbf{P}(k) \rightarrow \mathcal{D}$ is a k -cube such that the restriction $\mathcal{X}_0$ is a strongly coCartesian punctured cube. If moreover $\mathcal{X}$ is coCartesian, then it is in fact strongly coCartesian.*

Proof. The proof is by induction on k . For $k = 1$ and $k = 2$ there is nothing to prove. Suppose the claim has been established for $k - 1$ and we wish to prove it for k . We need to show that

the squares

$$\begin{array}{ccc} \mathcal{X}(I \cap J) & \longrightarrow & \mathcal{X}(I) \\ \downarrow & & \downarrow \\ \mathcal{X}(J) & \longrightarrow & \mathcal{X}(I \cup J) \end{array}$$

are pushouts in the cases where $I \cap J$ is empty. By the pasting lemma for pushouts, it suffices to treat the cases where I and J are singletons, say $I = \{i\}$ and $J = \{j\}$. Pick an $l \in \{1, \dots, k\}$ which is unequal to both i and j (note that this is possible, since we are in the case $k \geq 3$). Denote by $\mathbf{P}^{l \in}(k)$ the poset of subsets of $\{1, \dots, k\}$ containing l and by $\mathbf{P}^{l \notin}(k)$ the poset of subsets not containing l . Then consider the diagrams

$$\begin{aligned} \mathcal{Y}_0 &= \mathcal{X}|_{\mathbf{NP}^{l \notin}(k)}, \\ \mathcal{Y}_1 &= \mathcal{X}|_{\mathbf{NP}^{l \in}(k)}, \end{aligned}$$

which are both $(k-1)$ -cubes. The cube $\mathcal{Y}_1$ is strongly coCartesian by assumption, because the subsets it is indexed on are all non-empty. Since $\mathcal{X}$ is coCartesian, its total cofiber vanishes, so that the canonical map

$$\mathrm{tcof}(\mathcal{Y}_0) \longrightarrow \mathrm{tcof}(\mathcal{Y}_1)$$

is an equivalence. The latter vanishes again, since $\mathcal{Y}_1$ is coCartesian, so that $\mathcal{Y}_0$ must be coCartesian as well. By the inductive hypothesis on $k-1$, we conclude that $\mathcal{Y}_0$ is in fact strongly coCartesian, which finishes the proof. $\square$

Corollary 3.12 (Corollary of Lemma 3.10). *If $X \in \mathcal{P}_n \mathcal{C}$ is a compact object then the k 'th suspension $\Sigma^k X$ is in the essential image of $\Sigma_n^\infty : \mathcal{C} \rightarrow \mathcal{P}_n \mathcal{C}$ for some $k \geq 0$.*

Proof. Suppose $X \in \mathcal{P}_n \mathcal{C}$ is compact. Consider the diagram

$$\begin{array}{ccccccc} \mathcal{C}^c & \xrightarrow{\Sigma} & \mathcal{C}^c & \xrightarrow{\Sigma} & \mathcal{C}^c & \xrightarrow{\Sigma} & \dots \longrightarrow \mathrm{Sp}(\mathcal{C})^c \\ \Sigma_n^\infty \downarrow & & \Sigma_n^\infty \downarrow & & \Sigma_n^\infty \downarrow & & \downarrow \partial \Sigma_n^\infty \\ \mathcal{P}_n \mathcal{C}^c & \xrightarrow{\Sigma} & \mathcal{P}_n \mathcal{C}^c & \xrightarrow{\Sigma} & \mathcal{P}_n \mathcal{C}^c & \xrightarrow{\Sigma} & \dots \longrightarrow \mathrm{Sp}(\mathcal{P}_n \mathcal{C})^c. \end{array}$$

Both rows are colimit diagrams in $\mathbf{Cat}_*$ and the rightmost vertical arrow is an equivalence by Lemma 3.10. Thus, there exists an object $Y \in \mathrm{Sp}(\mathcal{C})^c$ whose image in $\mathrm{Sp}(\mathcal{P}_n \mathcal{C})^c$ is equivalent to $\Sigma^\infty X$. Since the top row is a filtered colimit there exists a $k \geq 0$ and an object Y' in the k 'th

entry of that row whose image in $\mathrm{Sp}(\mathcal{C})$ is equivalent to Y . It is then not necessarily true that $\Sigma_n^\infty Y'$ is equivalent to $\Sigma^k X$, but this will be true after suitably enlarging k ; indeed, since $\Sigma_n^\infty Y'$ and $\Sigma^k X$ have equivalent images in the colimit of the bottom row, there exists an $l \geq 0$ such that $\Sigma_n^\infty \Sigma^l Y'$ and $\Sigma^{k+l} X$ are equivalent. $\square$

Our goal for the rest of this section is to prove Theorem 2.7. We start with the following:

Proposition 3.13. *The adjunction $\mathcal{C} \rightleftarrows \mathcal{P}_n \mathcal{C}$ is a weak n -excisive approximation to $\mathcal{C}$.*

Proof. Part (c) of Lemma 2.1 says that $P_n \mathrm{id}_{\mathcal{C}} \rightarrow \Omega_n^\infty \Sigma_n^\infty$ is an equivalence. Let us now show that the identity functor of $\mathcal{P}_n \mathcal{C}$ is n -excisive, which is also more or less immediate from our constructions. Indeed, $T_n(\mathrm{id}_{\mathcal{P}_n \mathcal{C}})$ is given by the composite

$$\mathcal{P}_n \mathcal{C} \xrightarrow{L_n} \mathcal{T}_n \mathcal{P}_n \mathcal{C} \xrightarrow{R_n} \mathcal{P}_n \mathcal{C}.$$

The functor L_n is an equivalence by construction, so that this composite is equivalent to the identity. Therefore

$$P_n(\mathrm{id}_{\mathcal{P}_n \mathcal{C}}) = \varinjlim_k T_n^k(\mathrm{id}_{\mathcal{P}_n \mathcal{C}}) \simeq \mathrm{id}_{\mathcal{P}_n \mathcal{C}}.$$

It remains to show that the natural transformation

$$P_n(\Sigma_n^\infty \Omega_n^\infty) \longrightarrow \mathrm{id}_{\mathcal{P}_n \mathcal{C}}$$

is an equivalence. Since all functors involved commute with filtered colimits it suffices to show that this natural transformation is an equivalence after evaluating on every compact object. First, consider an object $X \in \mathcal{P}_n \mathcal{C}$ that is equivalent to $\Sigma_n^\infty Y$ for some $Y \in \mathcal{C}$. The triangle identities for the adjunction $(\Sigma_n^\infty, \Omega_n^\infty)$ yield a diagram

$$\begin{array}{ccc} \Sigma_n^\infty & & \\ \downarrow & \searrow & \\ \Sigma_n^\infty \Omega_n^\infty \Sigma_n^\infty & \longrightarrow & \Sigma_n^\infty. \end{array}$$

Observe that Σ_n^∞ is n -excisive and furthermore

$$P_n(\Sigma_n^\infty \Omega_n^\infty \Sigma_n^\infty) \simeq P_n(\Sigma_n^\infty \Omega_n^\infty) \Sigma_n^\infty.$$

This latter observation follows from the fact that Σ_n^∞ preserves colimits. Therefore, applying P_n to the previous diagram and evaluating at Y yields the diagram

$$\begin{array}{ccc} \Sigma_n^\infty Y & & \\ \downarrow & \searrow & \\ P_n(\Sigma_n^\infty \Omega_n^\infty) \Sigma_n^\infty Y & \longrightarrow & \Sigma_n^\infty Y. \end{array}$$

We claim that the vertical map is an equivalence. Indeed, since the unit $\text{id}_c \rightarrow \Omega_n^\infty \Sigma_n^\infty$ is a P_n -equivalence (i.e. an equivalence after applying P_n), so is the natural transformation

$$\Sigma_n^\infty \longrightarrow \Sigma_n^\infty \Omega_n^\infty \Sigma_n^\infty$$

obtained by whiskering the unit with Σ_n^∞ . We conclude that the map

$$P_n(\Sigma_n^\infty \Omega_n^\infty) \Sigma_n^\infty Y \longrightarrow \Sigma_n^\infty Y$$

is an equivalence as well. Let us now show how to reduce the case of a general compact object X to this one. By Corollary 3.12 there exists a $k \geq 0$ such that the k 'th suspension $\Sigma^k X$ is in the essential image of Σ_n^∞ , so that

$$P_n(\Sigma_n^\infty \Omega_n^\infty) \Sigma^k X \longrightarrow \Sigma^k X$$

is an equivalence by the argument above. Let us show that

$$P_n(\Sigma_n^\infty \Omega_n^\infty) \Sigma^{k-1} X \longrightarrow \Sigma^{k-1} X$$

is also an equivalence. Iterating our argument k times will then finish the proof. By Remark 3.4 the map of $(n+1)$ -cubes

$$P_n(\Sigma_n^\infty \Omega_n^\infty) C_n(\Sigma^{k-1} X) \longrightarrow C_n(\Sigma^{k-1} X)$$

is an equivalence at every vertex corresponding to a non-empty subset $S \subseteq \{1, \dots, n+1\}$. The cube $C_n(\Sigma^{k-1} X)$ is strongly coCartesian. Since $P_n(\Sigma_n^\infty \Omega_n^\infty)$ and $\text{id}_{\mathcal{P}_n c}$ are n -excisive, both the

domain and codomain of the map above are then Cartesian cubes. Therefore the map

$$P_n(\Sigma_n^\infty \Omega_n^\infty) \Sigma^{k-1} X \longrightarrow \Sigma^{k-1} X$$

obtained by evaluating at the initial vertex must be an equivalence as well, establishing the inductive step. $\square$

We are after the following strengthening of the previous proposition:

Proposition 3.14. *The adjunction $\mathcal{C} \rightleftarrows \mathcal{P}_n \mathcal{C}$ is a strong n -excisive approximation to $\mathcal{C}$.*

This proposition follows directly from Proposition 3.13 and the following characterization of n -excisive categories:

Proposition 3.15. *Let $\mathcal{C}$ be a pointed, compactly generated ∞ -category. Then $\mathcal{C}$ is n -excisive if and only if $\mathcal{C}$ satisfies the following two conditions:*

- (a) *The identity functor $\text{id}_{\mathcal{C}}$ is n -excisive.*
- (b) *The functor $L_n : \mathcal{C} \longrightarrow \mathcal{T}_n \mathcal{C}$ is an equivalence.*

Proof. First suppose $\mathcal{C}$ is n -excisive. Then (a) is true by definition. For (b), consider the diagram

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{L_n} & \mathcal{T}_n \mathcal{C} \\ \Sigma_n^\infty \downarrow & & \downarrow \mathcal{T}_n \Sigma_n^\infty \\ \mathcal{P}_n \mathcal{C} & \xrightarrow{\mathcal{P}_n L_n} & \mathcal{T}_n \mathcal{P}_n \mathcal{C}. \end{array}$$

The bottom horizontal map is an equivalence by construction; the left vertical map is an equivalence since it is a weak n -excisive approximation and we assumed $\mathcal{C}$ to be n -excisive; the right vertical arrow is obtained by applying $\mathcal{T}_n$ to the left one and is therefore an equivalence as well. We conclude that L_n must be an equivalence. Conversely, assume $\mathcal{C}$ satisfies (a) and (b) and $F : \mathcal{C} \rightleftarrows \mathcal{D} : G$ is a weak n -excisive approximation to $\mathcal{C}$. We wish to show that F is an equivalence. First recall that F must be a fully faithful functor as a consequence of assumption (a). We should argue that it is essentially surjective. Since F preserves compact objects and filtered colimits it suffices to show that every compact object X of $\mathcal{D}$ is in the essential image of F . Lemma 3.17 below tells us that F induces an equivalence on stabilizations, so that by the same reasoning as in Corollary 3.12 there exists a $k \geq 0$ such that $\Sigma^k X$ is in the essential image of

F . We will show that X itself is also in this essential image. Suppose $k > 0$ (the case $k = 0$ being trivial) and consider the strongly coCartesian $(n+1)$ -cube $C_n(\Sigma^{k-1}X)$. Denote by $\mathcal{X}_0$ its restriction to $\mathbf{P}_0(n)$. The special punctured cube $\mathcal{X}_0$ features only $\Sigma^k X$ and coproducts of this object with itself and is therefore contained in the essential image of F , so that we may lift it (in an essentially unique way) to a punctured cube $\mathcal{X}'_0$ in $\mathcal{C}$. This punctured cube is again special; recall that $\mathcal{C}$ is a colocalization of $\mathcal{D}$, so that F creates colimits in $\mathcal{C}$. We may therefore think of $\mathcal{X}'_0$ as an object of $\mathcal{T}_n\mathcal{C}$. By taking the limit, we may extend $\mathcal{X}'_0$ to an n -cube $\mathcal{X}'$ in $\mathcal{C}$ satisfying $\mathcal{X}'(\emptyset) = R_n\mathcal{X}'_0$. We claim that $\mathcal{X}'$ is a strongly coCartesian cube. Consider the natural map of $(n+1)$ -cubes

$$C_n(\mathcal{X}'(\emptyset)) \longrightarrow \mathcal{X}'.$$

Both cubes have the same initial vertex and the restriction of the left-hand side to $\mathbf{P}_0(n+1)$ is by definition $L_n R_n \mathcal{X}'_0$, which is equivalent to $\mathcal{X}'_0$ by assumption (b). This shows that the map above is an equivalence, so that $\mathcal{X}'$ is indeed strongly coCartesian. The cube $F\mathcal{X}'$ is then strongly coCartesian as well. Since $\mathcal{D}$ has n -excisive identity functor, this cube is Cartesian, so we may conclude that

$$F\mathcal{X}'(\emptyset) \simeq \varprojlim F\mathcal{X}'_0 \simeq \varprojlim \mathcal{X}_0 \simeq \Sigma^{k-1}X.$$

This proves $\Sigma^{k-1}X$ is in the essential image of F as well. A descending induction on k now shows that X itself is in the essential image of F , finishing the proof. $\square$

The following is a more explicit reformulation of the previous characterization:

Corollary 3.16. *Let $\mathcal{C}$ be as in the previous proposition. Then $\mathcal{C}$ is n -excisive if and only if $\mathcal{C}$ satisfies the following:*

(a) *The identity functor $\mathrm{id}_{\mathcal{C}}$ is n -excisive.*

(b') *If $\mathcal{X} : \mathbf{P}(n+1) \rightarrow \mathcal{C}$ is a Cartesian n -cube such that its restriction $\mathcal{X}|_{\mathbf{P}_0(n+1)}$ is a special punctured $(n+1)$ -cube, then $\mathcal{X}$ is in fact strongly coCartesian.*

Proof. We already observed that if $\mathcal{C}$ satisfies condition (a), then the unit map $\mathrm{id}_{\mathcal{C}} \rightarrow R_n L_n$ is an equivalence. Condition (b') is just an explicit formulation of what it means for the counit $L_n R_n \rightarrow \mathrm{id}_{\mathcal{T}_n\mathcal{C}}$ to be an equivalence. $\square$

In the proof of Proposition 3.15 we used the following lemma:

Lemma 3.17. *Let $F : \mathcal{C} \rightleftarrows \mathcal{D} : G$ be a weak n -excisive approximation. Then F induces an equivalence on stabilizations.*

Proof. Consider the linear functors D_1F , D_1G , $D_1(FG)$ and $D_1(GF)$ obtained by taking first Goodwillie derivatives. By the general theory of calculus, the functor D_1F canonically factors as

$$\mathcal{C} \xrightarrow{\Sigma_{\mathcal{C}}^{\infty}} \mathrm{Sp}(\mathcal{C}) \xrightarrow{\partial F} \mathrm{Sp}(\mathcal{D}) \xrightarrow{\Omega_{\mathcal{D}}^{\infty}} \mathcal{D}$$

and of course a similar factorization exists for the other functors. By the Klein-Rognes chain rule (Corollary 6.2.1.24 of [24]), there are equivalences

$$\partial(FG) \simeq \partial F \circ \partial G,$$

$$\partial(GF) \simeq \partial G \circ \partial F.$$

By the assumption that F and G form a weak n -excisive approximation, we know that FG and GF are P_n -equivalent to the identity functors of $\mathcal{C}$ and $\mathcal{D}$ respectively. In particular,

$$D_1(FG) \simeq D_1 \mathrm{id}_{\mathcal{C}},$$

$$D_1(GF) \simeq D_1 \mathrm{id}_{\mathcal{D}}.$$

It follows that $\partial F \circ \partial G \simeq \mathrm{id}_{\mathrm{Sp}(\mathcal{C})}$ and $\partial G \circ \partial F \simeq \mathrm{id}_{\mathrm{Sp}(\mathcal{D})}$, so that in particular ∂F is an equivalence of ∞ -categories. $\square$

We can now prove our first main result.

Proof of Theorem 2.7. If $\mathcal{C}$ is an n -excisive ∞ -category, then Proposition 3.15 lets us conclude that the functor $\mathcal{C} \rightarrow \mathcal{P}_n \mathcal{C}$ is an equivalence. To prove that $\mathcal{P}_n$ is indeed a localization functor, it suffices to prove the following statement: if $\mathcal{C}$ and $\mathcal{D}$ are pointed, compactly generated ∞ -categories, where furthermore $\mathcal{D}$ is n -excisive, then precomposition with the functor $\Sigma_n^{\infty} : \mathcal{C} \rightarrow \mathcal{P}_n \mathcal{C}$ induces an equivalence

$$(\Sigma_n^{\infty})^* : \mathrm{Fun}^{\omega, L}(\mathcal{P}_n \mathcal{C}, \mathcal{D}) \longrightarrow \mathrm{Fun}^{\omega, L}(\mathcal{C}, \mathcal{D}).$$

Here $\mathrm{Fun}^{\omega, L}$ denotes the ∞ -category of colimit-preserving functors which in addition preserve compact objects. An explicit inverse to $(\Sigma_n^{\infty})^*$ can be described as follows: given a functor $F \in$

$\text{Fun}^{\omega,L}(\mathcal{C}, \mathcal{D})$, form the composition of $\mathcal{P}_n F : \mathcal{P}_n \mathcal{C} \rightarrow \mathcal{P}_n \mathcal{D}$ with the equivalence $\Omega_n^\infty : \mathcal{P}_n \mathcal{D} \rightarrow \mathcal{D}$.

To see that this gives an inverse, first observe that for such an F , the composite

$$\mathcal{C} \xrightarrow{\Sigma_n^\infty} \mathcal{P}_n \mathcal{C} \xrightarrow{\mathcal{P}_n F} \mathcal{P}_n \mathcal{D} \xrightarrow{\Omega_n^\infty} \mathcal{D}$$

is $\mathcal{P}_n F$ by part (b) of Lemma 3.9. Since F is n -excisive, this is naturally equivalent to F itself.

For the other direction, suppose $G \in \text{Fun}^{\omega,L}(\mathcal{P}_n \mathcal{C}, \mathcal{D})$ and consider the composite

$$\mathcal{P}_n \mathcal{C} \xrightarrow{\mathcal{P}_n(G\Sigma_n^\infty)} \mathcal{P}_n \mathcal{D} \xrightarrow{\Omega_n^\infty} \mathcal{D}.$$

Again, a simple unravelling of the definitions shows that this composite is $\mathcal{P}_n G$, which is naturally equivalent to G , since G itself is n -excisive. Part (c) of the theorem is precisely Lemma 3.8.

The final claim of the theorem is that strong n -excisive approximations are unique up to canonical equivalence. To see this, suppose $F : \mathcal{C} \rightleftarrows \mathcal{D} : G$ is a strong n -excisive approximation to $\mathcal{C}$. By what we have proved, there is a canonical factorization of F into colimit-preserving functors

$$\mathcal{C} \xrightarrow{\Sigma_n^\infty} \mathcal{P}_n \mathcal{C} \xrightarrow{F'} \mathcal{D}.$$

Denote the right adjoint to F' by G' . We claim that the pair (F', G') is a weak n -excisive approximation to $\mathcal{P}_n \mathcal{C}$. Since the latter ∞ -category is n -excisive, it then follows that F' must be an equivalence. To prove our claim, first observe that repeatedly using the equivalence between $P_n(\Sigma_n^\infty \Omega_n^\infty)$ and the identity functor of $\mathcal{P}_n \mathcal{C}$ yields the following chain of equivalences:

$$\begin{aligned} P_n(G'F') &\simeq P_n(\Sigma_n^\infty \Omega_n^\infty G'F' \Sigma_n^\infty \Omega_n^\infty) \\ &\simeq P_n(\Sigma_n^\infty GF \Omega_n^\infty) \\ &\simeq P_n(\Sigma_n^\infty \Omega_n^\infty) \\ &\simeq \text{id}_{\mathcal{P}_n \mathcal{C}}. \end{aligned}$$

Furthermore, this composite of equivalences can easily be seen to be inverse to the image under

P_n of the unit of the adjoint pair (F', G') . Similarly, one has the chain of equivalences

$$\begin{aligned} P_n(F'G') &\simeq P_n(F'\Sigma_n^\infty\Omega_n^\infty G') \\ &\simeq P_n(FG) \\ &\simeq \text{id}_{\mathcal{D}}, \end{aligned}$$

which together with our previous observation proves that (F', G') is indeed a weak n -excisive approximation. $\square$

4 Another construction of polynomial approximations

Our goal is to provide a classification of the n -stages of a nonunital stable ∞ -operad $\mathcal{O}^\otimes$, in the sense of Definition 2.16. To achieve this we will establish a different construction of the polynomial approximations $\mathcal{P}_n\mathcal{C}$ of the previous section. This construction can be modified to obtain n -stages for an ∞ -operad $\mathcal{O}^\otimes$ and we will exploit this to prove Theorem 2.20. In this section we give an informal outline of our strategy in order to orient the reader. A more detailed treatment and the proof of Theorem 2.20 will be given in the following chapters.

Almost all constructions and proofs in the next few chapters proceed by induction on n . They are therefore less direct than what was done before. However, they yield a much more explicit understanding of the ∞ -categories $\mathcal{P}_n\mathcal{C}$ and the way they relate for different values of n . Throughout this section $\mathcal{C}$ denotes a pointed compactly generated ∞ -category.

Out of the $(n-1)$ -excisive approximation $\mathcal{P}_{n-1}\mathcal{C}$ we will construct an n -excisive ∞ -category $\mathcal{Q}_n\mathcal{C}$, which will turn out to be naturally equivalent to $\mathcal{P}_n\mathcal{C}$. Any object $X \in \mathcal{C}$ is canonically a *coalgebra* for the Cartesian product, meaning there are diagonal maps $X \rightarrow (X^{\times k})^{\Sigma_k}$ for every $k \geq 1$ satisfying appropriate coherence relations. This gives $\Sigma^\infty X$ the structure of a coalgebra in $\mathrm{Sp}(\mathcal{C})^\otimes$; more precisely, we obtain ‘diagonal maps’ $\Sigma^\infty X \rightarrow (\Sigma^\infty X \otimes^k \cdots \otimes^k \Sigma^\infty X)^{\Sigma_k}$ satisfying similar coherence relations, where $\otimes^k$ denotes the k -fold tensor product arising from the stable ∞ -operad $\mathrm{Sp}(\mathcal{C})^\otimes$. We describe such coalgebra structures in detail in the next chapter. Similarly, for $Y \in \mathcal{P}_{n-1}\mathcal{C}$, the object $\Sigma_{n-1,1}^\infty Y$ acquires a coalgebra structure in $\mathrm{Sp}(\mathcal{P}_{n-1}\mathcal{C})^\otimes$. Write $\odot^k$ for the k -fold tensor product determined by this stable ∞ -operad. For $1 \leq k \leq n-1$ there are natural equivalences $\odot^k \simeq \otimes^k$. The diagonal into the n -fold tensor product $\odot^n$ yields a map

$$\Sigma_{n-1,1}^\infty Y \longrightarrow (\Sigma_{n-1,1}^\infty Y \odot^n \cdots \odot^n \Sigma_{n-1,1}^\infty Y)^{t\Sigma_n}.$$

If Y is of the form $\Sigma_{n-1}^\infty X$ for some $X \in \mathcal{C}$, the coalgebra structure of $\Sigma^\infty X$ described previously also yields a map

$$\Sigma_{n-1,1}^\infty Y \longrightarrow (\Sigma_{n-1,1}^\infty Y \otimes^n \cdots \otimes^n \Sigma_{n-1,1}^\infty Y)^{t\Sigma_n}.$$

The codomain of this map is an $(n-1)$ -excisive functor of Y (see Lemma 5.29). It is not hard to see that using this fact in combination with Lemma 2.5 one obtains an extension of the above natural transformation to *all* objects Y of $\mathcal{P}_{n-1}\mathcal{C}$, rather than just those in the image of Σ_{n-1}^∞ . We will refer to this natural transformation as the *Tate diagonal*. The map of ∞ -operads

$\mathrm{Sp}(\mathcal{P}_{n-1}\mathcal{C})^{\otimes} \rightarrow \mathrm{Sp}(\mathcal{C})^{\otimes}$ induces a natural transformation $\otimes^n \rightarrow \odot^n$ under which the two maps above are compatible.

We can now informally describe $\mathcal{Q}_n\mathcal{C}$ as follows. A compact object of this category is a compact object Y of $\mathcal{P}_{n-1}\mathcal{C}$, equipped with an n -fold diagonal map

$$\Sigma_{n-1,1}^{\infty} Y \longrightarrow (\Sigma_{n-1,1}^{\infty} Y \otimes^n \cdots \otimes^n \Sigma_{n-1,1}^{\infty} Y)^{\Sigma_n}.$$

This diagonal should be compatible both with the Tate diagonal described above (under the natural map from fixed points to Tate construction) and with the coalgebra structure of $\Sigma_{n-1,1}^{\infty} Y$ in $\mathrm{Sp}(\mathcal{P}_{n-1}\mathcal{C})^{\otimes}$ (under the natural transformation $\otimes^n \rightarrow \odot^n$). More precisely, the object $\bar{Y} = \Sigma_{n-1,1}^{\infty} Y$ should be equipped with a lift as indicated by the dashed arrow in the following diagram:

$$\begin{array}{ccc} \bar{Y} & \xrightarrow{\quad} & (\bar{Y}^{\otimes n})^{\Sigma_n} \longrightarrow (\bar{Y}^{\otimes n})^{t\Sigma_n} \\ & \searrow \text{dashed} & \downarrow \\ & & (\bar{Y}^{\odot n})^{\Sigma_n} \longrightarrow (\bar{Y}^{\odot n})^{t\Sigma_n} \end{array}$$

Maps in $\mathcal{Q}_n\mathcal{C}$ between such objects are maps in $\mathcal{P}_{n-1}\mathcal{C}$ that respect this extra structure.

The reader should observe that the only piece of input from $\mathcal{C}$ needed to construct $\mathcal{Q}_n\mathcal{C}$ out of $\mathcal{P}_{n-1}\mathcal{C}$ is the Tate diagonal. For any other choice of natural transformation

$$\bar{Y} \longrightarrow (\bar{Y} \otimes^n \cdots \otimes^n \bar{Y})^{t\Sigma_n}$$

compatible with the map

$$\bar{Y} \longrightarrow (\bar{Y} \odot^n \cdots \odot^n \bar{Y})^{t\Sigma_n}$$

we could have carried out the same construction. The resulting ∞ -category is not necessarily equivalent to $\mathcal{P}_n\mathcal{C}$, but is still an n -stage for $\mathrm{Sp}(\mathcal{C})^{\otimes}$. We will show that choosing the Tate diagonal is essentially the *only* freedom we have when building n -stages out of $(n-1)$ -stages. Verifying this claim will yield a proof of Theorem 2.20.

Example 4.1. Let $\mathcal{C}$ be the ∞ -category $\mathcal{S}_*$ of pointed spaces and consider a finite pointed space X . The diagonal $X \rightarrow (X \times X)^{\Sigma_2}$ gives rise to the Tate diagonal $\Sigma^{\infty} X \rightarrow (\Sigma^{\infty} X \wedge \Sigma^{\infty} X)^{t\Sigma_2}$.

The codomain of this map is an *exact* functor of $\Sigma^\infty X$. Therefore, the Tate diagonal extends to a natural map $Y \rightarrow (Y \wedge Y)^{t\Sigma_2}$ for any finite spectrum Y . The ∞ -category of compact objects in $\mathcal{P}_2\mathcal{S}_*$ is then the ∞ -category of finite spectra Y equipped with a lift as in the following diagram:

$$\begin{array}{ccc} & (Y \wedge Y)^{\Sigma_2} & \\ \nearrow & \downarrow & \\ Y & \longrightarrow & (Y \wedge Y)^{t\Sigma_2}. \end{array}$$

5 Coalgebras in stable ∞ -operads

To make the ideas of the previous section precise we need to investigate the homotopy theory of *coalgebras* in stable ∞ -operads and their relation to the *truncations* of a stable ∞ -operad. In Section 5.1 we define such truncations and state their universal property. In Section 5.2 we define and study coalgebras in corepresentable ∞ -operads. Then in Section 5.3 we specialize to the setting of coalgebras in truncated stable ∞ -operads. This material is of crucial importance to our proofs but rather technical in nature. The reader might therefore wish to skip this chapter on first reading and refer back to it as needed. The homotopy theory of coalgebras we use here is closely related to the one used by Arone and Ching in [2].

5.1 Truncations of ∞ -operads

Suppose $\mathbf{O}$ is a *nonunital* operad in the (ordinary) symmetric monoidal category of spectra. From such an $\mathbf{O}$ we can construct its *n-truncation* $\tau_n \mathbf{O}$, whose underlying symmetric sequence is defined by

$$\tau_n \mathbf{O}(k) = \begin{cases} \mathbf{O}(k) & \text{if } 1 \leq k \leq n, \\ * & \text{if } k > n. \end{cases}$$

The operad structure on $\tau_n \mathbf{O}$ is inherited in the obvious way from $\mathbf{O}$, simply setting operations of arity greater than n to zero. Observe that there is an evident map of operads $\mathbf{O} \rightarrow \tau_n \mathbf{O}$ (although the evident map of symmetric sequences going in the opposite direction is generally *not* a map of operads). This map induces an isomorphism $\mathbf{O}(k) \rightarrow \tau_n \mathbf{O}(k)$ for $k \leq n$ and is the terminal map out of $\mathbf{O}$ with this property. In fact, writing $\mathbf{Op}$ for the category of nonunital operads in spectra and $\mathbf{Op}_n$ for its full subcategory spanned by operads whose terms $\mathbf{O}(k)$ are isomorphic to $*$ for $k > n$, the process of n -truncation described above provides a left adjoint $\mathbf{Op} \rightarrow \mathbf{Op}_n$ to the inclusion $\mathbf{Op}_n \rightarrow \mathbf{Op}$, exhibiting the category of n -truncated nonunital operads as a localization of $\mathbf{Op}$.

Remark 5.1. For the discussion above it is essential to work with nonunital operads. Indeed, suppose $\mathbf{P}$ is an operad with constant term $\mathbf{P}(0)$ and $\tau_n \mathbf{P}$ is defined by setting all operations of arity greater than n to zero. Then the map of symmetric sequences $\mathbf{P} \rightarrow \tau_n \mathbf{P}$ need not be a map of operads; indeed it might not respect, for example, composition maps of the form $\mathbf{P}(n+1) \otimes \mathbf{P}(0) \rightarrow \mathbf{P}(n)$.

Relevant to us will be a Koszul dual version of this discussion. To be precise, for a map of operads $\mathbf{O} \rightarrow \tau_n \mathbf{O}$ as above one can perform a simplicial bar construction [5] to obtain a map of cooperads $\mathbf{B}(\mathbf{O}) \rightarrow \mathbf{B}(\tau_n \mathbf{O})$. This map gives an isomorphism of spectra

$$\mathbf{B}(\mathbf{O})(k) \simeq \mathbf{B}(\tau_n \mathbf{O})(k)$$

for $1 \leq k \leq n$ (and is the terminal map of cooperads out of $\mathbf{B}(\mathbf{O})$ with this property). Indeed, the k 'th term of the bar construction $\mathbf{B}(\mathbf{O})$ depends only on $\mathbf{O}(m)$ for $m \leq k$, so that this observation follows from the corresponding statement for $\mathbf{O}$ itself. However, the terms $\mathbf{B}(\tau_n \mathbf{O})(k)$ need *not* be contractible for $k > n$. Rather, one should think of the cooperad $\mathbf{B}(\tau_n \mathbf{O})$ as being ‘cofreely generated’ by the terms of arity up to n .

For the purposes of informal discussion, let us think of the derivatives of the identity functor on a pointed compactly generated ∞ -category $\mathcal{C}$ as an operad $\mathbf{O}$ (which is, of course, accurate in many settings of interest). The derivatives of the identity functor on the n -excisive approximation $\mathcal{P}_n \mathcal{C}$ are then the n -truncation of this operad. We wish to adapt this discussion to the actual setting in which we work here, namely the stable ∞ -operads $\mathrm{Sp}(\mathcal{C})^\otimes$ and $\mathrm{Sp}(\mathcal{P}_n \mathcal{C})^\otimes$. As explained in Remark 2.11 there is a dictionary between stable ∞ -operads and cooperads, under which $\mathrm{Sp}(\mathcal{C})^\otimes$ corresponds to the cooperad $\partial_*(\Sigma^\infty \Omega^\infty)$ and similarly for $\mathrm{Sp}(\mathcal{P}_n \mathcal{C})^\otimes$. The n -truncation map $\partial_* \mathrm{id}_{\mathcal{C}} \rightarrow \partial_* \mathrm{id}_{\mathcal{P}_n \mathcal{C}}$ corresponds (under the bar construction) to a map between these cooperads and then, by this dictionary, to the map of stable ∞ -operads

$$\mathrm{Sp}(\mathcal{P}_n \mathcal{C})^\otimes \longrightarrow \mathrm{Sp}(\mathcal{C})^\otimes.$$

Note the switch of direction here. The goal of this section is to transfer our discussion to this setting, in particular describing and characterizing n -truncations of stable ∞ -operads, of which the above map is an example. We will state our results but defer all proofs in this section to Appendix C.

Definition 5.2. Write $\mathbf{Op}^{\mathrm{St}}$ for the ∞ -category of nonunital stable ∞ -operads and maps $\mathcal{N}^\otimes \rightarrow \mathcal{O}^\otimes$ whose underlying functor $\mathcal{N} \rightarrow \mathcal{O}$ preserves limits and filtered colimits.

Using Propositions 6.2.4.14 and 6.2.4.15 of [24] one can show that the stabilization procedure which assigns to a pointed compactly generated ∞ -category $\mathcal{C}$ the ∞ -operad $\mathrm{Sp}(\mathcal{C})^\otimes$ can be

made into a functor

$$\mathrm{Sp}(-)^{\otimes} : (\mathbf{Cat}_*^{\omega})^{\mathrm{op}} \longrightarrow \mathbf{Op}^{\mathrm{St}}.$$

Recall that for a nonunital ∞ -operad we introduced the simplicial set $\mathcal{O}_{\leq n}^{\otimes}$ in Chapter 2. To state the necessary results, we will need the ∞ -category of n -truncated ∞ -operads. Informally speaking a nonunital n -truncated ∞ -operad is a categorical fibration over $\mathrm{NSurj}_{\leq n}$ satisfying the evident analogues of the axioms required of an ∞ -operad. One way to make this precise is as follows: consider the subcategory of $\mathbf{sSets}/\mathrm{NSurj}_{\leq n}$ spanned by objects of the form $\mathcal{O}_{\leq n}^{\otimes}$, with $\mathcal{O}^{\otimes}$ ranging through all nonunital ∞ -operads, and arrows those maps over $\mathrm{NSurj}_{\leq n}$ preserving inert morphisms. This subcategory is a simplicial category in an evident way and one can define $\mathbf{Op}_{\leq n}$ to be its homotopy-coherent nerve.

Remark 5.3. A less ad hoc way of defining $\mathbf{Op}_{\leq n}$ is by constructing a model structure on the category of marked simplicial sets over $(\mathrm{NSurj}_{\leq n})^{\natural}$ in analogy with Lurie's construction of the model category of ∞ -preoperads in [24]. The details are straightforward, simply copying Lurie's work and replacing $\mathcal{N}\mathcal{F}\mathrm{in}_*$ by $\mathrm{NSurj}_{\leq n}$ throughout. An alternative way of describing this homotopy theory using dendroidal sets is given in the appendix.

We call an n -truncated ∞ -operad $\mathcal{O}_{\leq n}^{\otimes}$ *stable* if $\mathcal{O}^{\otimes}$ itself is stable.

Definition 5.4. Write $\mathbf{Op}_{\leq n}^{\mathrm{St}}$ for ∞ -category of nonunital stable n -truncated ∞ -operads and maps $\mathcal{N}_{\leq n}^{\otimes} \rightarrow \mathcal{O}_{\leq n}^{\otimes}$ whose underlying functor $\mathcal{N} \rightarrow \mathcal{O}$ preserves limits and filtered colimits.

Pulling back from NSurj to $\mathrm{NSurj}_{\leq n}$ defines a functor

$$(-)_{\leq n} : \mathbf{Op}^{\mathrm{St}} \longrightarrow \mathbf{Op}_{\leq n}^{\mathrm{St}}.$$

The following result is a shadow of Theorem 2.7 in the world of ∞ -operads, with $(-)_{\leq n}$ being the analogue of the n -excisive approximation $\mathcal{P}_n$.

Theorem 5.5. *The functor $(-)_{\leq n}$ admits a left adjoint $i_n : \mathbf{Op}_{\leq n}^{\mathrm{St}} \rightarrow \mathbf{Op}^{\mathrm{St}}$. Furthermore, for an n -truncated ∞ -operad $\mathcal{N}^{\otimes}$ the unit $\mathcal{N}^{\otimes} \rightarrow (i_n \mathcal{N}^{\otimes})_{\leq n}$ is an equivalence.*

This theorem can be rephrased as saying that the n -truncated nonunital stable ∞ -operads form a coreflective subcategory of $\mathbf{Op}^{\mathrm{St}}$. We write τ_n for the composite $i_n \circ (-)_{\leq n}$. The motivating example of n -truncations (which makes the comment above the previous theorem precise) is the following:

Proposition 5.6. *Let $\mathcal{C}$ be a pointed compactly generated ∞ -category. Then the map of ∞ -operads $\tau_n \mathrm{Sp}(\mathcal{P}_n \mathcal{C})^\otimes \rightarrow \mathrm{Sp}(\mathcal{P}_n \mathcal{C})^\otimes$ is an equivalence. Furthermore, the map $\tau_n \mathrm{Sp}(\mathcal{P}_n \mathcal{C})^\otimes \rightarrow \tau_n \mathrm{Sp}(\mathcal{C})^\otimes$ is also an equivalence.*

Another way of phrasing this proposition is to say that $\mathrm{Sp}(\mathcal{P}_n \mathcal{C})^\otimes$ is the coreflection of $\mathrm{Sp}(\mathcal{C})^\otimes$ into the ∞ -category of n -truncated stable ∞ -operads.

Let $\mathcal{O}^\otimes$ be a nonunital stable ∞ -operad and write $\otimes^k$ for the k -fold tensor product on the ∞ -category $\mathcal{O}$ it defines. Similarly, write $\odot^k$ for the k -fold tensor product on $\mathcal{O}$ determined by the ∞ -operad $\tau_n \mathcal{O}^\otimes$. It is immediate from Theorem 5.5 that the functors $\odot^k$ are canonically equivalent to $\otimes^k$ for $k \leq n$. It will be useful to have an explicit description of $\odot^k$ in terms of $\otimes^k$ for general k . We first need to introduce some notation.

Let $\mathbf{Equiv}(k)$ denote the set of equivalence relations on the set $\{1, \dots, k\}$. It is a partially ordered set under refinement of equivalence relations, with minimal element the discrete equivalence relation and maximal element the trivial equivalence relation with one equivalence class. Consider the functor

$$q : \mathbf{Equiv}(k) \longrightarrow \mathcal{F}\mathrm{in}_* : E \longmapsto (\{1, \dots, k\}/E)_*,$$

where the subscript $*$ denotes the addition of a disjoint basepoint. Write $\Delta/\mathbf{NEquiv}(k)$ for the category of simplices of the nerve of $\mathbf{Equiv}(k)$ and consider the full subcategory $\mathbf{Part}(k) \subseteq \Delta/\mathbf{NEquiv}(k)$ consisting of simplices of dimension at least 1 with initial (resp. final) vertex the discrete (resp. trivial) equivalence relation. In other words, $\mathbf{Part}(k)$ is the partially ordered set whose elements are the non-empty linearly ordered subsets of $\mathbf{Equiv}(k)$ whose minimal (resp. maximal) element maps to $\langle k \rangle$ (resp. $\langle 1 \rangle$) under the functor q . We will need a further subset $\mathbf{Part}_n(k) \subseteq \mathbf{Part}(k)$. It consists of those elements $E_0 < \dots < E_j$ of $\mathbf{Part}(k)$ such that for each $1 \leq i \leq j$ the fibers of the map $q(E_{i-1}) \rightarrow q(E_i)$ have cardinality at most n . Observe that if $n \geq k$ then the inclusion $\mathbf{Part}_n(k) \subseteq \mathbf{Part}(k)$ is an equality, but this is not the case if $n < k$. Also, q induces a functor

$$Q : \mathbf{Part}(k) \longrightarrow (\Delta/\mathcal{N}\mathcal{F}\mathrm{in}_*)^{\langle k \rangle, \langle 1 \rangle},$$

where $(\Delta/\mathcal{N}\mathcal{F}\mathrm{in}_*)^{\langle k \rangle, \langle 1 \rangle}$ denotes the full subcategory of $\Delta/\mathcal{N}\mathcal{F}\mathrm{in}_*$ on simplices starting at $\langle k \rangle$ and ending at $\langle 1 \rangle$.

Example 5.7. The poset $\mathbf{Part}(3)$ has 4 elements. Its image under Q can be schematically

drawn as follows:

The three elements on the bottom row correspond to the three different equivalence relations on the set $\{1, 2, 3\}$ that are neither trivial nor discrete. The subset $\mathbf{Part}_2(3) \subset \mathbf{Part}(3)$ consists of precisely those three elements.

We will now define a functor

$$\psi^k : \mathbf{NPart}(k) \longrightarrow \mathrm{Fun}(\mathcal{O}_{\langle k \rangle}^{\otimes}, \mathcal{O}_{\langle 1 \rangle}^{\otimes}).$$

By assumption, the map $p : \mathcal{O}^{\otimes} \longrightarrow \mathbf{NFin}_*$ is a locally coCartesian fibration. In particular, any edge $f : x \rightarrow y$ in $\mathbf{NFin}_*$ determines a functor $f_! : \mathcal{O}_x^{\otimes} \rightarrow \mathcal{O}_y^{\otimes}$, which is canonical up to homotopy. More generally, any simplex $\sigma : \Delta^j \longrightarrow \mathbf{NFin}_*$, given by a sequence of edges

$$\sigma(0) \xrightarrow{f(1)} \sigma(1) \xrightarrow{f(2)} \dots \xrightarrow{f(j)} \sigma(j),$$

determines a functor

$$f(j)_! \circ f(j-1)_! \circ \dots \circ f(1)_! : \mathcal{O}_{\sigma(0)}^{\otimes} \longrightarrow \mathcal{O}_{\sigma(j)}^{\otimes}.$$

Remark 5.8. The composite above is generally *not* equivalent to the functor $\mathcal{O}_{\sigma(0)}^{\otimes} \longrightarrow \mathcal{O}_{\sigma(j)}^{\otimes}$ determined by the edge $\sigma(0) \rightarrow \sigma(j)$. However, if p is a coCartesian fibration (rather than just a locally coCartesian fibration), these are indeed equivalent.

The construction above can be made natural in the simplex σ , so as to yield a functor

$$\theta : \mathbf{N}(\mathbf{\Delta}/\mathbf{NFin}_*)^{\langle k \rangle, \langle 1 \rangle} \longrightarrow \mathrm{Fun}(\mathcal{O}_{\langle k \rangle}^{\otimes}, \mathcal{O}_{\langle 1 \rangle}^{\otimes}).$$

This is done in Definition 7.2.3.8 of [24] and called the *spray* associated to p . Given θ , we can now define ψ^k to be the composite $\theta \circ Q$. Also, we write ψ_n^k for the restriction of ψ^k to $\mathbf{NPart}_n(k)$.

Example 5.9. The diagram ψ^3 is obtained by applying θ to the diagram of Example 5.7.

The top vertex of the resulting diagram is the functor assigning to a tuple (X, Y, Z) the tensor product $X \otimes Y \otimes Z$. The bottom three vertices correspond to the expression $(X \otimes Y) \otimes Z$ and permutations of it. The arrows in the diagram correspond to decomposition maps of the form $X \otimes Y \otimes Z \rightarrow (X \otimes Y) \otimes Z$ as discussed in Remark 2.11. The diagram ψ^4 is already rather large. A small part of it can be pictured as follows, when evaluated on a tuple (X, Y, Z, W) :

$$\begin{array}{ccc} X \otimes Y \otimes Z \otimes W & \longrightarrow & (X \otimes Y \otimes Z) \otimes W \\ \downarrow & & \downarrow \\ X \otimes (Y \otimes Z) \otimes W & \longrightarrow & (X \otimes (Y \otimes Z)) \otimes W. \end{array}$$

Recall that we write $\odot^k$ for the k -fold tensor product determined by the ∞ -operad $\tau_n \mathcal{O}^\otimes$. The following result gives a concrete description of this functor in terms of the tensor products determined by $\mathcal{O}^\otimes$ itself:

Proposition 5.10. *There is a canonical equivalence*

$$\odot^k \longrightarrow \varprojlim_{\mathbf{NPart}_n(k)} \psi_n^k.$$

Note in particular that when $k \leq n$ the diagram ψ_n^k equals ψ^k itself, which has an initial vertex $\otimes^k$, so that indeed $\odot^k \simeq \otimes^k$ in this case. Finally, Proposition 5.12 below will be a useful tool in inductively producing maps between various ∞ -operads. To state it, suppose $\mathcal{O}^\otimes$ and $\mathcal{N}^\otimes$ are nonunital stable ∞ -operads. For simplicity, we will assume that the underlying ∞ -category $\mathcal{N}$ of $\mathcal{N}^\otimes$ is equal to $\mathcal{O}$, although this is only for notational convenience. Write $\otimes_{\mathcal{O}}^k$ and $\otimes_{\mathcal{N}}^k$ for the k -fold tensor products on $\mathcal{O}$ determined by these ∞ -operads. Write $\mathrm{Map}_{\mathcal{O}}(\mathcal{O}^\otimes, \mathcal{N}^\otimes)$ for the space of maps of ∞ -operads which restrict to the identity on the level of underlying ∞ -categories (i.e. after taking fibers over $\langle 1 \rangle$). Any such map $\mathcal{O}^\otimes \rightarrow \mathcal{N}^\otimes$ determines natural transformations $\otimes_{\mathcal{N}}^k \rightarrow \otimes_{\mathcal{O}}^k$. For notational convenience let us write $D_k^{\otimes_{\mathcal{O}}}$ and $D_k^{\otimes_{\mathcal{N}}}$ for the functors described by

$$X \mapsto (X \otimes_{\mathcal{O}}^k \cdots \otimes_{\mathcal{O}}^k X)_{\Sigma_k} \quad \text{and} \quad X \mapsto (X \otimes_{\mathcal{N}}^k \cdots \otimes_{\mathcal{N}}^k X)_{\Sigma_k}$$

respectively. There is then a canonical map

$$\mathrm{Map}_{\mathcal{O}}(\mathcal{O}^\otimes, \mathcal{N}^\otimes) \longrightarrow \mathrm{Nat}(D_k^{\otimes_{\mathcal{N}}}, D_k^{\otimes_{\mathcal{O}}}),$$

where Nat on the right refers to the space of natural transformation between functors from $\mathcal{O}$ to itself. Similarly, writing $\odot_{\mathcal{O}}^k$ for the tensor products on $\mathcal{O}$ determined by $\tau_{n-1}\mathcal{O}^{\otimes}$, there is the analogous map

$$\text{Map}_{\mathcal{O}}(\tau_{n-1}\mathcal{O}^{\otimes}, \mathcal{N}^{\otimes}) \longrightarrow \text{Nat}(D_k^{\otimes_{\mathcal{N}}}, D_k^{\odot_{\mathcal{O}}}).$$

Remark 5.11. Exploiting the correspondence between k -homogeneous functors and symmetric multilinear functors of k variables, one sees that the space $\text{Nat}(D_k^{\otimes_{\mathcal{N}}}, D_k^{\odot_{\mathcal{O}}})$ is equivalent to the space of Σ_k -equivariant natural transformations between $\otimes_{\mathcal{N}}^k$ and $\otimes_{\mathcal{O}}^k$.

Proposition 5.12. *Suppose $\mathcal{O}^{\otimes}$ and $\mathcal{N}^{\otimes}$ are as above. Then the diagram*

$$\begin{array}{ccc} \text{Map}_{\mathcal{O}}(\tau_n\mathcal{O}^{\otimes}, \mathcal{N}^{\otimes}) & \longrightarrow & \text{Nat}(D_n^{\otimes_{\mathcal{N}}}, D_n^{\odot_{\mathcal{O}}}) \\ \downarrow & & \downarrow \\ \text{Map}_{\mathcal{O}}(\tau_{n-1}\mathcal{O}^{\otimes}, \mathcal{N}^{\otimes}) & \longrightarrow & \text{Nat}(D_n^{\otimes_{\mathcal{N}}}, D_n^{\odot_{\mathcal{O}}}) \end{array}$$

is a pullback square in the ∞ -category of spaces.

In words, to extend a map $\tau_{n-1}\mathcal{O}^{\otimes} \rightarrow \mathcal{N}^{\otimes}$ to a map $\tau_n\mathcal{O}^{\otimes} \rightarrow \mathcal{N}^{\otimes}$, one needs to (equivariantly) lift the natural transformation $\otimes_{\mathcal{N}}^n \rightarrow \odot_{\mathcal{O}}^n$ to a natural transformation $\otimes_{\mathcal{N}}^n \rightarrow \otimes_{\mathcal{O}}^n$.

5.2 Coalgebras in a corepresentable ∞ -operad

Let $\mathcal{O}^{\otimes}$ be a corepresentable ∞ -operad, so that its underlying ∞ -category $\mathcal{O}$ comes equipped with tensor product functors $\otimes^k$. In this section we discuss what it means to equip an object $X \in \mathcal{O}$ with the structure of a *coalgebra* in $\mathcal{O}^{\otimes}$, meaning a sequence of ‘diagonal maps’

$$\delta_k : X \longrightarrow X \otimes^k X \otimes^k \dots \otimes^k X$$

which are compatible with the operad structure of $\mathcal{O}^{\otimes}$ in a suitable way.

Remark 5.13. Under the dictionary of Remark 2.11, coalgebras in $\mathcal{O}^{\otimes}$ match with coalgebras over the corresponding cooperad.

Roughly speaking, supplying a coalgebra structure on X is equivalent to upgrading the slice category $\mathcal{O}_{X/}$ to a corepresentable ∞ -operad in a way that is compatible with the operad structure of $\mathcal{O}^{\otimes}$. More precisely, let $p^{\otimes} : \mathcal{X}^{\otimes} \rightarrow \mathcal{O}^{\otimes}$ be a fibration of corepresentable ∞ -operads and suppose that the underlying functor $p : \mathcal{X} \rightarrow \mathcal{O}$ is equivalent (as a fibration over $\mathcal{O}$) to a

projection of the form $\mathcal{O}_{X/} \rightarrow \mathcal{O}$ for some object $X \in \mathcal{O}$. Fix such an equivalence (which need not be unique, but our definition will not depend on the choice). Then, for any tuple of maps $f_1 : X \rightarrow Y_1, \dots, f_n : X \rightarrow Y_n$, the map $p^\otimes$ induces a map

$$\varphi_{f_1, \dots, f_n} : Y_1 \otimes \cdots \otimes Y_n = p(f_1) \otimes \cdots \otimes p(f_n) \longrightarrow p(f_1 \otimes \cdots \otimes f_n).$$

Definition 5.14. We say $p^\otimes$ *exhibits X as a coalgebra in $\mathcal{O}^\otimes$* if the maps $\varphi_{f_1, \dots, f_n}$ are equivalences, for any $n \geq 0$ and choice of maps $f_1, \dots, f_n$. Write $\text{coAlg}(\mathcal{O}^\otimes)$ for the ∞ -category of coalgebras in $\mathcal{O}^\otimes$, which is the opposite of the full subcategory of the ∞ -category of ∞ -operads over $\mathcal{O}^\otimes$ spanned by the coalgebras.

One can think of this definition as follows. Consider the identity map $X = X$ as an object id_X of $\mathcal{O}_{X/}$. The corepresentable ∞ -operad $\mathcal{X}^\otimes$ then determines for every n a tensor product $\text{id}_X \otimes^n \cdots \otimes^n \text{id}_X$, which is another object of $\mathcal{O}_{X/}$. By the requirement that $\varphi_{\text{id}_X, \dots, \text{id}_X}$ is an equivalence, this object determines a map

$$\delta_n : X \longrightarrow X \otimes^n \cdots \otimes^n X.$$

Furthermore, these maps (for various n) satisfy certain coherence relations. For example, the stable ∞ -operad $\mathcal{O}^\otimes$ gives a natural transformation

$$X \otimes^3 X \otimes^3 X \longrightarrow (X \otimes^2 X) \otimes^2 X$$

and a coalgebra as above provides a 2-simplex

$$\begin{array}{ccc} & X \otimes^3 X \otimes^3 X & \\ \delta_3 \nearrow & \downarrow & \\ X & \xrightarrow{\delta_2 \circ (\delta_2 \otimes 1)} & (X \otimes^2 X) \otimes^2 X \end{array}$$

in $\mathcal{O}$. Furthermore, if $\mathcal{X}^\otimes \rightarrow \mathcal{O}^\otimes$ and $\mathcal{Y}^\otimes \rightarrow \mathcal{O}^\otimes$ exhibit objects X and Y respectively as coalgebras

in $\mathcal{O}^\otimes$, then a triangle of maps of ∞ -operads

$$\begin{array}{ccc} \mathcal{Y}^\otimes & \xrightarrow{F} & \mathcal{X}^\otimes \\ & \searrow & \swarrow \\ & \mathcal{O}^\otimes & \end{array}$$

in particular (after passing to fibers over $\langle 1 \rangle$) yields a functor $\mathcal{O}_{Y/} \rightarrow \mathcal{O}_{X/}$ over $\mathcal{O}$, which corresponds to a morphism $f : X \rightarrow Y$. The fact that F is a map of ∞ -operads over $\mathcal{O}^\otimes$ makes f compatible with the coalgebra structures on X and Y .

Remark 5.15. We noted above that the equivalence between $\mathcal{X}$ and $\mathcal{O}_{X/}$ is not necessarily unique; however, the object X itself is unique up to equivalence. We can define a forgetful functor $U : \text{coAlg}(\mathcal{O}^\otimes) \rightarrow \mathcal{O}$, taking the underlying object of a coalgebra, as follows. Consider the functor

$$j : \mathcal{O} \longrightarrow (\mathbf{Cat}/\mathcal{O})^{\text{op}} : X \longmapsto (\mathcal{O}_{X/} \rightarrow \mathcal{O}),$$

which is an embedding by the Yoneda lemma, and write $\text{Repr}(\mathcal{O})$ for its essential image. (To be precise, j is the composition of the Yoneda embedding $\mathcal{O} \rightarrow \text{Fun}(\mathcal{O}^{\text{op}}, \mathcal{S})$ with the unstraightening construction of [22].) Then there is an essentially unique inverse $k : \text{Repr}(\mathcal{O}) \rightarrow \mathcal{O}$ to j . By definition the underlying functor $p : \mathcal{X} \rightarrow \mathcal{O}$ of a coalgebra $p^\otimes$ is in $\text{Repr}(\mathcal{O})$ and we set $U(p^\otimes) = k(p)$.

We need to investigate the behaviour of coalgebras under maps of ∞ -operads. Suppose $g^\otimes : \mathcal{N}^\otimes \rightarrow \mathcal{O}^\otimes$ is a map of ∞ -operads such that the induced functor of underlying ∞ -categories $g : \mathcal{N} \rightarrow \mathcal{O}$ admits a left adjoint f . We claim that for any coalgebra X in $\mathcal{O}^\otimes$, the object $f(X)$ can be given the structure of a coalgebra in $\mathcal{N}^\otimes$ in a canonical way. Let us first explain this heuristically; a rigorous construction is 5.17 below.

For any $Y \in \mathcal{N}$, the map g yields a natural map $g(Y) \otimes_{\mathcal{O}} g(Y) \rightarrow g(Y \otimes_{\mathcal{N}} Y)$. Using this, and the unit and the counit of the adjunction between f and g , we get for any $X \in \mathcal{O}$ a sequence of natural maps

$$\begin{aligned} f(X \otimes_{\mathcal{O}} X) &\longrightarrow f(gf(X) \otimes_{\mathcal{O}} gf(X)) \\ &\longrightarrow fg(f(X) \otimes_{\mathcal{N}} f(X)) \\ &\longrightarrow f(X) \otimes_{\mathcal{N}} f(X). \end{aligned}$$

If X is a coalgebra, so that it comes with a natural map $X \rightarrow X \otimes_{\mathcal{O}} X$, we may form the composite of the maps

$$f(X) \rightarrow f(X \otimes_{\mathcal{O}} X) \rightarrow f(X) \otimes_{\mathcal{N}} f(X)$$

to find a diagonal for $f(X)$. The higher diagonals can be treated analogously. To be more precise, we can construct a functor $f_* : \text{coAlg}(\mathcal{O}^{\otimes}) \rightarrow \text{coAlg}(\mathcal{N}^{\otimes})$ using the following result:

Lemma 5.16. *Let $p^{\otimes} : \mathcal{X}^{\otimes} \rightarrow \mathcal{O}^{\otimes}$ be a map of ∞ -operads exhibiting an object X as a coalgebra in $\mathcal{O}$ and let $g^{\otimes} : \mathcal{N}^{\otimes} \rightarrow \mathcal{O}^{\otimes}$ be as above. Form a pullback square*

$$\begin{array}{ccc} f_*\mathcal{X}^{\otimes} & \longrightarrow & \mathcal{X}^{\otimes} \\ q^{\otimes} \downarrow & & \downarrow p^{\otimes} \\ \mathcal{N}^{\otimes} & \xrightarrow{g^{\otimes}} & \mathcal{O}^{\otimes}. \end{array}$$

Then q exhibits the object $f(X)$ as a coalgebra in $\mathcal{N}^{\otimes}$.

Proof. Observe that the underlying ∞ -category of $\mathcal{X}$ fits into a pullback square

$$\begin{array}{ccc} f_*\mathcal{X} & \longrightarrow & \mathcal{O}_{X/} \\ \downarrow & & \downarrow \\ \mathcal{N} & \longrightarrow & \mathcal{O} \end{array}$$

and is therefore equivalent to $\mathcal{N}_{f(X)/}$. Furthermore, for a collection of maps $\varphi_1 : f(X) \rightarrow Y_1, \dots, \varphi_n : f(X) \rightarrow Y_n$ it is straightforward to check that the functor

$$f_*\mathcal{X}(\varphi_1, \dots, \varphi_n; -) : \mathcal{N}_{f(X)/} \longrightarrow \mathcal{S}$$

is corepresented by the map $f(X) \rightarrow Y_1 \otimes_{\mathcal{N}} \dots \otimes_{\mathcal{N}} Y_n$ adjoint to the composition of the maps

$$X \longrightarrow g(Y_1) \otimes_{\mathcal{O}} \dots \otimes_{\mathcal{O}} g(Y_n) \longrightarrow g(Y_1 \otimes_{\mathcal{N}} \dots \otimes_{\mathcal{N}} Y_n).$$

The first of these maps is determined by the tensor product induced by $\mathcal{X}^{\otimes}$ on $\mathcal{O}_{X/}$, the second is determined by the map of ∞ -operads $g^{\otimes}$. Therefore $f_*\mathcal{X}$ is corepresentable. Also, this description of $f_*\mathcal{X}(\varphi_1, \dots, \varphi_n; -)$ makes it clear that the map $q^{\otimes}$ satisfies the requirements for exhibiting $f(X)$ as a coalgebra in $\mathcal{N}^{\otimes}$. $\square$

Construction 5.17. The pullback square of the previous lemma defines a functor $f_* : \text{coAlg}(\mathcal{O}^\otimes) \rightarrow \text{coAlg}(\mathcal{N}^\otimes)$.

Our source of coalgebras will be a combination of Construction 5.17 and the construction of ‘diagonal’ coalgebras in Cartesian symmetric monoidal ∞ -categories, which we provide now. Consider an ∞ -category $\mathcal{C}$ which admits finite products. In [24] Lurie defines a symmetric monoidal ∞ -category $\mathcal{C}^\times$ whose monoidal structure is given by the Cartesian product. We write $\mathcal{C}_{\text{nu}}^\times$ for its nonunital variant, which is the pullback

$$\text{NSurj} \times_{\text{NFin}_*} \mathcal{C}^\times.$$

For X an object of $\mathcal{C}$ the category $\mathcal{C}_{X/}$ admits finite products as well: for maps $X \rightarrow Y$ and $X \rightarrow Z$ their product in this ∞ -category is the composition $X \rightarrow X \times X \rightarrow Y \times Z$, where the first map is the diagonal. Therefore we may construct another ∞ -operad $\mathcal{C}_{X/}^\times$ which admits an evident forgetful functor to $\mathcal{C}^\times$. The proof of the following is completely straightforward and left to the reader:

Lemma 5.18. *The functor $(\mathcal{C}_{X/}^\times)_{\text{nu}} \rightarrow \mathcal{C}_{\text{nu}}^\times$ exhibits X as a coalgebra in $\mathcal{C}_{\text{nu}}^\times$.*

Definition 5.19. Let $\mathcal{C}$ be an ∞ -category with finite products. Then by the previous lemma we can define a functor which may be described as follows:

$$\text{diag} : \mathcal{C} \longrightarrow \text{coAlg}(\mathcal{C}_{\text{nu}}^\times) : X \longmapsto ((\mathcal{C}_{X/}^\times)_{\text{nu}} \rightarrow \mathcal{C}_{\text{nu}}^\times).$$

Construction 5.20. Suppose $\mathcal{C}$ is a pointed compactly generated ∞ -category. Then $\Omega_n^\infty : \mathcal{P}_n \mathcal{C} \rightarrow \mathcal{C}$ induces a commutative diagram of ∞ -operads

$$\begin{array}{ccc} \mathcal{C}_{\text{nu}}^\times & \longleftarrow & \mathcal{P}_n \mathcal{C}_{\text{nu}}^\times \\ \uparrow & & \uparrow \\ \text{Sp}(\mathcal{C})^\otimes & \longleftarrow & \text{Sp}(\mathcal{P}_n \mathcal{C})^\otimes. \end{array}$$

Applying Construction 5.17 then yields a commutative diagram

$$\begin{array}{ccc} \text{coAlg}(\mathcal{C}_{\text{nu}}^\times) & \longrightarrow & \text{coAlg}(\mathcal{P}_n \mathcal{C}_{\text{nu}}^\times) \\ \downarrow & & \downarrow \\ \text{coAlg}(\text{Sp}(\mathcal{C})^\otimes) & \longrightarrow & \text{coAlg}(\text{Sp}(\mathcal{P}_n \mathcal{C})^\otimes). \end{array}$$

On underlying objects the top horizontal functor may be identified with Σ_n^∞ , the bottom horizontal functor simply with $\text{id}_{\text{Sp}(\mathcal{C})}$. Finally, Definition 5.19 provides a functor $\text{diag} : \mathcal{C} \rightarrow \text{coAlg}(\mathcal{C}_{\text{nu}}^\times)$ and hence we obtain a functor from $\mathcal{C}$ to each of the four categories of coalgebras in the previous square. In what follows we will make frequent use of the sequence of functors

$$\mathcal{C} \longrightarrow \text{coAlg}(\text{Sp}(\mathcal{C})^\otimes) \longrightarrow \text{coAlg}(\text{Sp}(\mathcal{P}_n \mathcal{C})^\otimes)$$

thus obtained. Note that this sequence is also natural in $\mathcal{C}$ with respect to functors preserving colimits and compact objects.

5.3 Coalgebras in an n -truncated stable ∞ -operad

Fix a nonunital stable ∞ -operad $\mathcal{O}^\otimes$. We will need a collection of technical results on the ∞ -categories of coalgebras in the truncated ∞ -operads $\tau_n \mathcal{O}^\otimes$. The ∞ -category $\text{coAlg}(\mathcal{O}^\otimes)$ need not be compactly generated, so that it does not necessarily admit a good theory of calculus. To circumvent this defect we consider the following:

Definition 5.21. Write $\text{coAlg}(\mathcal{O}^\otimes)^c$ for the full subcategory of $\text{coAlg}(\mathcal{O}^\otimes)$ on coalgebras whose underlying object of $\mathcal{O}$ is compact. Then define the ∞ -category of *ind-coalgebras in $\mathcal{O}^\otimes$* to be

$$\text{coAlg}^{\text{ind}}(\mathcal{O}^\otimes) := \text{Ind}(\text{coAlg}(\mathcal{O}^\otimes)^c).$$

Remark 5.22. In Construction 5.20 we defined functors $\mathcal{C} \rightarrow \text{coAlg}(\text{Sp}(\mathcal{C})^\otimes)$. This construction allows a variant for ind-coalgebras: indeed, applying the previous construction to compact objects of $\mathcal{C}$ and formally extending by filtered colimits yields a functor

$$\mathcal{C} \longrightarrow \text{coAlg}^{\text{ind}}(\text{Sp}(\mathcal{C})^\otimes).$$

The ∞ -category of ind-coalgebras in $\tau_n \mathcal{O}^\otimes$ can be understood more explicitly by inductively constructing it out of the ∞ -category of coalgebras in $\tau_{n-1} \mathcal{O}^\otimes$. For convenience of stating the necessary results we introduce some notation. Suppose $F : \mathcal{O} \rightarrow \mathcal{O}$ is a functor. Then we write

$$\{X \rightarrow F(X)\}_{\mathcal{O}}^c$$

for the ∞ -category of compact objects $X \in \mathcal{O}$ equipped with a map $X \rightarrow F(X)$. More precisely,

this ∞ -category can be defined as the pullback of the span

$$\mathcal{O}^c \xrightarrow{(\text{id}, F)} \mathcal{O}^c \times \mathcal{O} \xleftarrow{(\text{ev}_0, \text{ev}_1)} \mathcal{O}^{\Delta^1}.$$

As before, write $\odot^k$ (resp. $\otimes^k$) for the tensor products determined by $\tau_{n-1}\mathcal{O}^\otimes$ (resp. $\mathcal{O}^\otimes$). For any $k \geq 1$ there is an evident functor

$$\text{coAlg}^c(\tau_{n-1}\mathcal{O}^\otimes) \longrightarrow \{X \rightarrow (X \odot^k \cdots \odot^k X)^{\Sigma_k}\}_{\mathcal{O}}^c,$$

and similarly for $\text{coAlg}^c(\tau_n\mathcal{O}^\otimes)$, taking the k -fold diagonal map of a coalgebra structure. Given the description of $\odot^k$ of Proposition 5.10 the following (which we prove in Section C.4) should not be surprising:

Lemma 5.23. *The following is a pullback square of compactly generated ∞ -categories:*

$$\begin{array}{ccc} \text{coAlg}^{\text{ind}}(\tau_n\mathcal{O}^\otimes) & \longrightarrow & \text{Ind}\{X \rightarrow (X \otimes^n \cdots \otimes^n X)^{\Sigma_n}\}_{\mathcal{O}}^c \\ \downarrow & & \downarrow \\ \text{coAlg}^{\text{ind}}(\tau_{n-1}\mathcal{O}^\otimes) & \longrightarrow & \text{Ind}\{X \rightarrow (X \odot^n \cdots \odot^n X)^{\Sigma_n}\}_{\mathcal{O}}^c. \end{array}$$

Remark 5.24. This lemma expresses the idea that to lift a compact coalgebra X in $\tau_{n-1}\mathcal{O}^\otimes$ to a coalgebra in $\tau_n\mathcal{O}^\otimes$, it suffices to lift the n -fold diagonal $X \rightarrow X^{\odot n}$ to a map $X \rightarrow X^{\otimes n}$.

From the previous lemma we may conclude the following key fact about the ∞ -category of ind-coalgebras in $\tau_n\mathcal{O}^\otimes$:

Proposition 5.25. *The ∞ -category $\text{coAlg}^{\text{ind}}(\tau_n\mathcal{O}^\otimes)$ is n -excisive.*

Proof. The proof is by induction on n . The case $n = 1$ is clear, since $\text{coAlg}^{\text{ind}}(\tau_1\mathcal{O}^\otimes)$ is just $\mathcal{O}$ itself. For the inductive step from $n - 1$ to n , consider the square of Lemma 5.23. By the inductive hypothesis, the ∞ -category $\text{coAlg}^{\text{ind}}(\tau_{n-1}\mathcal{O}^\otimes)$ is $(n - 1)$ -excisive. By the first part of Lemma 5.27 below, the two ∞ -categories on the right are n -excisive. The proposition follows from this, since the class of n -excisive ∞ -categories is closed under taking limits. $\square$

Remark 5.26. Note that we do *not* claim that $\text{coAlg}^{\text{ind}}(\tau_{n-1}\mathcal{O}^\otimes)$ is equivalent to $\mathcal{P}_{n-1}\text{coAlg}^{\text{ind}}(\mathcal{O}^\otimes)$. In fact this is usually not the case. See, however, Corollary 5.30 below.

Lemma 5.27. *If $F : \mathcal{O} \rightarrow \mathcal{O}$ is an n -excisive functor, then the ∞ -category $\mathrm{Ind}\{X \rightarrow F(X)\}_{\mathcal{O}}^c$ is n -excisive. Furthermore, the obvious functor*

$$\mathrm{Ind}\{X \rightarrow F(X)\}_{\mathcal{O}}^c \longrightarrow \mathrm{Ind}\{X \rightarrow P_{n-1}F(X)\}_{\mathcal{O}}^c$$

is (the left adjoint of) a strong $(n-1)$ -excisive approximation.

Proof. Write $\mathcal{D}$ for $\mathrm{Ind}\{X \rightarrow F(X)\}_{\mathcal{O}}^c$ and $u : \mathcal{D} \rightarrow \mathcal{O}$ for the forgetful functor, which preserves colimits and is conservative (i.e. detects equivalences). For objects $X, Y \in \mathcal{D}$ there is an equalizer diagram as follows:

$$\mathrm{Map}_{\mathcal{D}}(X, Y) \longrightarrow \mathrm{Map}_{\mathcal{O}}(uX, uY) \rightrightarrows \mathrm{Map}_{\mathcal{O}}(uX, F(uY)).$$

Writing r for the right adjoint of u , it follows that there is an equalizer diagram of functors

$$\mathrm{id}_{\mathcal{D}} \longrightarrow ru \rightrightarrows rFu.$$

The composition ru is 1-excisive, whereas rFu is n -excisive. Therefore $\mathrm{id}_{\mathcal{D}}$ is n -excisive, being a limit of n -excisive functors.

To prove that $\mathcal{D}$ is an n -excisive ∞ -category we verify condition (b') of Corollary 3.16. Let $\mathcal{X} : \mathbf{P}(n+1) \rightarrow \mathcal{D}$ be a Cartesian $(n+1)$ -cube such that the restriction $\mathcal{X}_0 := \mathcal{X}|_{\mathbf{P}_0(n+1)}$ is a special punctured $(n+1)$ -cube. We need to show that $\mathcal{X}$ is strongly coCartesian. Note that it suffices to treat the case where the vertices of $\mathcal{X}$ are compact objects. We will define a strongly coCartesian cube $\mathcal{X}'$ in $\mathcal{D}$ whose restriction to $\mathbf{P}_0(n+1)$ coincides with $\mathcal{X}_0$. We will then prove that $\mathcal{X}'$ is Cartesian, so that it is equivalent to $\mathcal{X}$, proving the lemma. First, consider the special punctured $(n+1)$ -cube $u\mathcal{X}_0$ in $\mathcal{O}$ and complete it to a Cartesian cube (which we suggestively denote $u\mathcal{X}'$) by setting

$$u\mathcal{X}'(\emptyset) := \varprojlim u\mathcal{X}_0.$$

Since $\mathcal{O}$ is 1-excisive (and hence a fortiori n -excisive) the cube $u\mathcal{X}'$ is strongly coCartesian by condition (b') of Corollary 3.16 (or directly from Lemma 3.11). To define an $(n+1)$ -cube $\mathcal{X}'$ in $\mathcal{D}$ we should specify a natural transformation $\nu : u\mathcal{X}' \rightarrow F(u\mathcal{X}')$. We already have a natural transformation $u\mathcal{X}_0 \rightarrow F(u\mathcal{X}_0)$. One completes the definition of ν by considering the induced

map

$$u\mathcal{X}'(\emptyset) \longrightarrow \varprojlim F(u\mathcal{X}_0) \simeq F(u\mathcal{X}'(\emptyset)).$$

The equivalence above follows from the fact that F is n -excisive. The $(n+1)$ -cube $\mathcal{X}'$ we have defined is strongly coCartesian simply because u creates colimits. To see that it is Cartesian, consider (for any $Y \in \mathcal{D}$) the equalizer diagram

$$\mathrm{Map}_{\mathcal{D}}(Y, \mathcal{X}'(\emptyset)) \longrightarrow \mathrm{Map}_{\mathcal{O}}(uY, u\mathcal{X}'(\emptyset)) \rightrightarrows \mathrm{Map}_{\mathcal{O}}(uY, F(u\mathcal{X}'(\emptyset)))$$

and observe that the second and third terms are canonically equivalent to

$$\varprojlim \mathrm{Map}_{\mathcal{O}}(uY, u\mathcal{X}_0) \quad \text{and} \quad \varprojlim \mathrm{Map}_{\mathcal{O}}(uY, F(u\mathcal{X}_0))$$

respectively. It follows that the map

$$\mathrm{Map}_{\mathcal{D}}(Y, \mathcal{X}'(\emptyset)) \longrightarrow \varprojlim \mathrm{Map}_{\mathcal{D}}(Y, \mathcal{X}_0)$$

is an equivalence.

Finally we need to prove the last claim of the lemma concerning $\mathcal{P}_{n-1}\mathcal{D}$. Recall that $\mathcal{T}_{n-1}\mathcal{D}$ is the full subcategory of $\mathrm{Fun}(\mathbf{P}_0(n), \mathcal{D})$ spanned by the special punctured n -cubes. Note that objects of $\mathcal{T}_{n-1}\mathcal{D}$ may be identified with special punctured n -cubes $\mathcal{X}_0$ in $\mathcal{O}$ together with a natural transformation $\nu : \mathcal{X}_0 \rightarrow F(\mathcal{X}_0)$. It is then straightforward to see that the following functor is an equivalence of ∞ -categories:

$$\varprojlim : \mathcal{T}_{n-1}\mathcal{D}^c \longrightarrow \{X \rightarrow T_{n-1}F(X)\}_{\mathcal{O}}^c : (\nu : \mathcal{X}_0 \rightarrow F(\mathcal{X}_0)) \longmapsto (\varprojlim \nu : \varprojlim \mathcal{X}_0 \rightarrow \varprojlim F(\mathcal{X}_0)).$$

From here it is a simple formal exercise to find an equivalence

$$\mathcal{P}_{n-1}\mathcal{D} \longrightarrow \mathrm{Ind}\{X \rightarrow \varinjlim_k T_{n-1}^k F(X)\}_{\mathcal{O}}^c \simeq \mathrm{Ind}\{X \rightarrow P_{n-1}F(X)\}_{\mathcal{O}}^c$$

which completes the proof. □

Remark 5.28. Note that the statement and proof of the previous lemma only depend on the restriction of F to compact objects of $\mathcal{O}$, so that it is not necessary to assume that F preserves filtered colimits.

The following observation (see [25]) will be of crucial importance:

Lemma 5.29. *The norm sequence*

$$(X^{\otimes n})_{\Sigma_n} \longrightarrow (X^{\otimes n})^{\Sigma_n} \longrightarrow (X^{\otimes n})^{t\Sigma_n}$$

exhibits the first term (resp. the last term) as the n 'th homogeneous layer (resp. the $(n-1)$ -excisive approximation) of the functor in the middle.

Proof. A simple calculation shows that the norm map induces an equivalence on n 'th cross effects, so that the first map induces an equivalence on n 'th derivatives. $\square$

Recall from Theorem 2.7 that the functor $\mathcal{P}_{n-1}$ preserves pullbacks. Applying $\mathcal{P}_{n-1}$ to the square of Proposition 5.25 and using Lemma 5.27 gives the following:

Corollary 5.30. *The following is a pullback square of compactly generated ∞ -categories:*

$$\begin{array}{ccc} \mathcal{P}_{n-1}\mathrm{coAlg}^{\mathrm{ind}}(\tau_n\mathcal{O}^{\otimes}) & \longrightarrow & \mathrm{Ind}\{X \rightarrow (X \otimes^n \cdots \otimes^n X)^{t\Sigma_n}\}_{\mathcal{O}}^c \\ \downarrow & & \downarrow \\ \mathrm{coAlg}^{\mathrm{ind}}(\tau_{n-1}\mathcal{O}^{\otimes}) & \longrightarrow & \mathrm{Ind}\{X \rightarrow (X \odot^n \cdots \odot^n X)^{t\Sigma_n}\}_{\mathcal{O}}^c. \end{array}$$

It is also straightforward to describe the relation between $\mathrm{coAlg}^{\mathrm{ind}}(\tau_n\mathcal{O}^{\otimes})$ and its $(n-1)$ -excisive approximation:

Lemma 5.31. *The following is a pullback square of compactly generated ∞ -categories:*

$$\begin{array}{ccc} \mathrm{coAlg}^{\mathrm{ind}}(\tau_n\mathcal{O}^{\otimes}) & \longrightarrow & \mathrm{Ind}\{X \rightarrow (X^{\otimes n})^{\Sigma_n}\}_{\mathcal{O}}^c \\ \downarrow & & \downarrow \\ \mathcal{P}_{n-1}\mathrm{coAlg}^{\mathrm{ind}}(\tau_n\mathcal{O}^{\otimes}) & \longrightarrow & \mathrm{Ind}\{X \rightarrow (X^{\odot n})^{\Sigma_n} \times_{(X^{\odot n})^{t\Sigma_n}} (X^{\otimes n})^{t\Sigma_n}\}_{\mathcal{O}}^c. \end{array}$$

Proof. Consider the following diagram of compactly generated ∞ -categories:

$$\begin{array}{ccccc}
\mathrm{coAlg}^{\mathrm{ind}}(\tau_n \mathcal{O}^{\otimes}) & \longrightarrow & \mathrm{Ind}\{X \rightarrow (X^{\otimes n})^{\Sigma_n}\}_{\mathcal{O}}^c & & \\
\downarrow & & \downarrow & & \\
\mathcal{P}_{n-1} \mathrm{coAlg}^{\mathrm{ind}}(\tau_n \mathcal{O}^{\otimes}) & \longrightarrow & \mathrm{Ind}\{X \rightarrow (X^{\odot n})^{\Sigma_n} \times_{(X^{\odot n})^{t\Sigma_n}} (X^{\otimes n})^{t\Sigma_n}\}_{\mathcal{O}}^c & \longrightarrow & \mathrm{Ind}\{X \rightarrow (X^{\otimes n})^{t\Sigma_n}\}_{\mathcal{O}}^c \\
\downarrow & & \downarrow & & \downarrow \\
\mathrm{coAlg}^{\mathrm{ind}}(\tau_{n-1} \mathcal{O}^{\otimes}) & \longrightarrow & \mathrm{Ind}\{X \rightarrow (X^{\odot n})^{\Sigma_n}\}_{\mathcal{O}}^c & \longrightarrow & \mathrm{Ind}\{X \rightarrow (X^{\odot n})^{t\Sigma_n}\}_{\mathcal{O}}^c.
\end{array}$$

The lower right square is clearly a pullback. Also, the rectangle formed by the lower two squares is a pullback by Corollary 5.30, so that the bottom left square must be a pullback by the usual pasting lemma for pullbacks. Similarly, the vertical rectangle formed by the left two squares is a pullback by Lemma 5.23. It follows that the top left square is a pullback. $\square$

There is an evident functor

$$\mathrm{triv} : \mathcal{O} \longrightarrow \mathrm{coAlg}^{\mathrm{ind}}(\tau_n \mathcal{O}^{\otimes})$$

assigning to each object X of $\mathcal{O}$ the trivial coalgebra on X , i.e. a coalgebra equipped with (a coherent system of) nullhomotopies for each of the maps $X \rightarrow (X^{\otimes k})^{\Sigma_k}$ with $1 \leq k \leq n$. To be precise, one can construct this functor inductively using Lemma 5.23 and the evident functors

$$\mathcal{O} \longrightarrow \mathrm{Ind}\{X \rightarrow (X^{\otimes k} \dots \otimes^k X)^{\Sigma_k}\}_{\mathcal{O}}^c$$

assigning to each X the zero map into $(X^{\otimes k})^{\Sigma_k}$. The following is then a straightforward consequence of what we have done so far:

Corollary 5.32. *The following is a pullback square of compactly generated ∞ -categories:*

$$\begin{array}{ccc}
\mathrm{Ind}\{X \rightarrow \mathrm{fib}(X^{\otimes n} \rightarrow X^{\odot n})_{\Sigma_n}\}_{\mathcal{O}}^c & \longrightarrow & \mathrm{coAlg}^{\mathrm{ind}}(\tau_n \mathcal{O}^{\otimes}) \\
\downarrow & & \downarrow \\
\mathcal{O} & \xrightarrow{\mathrm{triv}} & \mathcal{P}_{n-1} \mathrm{coAlg}^{\mathrm{ind}}(\tau_n \mathcal{O}^{\otimes}).
\end{array}$$

Proof. This is immediate from Lemma 5.31 and the observation that the fiber of

$$(X^{\otimes n})^{\Sigma_n} \longrightarrow (X^{\odot n})^{\Sigma_n} \times_{(X^{\odot n})^{t\Sigma_n}} (X^{\otimes n})^{t\Sigma_n}$$

is canonically equivalent to the fiber of the map

$$(X^{\otimes n})_{\Sigma_n} \longrightarrow (X^{\odot n})_{\Sigma_n}.$$

Indeed, the former is the total fiber in the following square:

$$\begin{array}{ccc} (X^{\otimes n})_{\Sigma_n} & \longrightarrow & (X^{\otimes n})^{t\Sigma_n} \\ \downarrow & & \downarrow \\ (X^{\odot n})_{\Sigma_n} & \longrightarrow & (X^{\odot n})^{t\Sigma_n}. \end{array}$$

This total fiber may be computed by first taking the fibers of the rows, yielding the functors

$$(X^{\otimes n})_{\Sigma_n} \quad \text{and} \quad (X^{\odot n})_{\Sigma_n},$$

and then taking the fiber of the evident map between those. □

6 The space of Goodwillie towers

In this chapter we prove Theorem 2.20. We construct the square of that theorem in Section 6.1. Then we make precise the construction of n -stages outlined in Chapter 4. Finally, in Section 6.3, we prove that the square of the theorem is in fact a homotopy pullback of spaces.

6.1 The Tate diagonal

In this section we construct the square

$$\begin{array}{ccc} \mathcal{G}_n(\mathcal{O}^\otimes) & \xrightarrow{T_n} & \mathcal{T}_n \\ p_n \downarrow & & \downarrow \\ \mathcal{G}_{n-1}(\mathcal{O}^\otimes) & \longrightarrow & \widehat{\mathcal{T}}_n. \end{array}$$

of Theorem 2.20. We will describe the spaces $\mathcal{T}_n$ and $\widehat{\mathcal{T}}_n$ as the total spaces of fibrations t_n and $\widehat{t}_n$ over $\mathcal{G}_{n-1}(\mathcal{O}^\otimes)$, with fibers over a fixed $(n-1)$ -stage $\mathcal{C}_{n-1}$ given by the spaces of natural transformations $\text{Nat}(\Sigma_{n-1,1}^\infty, \Theta_{\mathcal{C}_{n-1}})$ and $\text{Nat}(\Sigma_{n-1,1}^\infty, \Psi_{\mathcal{C}_{n-1}})$ respectively. Recall that the functors $\Theta_{\mathcal{C}_{n-1}}$ and $\Psi_{\mathcal{C}_{n-1}}$ are the following:

$$\begin{aligned} \Theta_{\mathcal{C}_{n-1}} : \mathcal{C}_{n-1} &\longrightarrow \text{Sp}(\mathcal{C}_{n-1}) : & X &\longmapsto (\Sigma_{n-1,1}^\infty X \otimes^n \cdots \otimes^n \Sigma_{n-1,1}^\infty X)^{t\Sigma_n}, \\ \Psi_{\mathcal{C}_{n-1}} : \mathcal{C}_{n-1} &\longrightarrow \text{Sp}(\mathcal{C}_{n-1}) : & X &\longmapsto (\Sigma_{n-1,1}^\infty X \odot^n \cdots \odot^n \Sigma_{n-1,1}^\infty X)^{t\Sigma_n}. \end{aligned}$$

The vertical map p_n in the square is given by the formation of $(n-1)$ -excisive approximations. The map $T_n : \mathcal{G}_n(\mathcal{O}^\otimes) \rightarrow \mathcal{T}_n$ (to be constructed below) assigns to an n -excisive category the Tate diagonal described informally in Chapter 4.

We start by describing the fibration $t_n : \mathcal{T}_n \rightarrow \mathcal{G}_{n-1}(\mathcal{O}^\otimes)$. Informally speaking, the assignment

$$\mathcal{C}_{n-1} \longmapsto \text{Nat}(\Sigma_{n-1,1}^\infty, \Theta_{\mathcal{C}_{n-1}})$$

is functorial in $\mathcal{C}_{n-1}$, where $\mathcal{C}_{n-1}$ is a vertex of $\mathcal{G}_{n-1}(\mathcal{O}^\otimes)$, and t_n is the fibration classified by this functor. To be somewhat more precise, note first that the assignment

$$\mathcal{C}_{n-1} \longmapsto \text{Fun}(\mathcal{C}_{n-1}, \text{Sp}(\mathcal{C}_{n-1}))$$

is functorial, using the fact that every arrow $\mathcal{G}_{n-1}(\mathcal{O}^\otimes)$ admits an inverse in a natural way. Then consider a coCartesian fibration $\mathcal{U} \rightarrow \mathcal{G}_{n-1}(\mathcal{O}^\otimes)$ classified by this functor. Both the assignments

$$\mathcal{C}_{n-1} \mapsto \Sigma_{n-1,1}^\infty \quad \text{and} \quad \mathcal{C}_{n-1} \mapsto \Theta_{\mathcal{C}_{n-1}}$$

can be made into sections of this fibration, say s_1 and s_2 respectively. Write s'_2 for the composite of $(s_2)^{\text{op}}$ with the self-equivalence $\mathcal{G}_{n-1}(\mathcal{O}^\otimes) \rightarrow \mathcal{G}_{n-1}(\mathcal{O}^\otimes)^{\text{op}}$, which is canonical up to contractible ambiguity. Finally, define t_n by forming the following pullback square:

$$\begin{array}{ccc} \mathcal{T}_n & \longrightarrow & \text{Tw}(\mathcal{U}) \\ t_n \downarrow & & \downarrow \pi \\ \mathcal{G}_{n-1}(\mathcal{O}^\otimes) & \xrightarrow{(s_1, s'_2)} & \mathcal{U} \times \mathcal{U}^{\text{op}}. \end{array}$$

Here $\text{Tw}(\mathcal{U})$ denotes the *twisted arrow category* of $\mathcal{U}$ (see [23]), which is characterized by the natural isomorphism

$$\mathbf{sSets}(\Delta^k, \text{Tw}(\mathcal{U})) \simeq \mathbf{sSets}(\Delta^n \star (\Delta^k)^{\text{op}}, \mathcal{U}).$$

The map π is a right fibration whose fiber over a vertex $(X, Y) \in \mathcal{U}$ may be identified with the space $\text{Map}_{\mathcal{U}}(X, Y)$. It follows that t_n is a right fibration. Since its base is a Kan complex it is in fact a Kan fibration and its fibers are as desired. To construct the fibration $\widehat{t}_n : \widehat{\mathcal{T}}_n \rightarrow \mathcal{G}_{n-1}(\mathcal{O}^\otimes)$ one carries out the same construction with $\Theta_{\mathcal{C}_{n-1}}$ replaced by $\Psi_{\mathcal{C}_{n-1}}$. Observe that there is an evident map $\mathcal{T}_n \rightarrow \widehat{\mathcal{T}}_n$ of spaces over $\mathcal{G}_{n-1}(\mathcal{O}^\otimes)$ induced by the natural transformation $\otimes^n \rightarrow \odot^n$. It remains to establish the other maps in the square and establish a homotopy between the two composites. To construct $\mathcal{G}_{n-1}(\mathcal{O}^\otimes) \rightarrow \widehat{\mathcal{T}}_n$, consider (for every $(n-1)$ -stage $\mathcal{C}_{n-1}$) the functor

$$\mathcal{C}_{n-1} \longrightarrow \text{coAlg}^{\text{ind}}(\tau_{n-1}\mathcal{O}^\otimes).$$

As in Corollary 5.30 there is a further functor

$$\text{coAlg}^{\text{ind}}(\tau_{n-1}\mathcal{O}^\otimes) \longrightarrow \text{Ind}\{X \rightarrow (X^{\odot n})^{t\Sigma_n}\}_{\mathcal{O}}^c.$$

Composing the two yields the necessary natural transformation

$$\Sigma_{n-1,1}^\infty \longrightarrow \Psi_{\mathcal{C}_{n-1}}.$$

This construction is easily made natural in $\mathcal{C}_{n-1}$ and produces the desired map (which is a section of $\widehat{t}_n$). To construct the map $T_n : \mathcal{G}_n \rightarrow \mathcal{T}_n$, we consider an n -stage $\mathcal{C}_n$ and the associated functor

$$\mathcal{C}_n \longrightarrow \text{coAlg}^{\text{ind}}(\tau_n \mathcal{O}^{\otimes}).$$

Applying $\mathcal{P}_{n-1}$ then yields a functor

$$\mathcal{P}_{n-1} \mathcal{C}_n \longrightarrow \mathcal{P}_{n-1} \text{coAlg}^{\text{ind}}(\tau_n \mathcal{O}^{\otimes})$$

and considering Corollary 5.30 again there is a further functor

$$\mathcal{P}_{n-1} \text{coAlg}^{\text{ind}}(\tau_n \mathcal{O}^{\otimes}) \longrightarrow \text{Ind}\{X \rightarrow (X^{\otimes n})^{t\Sigma_n}\}_{\mathcal{O}}^c.$$

Composing these then supplies the natural transformation

$$\Sigma_{n-1,1}^{\infty} \longrightarrow \Theta_{\mathcal{C}_{n-1}}$$

which is what was needed. Note also that the commutativity of the square of Corollary 5.30 produces the desired commutativity of the square at the start of this section.

Remark 6.1. Lemma 2.5 provides another way to think about the construction of the map T_n . Indeed, consider an n -stage $\mathcal{C}_n$ and write $\mathcal{C}_{n-1}$ for the $(n-1)$ -excisive approximation $\mathcal{P}_{n-1} \mathcal{C}$. Also, write $F : \mathcal{C}_n \rightarrow \mathcal{C}_{n-1}$ for the induced functor. For $X \in \mathcal{C}_n$, with image $\overline{X} := \Sigma_{n,1}^{\infty} X$ in $\text{Sp}(\mathcal{C}_n)$, there is a natural map

$$\overline{X} \longrightarrow (\overline{X}^{\otimes n})^{t\Sigma_n}$$

induced by the coalgebra structure of $\overline{X}$ in $\tau_n \mathcal{O}^{\otimes}$. One can now use the fact that the codomain of this map is an $(n-1)$ -excisive functor of X and apply Lemma 2.5 to show that precomposition with F induces a weak equivalence

$$\text{Nat}(\Sigma_{n-1,1}^{\infty}, ((\Sigma_{n-1,1}^{\infty})^{\otimes n})^{t\Sigma_n}) \longrightarrow \text{Nat}(\Sigma_{n,1}^{\infty}, ((\Sigma_{n,1}^{\infty})^{\otimes n})^{t\Sigma_n}).$$

Here the left-hand side refers to natural transformations between functors $\mathcal{C}_{n-1} \rightarrow \text{Sp}(\mathcal{C}_{n-1})$, the right-hand side to natural transformations between functors $\mathcal{C}_n \rightarrow \text{Sp}(\mathcal{C}_n)$. In particular,

one finds for $Y \in \mathcal{C}_{n-1}$ and $\overline{Y} := \Sigma_{n-1,1}^\infty Y$ a natural map

$$\overline{Y} \longrightarrow (\overline{Y}^{\otimes n})^{t\Sigma_n}$$

which is the Tate diagonal.

6.2 Constructing n -stages

In this section we study the homotopy pullback $\mathcal{A}$ defined by the square

$$\begin{array}{ccc} \mathcal{A} & \longrightarrow & \mathcal{T}_n \\ \downarrow & & \downarrow \\ \mathcal{G}_{n-1}(\mathcal{O}^\otimes) & \longrightarrow & \widehat{\mathcal{T}}_n. \end{array}$$

As a consequence of the constructions of the previous section there is (up to contractible ambiguity) a canonical map $\alpha : \mathcal{G}_n(\mathcal{O}^\otimes) \rightarrow \mathcal{A}$. We will construct a map $\beta : \mathcal{A} \rightarrow \mathcal{G}_n(\mathcal{O}^\otimes)$. In the next section we demonstrate that α and β are homotopy inverse to one another, proving Theorem 2.20.

A vertex $\mathcal{Z}$ of $\mathcal{A}$ may be identified with an $(n-1)$ -stage $\mathcal{C}_{n-1}$ for $\mathcal{O}^\otimes$ together with a 2-simplex

$$\begin{array}{ccc} & & \Theta_{\mathcal{C}_{n-1}} \\ & \nearrow & \downarrow \\ \Sigma_{n-1,1}^\infty & \longrightarrow & \Psi_{\mathcal{C}_{n-1}} \end{array}$$

in the ∞ -category $\text{Fun}(\mathcal{C}_{n-1}, \text{Sp}(\mathcal{C}_{n-1}))$. In other words, adopting the usual short-hand $\overline{X} = \Sigma_{n-1,1}^\infty X$ for objects X of $\mathcal{C}_{n-1}$, we have a natural diagram

$$\begin{array}{ccc} & & (\overline{X}^{\otimes n})^{t\Sigma_n} \\ & \nearrow & \downarrow \\ \overline{X} & \longrightarrow & (\overline{X}^{\odot n})^{t\Sigma_n} \end{array}$$

where the horizontal arrow arises from the coalgebra structure on $\overline{X}$ supplied by the functor $\mathcal{C}_{n-1} \rightarrow \text{coAlg}^{\text{ind}}(\tau_{n-1}\mathcal{O}^\otimes)$. Invoking Corollary 5.30 we see that this data is equivalent to a lift

of that functor as follows:

$$\begin{array}{ccc} & \mathcal{P}_{n-1} \mathrm{coAlg}^{\mathrm{ind}}(\tau_n \mathcal{O}^\otimes) & \\ \nearrow & \downarrow & \\ \mathcal{C}_{n-1} & \longrightarrow & \mathrm{coAlg}^{\mathrm{ind}}(\tau_{n-1} \mathcal{O}^\otimes). \end{array}$$

Definition 6.2. Define $\beta_0(\mathcal{Z})$ to be the pullback, formed in $\mathbf{Cat}_*^\omega$, in the following square:

$$\begin{array}{ccc} \beta_0(\mathcal{Z}) & \longrightarrow & \mathrm{coAlg}^{\mathrm{ind}}(\tau_n \mathcal{O}^\otimes) \\ \downarrow & & \downarrow \\ \mathcal{C}_{n-1} & \longrightarrow & \mathcal{P}_{n-1} \mathrm{coAlg}^{\mathrm{ind}}(\tau_n \mathcal{O}^\otimes). \end{array}$$

The crucial properties of this construction are the following:

Proposition 6.3. *The ∞ -category $\beta_0(\mathcal{Z})$ is n -excisive.*

This proposition is immediate from the fact that the class of n -excisive ∞ -categories is closed under taking limits.

Proposition 6.4. *There is a canonical equivalence of ∞ -operads*

$$\varphi_{\mathcal{Z}} : \mathrm{Sp}(\beta_0(\mathcal{Z}))^\otimes \longrightarrow \tau_n \mathcal{O}^\otimes.$$

The previous two propositions provide a map

$$\beta : \mathcal{A} \longrightarrow \mathcal{G}_n(\mathcal{O}^\otimes)$$

which on vertices can be described by the formula

$$\beta(\mathcal{Z}) = (\beta_0(\mathcal{Z}), \varphi_{\mathcal{Z}}).$$

In the next section we will prove that β is a homotopy equivalence.

Proof of Proposition 6.4. Applying $\mathcal{P}_{n-1}$ to the square defining $\beta_0(\mathcal{Z})$ and using that this functor preserves pullbacks, we conclude that the induced functor

$$\mathcal{P}_{n-1} \beta_0(\mathcal{Z}) \longrightarrow \mathcal{C}_{n-1}$$

is an equivalence. By Proposition 5.6 we then find an equivalence of ∞ -operads

$$\mathrm{Sp}(\mathcal{C}_{n-1})^\otimes \longrightarrow \tau_{n-1}\mathrm{Sp}(\beta_0\mathcal{Z})^\otimes.$$

Since $\mathcal{C}_{n-1}$ is an $(n-1)$ -stage for $\mathcal{O}^\otimes$ we have an equivalence $\tau_{n-1}\mathcal{O}^\otimes \rightarrow \mathrm{Sp}(\mathcal{C}_{n-1})^\otimes$, thus providing an equivalence

$$\varphi'_\mathcal{Z} : \tau_{n-1}\mathcal{O}^\otimes \longrightarrow \tau_{n-1}\mathrm{Sp}(\beta_0\mathcal{Z})^\otimes.$$

We now wish to apply Proposition 5.12 to obtain a map

$$\varphi_\mathcal{Z} : \tau_n\mathcal{O}^\otimes \longrightarrow \mathrm{Sp}(\beta_0(\mathcal{Z}))^\otimes.$$

For simplicity of notation, let us identify $\mathrm{Sp}(\beta_0(\mathcal{Z}))$ with $\mathcal{O}$ and write $\otimes_\mathcal{Z}^k$ for the k -fold tensor product on $\mathcal{O}$ induced by the stable ∞ -operad $\mathrm{Sp}(\beta_0(\mathcal{Z}))^\otimes$. To extend $\varphi'_\mathcal{Z}$ to a map $\varphi_\mathcal{Z}$ as above we should supply a lift, natural in $X \in \mathcal{O}$, as follows:

$$\begin{array}{ccc} & (X \otimes_\mathcal{Z}^n \cdots \otimes_\mathcal{Z}^n X)_{\Sigma_n} & \\ & \nearrow \text{dashed} & \downarrow \\ (X \otimes_\mathcal{Z}^n \cdots \otimes_\mathcal{Z}^n X)_{\Sigma_n} & \longrightarrow & (X \odot^n \cdots \odot^n X)_{\Sigma_n}. \end{array}$$

Here the bottom horizontal arrow is induced by the map $\varphi'_\mathcal{Z}$. Moreover, if we prove that the diagonal map is an equivalence it will follow that $\varphi_\mathcal{Z}$ is an equivalence of ∞ -operads. We will do both these things at once.

Observe that the four ∞ -categories in the square defining $\beta_0(\mathcal{Z})$ admit left adjoint functors to $\mathcal{O}$; for $\beta_0(\mathcal{Z})$ and $\mathcal{C}_{n-1}$ these are the stabilizations $\Sigma_\mathcal{Z}^\infty$ and $\Sigma_{\mathcal{C}_{n-1}}^\infty$ respectively, while for $\mathrm{coAlg}^{\mathrm{ind}}(\tau_n\mathcal{O}^\otimes)$ and $\mathcal{P}_{n-1}\mathrm{coAlg}^{\mathrm{ind}}(\tau_n\mathcal{O}^\otimes)$ these are the obvious forgetful functors. Associated to each of these four adjunctions is a comonad on $\mathcal{O}$. Writing cofree_n and cofree_n^t for the last two, we obtain a diagram of functors on $\mathcal{O}$ as follows:

$$\begin{array}{ccc} D_n(\Sigma_\mathcal{Z}^\infty \Omega_\mathcal{Z}^\infty) & \longrightarrow & D_n(\mathrm{cofree}_n) \\ \downarrow & & \downarrow \\ D_n(\Sigma_{\mathcal{C}_{n-1}}^\infty \Omega_{\mathcal{C}_{n-1}}^\infty) & \longrightarrow & D_n(\mathrm{cofree}_n^t). \end{array}$$

By standard formal reasoning this diagram is a pullback square of functors. Recall that $(X \otimes_\mathcal{Z}^n$

$\cdots \otimes_{\mathcal{Z}}^n X)_{\Sigma_n}$ is precisely $D_n(\Sigma_{\mathcal{Z}}^{\infty} \Omega_{\mathcal{Z}}^{\infty})(X)$. As the notation suggests, the comonad cofree_n should be thought of as the cofree ind-coalgebra functor associated to the stable ∞ -operad $\tau_n \mathcal{O}^{\otimes}$. We wish to apply Corollary 5.32 to describe the fiber of the right vertical map. The ∞ -category

$$\text{Ind}\{X \rightarrow \text{fib}(X^{\otimes n} \rightarrow X^{\odot n})_{\Sigma_n}\}_{\mathcal{O}}^c$$

appearing in that result induces yet another comonad on $\mathcal{O}$, which we denote $\text{cofree}_{=n}^{\text{dp}}$. (The superscript refers to divided powers, which we will investigate in Section 7.1.) There is then a canonical equivalence

$$D_n(\text{cofree}_{=n}^{\text{dp}})(X) \longrightarrow \text{fib}(X^{\otimes n} \rightarrow X^{\odot n})_{\Sigma_n}.$$

Corollary 5.32 gives a square

$$\begin{array}{ccc} \text{cofree}_{=n}^{\text{dp}} & \longrightarrow & \text{cofree}_n \\ \downarrow & & \downarrow \\ \text{id}_{\mathcal{O}} & \longrightarrow & \text{cofree}_n^t. \end{array}$$

Applying D_n then yields a pullback

$$\begin{array}{ccc} \text{fib}(X^{\otimes n} \rightarrow X^{\odot n})_{\Sigma_n} & \longrightarrow & D_n(\text{cofree}_n) \\ \downarrow & & \downarrow \\ * & \longrightarrow & D_n(\text{cofree}_n^t). \end{array}$$

We deduce that the fiber of the map $D_n(\Sigma_{\mathcal{Z}}^{\infty} \Omega_{\mathcal{Z}}^{\infty})(X) \rightarrow D_n(\Sigma_{\mathcal{C}_{n-1}}^{\infty} \Omega_{\mathcal{C}_{n-1}}^{\infty})(X)$ is canonically equivalent to the fiber of $(X^{\otimes n})_{\Sigma_n} \rightarrow (X^{\odot n})_{\Sigma_n}$. Applying D_n to the composition of maps

$$\Sigma_{\mathcal{Z}}^{\infty} \Omega_{\mathcal{Z}}^{\infty} \longrightarrow \text{cofree}_n(X) \longrightarrow (X^{\otimes n})_{\Sigma_n}$$

yields a map

$$D_n(\Sigma_{\mathcal{Z}}^{\infty} \Omega_{\mathcal{Z}}^{\infty})(X) \longrightarrow (X^{\otimes n})_{\Sigma_n}.$$

This map fits into a diagram

$$\begin{array}{ccccc} \text{fib}(X^{\otimes n} \rightarrow X^{\odot n})_{\Sigma_n} & \longrightarrow & D_n(\Sigma_{\mathcal{Z}}^{\infty} \Omega_{\mathcal{Z}}^{\infty})(X) & \longrightarrow & D_n(\Sigma_{\mathcal{C}_{n-1}}^{\infty} \Omega_{\mathcal{C}_{n-1}}^{\infty})(X) \\ \parallel & & \downarrow & & \downarrow \\ \text{fib}(X^{\otimes n} \rightarrow X^{\odot n})_{\Sigma_n} & \longrightarrow & (X^{\otimes n})_{\Sigma_n} & \longrightarrow & (X^{\odot n})_{\Sigma_n}. \end{array}$$

Both rows are fiber sequences by our discussion above. Also, the rightmost vertical map is an equivalence by assumption. We conclude that the middle vertical map is an equivalence, which concludes our proof. $\square$

6.3 A classification of n -stages

We have constructed maps $\alpha : \mathcal{G}_n(\mathcal{O}^\otimes) \rightarrow \mathcal{A}$ and $\beta : \mathcal{A} \rightarrow \mathcal{G}_n(\mathcal{O}^\otimes)$, which we claim to be homotopy equivalences. This follows from Propositions 6.5 and 6.6 below, which will complete the proof of Theorem 2.20.

Suppose $\mathcal{C}$ is an n -stage for $\mathcal{O}^\otimes$, so that in particular we have an equivalence $\phi_{\mathcal{C}} : \mathrm{Sp}(\mathcal{C})^\otimes \rightarrow \tau_n \mathcal{O}^\otimes$. Then we find a square

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{\quad} & \mathrm{coAlg}^{\mathrm{ind}}(\tau_n \mathcal{O}^\otimes) \\ \downarrow & & \downarrow \\ \mathcal{P}_{n-1} \mathcal{C} & \xrightarrow{\quad} & \mathcal{P}_{n-1} \mathrm{coAlg}^{\mathrm{ind}}(\tau_n \mathcal{O}^\otimes). \end{array}$$

The top horizontal arrow is the formation of coalgebras discussed previously, the bottom arrow obtained from the top by applying $\mathcal{P}_{n-1}$. Write $\mathcal{D} := \beta_0(\alpha(\mathcal{C}))$. By the definition of β_0 , the square above induces a functor $F : \mathcal{C} \rightarrow \mathcal{D}$. Since F preserves colimits it admits a right adjoint G . Considering our definitions it should be clear that G provides a 2-simplex of equivalences as follows:

$$\begin{array}{ccc} \mathrm{Sp}(\mathcal{D})^\otimes & \xrightarrow{\quad} & \mathrm{Sp}(\mathcal{C})^\otimes \\ & \searrow \phi_{\mathcal{D}} \quad \swarrow \phi_{\mathcal{C}} & \\ & \tau_n \mathcal{O}^\otimes & \end{array}$$

This construction provides a homotopy between the identity map of $\mathcal{G}_n(\mathcal{O}^\otimes)$ and the composition $\beta \circ \alpha$ by virtue of the following:

Proposition 6.5. *The functor $F : \mathcal{C} \rightarrow \mathcal{D}$ above is an equivalence of ∞ -categories.*

Proof. Since $\mathcal{C}$ is an n -excisive ∞ -category, it suffices to show that the adjunction (F, G) is a weak n -excisive approximation. In other words, we should show that the natural transformations $\mathrm{id}_{\mathcal{C}} \rightarrow GF$ and $P_n(FG) \rightarrow \mathrm{id}_{\mathcal{D}}$ are equivalences. For simplicity of notation we identify the stabilizations of $\mathcal{C}$ and $\mathcal{D}$ with $\mathcal{O}$ (although to be precise one would have to carry along the equivalences $\phi_{\mathcal{C}}$ and $\phi_{\mathcal{D}}$). These ∞ -categories induce comonads $\Sigma_{\mathcal{C}}^\infty \Omega_{\mathcal{C}}^\infty$ and $\Sigma_{\mathcal{D}}^\infty \Omega_{\mathcal{D}}^\infty$ on $\mathcal{O}$

respectively. Furthermore, by construction we have equivalences

$$\Sigma_{\mathcal{D}}^{\infty} F \simeq \Sigma_{\mathcal{C}}^{\infty} \quad \text{and} \quad G\Omega_{\mathcal{D}}^{\infty} \simeq \Omega_{\mathcal{C}}^{\infty}.$$

Observe also that the natural transformation

$$P_k(\Sigma_{\mathcal{D}}^{\infty} FG\Omega_{\mathcal{D}}^{\infty}) \rightarrow P_k(\Sigma_{\mathcal{D}}^{\infty} \Omega_{\mathcal{D}}^{\infty})$$

is an equivalence for every k . Indeed, this is a direct consequence of the fact that $D_k(\Sigma_{\mathcal{C}}^{\infty} \Omega_{\mathcal{C}}^{\infty}) \rightarrow D_k(\Sigma_{\mathcal{D}}^{\infty} \Omega_{\mathcal{D}}^{\infty})$ is an equivalence for every k , which is a reformulation of the equivalence of ∞ -operads $\mathrm{Sp}(\mathcal{D})^{\otimes} \rightarrow \mathrm{Sp}(\mathcal{C})^{\otimes}$. Using unit and counit of the stabilization adjunctions of $\mathcal{C}$ and $\mathcal{D}$ we may form the cosimplicial objects featured in the following diagram:

$$\begin{array}{ccc} \mathrm{id}_{\mathcal{C}} & \longrightarrow & \mathrm{Tot}\left(P_n(\Omega_{\mathcal{C}}^{\infty}(\Sigma_{\mathcal{C}}^{\infty} \Omega_{\mathcal{C}}^{\infty}) \bullet \Sigma_{\mathcal{C}}^{\infty})\right) \\ \downarrow & & \downarrow \\ GF & \longrightarrow & \mathrm{Tot}\left(P_n(G\Omega_{\mathcal{D}}^{\infty}(\Sigma_{\mathcal{D}}^{\infty} \Omega_{\mathcal{D}}^{\infty}) \bullet \Sigma_{\mathcal{D}}^{\infty} F)\right). \end{array}$$

The vertical arrow on the right is an equivalence by our previous remark. Moreover, the horizontal arrows are equivalences by a result of Arone and Ching (see Proposition B.4 in the appendix). It follows that the left vertical arrow is an equivalence. We treat the map $P_n(FG) \rightarrow \mathrm{id}_{\mathcal{D}}$ similarly: indeed, by the same proposition we have equivalences

$$\begin{aligned} F &\longrightarrow \mathrm{Tot}\left(P_n(\Omega_{\mathcal{D}}^{\infty}(\Sigma_{\mathcal{D}}^{\infty} \Omega_{\mathcal{D}}^{\infty}) \bullet \Sigma_{\mathcal{D}}^{\infty} F)\right), \\ G &\longrightarrow \mathrm{Tot}\left(P_n(G\Omega_{\mathcal{D}}^{\infty}(\Sigma_{\mathcal{D}}^{\infty} \Omega_{\mathcal{D}}^{\infty}) \bullet \Sigma_{\mathcal{D}}^{\infty})\right). \end{aligned}$$

Together these yield a diagram

$$\begin{array}{ccc} P_n(FG) & \longrightarrow & \mathrm{Tot}\left(P_n(\Omega_{\mathcal{D}}^{\infty}(\Sigma_{\mathcal{D}}^{\infty} \Omega_{\mathcal{D}}^{\infty}) \bullet \Sigma_{\mathcal{D}}^{\infty} FG\Omega_{\mathcal{D}}^{\infty}(\Sigma_{\mathcal{D}}^{\infty} \Omega_{\mathcal{D}}^{\infty}) \bullet \Sigma_{\mathcal{D}}^{\infty})\right) \\ \downarrow & & \downarrow \\ \mathrm{id}_{\mathcal{D}} & \longrightarrow & \mathrm{Tot}\left(P_n(\Omega_{\mathcal{D}}^{\infty}(\Sigma_{\mathcal{D}}^{\infty} \Omega_{\mathcal{D}}^{\infty}) \bullet \Sigma_{\mathcal{D}}^{\infty} \Omega_{\mathcal{D}}^{\infty}(\Sigma_{\mathcal{D}}^{\infty} \Omega_{\mathcal{D}}^{\infty}) \bullet \Sigma_{\mathcal{D}}^{\infty})\right), \end{array}$$

where the cosimplicial object on the top right is the diagonal of the evident bicosimplicial object formed from the resolutions of F and G described above (and similarly for the bottom

right, applying the standard resolution of the identity twice). One deduces that the horizontal maps are equivalences by applying Proposition B.4 twice. The vertical map on the right is an equivalence again because the natural transformation $P_n(\Sigma_{\mathcal{D}}^\infty FG\Omega_{\mathcal{D}}^\infty) \rightarrow P_n(\Sigma_{\mathcal{D}}^\infty \Omega_{\mathcal{D}}^\infty)$ is an equivalence. Finally, we conclude that the left vertical map $P_n(FG) \rightarrow \text{id}_{\mathcal{D}}$ in the square above is an equivalence. $\square$

It remains to deal with the composition $\alpha \circ \beta$. Consider a vertex $\mathcal{Z} \in \mathcal{G}_{n-1}(\mathcal{O}^\otimes)$, which in particular determines an $(n-1)$ -excisive ∞ -category $\mathcal{C}$ and a natural transformation $N : \Sigma_{\mathcal{C}}^\infty \rightarrow \Theta_{\mathcal{C}}$. The map $T_n : \mathcal{G}_n(\mathcal{O}^\otimes) \rightarrow \mathcal{T}_n$ assigns to $\beta(\mathcal{Z})$ another such natural transformation $T_n(\beta(\mathcal{Z})) : \Sigma_{\mathcal{C}}^\infty \rightarrow \Theta_{\mathcal{C}}$. A homotopy between $\alpha \circ \beta$ and the identity map of $\mathcal{G}_{n-1}(\mathcal{O}^\otimes)$ is then provided by the following proposition, which is almost tautologous from what we have done so far:

Proposition 6.6. *The natural transformations N and $T_n(\beta(\mathcal{Z}))$ are canonically equivalent relative to $\Psi_{\mathcal{C}}$.*

Proof. For the purposes of this proof let us write $\mathcal{D} := \beta_0(\mathcal{Z})$. Recall that this ∞ -category is defined by a pullback square

$$\begin{array}{ccc} \mathcal{D} & \xrightarrow{A_{\mathcal{D}}} & \text{coAlg}^{\text{ind}}(\tau_n \mathcal{O}^\otimes) \\ \downarrow & & \downarrow \\ \mathcal{C} & \longrightarrow & \mathcal{P}_{n-1} \text{coAlg}^{\text{ind}}(\tau_n \mathcal{O}^\otimes). \end{array}$$

The vertical arrows become equivalences after applying $\mathcal{P}_{n-1}$, which yields an equivalence between the bottom horizontal arrow and $\mathcal{P}_{n-1}A_{\mathcal{D}}$. Recall that our definition of $T_n(\beta(\mathcal{Z}))$ arose from applying $\mathcal{P}_{n-1}$ to the construction of coalgebras of Section 5.2, for which we write

$$B_{\mathcal{D}} : \mathcal{D} \longrightarrow \text{coAlg}^{\text{ind}}(\tau_n \mathcal{O}^\otimes).$$

Both $A_{\mathcal{D}}$ and $B_{\mathcal{D}}$ supply for each $X \in \mathcal{D}$ an n -fold ‘diagonal’

$$\Sigma_{\mathcal{D}}^\infty X \longrightarrow (\Sigma_{\mathcal{D}}^\infty X \otimes^n \cdots \otimes^n \Sigma_{\mathcal{D}}^\infty X)^{\Sigma_n}.$$

Write $\overline{X}$ for $\Sigma_{\mathcal{D}}^\infty X$. To prove the proposition it suffices to show that these maps are canonically equivalent relative to $(\overline{X}^{\odot n})^{\Sigma_n}$. This is mostly an unraveling of definitions. One way to describe the n -fold diagonal induced by $B_{\mathcal{D}}$ is by using the coalgebra structure $\overline{X} \rightarrow \Sigma_{\mathcal{D}}^\infty \Omega_{\mathcal{D}}^\infty \overline{X}$ given by

the unit of the adjunction $(\Sigma_{\mathcal{D}}^{\infty}, \Omega_{\mathcal{D}}^{\infty})$ and composing with the map $\Sigma_{\mathcal{D}}^{\infty} \Omega_{\mathcal{D}}^{\infty} \overline{X} \rightarrow (\overline{X}^{\otimes n})^{\Sigma_n}$ coming from the formation of coderivatives (see Lemma B.3). In case of $A_{\mathcal{D}}$, this n -fold diagonal arises by using the same structure $\overline{X} \rightarrow \Sigma_{\mathcal{D}}^{\infty} \Omega_{\mathcal{D}}^{\infty} \overline{X}$, but then considering the natural transformation

$$\Sigma_{\mathcal{D}}^{\infty} \Omega_{\mathcal{D}}^{\infty} \longrightarrow \text{cofree}_n$$

induced by $A_{\mathcal{D}}$ and composing with the projection

$$\text{cofree}_n(\overline{X}) \longrightarrow (\overline{X} \otimes^n \cdots \otimes^n \overline{X})^{\Sigma_n}.$$

In the proof of Proposition 6.4 we showed that this composite induces an equivalences on n 'th derivatives and hence also on n 'th coderivatives (see Remark B.2), which implies what we need. The compatibility with maps down to $(\overline{X}^{\odot n})^{\Sigma_n}$ is immediate from the commutativity of the square defining $\mathcal{D}$. □

7 Examples

In this chapter we apply our techniques to analyze the Goodwillie towers of several more or less familiar homotopy theories. In Section 7.1 we start with the notion of *divided power coalgebras*, which in a sense give the simplest (or ‘untwisted’) example of n -stages associated to a nonunital stable ∞ -operad. They arise from Theorem 2.20 by choosing the Tate diagonals to be null for every n . In case $\mathbf{O}$ is an operad in the category of spectra (or some related stable homotopy theory), we consider the Goodwillie tower of the homotopy theory $\mathrm{Alg}(\mathbf{O})$ of algebras over $\mathbf{O}$ and show that it coincides with the Goodwillie tower of divided power coalgebras over the cooperad $\mathbf{B}(\mathbf{O})$, the bar construction of $\mathbf{O}$. This is an instance of Koszul duality. In Section 7.2 we consider rational homotopy theory. In the homotopy theory of rational spectra all Tate cohomology vanishes, so that the classification of Theorem 2.20 degenerates completely. We use this fact to identify the Goodwillie tower of the homotopy theory of rational spaces with that of differential graded coalgebras over the rational numbers and, by the results of Section 7.1, with the Goodwillie tower of rational differential graded Lie algebras. We reproduce some of Quillen’s results from this. Finally, in Section 7.3, we make some observations on the Goodwillie tower of the homotopy theory of pointed spaces. The stable ∞ -operad of interest in this case is $\mathrm{Sp}^{\otimes}$, the symmetric monoidal ∞ -category of spectra with the smash product. We give an explicit description of the fibers of the maps $\mathcal{G}_n(\mathrm{Sp}^{\otimes}) \rightarrow \mathcal{G}_{n-1}(\mathrm{Sp}^{\otimes})$ in terms of the Tate spectra of the derivatives of the identity on $\mathcal{S}_*$, i.e. the Spanier-Whitehead duals of the partition complexes.

A more sophisticated application of our techniques is to v_n -periodic unstable homotopy theory, where one obtains results similar in nature to those of rational homotopy theory. These will be discussed in the sequel paper [13].

7.1 Divided power coalgebras and Koszul duality

Let $\mathcal{O}^{\otimes}$ be a nonunital stable ∞ -operad. Informally speaking, a coalgebra X has *divided powers* if each of its diagonal maps δ_n is equipped with a factorization through the norm map as follows:

$$\begin{array}{ccc} & (X \otimes^n \cdots \otimes^n X)_{\Sigma_n} & \\ & \downarrow \mathrm{Nm} & \\ X & \xrightarrow{\delta_n} & (X \otimes^n \cdots \otimes^n X)^{\Sigma_n}. \end{array}$$

More precisely, let us inductively define ∞ -categories $\mathrm{coAlg}_{\mathrm{dp}}(\tau_n \mathcal{O}^\otimes)$ of divided power coalgebras over the truncated ∞ -operads $\tau_n \mathcal{O}^\otimes$. We start by simply setting

$$\mathrm{coAlg}_{\mathrm{dp}}(\tau_1 \mathcal{O}^\otimes) := \mathcal{O}.$$

Then define $\mathrm{coAlg}_{\mathrm{dp}}(\tau_n \mathcal{O}^\otimes)$ by the pullback square

$$\begin{array}{ccc} \mathrm{coAlg}_{\mathrm{dp}}(\tau_n \mathcal{O}^\otimes) & \longrightarrow & \mathrm{coAlg}^{\mathrm{ind}}(\tau_n \mathcal{O}^\otimes) \\ \downarrow & & \downarrow \\ \mathrm{coAlg}_{\mathrm{dp}}(\tau_{n-1} \mathcal{O}^\otimes) & \xrightarrow{\mathrm{null}} & \mathrm{Ind}\{X \longrightarrow (X^{\otimes n})^{t\Sigma_n}\}_{\mathcal{O}}^c, \end{array}$$

where the bottom horizontal arrow simply assigns to each X the zero map $X \rightarrow (X^{\otimes n})^{t\Sigma_n}$. The results of the previous chapter readily imply the following:

Proposition 7.1. *The ∞ -category $\mathrm{coAlg}_{\mathrm{dp}}(\tau_n \mathcal{O}^\otimes)$ is n -excisive. Furthermore, the stable ∞ -operad associated to it is canonically equivalent to $\tau_n(\mathcal{O}^\otimes)$. Finally, the following functor is an equivalence:*

$$\mathcal{P}_{n-1} \mathrm{coAlg}_{\mathrm{dp}}(\tau_n \mathcal{O}^\otimes) \longrightarrow \mathrm{coAlg}_{\mathrm{dp}}(\tau_{n-1} \mathcal{O}^\otimes).$$

One can think of the ∞ -categories $\mathrm{coAlg}_{\mathrm{dp}}(\tau_n \mathcal{O}^\otimes)$ as providing a compatible collection of base-points for the spaces $\mathcal{G}_n(\mathcal{O}^\otimes)$. In particular it is clear that these spaces are non-empty for every n .

For concreteness, consider the ∞ -category Sp of spectra, although the following discussion goes through for many closely related stable ∞ -categories. Let $\mathbf{O}$ be a nonunital operad in spectra whose unary term $\mathbf{O}(1)$ is the sphere spectrum S . We write $\mathrm{Alg}(\mathbf{O})$ for the ∞ -category of $\mathbf{O}$ -algebras. The following is by now well-known and can be found in various places in the literature (e.g. [4] or Theorem 7.3.4.7 of [24] for the first part and [8] for the second):

Proposition 7.2. *There is an identification $\mathrm{Sp}(\mathrm{Alg}(\mathbf{O})) \simeq \mathrm{Sp}$. Furthermore, the comonad $\Sigma_{\mathbf{O}}^\infty \Omega_{\mathbf{O}}^\infty$ on Sp induced by the stabilization adjunction of $\mathrm{Alg}(\mathbf{O})$ is equivalent to the functor*

$$X \mapsto \prod_{n=1}^{\infty} (\mathbf{B}(\mathbf{O})(n) \wedge X^{\wedge n})_{\Sigma_n},$$

where $\mathbf{B}(\mathbf{O})$ is the cooperad obtained as the bar construction of $\mathbf{O}$.

Remark 7.3. The stabilization functor $\Sigma_{\mathcal{O}}^{\infty} : \text{Alg}(\mathbf{O}) \rightarrow \text{Sp}$ can be identified with topological André-Quillen homology by a result of Basterra and Mandell [4]. The result above says that for any $\mathbf{O}$ -algebra X , the spectrum $\text{TAQ}(X)$ is canonically a (conilpotent) divided power coalgebra over the cooperad $\mathbf{B}(\mathbf{O})$.

Recall from Remark 2.11 the dictionary between cooperads $\mathbf{C}$ in spectra (with $\mathbf{C}(1) = S$) and stable ∞ -operads $\mathcal{O}^{\otimes}$ whose underlying ∞ -category $\mathcal{O}$ is Sp . Write $\text{Sp}_{\mathbf{B}(\mathbf{O})}^{\otimes}$ for the stable ∞ -operad corresponding to $\mathbf{B}(\mathbf{O})$ under this dictionary. The previous result can then be rephrased as stating an equivalence of ∞ -operads

$$\text{Sp}(\text{Alg}(\mathbf{O}))^{\otimes} \longrightarrow \text{Sp}_{\mathbf{B}(\mathbf{O})}^{\otimes}.$$

Note that it follows from Proposition 7.2 that all Tate diagonals associated to the ∞ -category $\text{Alg}(\mathbf{O})$ are null. Thus we can immediately describe the n -excisive approximations of $\text{Alg}(\mathbf{O})$. Let us abbreviate $\text{coAlg}_{\text{dp}}(\text{Sp}_{\mathbf{B}(\mathbf{O})}^{\otimes})$ by $\text{coAlg}_{\text{dp}}(\mathbf{B}(\mathbf{O}))$. As before, write $\tau_n \mathbf{O}$ for the operad obtained from $\mathbf{O}$ by killing all operations with more than n inputs.

Proposition 7.4. *For each n there is a canonical equivalence of ∞ -categories*

$$\mathcal{P}_n \text{Alg}(\mathbf{O}) \longrightarrow \text{coAlg}_{\text{dp}}(\mathbf{B}(\tau_n \mathbf{O})).$$

The interesting issue here is the convergence of the Goodwillie tower

$$\text{Alg}(\mathbf{O}) \longrightarrow \varprojlim \mathcal{P}_n \text{Alg}(\mathbf{O}).$$

The map of operads $\mathbf{O} \rightarrow \tau_n \mathbf{O}$ induces a transfer adjunction

$$\text{Alg}(\mathbf{O}) \rightleftarrows \text{Alg}(\tau_n \mathbf{O}).$$

Here the right adjoint is the evident pullback functor. For an $\mathbf{O}$ -algebra X , write $X_{\leq n}$ for the $\mathbf{O}$ -algebra obtained by successively applying left and right adjoint of this transfer. The following has been proved by Pereira [28]:

Proposition 7.5. *The tower*

$$X \longrightarrow (\cdots \rightarrow X_{\leq 3} \rightarrow X_{\leq 2} \rightarrow X_{\leq 1})$$

can be identified with the Goodwillie tower of the identity functor of $\mathrm{Alg}(\mathbf{O})$.

Recall that an algebra X is called *pro-nilpotent* if the map

$$X \longrightarrow \varprojlim (\cdots \rightarrow X_{\leq 3} \rightarrow X_{\leq 2} \rightarrow X_{\leq 1})$$

is an equivalence, so that $\mathrm{Alg}(\mathbf{O})^{\mathrm{conv}}$ is precisely the ∞ -category of pro-nilpotent $\mathbf{O}$ -algebras.

From Lemma 2.8 we then conclude the following:

Corollary 7.6. *The functor*

$$\mathcal{C}^{\mathrm{conv}} \longrightarrow \varprojlim \mathrm{coAlg}_{\mathrm{dp}}(\mathbf{B}(\tau_n \mathbf{O}))$$

is fully faithful.

Remark 7.7. It is worthwhile to try identifying the essential image of this functor. A conjecture of Francis and Gaitsgory [8] is that this is precisely the ∞ -category of conilpotent divided power coalgebras over $\mathbf{B}(\mathbf{O})$, which is a full subcategory of $\varprojlim \mathrm{coAlg}_{\mathrm{dp}}(\mathbf{B}(\tau_n \mathbf{O}))$ by a similar argument. We will not pursue the issue here.

7.2 Rational homotopy theory

Consider the symmetric monoidal ∞ -category $\mathrm{Sp}_{\mathbb{Q}}^{\otimes}$ of rational spectra with the smash product, obtained from $\mathrm{Sp}^{\otimes}$ by localizing with respect to the Eilenberg-MacLane spectrum $H\mathbb{Q}$. Equivalently, this ∞ -category can be thought of as the homotopy theory of (unbounded) chain complexes over $\mathbb{Q}$ with their tensor product. It is well-known (and straightforward to prove) that for any finite group G and rational spectrum X with G -action, the Tate construction X^{tG} is contractible. Essentially, division by the order of the group provides an inverse to the norm map. An immediate consequence is the following:

Corollary 7.8. *For each n , the space $\mathcal{G}_n(\mathrm{Sp}_{\mathbb{Q}}^{\otimes})$ is contractible.*

Now consider the ∞ -category $\mathcal{S}_{\mathbb{Q}}^{\geq 2}$ of pointed, simply-connected rational spaces. By rational here we mean spaces local with respect to rational homology or more simply spaces whose homotopy groups are vector spaces over $\mathbb{Q}$. To apply our results we need the following:

Lemma 7.9. *There is an equivalence of ∞ -operads*

$$\mathrm{Sp}(\mathcal{S}_{\mathbb{Q}}^{\geq 2})^{\otimes} \longrightarrow \mathrm{Sp}_{\mathbb{Q}}^{\otimes}.$$

Proof. The essential fact is that the rationalization functor

$$L_{\mathbb{Q}} : \mathcal{S}^{\geq 2} \longrightarrow \mathcal{S}_{\mathbb{Q}}^{\geq 2}$$

preserves colimits (this is formal) and finite limits (since $\mathbb{Q}$ -localization is exact). Because the polynomial approximations of a functor are constructed using colimits and finite limits, it follows that the Goodwillie tower of the identity functor on $\mathcal{S}_{\mathbb{Q}}^{\geq 2}$ is simply obtained by applying $L_{\mathbb{Q}}$ to the Goodwillie tower of the identity on $\mathcal{S}^{\geq 2}$. From here it is an exercise in unraveling the definitions to see that in the commutative square

$$\begin{array}{ccc} (\mathcal{S}_{\mathbb{Q}}^{\geq 2})^{\times} & \longrightarrow & (\mathcal{S}^{\geq 2})^{\times} \\ \Omega_{\mathbb{Q}}^{\infty} \uparrow & & \uparrow \\ \mathrm{Sp}_{\mathbb{Q}}^{\otimes} & \longrightarrow & \mathrm{Sp}^{\otimes} \end{array}$$

the vertical arrow exhibits $\mathrm{Sp}_{\mathbb{Q}}^{\otimes}$ as the stabilization of the corepresentable ∞ -operad $(\mathcal{S}_{\mathbb{Q}}^{\geq 2})^{\times}$. (The vertical arrow on the right is the simply-connected cover of Ω^{∞} ; taking such covers does not affect the stabilization of $\mathcal{S}_{*}$.) $\square$

We deduce from this lemma and Corollary 7.8 that $\mathcal{P}_n \mathcal{S}_{\mathbb{Q}}^{\geq 2}$ is canonically equivalent to any other n -stage for $\mathrm{Sp}_{\mathbb{Q}}^{\otimes}$. In Section 7.1 we showed that the divided power coalgebras over $\tau_n \mathrm{Sp}_{\mathbb{Q}}^{\otimes}$ provide such an n -stage. Also, since we are working over $\mathbb{Q}$, the forgetful functor from divided power coalgebras to ind-coalgebras is in fact an equivalence. Putting the pieces together, we obtain the following:

Corollary 7.10. *The functor $\mathcal{S}_{\mathbb{Q}}^{\geq 2} \rightarrow \mathrm{coAlg}^{\mathrm{ind}}(\mathrm{Sp}_{\mathbb{Q}})$ induced by $\Sigma_{\mathbb{Q}}^{\infty} : \mathcal{S}_{\mathbb{Q}}^{\geq 2} \rightarrow \mathrm{Sp}_{\mathbb{Q}}$ gives an equivalence of Goodwillie towers, so in particular a collection of equivalences*

$$\mathcal{P}_n \mathcal{S}_{\mathbb{Q}}^{\geq 2} \longrightarrow \mathrm{coAlg}^{\mathrm{ind}}(\tau_n \mathrm{Sp}_{\mathbb{Q}}^{\otimes}).$$

To get a sharper result we have to deal with convergence. First of all, it is well-known [3,

11] that the Goodwillie tower of the identity on $\mathcal{S}_*$ converges on simply-connected (and even nilpotent) spaces. In general $L_{\mathbb{Q}}$ need not preserve inverse limits, but for simply-connected X it *does* preserve the limit $\varprojlim P_n \text{id}(X)$. Indeed, the spectrum $\partial_n \text{id}$ is $(1-n)$ -connective, whereas $(\Sigma^\infty X)^{\wedge n}$ is $2n$ -connective, so that the homogeneous layer $D_n \text{id}(X)$ is $(n+1)$ -connective. Hence for fixed n the map

$$X \rightarrow P_n \text{id}(X)$$

induces an isomorphism on π_k for $k \leq n$, which is then also true on rational homotopy groups.

It follows that the functor

$$C_* : \mathcal{S}_{\mathbb{Q}}^{\geq 2} \longrightarrow \varprojlim_n \text{coAlg}^{\text{ind}}(\tau_n \text{Sp}_{\mathbb{Q}})$$

is fully faithful. Moreover, since C_* admits a right adjoint, it embeds $\mathcal{S}_{\mathbb{Q}}^{\geq 2}$ as a coreflective subcategory. To prove Theorem 2.24 we simply identify this subcategory in different ways. Note that it is generated under colimits by the image of the rational two-sphere $L_{\mathbb{Q}} S^2$.

Write $\mathbf{L}[-1]$ for the shifted Lie operad in $\text{Sp}_{\mathbb{Q}}$, defined in terms of the usual Lie operad $\mathbf{L}$ by $\mathbf{L}[-1](k) = \Sigma^{1-k} \mathbf{L}(k)$. It is well-known [9, 10] that the bar construction of $\mathbf{L}[-1]$ is the commutative cooperad. It can be described by $\mathbf{Com}(k) = \mathbb{Q}$ for all k , with its evident cooperad structure. Under the dictionary of Remark 2.11 this cooperad corresponds to the stable ∞ -operad $\text{Sp}_{\mathbb{Q}}^{\otimes}$. Notice that shifting up in degree provides an equivalence of ∞ -categories $\text{Alg}(\mathbf{L}[-1]) \simeq \text{Alg}(\mathbf{L})$ and we simply write $\text{Lie}_{\mathbb{Q}}$ for the latter. Furthermore, write $\text{Lie}_{\mathbb{Q}}^{\geq 1}$ for the full subcategory spanned by the connected Lie algebras. Recall that the Goodwillie tower of the identity on $\text{Lie}_{\mathbb{Q}}$ converges precisely on pro-nilpotent Lie algebras; for evident degree reasons, this in particular includes the connected Lie algebras. Half of Theorem 2.24 is then provided by the following:

Proposition 7.11. *The functor*

$$\text{Lie}_{\mathbb{Q}}^{\geq 1} \longrightarrow \varprojlim_n \text{coAlg}^{\text{ind}}(\tau_n \text{Sp}_{\mathbb{Q}}^{\otimes})$$

is a fully faithful embedding of a coreflective subcategory. Furthermore, its image coincides with that of $\mathcal{S}_{\mathbb{Q}}^{\geq 2}$ embedded via C_ .*

Proof. The first statement is a corollary of the discussion about convergence above. To characterize the image, we should show that it is generated under colimits by $C_*(L_{\mathbb{Q}} S^2)$. This coalgebra is completely characterized by the fact that its homotopy is $\mathbb{Q}$ in degree 2 and trivial in all others.

The ∞ -category $\mathrm{Lie}_{\mathbb{Q}}^{\geq 1}$ is generated by $L[x_1]$, the free differential graded Lie algebra on a single generator in degree 1. (Since this is a graded Lie algebra, it is *not* trivial but has a non-zero element $[x_1, x_1]$ in degree 2.) Recall that the infinite suspension functor $\mathrm{Lie}_{\mathbb{Q}}^{\geq 1} \rightarrow \mathrm{Sp}_{\mathbb{Q}}$ can be identified with André-Quillen homology, which in this particular case is the usual Chevalley-Eilenberg homology of (dg) Lie algebras. The Chevalley-Eilenberg complex of $L[x_1]$ is the free graded commutative coalgebra on generators y_2 and y_3 in degrees 2 and 3 respectively, with differential determined by $d(y_2)^2 = y_3$. The homology of this complex is $\mathbb{Q}$ concentrated in degree 2. In particular, $\Sigma^{\infty} L[x_1]$ is equivalent to the object $C_*(L_{\mathbb{Q}} S^2)$ described above. $\square$

To get the other half of Theorem 2.24 we investigate the functor

$$\mathrm{coAlg}^{\mathrm{ind}}(\mathbf{Com}) \longrightarrow \varprojlim_n \mathrm{coAlg}^{\mathrm{ind}}(\tau_n \mathrm{Sp}_{\mathbb{Q}}^{\otimes}).$$

Write $\mathrm{coAlg}_{\mathbb{Q}}^{\geq 2}$ for the full subcategory of $\mathrm{coAlg}^{\mathrm{ind}}(\mathbf{Com})$ spanned by the 1-connected coalgebras. First of all, the distinction between coalgebras and ind-coalgebras is irrelevant here. Indeed, any connected coalgebra is (for degree reasons) the filtered colimit of its finite subcoalgebras, so that the following functor (in what should be obvious notation) is an equivalence:

$$\mathrm{coAlg}^{\mathrm{ind}}(\mathbf{Com})^{\geq 1} \longrightarrow \mathrm{coAlg}(\mathbf{Com})^{\geq 1}.$$

The proof is standard and left to the reader. Also, the n -excisive approximation of the identity functor on the ∞ -category $\mathrm{coAlg}^{\mathrm{ind}}(\mathrm{Sp}_{\mathbb{Q}}^{\otimes})$ is obtained by going back and forth along the adjunction

$$\mathrm{coAlg}^{\mathrm{ind}}(\mathbf{Com}) \rightleftarrows \mathrm{coAlg}^{\mathrm{ind}}(\tau_n \mathrm{Sp}_{\mathbb{Q}}^{\otimes}).$$

Recall that the cooperad $\mathbf{B}(\tau_n \mathbf{L})$ corresponding to $\tau_n \mathrm{Sp}_{\mathbb{Q}}^{\otimes}$ coincides with $\mathbf{Com}$ up to arity n . The operations of higher arity can be extracted from Proposition 5.10; indeed, the spectrum $\mathbf{B}(\tau_n \mathbf{L})(k)$ is the (rational) Spanier-Whitehead dual of the simplicial set $\mathbf{NPart}_n(k)$. It is straightforward to see that the dimension of a nondegenerate simplex of this simplicial set is no more than $k - 2$, so that $\mathbf{B}(\tau_n \mathbf{L})(k)$ is at least $(2 - k)$ -connective. As a consequence, for X a simply-connected rational spectrum, the term

$$(\mathbf{B}(\tau_n \mathbf{L})(k) \otimes X^{\otimes k})_{\Sigma_k}$$

is $(k + 2)$ -connective. From here it is straightforward to show that for a simply-connected coalgebra X , the connectivity of the map

$$X \longrightarrow P_k \mathrm{id}(X)$$

increases linearly with k . Therefore, the Goodwillie tower of the identity functor of $\mathrm{coAlg}^{\mathrm{ind}}(\mathbf{Com})$ converges on any such X . We are almost done proving the following:

Proposition 7.12. *The functor*

$$\mathrm{coAlg}_{\mathbb{Q}}^{\geq 2} \longrightarrow \varprojlim_n \mathrm{coAlg}^{\mathrm{ind}}(\tau_n \mathrm{Sp}_{\mathbb{Q}}^{\otimes})$$

is a fully faithful embedding of a coreflective subcategory. Furthermore, its image coincides with that of $\mathcal{S}_{\mathbb{Q}}^{\geq 2}$ embedded via C_ .*

Proof. We have just analyzed the convergence of the Goodwillie tower and thus established fully faithfulness. The proposition now follows from the fact that the ∞ -category $\mathrm{coAlg}_{\mathbb{Q}}^{\geq 2}$ is generated under colimits by the trivial coalgebra on a generator in degree 2. This is standard: it suffices to show that the subcategory generated by this coalgebra contains all finite coalgebras. This can for example be proved by induction on the top degree of a non-trivial element. We leave the details to the interested reader. $\square$

7.3 The Goodwillie tower of the homotopy theory of spaces

In the previous section we focused on a case where the classification of Theorem 2.20 degenerates completely and everything becomes algebraic. In this section we make some observations on the Goodwillie tower of the ∞ -category of pointed spaces $\mathcal{S}_*$. The stable ∞ -operad of interest here is $\mathrm{Sp}^{\otimes}$, the symmetric monoidal ∞ -category of spectra with the smash product. The Tate spectra featuring in our classification are now far from contractible. The derivatives of the identity functor $\partial_n \mathrm{id}$ are given by the Spanier-Whitehead duals of the partition complexes, which we will recall below. The main result of this section is the following:

Proposition 7.13. *The fiber of the map $\mathcal{G}_n(\mathrm{Sp}^{\otimes}) \rightarrow \mathcal{G}_{n-1}(\mathrm{Sp}^{\otimes})$ over $\mathcal{P}_{n-1}\mathcal{S}_*$ is equivalent to $\Omega^{\infty-1}((\partial_n \mathrm{id})^{t\Sigma_n})$.*

Example 7.14. The spectrum $\partial_2 \mathrm{id}$ is S^{-1} with trivial Σ_2 -action. In this case, using that $\mathcal{G}_1(\mathcal{O}^{\otimes})$

is contractible, the previous proposition identifies the space $\mathcal{G}_2(\mathrm{Sp}^{\otimes})$ with $\Omega^\infty S^{t\Sigma_2}$. This is in fact the zero'th space of the 2-completed sphere spectrum.

Let us illustrate the proof of this result for $n = 2$; the higher cases (which mostly differ in notation only) are covered by Lemmas 7.16 and 7.17 below. The space $\mathcal{G}_2(\mathrm{Sp}^{\otimes})$ is equivalent to the space of natural transformations $\Sigma^\infty \rightarrow \Theta_{\mathrm{Sp}}$, where

$$\Theta_{\mathrm{Sp}}(X) = (\Sigma^\infty X \wedge \Sigma^\infty X)^{t\Sigma_2}.$$

We claim that evaluation at S^0 determines an equivalence

$$\mathrm{Nat}(\Sigma^\infty, \Theta_{\mathrm{Sp}}) \longrightarrow \mathrm{Map}(S, (S \wedge S)^{t\Sigma_2}) \simeq \Omega^\infty S^{t\Sigma_2}.$$

Write θ for the functor from spectra to spectra which assigns $(Y \wedge Y)^{t\Sigma_2}$ to Y , so that $\Theta_{\mathrm{Sp}} = \theta \circ \Sigma^\infty$. Then θ is an exact functor by Lemma 5.29. Furthermore, by Lemma 2.5, precomposition with Σ^∞ yields an equivalence

$$\mathrm{Nat}(\mathrm{id}_{\mathrm{Sp}}, \theta) \longrightarrow \mathrm{Nat}(\Sigma^\infty, \Theta_{\mathrm{Sp}}).$$

Remark 7.15. Strictly speaking Lemma 2.5 does not apply directly, since θ does not preserve filtered colimits. However, one can define a functor θ^c determined by the requirements that it agrees with θ on compact objects and preserves filtered colimits. Since Σ^∞ is compatible with filtered colimits, the spaces $\mathrm{Nat}(\Sigma^\infty, \theta^c)$ and $\mathrm{Nat}(\Sigma^\infty, \theta)$ are equivalent.

Now, since the first derivative of $\Sigma^\infty \Omega^\infty$ is the identity, there is an equivalence

$$\varinjlim_n \Omega^n \Sigma^\infty \Omega^\infty \Sigma^n \longrightarrow \mathrm{id}_{\mathrm{Sp}}.$$

This gives a sequence of equivalences

$$\begin{aligned} \mathrm{Nat}(\mathrm{id}, \theta) &\longrightarrow \varprojlim_n \mathrm{Nat}(\Omega^n \Sigma^\infty \Omega^\infty \Sigma^n, \theta) \\ &\longrightarrow \varprojlim_n \mathrm{Nat}(\Omega^\infty \Sigma^n, \Omega^\infty \Sigma^n \theta) \\ &\longrightarrow \varprojlim_n \Omega^\infty \Sigma^n \theta(S^{-n}). \end{aligned}$$

The second equivalence is simply adjunction, the third equivalence is a consequence of the fact

that the functor $\Omega^\infty \Sigma^n$ is corepresented by the spectrum S^{-n} . Since θ is exact, there is an equivalence $\Sigma^n \theta(S^{-n}) \simeq \Sigma^n \Omega^n \theta(S) \simeq \theta(S)$. We conclude that evaluation at S determines an equivalence

$$\mathrm{Nat}(\mathrm{id}_{\mathrm{Sp}}, \theta) \longrightarrow \Omega^\infty \theta(S)$$

which is what was needed.

Recall the functors $\Sigma_n^\infty : \mathcal{S} \rightarrow \mathcal{P}_n \mathcal{S}_*$ and $\Sigma_{n,1}^\infty : \mathcal{P}_n \mathcal{S}_* \rightarrow \mathrm{Sp}$. The general case of Proposition 7.13 follows from the next two lemmas:

Lemma 7.16. *Let $F : \mathrm{Sp} \rightarrow \mathrm{Sp}$ be an n -excisive functor. Then evaluation at $\Sigma_n^\infty S^0$ determines an equivalence*

$$\mathrm{Nat}(\Sigma_{n,1}^\infty, F \circ \Sigma_{n,1}^\infty) \longrightarrow \Omega^\infty F(S).$$

As usual, write $\odot^n$ for the n -fold tensor product determined by the stable ∞ -operad $\tau_{n-1} \mathrm{Sp}^\otimes$. Also, recall that the tensor product $\otimes^n$ in $\mathrm{Sp}^\otimes$ can be identified with the smash product.

Lemma 7.17. *The fiber of $S^{\otimes n} \rightarrow S^{\odot n}$ is the spectrum $\Sigma(\partial_n \mathrm{id})$.*

Proof of Lemma 7.16. Recall the adjunction

$$L_n : \mathcal{P}_n \mathcal{S}_* \rightleftarrows \mathcal{T}_n \mathcal{P}_n \mathcal{S}_* : R_n,$$

which is in an equivalence since $\mathcal{P}_n \mathcal{S}_*$ is n -excisive. We also write L_n^k for the evident functor $\mathcal{P}_n \mathcal{S}_* \rightarrow \mathcal{T}_n^k \mathcal{P}_n \mathcal{S}_*$ and R_n^k for its right adjoint. The colimit $\varinjlim_k R_n^k \Sigma_n^\infty \Omega_n^\infty L_n^k$ is equivalent to the identity functor of $\mathcal{P}_n \mathcal{S}_*$, simply because this colimit computes $P_n(\Sigma_n^\infty \Omega_n^\infty)$. We then have equivalences

$$\begin{aligned} \mathrm{Nat}(\Sigma_{n,1}^\infty, F \Sigma_{n,1}^\infty) &\longrightarrow \mathrm{Nat}(\mathrm{id}_{\mathcal{P}_n \mathcal{S}_*}, \Omega_{n,1}^\infty F \Sigma_{n,1}^\infty) \\ &\longrightarrow \varprojlim_k \mathrm{Nat}(R_n^k \Sigma_n^\infty \Omega_n^\infty L_n^k, \Omega_{n,1}^\infty F \Sigma_{n,1}^\infty) \\ &\longrightarrow \varprojlim_k \mathrm{Nat}(\Omega_n^\infty L_n^k, \Omega_n^\infty L_n^k \Omega_{n,1}^\infty F \Sigma_{n,1}^\infty), \end{aligned}$$

where the last equivalence uses the fact that R_n^k is an equivalence with inverse L_n^k . Also, observe

that there is a commutative diagram

$$\begin{array}{ccc}
\mathcal{P}_n \mathcal{S}_* & \xleftarrow{R_n^k} & \mathcal{T}_n^k \mathcal{P}_n \mathcal{S}_* \\
\Omega_{n,1}^\infty \uparrow & & \uparrow \Omega_{n,1}^\infty \\
\mathcal{S}p & \xleftarrow{R_n^k} & \mathcal{T}_n^k \mathcal{S}p.
\end{array}$$

Inverting the two horizontal functors we obtain an equivalence $L_n^k \Omega_{n,1}^\infty \simeq \Omega_{n,1}^\infty L_n^k$ and therefore an equivalence

$$\text{Nat}(\Sigma_{n,1}^\infty, F\Sigma_{n,1}^\infty) \longrightarrow \varprojlim_k \text{Nat}(\Omega_n^\infty L_n^k, \Omega_n^\infty L_n^k F\Sigma_{n,1}^\infty).$$

Precomposing with the equivalence R_n^k we find a further equivalence

$$\text{Nat}(\Sigma_{n,1}^\infty, F\Sigma_{n,1}^\infty) \longrightarrow \varprojlim_k \text{Nat}(\Omega_n^\infty, \Omega_n^\infty L_n^k F\Sigma_{n,1}^\infty R_n^k).$$

Now we use the fact that $F\Sigma_{n,1}^\infty$ is n -excisive to observe that

$$L_n^k F\Sigma_{n,1}^\infty R_n^k \simeq L_n^k R_n^k F\Sigma_{n,1}^\infty L_n^k R_n^k \simeq F\Sigma_{n,1}^\infty$$

where the right-most functor should be read as the pointwise application of $F\Sigma_{n,1}^\infty$ to yield a functor between $\mathcal{T}_n^k \mathcal{S}_*$ and $\mathcal{T}_n^k \mathcal{S}p$. Finally then we find an equivalence

$$\text{Nat}(\Sigma_{n,1}^\infty, F\Sigma_{n,1}^\infty) \longrightarrow \text{Nat}(\Omega_n^\infty, \Omega_n^\infty F\Sigma_{n,1}^\infty).$$

Observe that the space of natural transformations on the right is between functors $\mathcal{T}_n^k \mathcal{P}_n \mathcal{S}_* \rightarrow \mathcal{T}_n^k \mathcal{S}_*$ rather than functors $\mathcal{P}_n \mathcal{S}_* \rightarrow \mathcal{S}_*$. However, by Lemma 2.5, this distinction is irrelevant, justifying our lack of notational precision. To conclude, note that the functor Ω_n^∞ is corepresented by $\Sigma_n^\infty S^0$, finally yielding the desired equivalence

$$\text{Nat}(\Sigma_{n,1}^\infty, F\Sigma_{n,1}^\infty) \longrightarrow \Omega_n^\infty F\Sigma_{n,1}^\infty(\Sigma_n^\infty S^0) \simeq \Omega_n^\infty F(S).$$

□

Proof of Lemma 7.17. This lemma follows from the more general Proposition C.24, but for the

convenience of the reader we offer a direct proof here. Let us first recall the standard description of the spectrum $\partial_n \text{id}$. As before we write $\mathbf{Equiv}(n)$ for the partially ordered set of equivalence relations on $\{1, \dots, n\}$. Also, write $\mathbf{Equiv}^\pm(n)$ for the subset obtained from $\mathbf{Equiv}(n)$ by deleting the initial and final object, i.e. the trivial and discrete equivalence relations. Then $\partial_n \text{id}$ is the Spanier-Whitehead dual of the double suspension of $\mathbf{NEquiv}^\pm(n)$. Note that therefore $\Sigma(\partial_n \text{id})$ is the Spanier-Whitehead dual of a single suspension of $\mathbf{NEquiv}^\pm(n)$. Observe that $S^{\otimes n}$ is just the sphere spectrum. To identify $S^{\odot n}$, note that the diagram ψ_{n-1}^n of Proposition 5.10 is the constant diagram with value S , so that its limit over $\mathbf{NPart}_{n-1}(n)$ is simply the Spanier-Whitehead dual of this simplicial set. Therefore the fiber of the map

$$S \longrightarrow S^{\odot n}$$

is the Spanier-Whitehead dual of the suspension of $\mathbf{NPart}_{n-1}(n)$. To conclude that this is equivalent to the spectrum $\Sigma(\partial_n \text{id})$ it thus suffices to show that $\mathbf{NPart}_{n-1}(n)$ is weakly equivalent to $\mathbf{NEquiv}^\pm(n)$.

Recall that the poset $\mathbf{Part}_{n-1}(n)$ is defined as the poset of chains of equivalence relations $E_0 < \dots < E_j$ so that E_0 is discrete, E_j is trivial, and each of the maps

$$\{1, \dots, n\}/E_{i-1} \rightarrow \{1, \dots, n\}/E_i$$

has fibers of cardinality at most $n - 1$. Write $\mathbf{Part}_{n-1}(n)'$ for the subset of $\mathbf{Part}_{n-1}(n)$ consisting of simplices that are nondegenerate in $\mathbf{NEquiv}(n)$ and similarly write $\Delta/\mathbf{NEquiv}(n)'$ for the subcategory of the category of simplices spanned by nondegenerate simplices. It is well-known (and easy to show) that the inclusion $\mathbf{Part}_{n-1}(n)' \subseteq \mathbf{Part}_{n-1}(n)$ induces a homotopy equivalence on nerves. Now observe that the map of partially ordered sets

$$\mathbf{Part}_{n-1}(n)' \longrightarrow \Delta/\mathbf{NEquiv}^\pm(n)'$$

which forgets the initial and final vertices of a chain gives an isomorphism of simplicial sets. We conclude by using the well-known fact that $\Delta/\mathbf{NEquiv}^\pm(n)'$ is the barycentric subdivision of $\mathbf{NEquiv}^\pm(n)$, which is equivalent to $\mathbf{NEquiv}^\pm(n)$ under map taking the last vertex of a simplex. $\square$

Appendices

A Compactly generated ∞ -categories

For the convenience of the reader we briefly recall some basic facts about compactly generated ∞ -categories of which the proofs can be found in Section 5.5.7 of [22]. Furthermore we prove Lemma A.4 below, which is needed in Chapter 3. Recall that an ∞ -category $\mathcal{C}$ is *compactly generated* if it is both presentable and ω -accessible. Alternatively, $\mathcal{C}$ is compactly generated if and only if it is equivalent to $\mathrm{Ind}(\mathcal{D})$ for some small ∞ -category $\mathcal{D}$ which admits finite colimits. Recall that an object X of an ∞ -category $\mathcal{C}$ is *compact* if the functor $\mathrm{Map}_{\mathcal{C}}(X, -) : \mathcal{C} \rightarrow \mathcal{S}$ preserves filtered colimits. For a compactly generated ∞ -category $\mathcal{C}$ we write $\mathcal{C}^c$ for the full subcategory of $\mathcal{C}$ spanned by its compact objects. Write $\mathbf{Cat}^{\mathrm{Rex}}$ for the ∞ -category of essentially small ∞ -categories which admit finite colimits, with functors preserving finite colimits. Furthermore, write $\mathbf{Cat}_{\mathrm{idem}}^{\mathrm{Rex}}$ for the full subcategory of $\mathbf{Cat}^{\mathrm{Rex}}$ spanned by those ∞ -categories $\mathcal{C}$ that are moreover idempotent complete. The following is part of Proposition 5.5.7.8 of [22]:

Proposition A.1. *The functor which assigns to a compactly generated ∞ -category $\mathcal{C}$ its full subcategory $\mathcal{C}^c$ of compact objects gives an equivalence of ∞ -categories $\mathbf{Cat}^{\omega} \rightarrow \mathbf{Cat}_{\mathrm{idem}}^{\mathrm{Rex}}$.*

Remark A.2. An inverse to the construction of the previous proposition is given by assigning to $\mathcal{D} \in \mathbf{Cat}_{\mathrm{idem}}^{\mathrm{Rex}}$ the ∞ -category $\mathrm{Ind}(\mathcal{D})$. This construction of course makes sense for $\mathcal{D} \in \mathbf{Cat}^{\mathrm{Rex}}$ not necessarily idempotent complete. The functor Ind factors through idempotent completion; Proposition 5.5.7.10 of [22] shows that it exhibits $\mathbf{Cat}^{\omega}$ as a localization of $\mathbf{Cat}^{\mathrm{Rex}}$.

Lemma A.3. *The functor $\mathbf{Cat}^{\omega} \rightarrow \mathbf{Cat}$ which assigns to a compactly generated ∞ -category $\mathcal{C}$ its full subcategory $\mathcal{C}^c$ preserves all small limits and filtered colimits.*

Proof. By Proposition A.1 it suffices to verify this claim for the inclusion $\mathbf{Cat}_{\mathrm{idem}}^{\mathrm{Rex}} \rightarrow \mathbf{Cat}$. The fact that this functor preserves small limits follows from the results of Section 5.3.6 of [22]; specifically, Corollary 5.3.6.10 shows that this functor has a left adjoint. Preservation of filtered colimits is guaranteed by Lemma 7.3.5.10 of [24]. $\square$

Finally, we will need to know that filtered colimits and finite limits commute in $\mathbf{Cat}^{\omega}$:

Lemma A.4. *Let I be a filtered ∞ -category and write $\varinjlim_I : \mathrm{Fun}(I, \mathbf{Cat}^{\omega}) \rightarrow \mathbf{Cat}^{\omega}$ for a choice*

of colimit functor (which is unique up to contractible ambiguity). Then $\varinjlim_I$ preserves finite limits.

Proof. By Lemma A.3 it suffices to check the corresponding statement for the ∞ -category **Cat**, where it is true. Indeed, filtered colimits and finite limits commute in compactly generated ∞ -categories, of which **Cat** is an example. $\square$

B Some facts from Goodwillie calculus

We will not provide a comprehensive overview of the basics of Goodwillie calculus (which can for example be found in [11, 24]), but in this section we collect several additional results we need in the main text. None of this material is original.

For a functor $F : \mathcal{C} \rightarrow \mathcal{D}$ between pointed compactly generated ∞ -categories, one can define the n 'th cross effect $\mathrm{cr}_n F$ and n 'th cocross effect $\mathrm{cr}^n F$ as follows. For objects $X_1, \dots, X_n \in \mathcal{C}$ one considers the n -cube

$$\mathbf{P}(n) \longrightarrow \mathcal{C} : S \longmapsto \prod_{i \notin S} X_i$$

where the maps are induced by the various maps $X_i \rightarrow *$. Then the n 'th cross effect is defined as the total fiber of F applied to this cube:

$$\mathrm{cr}_n F(X_1, \dots, X_n) := \mathrm{tfib} \left\{ F \left(\prod_{i \notin S} X_i \right) \right\}_{S \in \mathbf{P}(n)}.$$

Dually, one considers the cube

$$\mathbf{P}(n) \longrightarrow \mathcal{C} : S \longmapsto \prod_{i \in S} X_i$$

and defines the cocross effect $\mathrm{cr}^n F$ as the corresponding total cofiber:

$$\mathrm{cr}^n F(X_1, \dots, X_n) := \mathrm{tcof} \left\{ F \left(\prod_{i \in S} X_i \right) \right\}_{S \in \mathbf{P}(n)}.$$

There are evident maps

$$\mathrm{cr}_n F(X_1, \dots, X_n) \longrightarrow F(X_1 \amalg \dots \amalg X_n) \quad \text{and} \quad F(X_1 \times \dots \times X_n) \longrightarrow \mathrm{cr}^n F(X_1, \dots, X_n).$$

Since $\mathcal{C}$ is pointed there is the obvious map

$$X_1 \amalg \cdots \amalg X_n \longrightarrow X_1 \times \cdots \times X_n$$

which on X_i is $(*, \dots, \text{id}_{X_i}, \dots, *)$. As a consequence we obtain a natural map

$$c_n : \text{cr}_n F(X_1, \dots, X_n) \longrightarrow \text{cr}^n F(X_1, \dots, X_n).$$

The idea of considering cocross effects is due to McCarthy [25]. The following is straightforward:

Lemma B.1. *If $\mathcal{C}$ and $\mathcal{D}$ are stable then c_n is an equivalence.*

Proof. In the stable case finite products and coproducts coincide and we write $\oplus$ for both. Consider an inclusion $S \subseteq S'$ in $\mathbf{P}(n)$ and write $T = \{1, \dots, n\} - S$ and $T' = \{1, \dots, n\} - S'$. The inclusion

$$F\left(\bigoplus_{i \in T'} X_i\right) \rightarrow F\left(\bigoplus_{i \in T} X_i\right)$$

featuring in the definition of the cocross effect is a section of the projection

$$F\left(\bigoplus_{i \notin S} X_i\right) \rightarrow F\left(\bigoplus_{i \notin S'} X_i\right)$$

featuring in the definition of the cross effect. In particular the cofiber of the first is equivalent to the fiber of the second. The conclusion is easily deduced from the usual calculation of the total cofiber (resp. total fiber) of a cube as an iterated cofiber (resp. iterated fiber). $\square$

Remark B.2. Recall that the n 'th derivative $\partial_n F$ (respectively n 'th coderivative $\partial^n F$) is defined by multilinearizing $\text{cr}_n F$ (respectively $\text{cr}^n F$). A consequence of the previous lemma is that for $\mathcal{C}$ and $\mathcal{D}$ stable the derivatives and coderivatives of F are canonically equivalent. Also note that there is a natural map

$$F(X) \longrightarrow F(X \times \cdots \times X) \longrightarrow \Omega_{\mathcal{D}}^\infty \partial^n F(\Sigma_{\mathcal{C}}^\infty X, \dots, \Sigma_{\mathcal{C}}^\infty X).$$

Recall that the n -fold tensor product $\otimes_{\mathcal{C}}^n$ induced by the stable ∞ -operad $\text{Sp}(\mathcal{C})^\otimes$ is by definition equipped with a natural transformation

$$X_1 \times \cdots \times X_n \longrightarrow \Omega_{\mathcal{C}}^\infty (\Sigma_{\mathcal{C}}^\infty X_1 \otimes_{\mathcal{C}} \cdots \otimes_{\mathcal{C}} \Sigma_{\mathcal{C}}^\infty X_n)$$

exhibiting the latter as a multilinearization of the former. In particular, for $X \in \mathcal{C}$, composing with the diagonal gives a map

$$X \longrightarrow \Omega_{\mathcal{C}}^{\infty}(\Sigma_{\mathcal{C}}^{\infty} X \otimes_{\mathcal{C}} \cdots \otimes_{\mathcal{C}} \Sigma_{\mathcal{C}}^{\infty} X).$$

Its adjoint δ_n is the map featuring in the coalgebra structure of $\Sigma_{\mathcal{C}}^{\infty} X$ in $\mathrm{Sp}(\mathcal{C})^{\otimes}$. Explicitly, δ_n is the composition

$$\Sigma_{\mathcal{C}}^{\infty} X \longrightarrow \Sigma_{\mathcal{C}}^{\infty} \Omega_{\mathcal{C}}^{\infty}(\Sigma_{\mathcal{C}}^{\infty} X \otimes_{\mathcal{C}} \cdots \otimes_{\mathcal{C}} \Sigma_{\mathcal{C}}^{\infty} X) \longrightarrow \Sigma_{\mathcal{C}}^{\infty} X \otimes_{\mathcal{C}} \cdots \otimes_{\mathcal{C}} \Sigma_{\mathcal{C}}^{\infty} X$$

where the second map is induced by the counit of the adjunction between $\Sigma_{\mathcal{C}}^{\infty}$ and $\Omega_{\mathcal{C}}^{\infty}$. Let us record a slightly different description of the map δ_n , which we use in the proof of Proposition 6.6. Using the unit of the mentioned adjunction we may form the composition of maps

$$\Sigma_{\mathcal{C}}^{\infty} X \longrightarrow \Sigma_{\mathcal{C}}^{\infty} \Omega_{\mathcal{C}}^{\infty} \Sigma_{\mathcal{C}}^{\infty} X \longrightarrow \partial^n(\Sigma_{\mathcal{C}}^{\infty} \Omega_{\mathcal{C}}^{\infty})(\Sigma_{\mathcal{C}}^{\infty} X, \dots, \Sigma_{\mathcal{C}}^{\infty} X).$$

Write δ'_n for this composition.

Lemma B.3. *There is a natural equivalence $\varphi : \partial^n(\Sigma_{\mathcal{C}}^{\infty} \Omega_{\mathcal{C}}^{\infty}) \rightarrow \otimes_{\mathcal{C}}^n$. Furthermore, the composition $\varphi \circ \delta'_n$ is canonically homotopic to δ_n .*

Proof. An alternative way to factor the map δ_n is as follows:

$$\begin{aligned} \Sigma_{\mathcal{C}}^{\infty} X &\longrightarrow \Sigma_{\mathcal{C}}^{\infty} \Omega_{\mathcal{C}}^{\infty} \Sigma_{\mathcal{C}}^{\infty} X \\ &\longrightarrow \Sigma_{\mathcal{C}}^{\infty}(\Omega_{\mathcal{C}}^{\infty} \Sigma_{\mathcal{C}}^{\infty} X \times \cdots \times \Omega_{\mathcal{C}}^{\infty} \Sigma_{\mathcal{C}}^{\infty} X) \\ &\longrightarrow \Sigma_{\mathcal{C}}^{\infty} \Omega_{\mathcal{C}}^{\infty}(\Sigma_{\mathcal{C}}^{\infty} X \otimes_{\mathcal{C}} \cdots \otimes_{\mathcal{C}} \Sigma_{\mathcal{C}}^{\infty} X) \\ &\longrightarrow \Sigma_{\mathcal{C}}^{\infty} X \otimes_{\mathcal{C}} \cdots \otimes_{\mathcal{C}} \Sigma_{\mathcal{C}}^{\infty} X. \end{aligned}$$

The second to last map induces an equivalence after multilinearizing by definition; the last map does so as well, by the chain rule for linearizations (see Theorem 6.2.1.22 of [24]) and the fact that the linearization of $\Sigma_{\mathcal{C}}^{\infty} \Omega_{\mathcal{C}}^{\infty}$ is equivalent to the identity functor of $\mathrm{Sp}(\mathcal{C})$. Now observe that the multilinearization of the functor

$$X \longmapsto \Sigma_{\mathcal{C}}^{\infty}(\Omega_{\mathcal{C}}^{\infty} \Sigma_{\mathcal{C}}^{\infty} X \times \cdots \times \Omega_{\mathcal{C}}^{\infty} \Sigma_{\mathcal{C}}^{\infty} X) \simeq \Sigma_{\mathcal{C}}^{\infty} \Omega_{\mathcal{C}}^{\infty}(\Sigma_{\mathcal{C}}^{\infty} X \times \cdots \times \Sigma_{\mathcal{C}}^{\infty} X)$$

is precisely $\partial^n(\Sigma_{\mathcal{C}}^\infty \Omega_{\mathcal{C}}^\infty)(\Sigma_{\mathcal{C}}^\infty X, \dots, \Sigma_{\mathcal{C}}^\infty X)$ which concludes the proof. $\square$

We end this section by recalling the relation between the derivatives of the functors $\text{id}_{\mathcal{C}}$ and $\Sigma_{\mathcal{C}}^\infty \Omega_{\mathcal{C}}^\infty$. Consider pointed compactly generated ∞ -categories $\mathcal{C}$, $\mathcal{D}$ and $\mathcal{E}$ and functors $F : \mathcal{C} \rightarrow \mathcal{D}$, $G : \mathcal{D} \rightarrow \mathcal{E}$ preserving filtered colimits. Using the stabilization of $\mathcal{D}$ we may form a cosimplicial object

$$G\Omega_{\mathcal{D}}^\infty \Sigma_{\mathcal{D}}^\infty F \rightrightarrows G\Omega_{\mathcal{D}}^\infty \Sigma_{\mathcal{D}}^\infty \Omega_{\mathcal{D}}^\infty \Sigma_{\mathcal{D}}^\infty F \Rrightarrow G\Omega_{\mathcal{D}}^\infty (\Sigma_{\mathcal{D}}^\infty \Omega_{\mathcal{D}}^\infty)^2 \Sigma_{\mathcal{D}}^\infty F \Rrightarrow \dots$$

which we denote by $G\Omega_{\mathcal{D}}^\infty (\Sigma_{\mathcal{D}}^\infty \Omega_{\mathcal{D}}^\infty)^\bullet \Sigma_{\mathcal{D}}^\infty F$. We use the notation Tot (i.e. totalization) for the limit of a cosimplicial diagram. The following is due to Arone and Ching [1]:

Proposition B.4. *For each $n \geq 0$, the canonical maps*

$$\begin{aligned} P_n(GF) &\longrightarrow \text{Tot}(P_n(G\Omega_{\mathcal{D}}^\infty (\Sigma_{\mathcal{D}}^\infty \Omega_{\mathcal{D}}^\infty)^\bullet \Sigma_{\mathcal{D}}^\infty F)), \\ \partial_n(GF) &\longrightarrow \text{Tot}(\partial_n(G\Omega_{\mathcal{D}}^\infty (\Sigma_{\mathcal{D}}^\infty \Omega_{\mathcal{D}}^\infty)^\bullet \Sigma_{\mathcal{D}}^\infty F)) \end{aligned}$$

are equivalences.

Proof. We describe the proof of the first equivalence, the second is almost identical. First suppose G is homogeneous, so that it is of the form $H\Sigma_{\mathcal{D}}^\infty$ for some functor $H : \text{Sp}(\mathcal{D}) \rightarrow \mathcal{E}$. Then the cosimplicial object

$$P_n(H\Sigma_{\mathcal{D}}^\infty \Omega_{\mathcal{D}}^\infty (\Sigma_{\mathcal{D}}^\infty \Omega_{\mathcal{D}}^\infty)^\bullet \Sigma_{\mathcal{D}}^\infty F)$$

admits extra codegeneracies (sometimes called contracting codegeneracies) induced by the counit $\Sigma_{\mathcal{D}}^\infty \Omega_{\mathcal{D}}^\infty \rightarrow \text{id}_{\mathcal{D}}$, so that the claimed equivalence immediately follows from the standard lemma on contracting homotopies. For general G we argue by induction on the Goodwillie tower of G . Consider the fiber sequence $D_k G \rightarrow P_k G \rightarrow P_{k-1} G$ and the resulting diagram

$$\begin{array}{ccc} P_n((D_k G)F) & \longrightarrow & \text{Tot}(P_n(D_k G\Omega_{\mathcal{D}}^\infty (\Sigma_{\mathcal{D}}^\infty \Omega_{\mathcal{D}}^\infty)^\bullet \Sigma_{\mathcal{D}}^\infty F)) \\ \downarrow & & \downarrow \\ P_n((P_k G)F) & \longrightarrow & \text{Tot}(P_n(P_k G\Omega_{\mathcal{D}}^\infty (\Sigma_{\mathcal{D}}^\infty \Omega_{\mathcal{D}}^\infty)^\bullet \Sigma_{\mathcal{D}}^\infty F)) \\ \downarrow & & \downarrow \\ P_n((P_{k-1} G)F) & \longrightarrow & \text{Tot}(P_n(P_{k-1} G\Omega_{\mathcal{D}}^\infty (\Sigma_{\mathcal{D}}^\infty \Omega_{\mathcal{D}}^\infty)^\bullet \Sigma_{\mathcal{D}}^\infty F)). \end{array}$$

Since P_n commutes with finite limits and totalizations preserve limit diagrams, both columns are fiber sequences. By induction we may assume that the bottom horizontal map is an equivalence, the base of the induction being a consequence of the homogeneous case above; the homogeneous case also shows that the top horizontal map is an equivalence. We conclude that the map in the middle is an equivalence. The proposition follows by setting $k = n$. $\square$

Corollary B.5. *For $\mathcal{C}$ a pointed compactly generated ∞ -category, the canonical map*

$$\partial_n \mathrm{id}_{\mathcal{C}} \longrightarrow \mathrm{Tot}(\partial_n(\Omega_{\mathcal{C}}^{\infty}(\Sigma_{\mathcal{C}}^{\infty}\Omega_{\mathcal{C}}^{\infty})^{\bullet}\Sigma_{\mathcal{C}}^{\infty}))$$

is an equivalence.

C Truncations

In this section we provide several of the more technical proofs needed for the results of Chapter 5. In Section C.1 we start by investigating the homotopy theory of truncated ∞ -operads. Then, in Section C.2, we investigate the truncations of stable ∞ -operads. We will write $\mathbf{Op}$ for the ∞ -category of nonunital ∞ -operads. In Lurie's formalism these are precisely the ∞ -operads whose structure map to $\mathbf{NFin}_*$ factors through $\mathbf{NSurj}$, where $\mathbf{Surj}$ denotes the category of finite pointed sets and surjective maps. By the results of [14], an equivalent way of describing the ∞ -category $\mathbf{Op}$ is by using *open* dendroidal sets. This second perspective will be more convenient when constructing truncations of operads. The basics of the theory of dendroidal sets are contained in [6] and [26]. Also, [14] contains a fairly comprehensive exposition of the background we need.

C.1 The homotopy theory of truncated ∞ -operads

Let us first fix notation and terminology concerning dendroidal sets. Write $\mathbf{\Omega}$ for the category of open rooted trees. Recall from [14] that a tree is open if it contains no nullary vertices. This category is denoted by $\mathbf{\Omega}_o$ in [14], but since we will only work with open trees here we drop the subscript. Define $\mathbf{\Omega}_n$ to be the full subcategory of $\mathbf{\Omega}$ spanned by the trees with at most n leaves; also, write $\mathbf{\Psi}_n$ for the full subcategory of $\mathbf{\Omega}$ spanned by those trees whose vertices have at most n ingoing edges, so that we have inclusions $u : \mathbf{\Omega}_n \rightarrow \mathbf{\Psi}_n$ and $v : \mathbf{\Psi}_n \rightarrow \mathbf{\Omega}$. For the

corresponding categories of presheaves we obtain adjunctions

$$\mathbf{Sets}^{\Omega_n^{\text{op}}} \begin{matrix} \xrightarrow{u_!} \\ \xleftarrow{u^*} \end{matrix} \mathbf{Sets}^{\Psi_n^{\text{op}}} \begin{matrix} \xrightarrow{v_!} \\ \xleftarrow{v^*} \end{matrix} \mathbf{Sets}^{\Omega^{\text{op}}},$$

where u^* and v^* are the evident restriction functors. Note that $u_!$ and $v_!$ are fully faithful, so that we may regard the former two categories as full subcategories of the latter. Note that the inclusion of $\Delta \rightarrow \Omega$, obtained by considering $[n]$ as a linear tree with n vertices and $n+1$ edges, factors through the two subcategories Ω_n and Ψ_n . As a consequence, the embedding of the category of simplicial sets into $\mathbf{Sets}^{\Omega^{\text{op}}}$ factors through $u_!$ and $v_!$.

Remark C.1. Like Ω , both the categories Ω_n and Ψ_n are generalized Reedy categories, with their Reedy structure inherited from Ω .

The category $\mathbf{Sets}^{\Omega^{\text{op}}}$ is called the category of (open) dendroidal sets and here denoted $\mathbf{dSets}$; Cisinski and Moerdijk [6] established a model structure on this category which in [14] is referred to as the *operadic model structure*. Recall the *normal monomorphisms*, which are generated as a saturated class by the boundary inclusions of trees $\partial T \rightarrow T$. Here ∂T is the union of all faces of T . (We will not distinguish in notation between a tree T and the dendroidal set that it represents.) An alternative characterization of these maps is as follows: a monomorphism $f : X \rightarrow Y$ of dendroidal sets is normal if for every T , the action of $\text{Aut}(T)$ on $Y(T) - f(X(T))$ is free. Also, if T is a tree and e an inner edge of T , we write $\Lambda^e[T]$ for the *inner horn* of T associated to e ; it is the union of all faces of T except for the inner face corresponding to e . A dendroidal set X is called an ∞ -operad if it has the right lifting property with respect to all inner horn inclusions $\Lambda^e[T] \rightarrow T$. The operadic model structure is characterized by the fact that its cofibrations are the normal monomorphisms and its fibrant objects are the ∞ -operads.

There are evident analogues of the above definitions in the categories $\mathbf{Sets}^{\Omega_n^{\text{op}}}$ and $\mathbf{Sets}^{\Psi_n^{\text{op}}}$. It should be noted that in the case of $\mathbf{Sets}^{\Psi_n^{\text{op}}}$ the boundary of a tree T only consists of those faces of the tree that are themselves contained in Ψ_n ; it may therefore only be a subobject of the boundary of T considered as a dendroidal set. A similar comment applies to inner horns. With these definitions, the proofs for dendroidal sets (as for example given in [6]) carry over verbatim to prove the following:

Theorem C.2. *The categories $\mathbf{Sets}^{\Omega_n^{\text{op}}}$ and $\mathbf{Sets}^{\Psi_n^{\text{op}}}$ admit model structures in which the cofibrations are the normal monomorphisms and the fibrant objects are those objects having the right*

lifting property with respect to inner horn inclusions.

We will also refer to the model structures of the previous theorem as the operadic model structures.

Remark C.3. It is straightforward to verify that the chain of Quillen equivalences connecting $\mathbf{dSets}$ and Lurie's model category of ∞ -preoperads $\mathbf{POp}$ of [14] restricts to a chain of equivalences between $\mathbf{sSets}^{\Omega_n^{\text{op}}}$ and the model category of marked simplicial sets over $(\mathbf{NFin}_*^{\leq n})^\natural$ described in Remark 5.3. Therefore, we can use the operadic model structure on $\mathbf{Sets}^{\Omega_n^{\text{op}}}$ as a model for the homotopy theory of n -truncated ∞ -operads. Recall that in Chapter 5 we denoted the corresponding ∞ -category by $\mathbf{Op}_{\leq n}$.

The functors u^* and v^* enjoy several pleasant properties with respect to the operadic model structures, summarized in the following two results:

Theorem C.4. *The functor $u^* : \mathbf{Sets}^{\Psi_n^{\text{op}}} \rightarrow \mathbf{Sets}^{\Omega_n^{\text{op}}}$ is both left and right Quillen. Furthermore, both the resulting adjunctions are Quillen equivalences.*

Theorem C.5. *The functor $v^* : \mathbf{dSets} \rightarrow \mathbf{Sets}^{\Psi_n^{\text{op}}}$ is both left and right Quillen.*

In our proofs we will frequently use the notion of *Segal core*, which is the dendroidal analogue of the spine of a simplex. Recall that a *corolla* is a tree with precisely one vertex. We write C_k for the corolla with k leaves. If T is a tree, then its Segal core $\text{Sc}(T)$ is the union of its corollas; to be more precise, as a subpresheaf of the representable presheaf T it is described by

$$\text{Sc}(T) = \bigcup_{v \in V(T)} C_{n(v)}$$

where $V(T)$ is the set of vertices of T and $n(v)$ is the number of inputs of a vertex v . This definition makes sense in all three of the presheaf categories we consider; moreover, for $T \in \Omega_n$ and $S \in \Psi_n$ we have the compatibilities

$$u_!(\text{Sc}(T)) = \text{Sc}(T) \quad \text{and} \quad v_!(\text{Sc}(S)) = \text{Sc}(S).$$

The following lemma will be an important tool. (Note that its analogue for simplicial sets is a well-known fact about the Joyal model structure.) It is a standard result for dendroidal sets, but the same argument applies to our other two presheaf categories:

Lemma C.6. *Let F be a left adjoint functor from either of the three categories $\mathbf{Sets}^{\Omega_n^{\text{op}}}$, $\mathbf{Sets}^{\Psi_n^{\text{op}}}$ or $\mathbf{dSets}$ to a model category $\mathcal{E}$ and suppose F preserves cofibrations. If F sends the maps below to weak equivalences, then F is left Quillen:*

- (a) *For all $T \in \Omega_n$ (resp. Ψ_n, Ω), the Segal core inclusion $\text{Sc}(T) \rightarrow T$.*
- (b) *The inclusion $\{0\} \rightarrow J$, where J denotes the nerve of the usual groupoid interval, i.e. the category with two objects 0 and 1 and an isomorphism between them.*

Proof. Under the assumption that F preserves cofibrations, a standard argument shows that it preserves trivial cofibrations if and only if its right adjoint preserves fibrations between fibrant objects. In either of the three model categories mentioned, the fibrations between fibrant objects are precisely the J -fibrations, i.e. the inner fibrations which also have the right lifting property with respect to the inclusion $\{0\} \rightarrow J$. Therefore it suffices to show that F sends inner horn inclusions and the map $\{0\} \rightarrow J$ to trivial cofibrations. Reducing from inner horn inclusions to Segal core inclusions is for example done as in Proposition 3.6.8 of [14]. To be precise, if $\mathcal{A}$ is a saturated class of cofibrations closed under two-out-of-three (among cofibrations) and contains all Segal core inclusions, then it contains all inner horn inclusions. $\square$

Another standard result is the characterization of weak equivalences between fibrant dendroidal sets (see Theorem 3.5 of [7]), which carries through without change to the two subcategories of $\mathbf{dSets}$ we consider. Recall that by using the tensor product of dendroidal sets, one can define simplicial mapping objects $\text{Map}(X, Y)$ for dendroidal sets X and Y .

Proposition C.7. *Let $f : X \rightarrow Y$ be a map of fibrant dendroidal sets. Then f is a weak equivalence if and only if the following maps are homotopy equivalences of simplicial sets:*

- (a) *For any corolla C_k , the map $\text{Map}(C_k, X) \rightarrow \text{Map}(C_k, Y)$.*
- (b) *The map $\text{Map}(\eta, X) \rightarrow \text{Map}(\eta, Y)$, where η denotes the ‘trivial’ tree with one edge and no vertices (or equivalently, the image of the 0-simplex Δ^0 in $\mathbf{dSets}$).*

The analogous statement is true in the model categories $\mathbf{Sets}^{\Omega_n^{\text{op}}}$ and $\mathbf{Sets}^{\Psi_n^{\text{op}}}$, where in (a) one only considers corollas C_k with $k \leq n$.

Remark C.8. Another way to state the previous proposition is to say that a map of ∞ -operads is an equivalence if and only if it is fully faithful, which is part (a), and a weak equivalence on the

level of underlying simplicial sets, which is part (b). Since fully faithfulness is already guaranteed by (a), the only additional information provided by (b) is that f is essentially surjective.

Before we prove Theorem C.4 we need a convenient description of the functor u^* . For a tree T , a *subtree* of T is an inclusion of trees $S \subseteq T$ which can be written as a composition of external face maps. In others words, S is obtained from T by iteratively chopping off leaf corollas and root corollas. Write $\text{Sub}(T)$ for the diagram of subtrees of T (i.e. the full subcategory of $\mathbf{\Omega}/T$ spanned by the subtrees). Also, write $\text{Sub}_n(T)$ for the diagram of subtrees with at most n leaves.

Lemma C.9. *For a tree $T \in \Psi_n$, the natural map*

$$\varinjlim_{S \in \text{Sub}_n(T)} S \longrightarrow u^*(T)$$

is an isomorphism.

Proof. Write $(\mathbf{\Omega}_n/T)^{\text{nd}}$ for the category whose objects are non-degenerate maps $S \rightarrow T$, where S is a tree with at most n leaves. Arrows in this category are maps in $\mathbf{\Omega}_n$ compatible with the maps to T . Then by definition

$$u^*(T) = \varinjlim_{S \in (\mathbf{\Omega}_n/T)^{\text{nd}}} S.$$

To prove the lemma it suffices to show that the inclusion $\text{Sub}_n(T) \rightarrow (\mathbf{\Omega}_n/T)^{\text{nd}}$ is cofinal. By standard arguments, this follows if for every $S \in \mathbf{\Omega}_n/T$ the slice category

$$\text{Sub}_n(T) \times_{(\mathbf{\Omega}_n/T)^{\text{nd}}} S/(\mathbf{\Omega}_n/T)^{\text{nd}}$$

is connected. In fact, this slice category is contractible: it has an initial object $\tilde{S}$, which is the subtree of T whose leaves are the (images of the) leaves of S and whose root is the (image of the) root of S , so that in particular the map $S \rightarrow \tilde{S}$ is a composition of inner face maps. $\square$

Proof of Theorem C.4. Proving that u^* is right Quillen is equivalent to proving that $u_!$ is left Quillen, which we will do by verifying the assumptions of Lemma C.6. Since $u_!$ is the inclusion of a full subcategory, it is clear that it preserves normal monomorphisms. Furthermore, we already observed that for $T \in \mathbf{\Omega}_n$ we have the formula $u_!(\text{Sc}(T)) = \text{Sc}(T)$; also, $u_!$ sends the inclusion $\{0\} \rightarrow J$ to precisely the same map in $\mathbf{Sets}^{\Psi_n^{\text{op}}}$.

To show that u^* is also left Quillen, first observe that it preserves monomorphisms (as does any

right adjoint). To check that u^* preserves normal monomorphisms, we should check that a map of the form $u^*(\partial T \rightarrow T)$ is normal. Any monomorphism whose codomain is normal is a normal monomorphism; therefore, it suffices to show that $u^*(T)$ is normal for any $T \in \Psi_n$, i.e. for any $S \in \Omega_n$ the action of $\text{Aut}(S)$ on $u^*(T)(S)$ should be free. But $u^*(T)(S) = \mathbf{Sets}^{\Psi_n^{\text{op}}}(u_! S, T)$, so that the freeness of this action follows from the fact that T is normal in $\mathbf{Sets}^{\Psi_n^{\text{op}}}$. We should now verify that u^* sends the maps of Lemma C.6 to weak equivalences. This is immediate for the map in (b), since it is just sent to the corresponding map in $\mathbf{Sets}^{\Omega_n^{\text{op}}}$. The maps of (a) require a more elaborate argument.

Consider a map of the form $u^*(\text{Sc}(T) \rightarrow T)$, where $T \in \Psi_n$. We will factor this map into a sequence of maps, each of which we will show to be inner anodyne. Recall from Lemma C.9 the description of $u^*(T)$ as colimit over $\text{Sub}_n(T)$. Write $\text{Sub}_n^i(T)$ for the subdiagram of $\text{Sub}_n(T)$ spanned by the subtrees with at most i vertices and write A_i for the corresponding colimit over this diagram. Then we obtain a sequence of inclusions

$$u^*(\text{Sc}(T)) = A_1 \subseteq A_2 \subseteq \cdots \subseteq A_N = u^*(T)$$

for sufficiently large N . Consider one of the maps $A_i \subseteq A_{i+1}$ and factor it further as

$$A_i^1 \subseteq A_i^2 \subseteq \cdots \subseteq A_i^M = A_{i+1}$$

by adjoining the subtrees with $i+1$ vertices one by one (in arbitrary order). Consider one of the inclusions $A_i^j \subseteq A_i^{j+1}$ in this sequence, given by adjoining a such a subtree S . It fits into a pushout square

$$\begin{array}{ccc} \partial^{\text{ext}} S & \longrightarrow & A_i^j \\ \downarrow & & \downarrow \\ S & \longrightarrow & A_i^{j+1}, \end{array}$$

where $\partial^{\text{ext}} S$ denotes the union of all external faces of S . Indeed, each external face is already contained in A_i because it has one fewer vertex than S itself, whereas A_i cannot contain any of the inner faces of S by the way we have set up our induction. It is a standard fact that the inclusion $\partial^{\text{ext}} S \rightarrow S$ is an inner anodyne map (see for example [7] or [14]).

Finally, we will show that the Quillen adjunction (u, u^*) is a Quillen equivalence. It suffices to show that the right derived functor $\mathbf{R}u^*$ detects weak equivalences and the derived unit

$\text{id} \rightarrow \mathbf{R}u^*\mathbf{L}u_!$ is a weak equivalence. Indeed, it then follows from the triangle identities for the adjunction that the derived counit is a weak equivalence as well. So, consider a map $f : X \rightarrow Y$ between fibrant objects of $\mathbf{Sets}^{\Psi_n^{\text{op}}}$ and assume that u^*f is a weak equivalence. Using Proposition C.7, we need to check that $\text{Map}(C_k, X) \rightarrow \text{Map}(C_k, Y)$ and $\text{Map}(\eta, X) \rightarrow \text{Map}(\eta, Y)$ are homotopy equivalences for $k \leq n$. But we simply have isomorphisms $\text{Map}(C_k, u^*X) \simeq \text{Map}(C_k, X)$ and $\text{Map}(\eta, u^*X) \simeq \text{Map}(\eta, u^*Y)$ (and of course similarly for Y), so that this follows directly from the assumption that u^*f is a weak equivalence.

Let us now prove that the derived unit is a weak equivalence. Consider a cofibrant object $X \in \mathbf{Sets}^{\Omega_n^{\text{op}}}$ and pick a trivial cofibration $u_!X \rightarrow (u_!X)_f$ so that $(u_!X)_f$ is fibrant. We should verify that the composition

$$X \rightarrow u^*u_!X \rightarrow u^*(u_!X)_f$$

is a weak equivalence. Since u^* is also left Quillen, the second map is a trivial cofibration. Since $u_!$ is fully faithful, the first map is an isomorphism and the desired conclusion follows. $\square$

To prove Theorem C.5 it is convenient to have a description of $v^*(T)$ for a tree T . The presheaf $v^*(T)$ is a disjoint union of representable presheaves: it is obtained from T by deleting all vertices of T with more than n inputs. In other words, $v^*(T)$ is the coproduct of the maximal subtrees of T whose vertices have no more than n inputs.

Proof of Theorem C.5. Showing that v^* is right Quillen is equivalent to showing that $v_!$ is left Quillen: as with $u_!$ (see the beginning of the previous proof) this is obvious given Lemma C.6. To show that v^* is left Quillen, we first check that it preserves normal monomorphisms. Again this is much the same as in the previous proof: v^* preserves monomorphisms since it is a right adjoint and sends trees T to normal objects, which is clear from the description of $v^*(T)$ given above. To verify that v^* sends the maps of Lemma C.6 to weak equivalences, note that it sends the map of (b) to precisely the same map in $\mathbf{Sets}^{\Omega_n^{\text{op}}}$ and a Segal core inclusion $\text{Sc}(T) \rightarrow T$ for $T \in \Omega$ to the disjoint union of the Segal core inclusions of the trees making up $v^*(T)$. A disjoint union of trivial cofibrations is again a trivial cofibration, concluding the proof. $\square$

The main reason to introduce the category of presheaves over Ψ_n , rather than just over Ω_n , is that the pushforward functor $v_! : \mathbf{Sets}^{\Psi_n^{\text{op}}} \rightarrow \mathbf{dSets}$ behaves very well with respect to fibrant objects. This is perhaps surprising, since $v_!$ is a left Quillen functor, but definitely not a right

Quillen functor (it is not even a right adjoint). To explain our results it is most convenient to consider dendroidal complete Segal spaces, rather than dendroidal sets.

Consider the category of $\mathbf{sSets}^{\Omega^{\text{op}}}$ of simplicial presheaves on Ω , which we will denote by $\mathbf{sdSets}$. Since Ω^{op} is a generalized Reedy category, $\mathbf{sdSets}$ admits a Reedy model structure. We can regard any dendroidal set as an object of $\mathbf{sdSets}$ through the embedding $\mathbf{dSets} \rightarrow \mathbf{sdSets}$ sending a presheaf to the corresponding constant simplicial presheaf. The model category of complete dendroidal Segal spaces is then obtained by taking the Bousfield localization of the Reedy model structure with respect to the following maps:

- (a) For any tree T , the inclusion $\text{Sc}(T) \rightarrow T$.
- (b) The inclusion $\{0\} \rightarrow J$.

By analogy we can put a corresponding model structure on the category $\mathbf{sSets}^{\Psi_n^{\text{op}}}$, which we will refer as the model category of complete Ψ_n -Segal spaces. Pushforward and pullback along v define an adjunction between this category and $\mathbf{sdSets}$; we will again denote the resulting functors by $v_!$ and v^* . Also, we denote both the constant embeddings $\mathbf{dSets} \rightarrow \mathbf{sdSets}$ and $\mathbf{Sets}^{\Psi_n^{\text{op}}} \rightarrow \mathbf{sSets}^{\Psi_n^{\text{op}}}$ by con .

Proposition C.10. *In the commutative square*

$$\begin{array}{ccc} \mathbf{Sets}^{\Psi_n^{\text{op}}} & \xrightarrow{v_!} & \mathbf{dSets} \\ \text{con} \downarrow & & \downarrow \text{con} \\ \mathbf{sSets}^{\Psi_n^{\text{op}}} & \xrightarrow{v_!} & \mathbf{sdSets} \end{array}$$

all arrows are left Quillen functors. Both vertical arrows are part of Quillen equivalences.

Proof. The fact that con is a left Quillen equivalence is proved for dendroidal complete Segal spaces (i.e. the vertical functor on the right) in [7]; the proof for complete Ψ_n -Segal spaces is identical. It is straightforward to see that the bottom horizontal arrow induces a left Quillen functor for the Reedy model structures on both categories. Furthermore, it sends the localizing morphisms in the $\mathbf{sSets}^{\Psi_n^{\text{op}}}$ to localizing morphisms in $\mathbf{dSets}$, so that it is also left Quillen with respect to the model structures for complete Segal spaces. $\square$

The reason for considering these complete Segal spaces is that it allows us to formulate a useful technical property of $v_!$. It states that for a fibrant-cofibrant object $X \in \mathbf{sSets}^{\Psi_n^{\text{op}}}$, the

pushforward $v_!X$ is only a Reedy fibrant replacement away from being fibrant in $\mathbf{sdSets}$:

Lemma C.11. *Suppose $X \in \mathbf{sSets}^{\Psi_n^{\text{op}}}$ is a complete Ψ_n -Segal space (i.e. a Reedy fibrant simplicial presheaf local with respect to the maps (a) and (b) described above), which is also Reedy cofibrant. Write $(v_!X)_f$ for a Reedy fibrant replacement of $v_!X$. Then $(v_!X)_f$ is a complete dendroidal Segal space, i.e. a fibrant object of $\mathbf{sdSets}$.*

Proof. We need to check that $(v_!X)_f$ is local with respect to $\{0\} \rightarrow J$ and Segal core inclusions $\text{Sc}(T) \rightarrow T$. The first is clear from the fact $v_!$ induces an isomorphism $\text{Map}(J, X) \simeq \text{Map}(J, v_!X)$. For the second, consider a tree $T \in \mathbf{\Omega}$. Write $\text{Dec}_n(T)$ for the category whose objects are maps of trees $T \rightarrow S$ which are compositions of inner face maps and where $S \in \Psi_n$. Its morphisms are maps $S \rightarrow S'$ in Ψ_n compatible with the structure maps from T . Another way to phrase the condition that $T \rightarrow S$ is a composition of inner face maps is to say that this map is injective, sends the root of T to the root of S and the leaves of T to the leaves of S . We will refer to an object of $\text{Dec}_n(T)$ as an n -decomposition of T . By Lemma C.12 below we have a weak equivalence of simplicial sets

$$\text{hocolim}_{S \in \text{Dec}_n(T)^{\text{op}}} X(S) \longrightarrow v_!X(T).$$

As before, write $V(T)$ for the set of vertices of T . For a vertex x , write $n(x)$ for the number of inputs of x . Observe that there is an equivalence of categories

$$\gamma : \text{Dec}_n(T) \longrightarrow \prod_{x \in V(T)} \text{Dec}_n(C_{n(x)})$$

given by restricting an n -decomposition $f : T \rightarrow S$ to every corolla $C_{n(x)}$ of T to obtain a corresponding decomposition $C_{n(x)} \rightarrow \gamma_x(S)$. Here $\gamma_x(S)$ is the subtree of S with as its root the image under f of the root of $C_{n(x)}$, and similarly for the leaves. Write $\text{Sc}_\gamma(S)$ for the union of the subtrees $\gamma_x(S)$ in S , where x ranges through $V(T)$. Using γ we may form the commutative square

$$\begin{array}{ccc} \text{hocolim}_{S \in \text{Dec}_n(T)^{\text{op}}} X(S) & \xrightarrow{\quad} & v_!X(T) \\ \downarrow & & \downarrow \psi \\ \text{hocolim}_{S \in \text{Dec}_n(T)^{\text{op}}} \text{Map}(\text{Sc}_\gamma(S), X) & \xrightarrow[\varphi]{} & \text{Map}(\text{Sc}(T), v_!X). \end{array}$$

Moreover, the bottom horizontal map φ in the square above can be built by iterated homotopy

pullbacks from the maps

$$\mathrm{hocolim}_{S \in \mathrm{Dec}_n(C_{n(x)})^{\mathrm{op}}} \mathrm{Map}(\gamma_x(S), X) \longrightarrow \mathrm{Map}(C_{n(x)}, v_! X)$$

and

$$\mathrm{Map}(\eta, X) \longrightarrow \mathrm{Map}(\eta, v_! X),$$

where we used the fact that η does not admit nontrivial decompositions. Both these maps are weak equivalences (the second even an isomorphism), so that φ is a weak equivalence as well. Since the left vertical map in the square is a weak equivalence by the assumption that X is a Ψ_n -Segal space, it follows that ψ is a weak equivalence, so that $v_! X$ is local with respect to $\mathrm{Sc}(T) \rightarrow T$. Since $v_! X \rightarrow (v_! X)_f$ is a pointwise weak equivalence, the same is true of $(v_! X)_f$. $\square$

In the previous proof we needed the following:

Lemma C.12. *For $X \in \mathbf{sSets}^{\Psi_n^{\mathrm{op}}}$ and $T \in \mathbf{\Omega}$, the natural map*

$$\varinjlim_{S \in \mathrm{Dec}_n(T)^{\mathrm{op}}} X(S) \longrightarrow v_! X(T)$$

is an isomorphism. If X is Reedy cofibrant and we replace the colimit above by a homotopy colimit, the resulting map is a weak equivalence of simplicial sets.

Proof. Write $(T/\Psi_n)^{\mathrm{nd}}$ for the category of non-degenerate maps $T \rightarrow S$ in $\mathbf{\Omega}$ such that S is in Ψ_n . Then by definition we have the formula

$$\varinjlim_{S \in ((T/\Psi_n)^{\mathrm{nd}})^{\mathrm{op}}} X(S) \simeq v_! X(T).$$

To prove the lemma we should show that the inclusion

$$\mathrm{Dec}_n(T)^{\mathrm{op}} \longrightarrow ((T/\Psi_n)^{\mathrm{nd}})^{\mathrm{op}}$$

is cofinal (or homotopy cofinal, for the second part). Both these facts follow if we can show that for any object $f : T \rightarrow S$ of $(T/\Psi_n)^{\mathrm{nd}}$, the slice category

$$\mathrm{Dec}_n(T) \times_{(T/\Psi_n)^{\mathrm{nd}}} (T/\Psi_n)^{\mathrm{nd}}/f$$

is weakly contractible. In fact this category has a terminal object. Indeed, there is a unique factorization of f as a composition $h \circ g$, where h is a composition of inner face maps and g a composition of external face maps. Then $g \in \text{Dec}_n(T)$ is the desired terminal object. Said differently, if R is the subtree of S with as its root the image under f of the root of T and similarly for its leaves, then g is the evident map $T \rightarrow R$. $\square$

For ease of reference, let us record the following consequence of the proof of Lemma C.11, which will be the essential step in proving Proposition 5.10:

Corollary C.13. *Let $X \in \mathbf{sSets}^{\Psi_n^{\text{op}}}$ be fibrant and cofibrant and let Y be a fibrant replacement of $v_!X$. Then there is a natural weak equivalence*

$$\text{hocolim}_{S \in \text{Dec}_n(T)^{\text{op}}} X(S) \longrightarrow Y(T).$$

The following is also a consequence of Lemma C.11.

Corollary C.14. *The derived unit of the Quillen adjunction*

$$\mathbf{sSets}^{\Psi_n^{\text{op}}} \begin{array}{c} \xrightarrow{v_!} \\ \xleftarrow{v^*} \end{array} \mathbf{sdSets}$$

is a weak equivalence.

Proof. Consider $X \in \mathbf{sSets}^{\Psi_n^{\text{op}}}$ fibrant and cofibrant and consider a fibrant replacement Y of $v_!X$. We should verify that the map $X \rightarrow v^*Y$ is a weak equivalence. Note that for every $T \in \Psi_n$, the category $\text{Dec}_n(T)$ has an initial object (namely the identity map of T), so that the map

$$\text{hocolim}_{S \in \text{Dec}_n(T)^{\text{op}}} X(S) \longrightarrow X(T)$$

is a weak equivalence. It follows that $X(T) \rightarrow v^*Y(T)$ is a weak equivalence for every such T . $\square$

Remark C.15. In terms of the corresponding ∞ -categories $\mathbf{Op}_{\leq n}$ and $\mathbf{Op}$, the previous corollary states that the inclusion $\mathbf{Op}_{\leq n} \rightarrow \mathbf{Op}$ exhibits the former as a colocalization of the latter.

We conclude this section with a result relating the n -truncation and the $(n-1)$ -truncation of

an ∞ -operad. To state it, write w for the inclusion $\Psi_{n-1} \rightarrow \Psi_n$, which induces an adjunction

$$w_! : \mathbf{sSets}^{\Psi_{n-1}^{\text{op}}} \rightleftarrows \mathbf{sSets}^{\Psi_n^{\text{op}}} : w^*.$$

One sees this is a Quillen adjunction in the same way as for the pair $(v_!, v^*)$. Write t_{n-1} for the functor $\mathbf{L}w_! \mathbf{R}w^*$. For $X \in \mathbf{sSets}^{\Psi_n^{\text{op}}}$ we wish to express the difference between $t_{n-1}X$ and X in terms of n -homogeneous ∞ -operads. Informally speaking, an n -homogeneous operad is one that only has nontrivial operations of arities 1 and n . To make this precise in the setting of ∞ -operads, consider the full subcategory $g_n : \mathbf{\Gamma}_n \rightarrow \Psi_n$ spanned by the trees T which satisfy one of the following two conditions:

- (1) All the vertices of T are unary, i.e. T is just a simplex.
- (2) All the vertices of T except one are unary, where the non-unary vertex has valence n .

In particular, any $T \in \mathbf{\Gamma}_n$ has either one leaf or n leaves. The category $\mathbf{\Gamma}_n$ inherits a generalized Reedy structure from Ψ_n ; again we may localize the category of simplicial presheaves over $\mathbf{\Gamma}_n$ with respect to Segal cores and $\{0\} \rightarrow J$ to obtain the homotopy theory of *complete $\mathbf{\Gamma}_n$ -Segal spaces*. The pushforward

$$(g_n)_! : \mathbf{sSets}^{\mathbf{\Gamma}_n^{\text{op}}} \longrightarrow \mathbf{sSets}^{\Psi_n^{\text{op}}}$$

is then a left Quillen functor. Let us write h_n for the composite $\mathbf{L}(g_n)_! \mathbf{R}g_n^*$. We will sometimes refer to $h_n X$ as the *n -homogeneous part of X* . The following result will be the key ingredient in proving Proposition 5.12:

Proposition C.16. *For a fibrant object $X \in \mathbf{sSets}^{\Psi_n^{\text{op}}}$ the square*

$$\begin{array}{ccc} h_n t_{n-1} X & \longrightarrow & t_{n-1} X \\ \downarrow & & \downarrow \\ h_n X & \longrightarrow & X. \end{array}$$

is a homotopy pushout.

Proof. By Theorem C.4 it suffices to check that this square is a homotopy pushout after applying $\mathbf{L}u^*$, i.e. after restricting to $\mathbf{\Omega}_n$ (and Reedy cofibrant replacements, if necessary). We claim that the evaluation of the vertical map on the right at any tree $T \in \mathbf{\Omega}_n \cap \Psi_{n-1}$ is a weak equivalence, which we will justify in the second part of this proof. To conclude the statement of

the proposition, observe that the map $h_n X \rightarrow X$ is a homotopy equivalence for any tree $T \in \mathbf{\Gamma}_n$, whereas $h_n X(T) = \emptyset$ for $T \notin \mathbf{\Gamma}_n$ (and similarly for the map $h_n t_{n-1} X \rightarrow t_{n-1} X$). Indeed, the proposition then follows from the observation that any $T \in \mathbf{\Omega}_n$ is contained in either $\mathbf{\Psi}_{n-1}$ or $\mathbf{\Gamma}_n$.

To verify the claim above it suffices to check that the map $\mathbf{R}w^* t_{n-1} X \rightarrow \mathbf{R}w^* X$ is a weak equivalence. The object $t_{n-1} X$ may be computed as $w_!(w^* X)_c$, where $(w^* X)_c$ is a Reedy cofibrant replacement of $w^* X$ (and is therefore in particular pointwise weakly equivalent to $w^* X$). Write $(w_!(w^* X)_c)_f$ for a Reedy fibrant replacement of this object, which is then a complete $\mathbf{\Psi}_n$ -Segal space by Lemma C.11. It follows that we may compute $\mathbf{R}w^* t_{n-1} X$ as $w^*(w_!(w^* X)_c)_f$. Our claim then follows from Corollary C.14. $\square$

Observe that if $S \rightarrow T$ is a map of trees in $\mathbf{\Psi}_n$ such that $T \in \mathbf{\Gamma}_n$, then S must be in $\mathbf{\Gamma}_n$ as well. It follows that for any such T , the inclusion

$$\mathbf{sSets}^{\mathbf{\Gamma}_n^{\text{op}}}/T \longrightarrow \mathbf{sSets}^{\mathbf{\Psi}_n^{\text{op}}}/T$$

is an equivalence of categories. It is immediate from this observation that the pushforward functor $g_!$ preserves fibrant objects. This allows us to prove the following:

Proposition C.17. *The square of Proposition C.16 is also a homotopy pullback.*

Proof. As before it suffices to check this after restricting to $\mathbf{\Omega}_n$. It is clear from the descriptions of $h_n X$ and $h_n t_{n-1} X$ offered in the previous proof that the square is a homotopy pullback in the Reedy model structure on $\mathbf{sSets}^{\mathbf{\Omega}_n^{\text{op}}}$. Without loss of generality we may assume the two objects on the right of the square are Reedy fibrant (and therefore also fibrant in the model structure for complete $\mathbf{\Omega}_n$ -Segal spaces by virtue of Lemma C.11). We observed above that the two objects on the left are fibrant as well. It follows that the square is also a homotopy pullback in the model structure for complete $\mathbf{\Omega}_n$ -Segal spaces. $\square$

C.2 Truncations of stable ∞ -operads

In this section we will adapt the theory of truncations to the setting of stable ∞ -operads. Recall that we write $\mathbf{Op}^{\text{St}}$ (resp. $\mathbf{Op}_{\leq n}^{\text{St}}$) for the ∞ -category of stable ∞ -operads (resp. n -truncated stable ∞ -operads). Theorem C.5 shows that the restriction functor $\mathbf{Op} \rightarrow \mathbf{Op}_{\leq n}$ has both a left

and right adjoint, for which we write $\mathbf{L}v_!$ and $\mathbf{R}v_*$ respectively. In this section we will need to vary n , so that we sometimes write v_n for v to make the dependence on n explicit. The main result of this section is the following, which in particular proves Theorem 5.5:

Theorem C.18. *The restriction functor $(-)_\leq n : \mathbf{Op}^{\text{St}} \rightarrow \mathbf{Op}_{\leq n}^{\text{St}}$ has both a fully faithful left adjoint (which we denote i_n) and a fully faithful right adjoint. Furthermore, for $\mathcal{O}^\otimes \in \mathbf{Op}_{\leq n}^{\text{St}}$ and $\mathcal{N}^\otimes \in \mathbf{Op}^{\text{St}}$, there is a natural weak equivalence*

$$\text{Map}(i_n \mathcal{O}^\otimes, \mathcal{N}^\otimes) \simeq \text{Map}(\mathbf{L}(v_n)_! \mathcal{O}^\otimes, \mathcal{N}^\otimes).$$

Remark C.19. The ∞ -operad $\mathbf{L}(v_n)_! \mathcal{O}^\otimes$ need not be stable itself; however, the previous result shows that when mapping into a stable ∞ -operad, the difference between i_n and $\mathbf{L}(v_n)_!$ is irrelevant.

Proof of Theorem C.18. Consider the square of functors

$$\begin{array}{ccc} \mathbf{Op} & \longrightarrow & \mathbf{Op}_{\leq n} \\ \uparrow & & \uparrow \\ \mathbf{Op}^{\text{St}} & \xrightarrow[(-)_\leq n]{} & \mathbf{Op}_{\leq n}^{\text{St}} \end{array}$$

in which the vertical arrows are fully faithful. We will first show the existence of a right adjoint to the bottom horizontal arrow. For this it suffices to show that for $\mathcal{O}^\otimes \in \mathbf{Op}_{\leq n}^{\text{St}}$, the ∞ -operad $\mathbf{R}v_* \mathcal{O}^\otimes$ is stable as well, so that the restriction of $\mathbf{R}v_*$ to stable ∞ -operads gives the desired adjoint. The underlying ∞ -categories of $\mathcal{O}$ and $\mathbf{R}v_* \mathcal{O}^\otimes$ agree. Furthermore, it is clear directly from the definition of v_* that for any collection of objects $x_1, \dots, x_k, y$ of $\mathcal{O}$ with $k \leq n$, the space of operations $\mathbf{R}v_* \mathcal{O}^\otimes(x_1, \dots, x_k; y)$ is naturally equivalent to $\mathcal{O}^\otimes(x_1, \dots, x_k; y)$, whereas $\mathbf{R}v_* \mathcal{O}^\otimes(x_1, \dots, x_k; y)$ is contractible for $k > n$. It follows that $\mathbf{R}v_* \mathcal{O}^\otimes$ is indeed stable. Fully faithfulness of $\mathbf{R}v_*$ is also clear from this description.

For the existence of the left adjoint we appeal to the adjoint functor theorem [22]. A formal argument shows that the ∞ -categories $\mathbf{Op}^{\text{St}}$ and $\mathbf{Op}_{\leq n}^{\text{St}}$ are presentable, so that it suffices to show that the functor $\mathbf{Op}^{\text{St}} \rightarrow \mathbf{Op}_{\leq n}^{\text{St}}$ is accessible and preserves small limits. Accessibility is immediate from the fact that this functor admits a right adjoint and thus preserves small colimits. To show preservation of limits, it suffices to show that the other three functors in the square above preserve small limits (and use that the inclusion $\mathbf{Op}^{\text{St}} \rightarrow \mathbf{Op}$ is fully faithful).

We already know this for the functor $\mathbf{Op} \rightarrow \mathbf{Op}_{\leq n}$. For the vertical functors, we need to argue that the class of (n -truncated) stable ∞ -operads is closed under taking small limits in $\mathbf{Op}$ (or $\mathbf{Op}_{\leq n}$). We do this for $\mathbf{Op}$, the other case being entirely analogous. Let $I \rightarrow \mathbf{Op}^{\text{St}} : i \mapsto \mathcal{O}_i^{\otimes}$ be a diagram and let $\mathcal{O}^{\otimes}$ be a limit of the induced diagram $I \rightarrow \mathbf{Op}^{\text{St}} \rightarrow \mathbf{Op}$. Taking underlying ∞ -categories gives a limit-preserving functor $\mathbf{Op} \rightarrow \widehat{\mathbf{Cat}}$. We may conclude that the underlying ∞ -category of $\mathcal{O}^{\otimes}$ is compactly generated by Proposition 5.5.7.6 of [22]; also, it is manifestly stable. Write $G_i : \mathcal{O} \rightarrow \mathcal{O}_i$ for the induced functor and F_i for its left adjoint. For a collection of objects $x_1, \dots, x_k, y$ of $\mathcal{O}$ we have natural equivalences

$$\begin{aligned} \mathcal{O}^{\otimes}(x_1, \dots, x_k; y) &\simeq \varprojlim_I \mathcal{O}_i^{\otimes}(G_i(x_1), \dots, G_i(x_k); G_i(y)) \\ &\simeq \varprojlim_I \mathcal{O}_i(G_i(x_1) \otimes_{\mathcal{O}_i} \dots \otimes_{\mathcal{O}_i} G_i(x_k), G_i(y)) \\ &\simeq \varprojlim_I \mathcal{O}(F_i(G_i(x_1) \otimes_{\mathcal{O}_i} \dots \otimes_{\mathcal{O}_i} G_i(x_k)), y), \end{aligned}$$

showing that the functor $\mathcal{O}^{\otimes}(x_1, \dots, x_k; -)$ is corepresented by the object

$$\varinjlim_I F_i(G_i(x_1) \otimes_{\mathcal{O}_i} \dots \otimes_{\mathcal{O}_i} G_i(x_k)).$$

We need to show that the tensor products induced by $\mathcal{O}^{\otimes}$ preserve colimits in each variable separately. This follows from the above formula and the fact that the functors G_i also preserve colimits. Indeed, they preserve filtered colimits by assumption and finite colimits since they are exact (being limit-preserving functors between stable ∞ -categories). We conclude that $\mathcal{O}^{\otimes}$ is indeed a stable ∞ -operad.

The natural equivalence of the theorem arises from the natural equivalences

$$\text{Map}(i_n \mathcal{O}^{\otimes}, \mathcal{N}^{\otimes}) \simeq \text{Map}(\mathcal{O}^{\otimes}, \mathcal{N}_{\leq n}^{\otimes}) \simeq \text{Map}(\mathbf{L}v_! \mathcal{O}^{\otimes}, \mathcal{N}^{\otimes}).$$

Finally, we will show that i_n is fully faithful by demonstrating that for each $\mathcal{O}^{\otimes} \in \mathbf{Op}_{\leq n}^{\text{St}}$, the unit map $\mathcal{O}^{\otimes} \rightarrow (i_n \mathcal{O}^{\otimes})_{\leq n}$ is an equivalence. This follows by considering, for any $\mathcal{N}^{\otimes} \in \mathbf{Op}_{\leq n}^{\text{St}}$,

the sequence of natural equivalences

$$\begin{aligned}
\mathrm{Map}((i_n \mathcal{O}^\otimes)_{\leq n}, \mathcal{N}^\otimes) &\simeq \mathrm{Map}(i_n \mathcal{O}^\otimes, \mathbf{R}v_* \mathcal{N}^\otimes) \\
&\simeq \mathrm{Map}(\mathbf{L}v_! \mathcal{O}^\otimes, \mathbf{R}v_* \mathcal{N}^\otimes) \\
&\simeq \mathrm{Map}(\mathcal{O}^\otimes, (\mathbf{R}v_* \mathcal{N}^\otimes)_{\leq n}) \\
&\simeq \mathrm{Map}(\mathcal{O}^\otimes, \mathcal{N}^\otimes)
\end{aligned}$$

where in the last step we used the fully faithfulness of $\mathbf{R}v_*$. $\square$

Consider a stable ∞ -operad $\mathcal{O}^\otimes$ with associated tensor products $\otimes^k$. Before stating our next result we introduce some notation. Let T be an object in Ψ_n . Then we can inductively define a tensor product $\otimes^T$ as follows: if T is a corolla C_k , then $\otimes^T = \otimes^k$, and if T is obtained by grafting a corolla C_k onto a leaf l of a smaller tree T' , then

$$\otimes^T = \otimes^{T'} \circ (\mathrm{id}, \dots, \otimes^k, \dots, \mathrm{id}),$$

where on the right-hand side $\otimes^k$ occurs in the slot corresponding to l and the identity functor occurs in all others. The tensor products $\otimes^T$ are covariantly functorial in T . Note that the definition of $\otimes^T$ only involves the tensor products $\otimes^k$ for $k \leq n$. Also, for a tree $S \in \Omega$, recall the category $\mathrm{Dec}_n(S)$ of n -decompositions of S . Its objects are the maps of trees $f : S \rightarrow T$ such that $T \in \Psi_n$ and f is a composition of inner face maps.

Lemma C.20. *Consider $\mathcal{O}^\otimes$ as above and write $\odot^k$ for the k -fold tensor product determined by the stable ∞ -operad $\tau_n \mathcal{O}^\otimes = i_n(\mathcal{O}_{\leq n}^\otimes)$. Then there is a natural equivalence*

$$\odot^k \longrightarrow \varprojlim_{T \in \mathrm{Dec}_n(C_k)} \otimes^T.$$

Remark C.21. It should be noted that the nerve of the category $\mathrm{Dec}_n(C_k)$ has the homotopy type of a finite simplicial set: indeed, since any automorphism of a tree T is completely determined by its action on the leaves of T , the objects of $\mathrm{Dec}_n(C_k)$ have no nontrivial automorphisms. Furthermore, if $k \geq 3$ the length of a chain of decompositions $C_k \rightarrow T_1 \rightarrow \dots \rightarrow T_m$ containing no isomorphisms is bounded above by $m = k - 2$ (corresponding to a ‘maximal binary expansion’ of C_k). In case $k = 2$ the category $\mathrm{Dec}_n(C_2)$ is equivalent to the trivial category, since there are no nontrivial decompositions of a binary vertex.

Before proving the lemma, let us give another piece of convenient notation. In the previous section we introduced n -homogeneous ∞ -operads by means of the homotopy theory of complete $\mathbf{\Gamma}_n$ -Segal spaces. Write $\mathbf{Op}_{=n}$ for the ∞ -category associated to this model category and write $\mathbf{Op}_{=n}^{\text{St}}$ for its full subcategory spanned by the stable n -homogeneous ∞ -operads, i.e. those complete $\mathbf{\Gamma}_n$ -Segal spaces satisfying the evident versions of the axioms imposed on stable ∞ -operads. Pullback along the inclusion $\mathbf{\Gamma}_n \rightarrow \mathbf{\Omega}_n$ then defines a functor

$$\mathbf{Op}_{\leq n} \longrightarrow \mathbf{Op}_{=n} : \mathcal{O}_{\leq n}^{\otimes} \longmapsto \mathcal{O}_{=n}^{\otimes}$$

which restricts to a functor $\mathbf{Op}_{\leq n}^{\text{St}} \longrightarrow \mathbf{Op}_{=n}^{\text{St}}$.

Proof of Lemma C.20. We will prove the lemma by induction on k . To establish the base of the induction, note that the lemma is true for $k \leq n$. Indeed, since i_n is fully faithful, the unit map $\mathcal{O}_{\leq n}^{\otimes} \rightarrow (\tau_n \mathcal{O}^{\otimes})_{\leq n}$ is an equivalence, implying that for $k \leq n$ the natural transformations $\otimes^k \rightarrow \odot^k$ are equivalences. Note that in this case the category $\text{Dec}_n(C_k)$ has an initial object (namely the identity map of C_k), so that the formula of the lemma holds true.

We first prove the lemma for $k = n + 1$. Write $X = (\tau_n \mathcal{O}^{\otimes})_{\leq n+1}$. Then Proposition C.16 gives a pushout square

$$\begin{array}{ccc} h_{n+1} t_n X & \longrightarrow & t_n X \\ \downarrow & & \downarrow \\ h_{n+1} X & \longrightarrow & X. \end{array}$$

For an arbitrary stable ∞ -operad $\mathcal{N}^{\otimes}$, we then find a pullback square

$$\begin{array}{ccc} \text{Map}_{\mathcal{O}}(X, \mathcal{N}_{\leq n+1}^{\otimes}) & \longrightarrow & \text{Map}_{\mathcal{O}}(X_{=n+1}, \mathcal{N}_{=n+1}^{\otimes}) \\ \downarrow & & \downarrow \\ \text{Map}_{\mathcal{O}}(t_n X, \mathcal{N}_{\leq n+1}^{\otimes}) & \longrightarrow & \text{Map}_{\mathcal{O}}((t_n X)_{=n+1}, \mathcal{N}_{=n+1}^{\otimes}) \end{array}$$

where $\text{Map}_{\mathcal{O}}$ denotes the space of maps of ∞ -operads which restrict to the identity $\mathcal{O} \rightarrow \mathcal{N}$ on underlying ∞ -categories. Note that $\text{Map}_{\mathcal{O}}(t_n X, \mathcal{N}_{\leq n+1}^{\otimes}) \simeq \text{Map}_{\mathcal{O}}(\mathbf{L}(v_n)_! \mathcal{O}_{\leq n}^{\otimes}, \mathcal{N}^{\otimes})$ and applying the equivalence of Theorem C.18 this is in turn naturally equivalent to $\text{Map}_{\mathcal{O}}(\tau_n \mathcal{O}^{\otimes}, \mathcal{N}^{\otimes})$. Therefore, the left vertical map in the square is an equivalence, so that the homotopy fiber of the vertical map on the right is contractible. The spaces on the right-hand side can be understood using the complete $\mathbf{\Gamma}_{n+1}$ -Segal spaces of the previous section. For example, the space

$\text{Map}_{\mathcal{O}}(X_{=n+1}, \mathcal{N}_{=n+1}^{\otimes})$ is the space of Σ_{n+1} -equivariant natural transformations

$$\tau_n \mathcal{O}^{\otimes}(x_1, \dots, x_{n+1}; y) \longrightarrow \mathcal{N}^{\otimes}(x_1, \dots, x_{n+1}; y),$$

where both sides are considered as functors $(\mathcal{O}^{\text{op}})^{n+1} \times \mathcal{O} \rightarrow \mathcal{S}$. By the corepresentability of the ∞ -operads involved, this space is equivalent to the space of Σ_{n+1} -equivariant natural transformations

$$\otimes_{\mathcal{N}}^{n+1} \longrightarrow \odot^{n+1}.$$

Similarly, the space $\text{Map}_{\mathcal{O}}((t_n X)_{=n+1}, \mathcal{N}_{=n+1}^{\otimes})$ is the space of Σ_{n+1} -equivariant natural transformations

$$t_n \mathcal{O}^{\otimes}(x_1, \dots, x_{n+1}; y) \longrightarrow \mathcal{N}^{\otimes}(x_1, \dots, x_{n+1}; y).$$

Applying Corollary C.13 we see that this space is naturally equivalent to the space of Σ_{n+1} -equivariant natural transformations

$$\otimes_{\mathcal{N}}^{n+1} \longrightarrow \varprojlim_{T \in \text{Dec}_n(C_{n+1})} \otimes_{\mathcal{O}}^T.$$

We conclude that the natural transformation

$$\odot^{n+1} \longrightarrow \varprojlim_{T \in \text{Dec}_n(C_{n+1})} \otimes_{\mathcal{O}}^T$$

is an equivalence, which proves the case $k = n + 1$ of the lemma. More generally, the same argument as above shows that for $k > n + 1$ there is an equivalence

$$\odot^k \longrightarrow \varprojlim_{T \in \text{Dec}_{k-1}(C_k)} \odot^T.$$

To carry out our induction, suppose we have proved the formula of the lemma for $k - 1$. Then for any $T \in \Psi_{k-1}$ the natural map

$$\odot^T \longrightarrow \varprojlim_{S \in \text{Dec}_n(T)} \otimes^S$$

is an equivalence; indeed, this follows from the decomposition γ of the category $\text{Dec}_n(T)$ discussed in the proof of Lemma C.11 and the fact that the tensor product functors $\otimes^S$ preserve finite

limits in each variable separately, since $\mathcal{O}^\otimes$ is stable. We therefore have an equivalence

$$\odot^k \longrightarrow \varprojlim_{T \in \text{Dec}_{k-1}(C_k)} \left(\varprojlim_{S \in \text{Dec}_n(T)} \otimes^S \right).$$

Write $\text{Dec}_n^+(C_k)$ for the category indexing the limit on the right, i.e. the category whose objects are $C_k \rightarrow T \rightarrow S$, with T a $(k-1)$ -decomposition of C_k and S an n -decomposition of T , with the evident maps between them. To prove the lemma we should show that the functor

$$\text{Dec}_n^+(C_k) \longrightarrow \text{Dec}_n(C_k) : (C_k \rightarrow T \rightarrow S) \longmapsto (C_k \rightarrow S)$$

is final. This is clear, since for any n -decomposition $f : C_k \rightarrow S$, the slice

$$\text{Dec}_n^+(C_k) \times_{\text{Dec}_n(C_k)} \text{Dec}_n(C_k) / f$$

is contractible; indeed, it has a final object $C_k \rightarrow S = S$. □

The technique we just used to prove Lemma C.20 in particular proves the following:

Lemma C.22. *If $\mathcal{O}_{\leq n}^\otimes$ and $\mathcal{N}_{\leq n}^\otimes$ are objects of $\mathbf{Op}_{\leq n}^{\text{St}}$, then there is a natural equivalence*

$$\text{Map}((\tau_{n-1}\mathcal{O}^\otimes)_{=n}, \mathcal{N}_{=n}^\otimes) \simeq \text{Map}((t_{n-1}\mathcal{O}^\otimes)_{=n}, \mathcal{N}_{=n}^\otimes).$$

Proof. Assume for simplicity that $\mathcal{O}_{\leq n}^\otimes$ and $\mathcal{N}_{\leq n}^\otimes$ have the same underlying ∞ -category $\mathcal{O}$ (the general case is only notationally more difficult). Then $\text{Map}_{\mathcal{O}}((\tau_{n-1}\mathcal{O}^\otimes)_{=n}, \mathcal{N}_{=n}^\otimes)$ can be identified with the space of Σ_n -equivariant natural transformations $\otimes_{\mathcal{N}}^n \rightarrow \odot_{\mathcal{O}}^n$, where the codomain denotes the n -fold tensor product in $\tau_{n-1}\mathcal{O}^\otimes$. That this space is naturally equivalent to the space on the right follows from Corollary C.13 (applied to $\mathbf{L}(v_{n-1})! \mathcal{O}_{\leq n-1}^\otimes$ and $T = C_n$) and Lemma C.20. □

Corollary C.23. *If $\mathcal{O}^\otimes$ is a stable ∞ -operad, then the square*

$$\begin{array}{ccc} h_n(\tau_{n-1}\mathcal{O}_{\leq n}^\otimes) & \longrightarrow & \tau_{n-1}\mathcal{O}_{\leq n}^\otimes \\ \downarrow & & \downarrow \\ h_n(\mathcal{O}_{\leq n}^\otimes) & \longrightarrow & \mathcal{O}_{\leq n}^\otimes \end{array}$$

is a pushout in the ∞ -category $\mathbf{Op}_{\leq n}$.

Proof. It is straightforward to verify that the pushout in the square is itself a stable ∞ -operad. To see that it is equivalent to $\mathcal{O}_{\leq n}^{\otimes}$, combine Proposition C.16 with the equivalences provided by Theorem C.18 and Lemma C.22. $\square$

We end this section by proving the following results claimed in Chapter 5:

Proof of Proposition 5.12. Consider stable ∞ -operads $\mathcal{O}^{\otimes}$ and $\mathcal{N}^{\otimes}$ and assume they have the same underlying ∞ -category $\mathcal{O}$. The previous corollary yields a pullback square

$$\begin{array}{ccc} \mathrm{Map}_{\mathcal{O}}(\tau_n \mathcal{O}^{\otimes}, \mathcal{N}^{\otimes}) & \longrightarrow & \mathrm{Map}_{\mathcal{O}}(\mathcal{O}_{=n}^{\otimes}, \mathcal{N}_{=n}^{\otimes}) \\ \downarrow & & \downarrow \\ \mathrm{Map}_{\mathcal{O}}(\tau_{n-1} \mathcal{O}^{\otimes}, \mathcal{N}^{\otimes}) & \longrightarrow & \mathrm{Map}_{\mathcal{O}}((\tau_{n-1} \mathcal{O}^{\otimes})_{=n}, \mathcal{N}_{=n}^{\otimes}). \end{array}$$

The space $\mathrm{Map}_{\mathcal{O}}(\mathcal{O}_{=n}^{\otimes}, \mathcal{N}_{=n}^{\otimes})$ is equivalent to the space of Σ_n -equivariant natural transformations $\otimes_{\mathcal{N}}^n \rightarrow \otimes_{\mathcal{O}}^n$. Also, the space $\mathrm{Map}_{\mathcal{O}}((\tau_{n-1} \mathcal{O}^{\otimes})_{=n}, \mathcal{N}_{=n}^{\otimes})$ is equivalent to the space of Σ_n -equivariant natural transformations $\otimes_{\mathcal{N}}^n \rightarrow \odot_{\mathcal{O}}^n$, where the latter denotes the n -fold tensor product determined by $\tau_{n-1} \mathcal{O}^{\otimes}$. $\square$

Proof of Proposition 5.10. We will deduce the proposition from Lemma C.20 and a variation on some well-known observations relating partition complexes to spaces of trees. First of all there is a functor

$$\omega : \mathbf{Part}_n(k) \rightarrow \mathrm{Dec}_n(k)$$

which may be described as follows. For a vertex of $\mathbf{Part}_n(k)$ corresponding to a simplex $\zeta : \Delta^m \rightarrow \mathbf{NEquiv}(k)$, we define a tree T_{ζ} whose set of edges is the disjoint union

$$\coprod_{i=0}^m \{1, \dots, k\} / \zeta(i).$$

Furthermore, for any $1 \leq i \leq m$ and $e \in \{1, \dots, k\} / \zeta(i)$ the tree T_{ζ} has a vertex with outgoing edge e and ingoing edges all those $l \in \{1, \dots, k\} / \zeta(i-1)$ which are sent to e under the quotient map

$$\{1, \dots, k\} / \zeta(i-1) \longrightarrow \{1, \dots, k\} / \zeta(i).$$

The map $\omega(\zeta)$ is then the unique n -decomposition $C_k \rightarrow T_{\zeta}$ which sends the j 'th leaf of C_k to the leaf $j \in \{1, \dots, k\}$ of T_{ζ} . Note that the diagram $\psi_n^k : \mathbf{Part}_n(k) \rightarrow \mathrm{Fun}(\mathcal{O}_{\langle k \rangle}^{\otimes}, \mathcal{O}_{\langle 1 \rangle}^{\otimes})$ may be

identified with the composition of (the nerve of) ω with the assignment $(C_k \rightarrow T) \mapsto \otimes^T$. Thus to prove the proposition it suffices to show that ω is final, i.e. that for any n -decomposition $f : C_k \rightarrow T$ the slice category

$$\mathbf{Part}_n(k) \times_{\mathbf{Dec}_n(k)} \mathbf{Dec}_n(k)/f$$

has contractible nerve. This will require a fair amount of combinatorics. We write $L(f)$ for the category above.

First observe that the functor $L(f) \rightarrow \mathbf{Dec}_n(k)/f$ is faithful. The objects in its image are those n -decompositions $g : C_k \rightarrow T_\zeta$ (lying over f) such that each leaf of T_ζ has the same *height*; here the height of an edge e of T_ζ is defined as the number of vertices on the directed path from e to the root of T_ζ . We will call such a tree *layered*. The morphisms in the image are those maps of trees φ satisfying the condition that if two edges have the same height, their images under φ have the same height as well. Write $L(f)'$ for the full subcategory of $L(f)$ spanned by those objects corresponding to nondegenerate simplices of $\mathbf{NEquiv}(k)$. It is standard (and easy to show) that the inclusion $NL(f)' \subseteq NL(f)$ is a weak homotopy equivalence, so that it suffices to show that the former is contractible. Also observe that the category $L(f)'$ is a partially ordered set.

Let us say an object X of $L(f)'$ is *elementary* if it is maximal in the sense that there are no non-identity maps out of X . A more explicit description is as follows. The object X corresponds to a simplex $\zeta : \Delta^m \rightarrow \mathbf{NEquiv}(k)$ and a triangle

$$\begin{array}{ccc} & C_k & \\ g \swarrow & & \searrow f \\ T_\zeta & \xrightarrow[t]{} & T, \end{array}$$

with T_ζ the corresponding layered tree. Then for each $1 \leq i \leq m$ the map

$$\{1, \dots, k\}/\zeta(i-1) \rightarrow \{1, \dots, k\}/\zeta(i)$$

corresponds to a collection of corollas of T_ζ , one for each element e of $\{1, \dots, k\}/\zeta(i)$. Writing C_e for the corolla corresponding to e , the object X is elementary if for all but precisely one such e , the corolla $t(C_e)$ is a degenerate corolla of T and moreover t is surjective (i.e. a composition of

degeneracies). More informally, X is elementary if each layer of T_ζ contains precisely one corolla whose image under t is nondegenerate in T and t is surjective. If $Y \rightarrow X$ is a map in $L(f)'$, let us say that Y is a *face* of X . The reason for this terminology is as follows: if X is as above then the simplex of $\mathbf{NEquiv}(k)$ corresponding to Y is a face of ζ . Observe that every object of $L(f)'$ is a face of an elementary object, although not in a unique way (i.e. an object may be a face of multiple elementary objects). If X is elementary, write $\text{face}(X)$ for the full subcategory of $L(f)'$ spanned by the faces of X .

We will prove that $NL(f)'$ is contractible by an induction on elementary objects. To do this we need to order them. The set $V(T)$ of vertices of T is partially ordered in an evident way, where $v < w$ if v is on the directed path from w to the root of T (i.e. if v is ‘lower’ than w). Observe that elementary objects of $L(f)'$ are in one-to-one correspondence with linear orderings on $V(T)$ which extend this partial ordering. Indeed, if X is elementary then the height function of T_ζ induces such a linear order, where $v < w$ if the preimage of w in T_ζ (which is unique) is higher than that of v (where the height of a vertex is by definition the height of its outgoing edge). Conversely, it is clear that every such linear order on $V(T)$ arises from the height function of a layered tree.

Arbitrarily pick an elementary object $X_0 \in L(f)'$, determining a linear order $<_{X_0}$ on $V(T)$. We may construct the orderings corresponding to other elementary objects from $<_{X_0}$ by ‘shuffling’: to be precise, we say that an elementary object Z is a *swap* of another elementary object Y if the linear order $<_Z$ is obtained from $<_Y$ by swapping two consecutive vertices $v <_Y w$ (note that these vertices must be incomparable in the tree T for this to be possible). Moreover, let us say $Y < Z$ if we have the inequality $v <_{X_0} w$. In other words Z is obtained from Y by ‘shuffling up’ the vertex v , where the meaning of ‘up’ is determined by X_0 . In this way we generate a partial ordering on the set of elementary objects of $L(f)'$ in which X_0 is minimal.

Now set $F_0 = \text{face}(X_0)$. Choose a linear ordering on the set of elementary objects of $L(f)'$ extending the partial order just described and define $F_i = F_{i-1} \cup \text{face}(X_i)$, where X_i is the i ’th elementary object. In this way we obtain a filtration

$$F_0 \subseteq F_1 \subseteq \cdots \subseteq \bigcup_i F_i = L(f)'.$$

Note that F_0 is weakly contractible since it has a final object X_0 . Consider the stage F_i of this filtration, which is obtained from F_{i-1} by adjoining an elementary object X_i and all its faces.

We claim (see below) that the intersection $\text{face}(X_i) \cap F_{i-1}$ is weakly contractible. By induction we may assume F_{i-1} is weakly contractible. Since $\text{face}(X_i)$ is weakly contractible, we conclude that F_i is weakly contractible as well. It follows that $L(f)'$ is weakly contractible.

To verify our claim, note that X_i is obtained from an elementary object X_j , for some $j < i$, by swapping two consecutive vertices $v <_{X_j} w$. Write ζ_i and ζ_j for the simplices of $\mathbf{NEquiv}(k)$ corresponding to X_i and X_j respectively. Then the outgoing edge of the vertex w corresponds to an element of

$$\{1, \dots, k\} / \zeta_j(h)$$

for some positive integer h . Observe that $\partial_h \zeta_j = \partial_h \zeta_i$, which corresponds to a simplex where v and w are at the same height. Write $\partial_h X_j$ (or equivalently $\partial_h X_i$) for the corresponding object of $L(f)'$. Then the intersection of $\text{face}(X_i) \cap F_{i-1}$ is the full subcategory of $L(f)'$ spanned by the faces of $\partial_h X_j$. This category has $\partial_h X_j$ as a terminal object and is therefore weakly contractible. $\square$

C.3 A cobar construction for stable ∞ -operads

In this section we prove Proposition 5.6, which states that for a pointed compactly generated ∞ -category $\mathcal{C}$ the maps $\tau_n \text{Sp}(\mathcal{P}_n \mathcal{C})^\otimes \rightarrow \text{Sp}(\mathcal{P}_n \mathcal{C})^\otimes$ and $\tau_n \text{Sp}(\mathcal{P}_n \mathcal{C})^\otimes \rightarrow \tau_n \text{Sp}(\mathcal{C})^\otimes$ are equivalences. To do this we need to exploit the relationship between the tensor products defined by the stable ∞ -operad $\text{Sp}(\mathcal{C})^\otimes$ and the derivatives of the identity functor of $\mathcal{C}$. Recall that the tensor product $\otimes^k$ can be identified with the derivative $\partial_k(\Sigma_{\mathcal{C}}^\infty \Omega_{\mathcal{C}}^\infty)$. Corollary B.5 allows us to compute the derivatives $\partial_k \text{id}_{\mathcal{C}}$ from these tensor products by a cobar construction, which we will explain below. We will deduce Proposition 5.6 from the following:

Proposition C.24. *Fix $k \geq 2$ and write $\odot^k$ for the k -fold tensor product in the ∞ -operad $\tau_{k-1} \text{Sp}(\mathcal{C})^\otimes$. Then there is a natural equivalence*

$$\partial_k \text{id}_{\mathcal{C}} \longrightarrow \Omega \text{fib}(\otimes^k \rightarrow \odot^k).$$

Proof. We can compute the derivatives $\partial_*(\Omega_{\mathcal{C}}^\infty(\Sigma_{\mathcal{C}}^\infty \Omega_{\mathcal{C}}^\infty)^{\bullet} \Sigma_{\mathcal{C}}^\infty)$ featuring in Corollary B.5 using the chain rule (see Theorem 6.3.2.1 of [24]). They are given by the (somewhat informal) formula

$$\partial_* \Omega_{\mathcal{C}}^\infty \circ \partial_*(\Sigma_{\mathcal{C}}^\infty \Omega_{\mathcal{C}}^\infty)^{\circ \bullet} \circ \partial_* \Sigma_{\mathcal{C}}^\infty$$

with $\circ$ denoting the composition product of symmetric sequences; a rigorous justification of this formula can be extracted from Section 6.3.2 of [24], using the notion of thin Δ^n -families of ∞ -operads. (Note that we do not need to distinguish between the derivatives and coderivatives of $\Sigma_{\mathcal{C}}^{\infty}\Omega_{\mathcal{C}}^{\infty}$ by virtue of Remark B.2.) We denote the totalization of the corresponding cosimplicial object by

$$\text{cobar}(\partial_*\Omega_{\mathcal{C}}^{\infty}, \partial_*(\Sigma_{\mathcal{C}}^{\infty}\Omega_{\mathcal{C}}^{\infty}), \partial_*\Sigma_{\mathcal{C}}^{\infty}).$$

The derivative $\partial_i\Omega_{\mathcal{C}}^{\infty}$ is the identity for $i = 1$ and is 0 otherwise (and similarly for $\partial_i\Sigma_{\mathcal{C}}^{\infty}$); let us write $\mathbf{1}$ for the corresponding symmetric sequence. The symmetric sequence $\partial_*(\Sigma_{\mathcal{C}}^{\infty}\Omega_{\mathcal{C}}^{\infty})$ admits an evident augmentation to $\mathbf{1}$ and we write $\overline{\partial_*(\Sigma_{\mathcal{C}}^{\infty}\Omega_{\mathcal{C}}^{\infty})}$ for the fiber of this map. It agrees with $\partial_*(\Sigma_{\mathcal{C}}^{\infty}\Omega_{\mathcal{C}}^{\infty})$ in every degree except 1, in which it is trivial. By standard reasoning we may replace the cobar construction above by a *reduced* cobar construction, giving (for $k \geq 2$) the following formula for $\partial_k\text{id}_{\mathcal{C}}$ in terms of the totalization of a semicosimplicial object:

$$\text{Tot}\left(* \underset{0}{\overset{0}{\rightrightarrows}} \partial_k(\Sigma_{\mathcal{C}}^{\infty}\Omega_{\mathcal{C}}^{\infty}) \underset{0}{\overset{0}{\rightrightarrows}} (\overline{\partial_*(\Sigma_{\mathcal{C}}^{\infty}\Omega_{\mathcal{C}}^{\infty})} \circ \overline{\partial_*(\Sigma_{\mathcal{C}}^{\infty}\Omega_{\mathcal{C}}^{\infty})})_k \underset{0}{\overset{0}{\rightrightarrows}} \cdots\right).$$

The bottom and top coface maps are always null (indeed, these are induced by the comultiplication $\mathbf{1} \rightarrow \mathbf{1} \circ \overline{\partial_*(\Sigma_{\mathcal{C}}^{\infty}\Omega_{\mathcal{C}}^{\infty})}$, which is null). A standard argument (formally dual to the Kan simplicial suspension) then gives an equivalence

$$\partial_k\text{id}_{\mathcal{C}} \longrightarrow \Omega\text{Tot}\left(\partial_k(\Sigma_{\mathcal{C}}^{\infty}\Omega_{\mathcal{C}}^{\infty}) \underset{0}{\overset{0}{\rightrightarrows}} (\overline{\partial_*(\Sigma_{\mathcal{C}}^{\infty}\Omega_{\mathcal{C}}^{\infty})} \circ \overline{\partial_*(\Sigma_{\mathcal{C}}^{\infty}\Omega_{\mathcal{C}}^{\infty})})_k \underset{0}{\overset{0}{\rightrightarrows}} \cdots\right),$$

where the cosimplicial object in brackets is the décalage of the previous one, i.e. its composition with the functor

$$\Delta \longrightarrow \Delta : [n] \longmapsto [0] \amalg [n] = [n+1].$$

We conclude that there is an equivalence between $\Sigma\partial_k\text{id}_{\mathcal{C}}$ and the fiber of the comultiplication map

$$\partial_k(\Sigma_{\mathcal{C}}^{\infty}\Omega_{\mathcal{C}}^{\infty}) \longrightarrow \text{Tot}\left((\overline{\partial_*(\Sigma_{\mathcal{C}}^{\infty}\Omega_{\mathcal{C}}^{\infty})}^{\circ 2})_k \rightrightarrows (\overline{\partial_*(\Sigma_{\mathcal{C}}^{\infty}\Omega_{\mathcal{C}}^{\infty})}^{\circ 3})_k \rightrightarrows \cdots\right)$$

by applying another décalage, now composing with $[n] \mapsto [n] \amalg [0]$. Observe that $\partial_k(\Sigma_{\mathcal{C}}^{\infty}\Omega_{\mathcal{C}}^{\infty})$ may be identified with $\otimes^k$ and that the totalization on the right may be identified with the following limit (see Section 5.1):

$$\varprojlim_{\mathbf{NPart}_{k-1}(k)} \psi_{k-1}^k.$$

The desired result now follows by applying Proposition 5.10. $\square$

Proof of Proposition 5.6. To prove that $\tau_n \mathrm{Sp}(\mathcal{P}_n \mathcal{C})^\otimes \rightarrow \tau_n \mathrm{Sp}(\mathcal{C})^\otimes$ is an equivalence it suffices to show that $\mathrm{Sp}(\mathcal{P}_n \mathcal{C})_{\leq n}^\otimes \rightarrow \mathrm{Sp}(\mathcal{C})_{\leq n}^\otimes$ is an equivalence of n -truncated stable ∞ -operads. The tensor products induced by $\mathrm{Sp}(\mathcal{P}_n \mathcal{C})_{\leq n}^\otimes$ are the first n derivatives of the functor $\Sigma_{\mathcal{P}_n \mathcal{C}}^\infty \Omega_{\mathcal{P}_n \mathcal{C}}^\infty$, the tensor products induced by $\mathrm{Sp}(\mathcal{C})_{\leq n}^\otimes$ are the first n derivatives of $\Sigma_{\mathcal{C}}^\infty \Omega_{\mathcal{C}}^\infty$. That the natural map between these is an equivalence is immediate from the fact that $\mathcal{P}_n \mathcal{C}$ is a weak n -excisive approximation to $\mathcal{C}$.

We now show that the map $\tau_n \mathrm{Sp}(\mathcal{P}_n \mathcal{C})^\otimes \rightarrow \mathrm{Sp}(\mathcal{P}_n \mathcal{C})^\otimes$ is an equivalence of stable ∞ -operads. We will do this by proving that for every $k \geq n$ the map

$$f_k : \tau_n \mathrm{Sp}(\mathcal{P}_n \mathcal{C})^\otimes \longrightarrow \tau_k \mathrm{Sp}(\mathcal{P}_n \mathcal{C})^\otimes$$

is an equivalence. This is clear for $k = n$. To establish the inductive step, assume that $k > n$ and that f_{k-1} is an equivalence. The derivative $\partial_k \mathrm{id}_{\mathcal{P}_n \mathcal{C}}$ is contractible since $\mathrm{id}_{\mathcal{P}_n \mathcal{C}}$ is n -excisive, so Proposition C.24 (applied to $\mathcal{P}_n \mathcal{C}$) implies that the natural transformation $\otimes^k \rightarrow \odot^k$ is an equivalence. Hence f_k is an equivalence as well. $\square$

C.4 Coalgebras

In this section we prove Lemma 5.23, which gives an inductive description of coalgebras in a stable ∞ -operad of the form $\tau_n \mathcal{O}^\otimes$. Consider the ∞ -category $\mathcal{B}$ defined as the pullback in the following square:

$$\begin{array}{ccc} \mathcal{B} & \longrightarrow & \{X \rightarrow (X \otimes^n \cdots \otimes^n X)^{\Sigma_n}\}_{\mathcal{O}}^c \\ \downarrow & & \downarrow \\ \mathrm{coAlg}^c(\tau_{n-1} \mathcal{O}^\otimes) & \longrightarrow & \{X \rightarrow (X \odot^n \cdots \odot^n X)^{\Sigma_n}\}_{\mathcal{O}}^c. \end{array}$$

As observed in Section 5.3 there is an evident functor

$$\beta : \mathrm{coAlg}^c(\tau_n \mathcal{O}^\otimes) \longrightarrow \mathcal{B}.$$

The following is a reformulation of Lemma 5.23:

Lemma C.25. *The functor β is an equivalence of ∞ -categories.*

Before we prove this lemma we investigate the ∞ -category of coalgebras in an n -homogeneous stable ∞ -operad. To this end, consider a map $p^\otimes : \mathcal{X}_{\equiv n}^\otimes \rightarrow \mathcal{O}_{\equiv n}^\otimes$ in the ∞ -category $\mathbf{Op}_{\equiv n}^{\text{St}}$. We call such a map a coalgebra in $\mathcal{O}_{\equiv n}^\otimes$ if it satisfies the evident analogue of Definition 5.14 and write $\text{coAlg}(\mathcal{O}_{\equiv n}^\otimes)$ for the ∞ -category of such coalgebras. Informally speaking, a coalgebra in $\mathcal{O}_{\equiv n}^\otimes$ is simply an object X of $\mathcal{O}$ together with a Σ_n -invariant map $X \rightarrow X^{\otimes n}$. This is articulated by the following result:

Lemma C.26. *The evident functor*

$$\text{coAlg}^c(\mathcal{O}_{\equiv n}^\otimes) \longrightarrow \{X \rightarrow (X \otimes^n \cdots \otimes^n X)^{\Sigma_n}\}_{\mathcal{O}}^c$$

is an equivalence of ∞ -categories.

Proof. We first show that this functor is fully faithful. Consider compact coalgebras $p^\otimes : \mathcal{X}_{\equiv n}^\otimes \rightarrow \mathcal{O}_{\equiv n}^\otimes$ and $q^\otimes : \mathcal{Y}_{\equiv n}^\otimes \rightarrow \mathcal{O}_{\equiv n}^\otimes$ with underlying objects X and Y respectively. Write $\text{Map}(p^\otimes, q^\otimes)$ for the space of maps in $\text{coAlg}^c(\mathcal{O}_{\equiv n}^\otimes)$ between these coalgebras, which is by definition the space of maps $\mathcal{Y}_{\equiv n}^\otimes \rightarrow \mathcal{X}_{\equiv n}^\otimes$ compatible with the maps down to $\mathcal{O}_{\equiv n}^\otimes$. The forgetful functor induces a map

$$\text{Map}(p^\otimes, q^\otimes) \longrightarrow \text{Map}_{\mathcal{O}}(X, Y).$$

Write F_φ for the fiber of this map over a morphism $\varphi : X \rightarrow Y$ and consider objects $f_i : Y \rightarrow W_i$ and $g : Y \rightarrow Z$ of $\mathcal{O}_Y$. Informally speaking F_φ may be described as the space of Σ_n -equivariant maps

$$\mathcal{Y}_{\equiv n}^\otimes(f_1, \dots, f_n; g) \longrightarrow \mathcal{X}_{\equiv n}^\otimes(f_1\varphi, \dots, f_n\varphi; g\varphi),$$

natural in the f_i and g , which are moreover compatible with the maps down to $\mathcal{O}^\otimes(W_1, \dots, W_n; Z)$.

By the corepresentability of the ∞ -operads involved this is the space of Σ_n -equivariant triangles

$$\begin{array}{ccc} X & \xrightarrow{\varphi} & Y \\ & \searrow \delta_n^X(f_1\varphi, \dots, f_n\varphi) & \swarrow \delta_n^Y(f_1, \dots, f_n) \\ & W_1 \otimes \cdots \otimes W_n & \end{array}$$

natural in the f_i . Here the map $\delta_n^Y(f_1, \dots, f_n) : Y \rightarrow W_1 \otimes \cdots \otimes W_n$ is induced by the tensor product of $\mathcal{Y}_{\equiv n}^\otimes$ and similarly for δ_n^X . To be more precise, consider the space of Σ_n -equivariant natural transformations between the functors $\delta_n^X \circ (\varphi^*)^n$ and $\varphi^* \delta_n^Y$. The ∞ -category

$\text{Fun}(\mathcal{O}_{Y/}^n, \mathcal{O}_{X/})$ admits an equivariant functor to $\text{Fun}(\mathcal{O}_{Y/}^n, \mathcal{O})$ by composition with the projection $\pi_X : \mathcal{O}_{X/} \rightarrow \mathcal{O}$. The composition $\otimes^n \circ \pi_Y^n$ is an object of the latter and F_φ can be identified with the fiber of $\text{Nat}^{\Sigma_n}(\delta_n^X \circ (\varphi^*)^n, \varphi^* \delta_n^Y)$ over the identity map of that object. Write $\tilde{F}_\varphi$ for the fiber of $\text{Fun}(\mathcal{O}_{Y/}^n, \mathcal{O}_{X/})$ over the object $\otimes^n \circ \pi_Y^n$ of $\text{Fun}(\mathcal{O}_{Y/}^n, \mathcal{O})$. Since the inclusion of the vertex $\{\text{id}_Y\}^n \rightarrow \mathcal{O}_{Y/}^n$ is left anodyne and the projection $\mathcal{O}_{X/} \rightarrow \mathcal{O}$ is a left fibration, evaluation at that vertex induces an equivalence between $\tilde{F}_\varphi$ and the fiber of $\mathcal{O}_{X/} \rightarrow \mathcal{O}$ over the object $Y^{\otimes n}$. Hence there is a corresponding fiber sequence

$$F_\varphi \longrightarrow \text{Map}_{\mathcal{O}_{X/}}^{\Sigma_n}(\delta_n^X(\varphi, \dots, \varphi), \varphi \circ \delta_n^Y(\text{id}_Y, \dots, \text{id}_Y)) \longrightarrow \text{Map}_{\mathcal{O}}^{\Sigma_n}(Y^{\otimes n}, Y^{\otimes n}).$$

Note also that the map $\delta_n^X(\varphi, \dots, \varphi)$ canonically factors as follows

$$X \xrightarrow{\delta_n^X(\text{id}_X, \dots, \text{id}_X)} X \otimes \dots \otimes X \xrightarrow{\varphi \otimes \dots \otimes \varphi} Y \otimes \dots \otimes Y.$$

Therefore one may describe F_φ as the space of homotopies that fill the following square:

$$\begin{array}{ccc} X & \xrightarrow{\varphi} & Y \\ \downarrow & & \downarrow \\ (X \otimes \dots \otimes X)^{\Sigma_n} & \xrightarrow[(\varphi^{\otimes n})^{\Sigma_n}]{} & (Y \otimes \dots \otimes Y)^{\Sigma_n}. \end{array}$$

It should be clear that this is precisely the homotopy type of the space of maps between the objects

$$\delta_n^X(\text{id}_X, \dots, \text{id}_X) : X \longrightarrow X^{\otimes n} \quad \text{and} \quad \delta_n^Y(\text{id}_Y, \dots, \text{id}_Y) : Y \longrightarrow Y^{\otimes n},$$

compatible with the map $\varphi : X \rightarrow Y$, in the ∞ -category $\{X \rightarrow (X \otimes^n \dots \otimes^n X)^{\Sigma_n}\}_{\mathcal{O}}^c$. This proves that the functor of the lemma is fully faithful.

It remains to show essential surjectivity. If $\delta_n : X \rightarrow (X^{\otimes n})^{\Sigma_n}$ is a map in $\mathcal{O}$ we define a corresponding coalgebra $\mathcal{X}_{=n}^{\otimes} \rightarrow \mathcal{O}_{=n}^{\otimes}$ as follows. Unraveling the definitions, it suffices to specify a Σ_n -equivariant functor associating to every n -tuple of maps $f_1 : X \rightarrow Y_1, \dots, f_n : X \rightarrow Y_n$ a map $X \rightarrow Y_1 \otimes \dots \otimes Y_n$. Clearly the formation of the composition

$$X \longrightarrow X^{\otimes n} \xrightarrow{f_1 \otimes \dots \otimes f_n} Y_1 \otimes \dots \otimes Y_n$$

does the job. $\square$

We will also need the following collection of technical facts:

Lemma C.27. *Let $p^\otimes : \mathcal{X}^\otimes \rightarrow \tau_n \mathcal{O}^\otimes$ be a coalgebra in $\tau_n \mathcal{O}^\otimes$. Then the map $\tau_n \mathcal{X}^\otimes \rightarrow \mathcal{X}^\otimes$ is an equivalence of ∞ -operads. Furthermore, the pushforward of $p^\otimes$ to $\mathrm{coAlg}(\tau_{n-1} \mathcal{O}^\otimes)$ is equivalent to $\tau_{n-1} p^\otimes : \tau_{n-1} \mathcal{X}^\otimes \rightarrow \tau_{n-1} \mathcal{O}^\otimes$. Also, the map $\mathcal{X}_{=n}^\otimes \rightarrow (\tau_n \mathcal{O}^\otimes)_{=n}$ defines a coalgebra in $(\tau_n \mathcal{O}^\otimes)_{=n}$.*

Remark C.28. We are cutting a corner in the statement of this lemma: the ∞ -operad $\mathcal{X}^\otimes$ need itself not be stable, so that the expression $\tau_n \mathcal{X}^\otimes$ is as of yet ill-defined. However, the relevant definitions can easily be adapted to apply to this corepresentable ∞ -operad as well. We will not belabour the details here.

Proof. Write $\otimes_{\mathcal{X}}^k$ for the k -fold tensor product on $\mathcal{O}_{X/}$ defined by $p^\otimes$. To prove that $\tau_n \mathcal{X}^\otimes \rightarrow \mathcal{X}^\otimes$ is an equivalence, it suffices (by Lemma C.20) to show that for every $k > n$ the natural map

$$\varphi_k : \otimes_{\mathcal{X}}^k \longrightarrow \varprojlim_{T \in \mathrm{Dec}_n(C_k)} \otimes_{\mathcal{X}}^T$$

is an equivalence. Let $f_1 : X \rightarrow Y_1, \dots, f_k : X \rightarrow Y_k$ be objects of $\mathcal{O}_{X/}$. Evaluated at this tuple, the map above corresponds to a triangle in $\mathcal{O}$ as follows:

$$\begin{array}{ccc} & X & \\ \swarrow & & \searrow \\ \otimes_{\mathcal{O}}^k(Y_1, \dots, Y_k) & \xrightarrow{\quad} & \varprojlim_{T \in \mathrm{Dec}_n(C_k)} \otimes_{\mathcal{O}}^T(Y_1, \dots, Y_k). \end{array}$$

Then φ_k is an equivalence if and only if the bottom arrow is an equivalence, which is the case because $\tau_n \mathcal{O}^\otimes$ is n -truncated. For the second claim, recall that the pushforward of $p^\otimes$ to $\mathrm{coAlg}(\tau_{n-1} \mathcal{O}^\otimes)$ (say $p_{n-1}^\otimes$) is defined by the pullback square

$$\begin{array}{ccc} \mathcal{X}_{n-1}^\otimes & \xrightarrow{\quad} & \mathcal{X}^\otimes \\ p_{n-1}^\otimes \downarrow & & \downarrow p^\otimes \\ \tau_{n-1} \mathcal{O}^\otimes & \xrightarrow{\quad} & \tau_n \mathcal{O}^\otimes. \end{array}$$

Applying the truncation functor $(-)_{\leq n-1}$ to the square and using that it preserves limits, we see that $(\mathcal{X}_{n-1}^\otimes)_{\leq n-1} \rightarrow (\mathcal{X}^\otimes)_{\leq n-1}$ is an equivalence. Furthermore, the map $\tau_{n-1} \mathcal{X}_{n-1}^\otimes \rightarrow \mathcal{X}_{n-1}^\otimes$

is an equivalence by what we proved before, so that we obtain an equivalence $\mathcal{X}_{n-1}^\otimes \rightarrow \tau_{n-1}\mathcal{X}^\otimes$ over $\tau_{n-1}\mathcal{O}^\otimes$. Finally, the claim in the lemma about $\mathcal{X}_{\leq n}^\otimes$ is immediate from the definitions. $\square$

Remark C.29. A consequence of the previous lemma is that for a coalgebra $\mathcal{X}^\otimes \rightarrow \tau_n\mathcal{O}^\otimes$, there is no essential loss of information in passing to the map $\mathcal{X}_{\leq n}^\otimes \rightarrow \tau_n\mathcal{O}_{\leq n}^\otimes$. More precisely, there is an evident definition of the notion of coalgebra in the n -truncated stable ∞ -operad $\tau_n\mathcal{O}_{\leq n}^\otimes$ and a consequence of the previous lemma is that the pullback functor

$$\mathrm{coAlg}(\tau_n\mathcal{O}^\otimes) \longrightarrow \mathrm{coAlg}(\tau_n\mathcal{O}_{\leq n}^\otimes)$$

is an equivalence of ∞ -categories.

Proof of Lemma C.25. By Lemma C.26 and the previous remark it suffices to show that the square

$$\begin{array}{ccc} \mathrm{coAlg}^c(\tau_n\mathcal{O}_{\leq n}^\otimes) & \longrightarrow & \mathrm{coAlg}^c(\mathcal{O}_{\leq n}^\otimes) \\ \downarrow & & \downarrow \\ \mathrm{coAlg}^c(\tau_{n-1}\mathcal{O}_{\leq n}^\otimes) & \longrightarrow & \mathrm{coAlg}^c((\tau_{n-1}\mathcal{O}^\otimes)_{=n}) \end{array}$$

is a pullback of ∞ -categories. Recall that we denote the pullback in this square by $\mathcal{B}$. Let us describe a functor $\alpha : \mathcal{B} \rightarrow \mathrm{coAlg}^c(\tau_n\mathcal{O}_{\leq n}^\otimes)$ which we will show to be inverse to β . A vertex v of $\mathcal{B}$ corresponds to a diagram

$$\begin{array}{ccccc} \mathcal{W}^\otimes & \longleftarrow & \mathcal{U}^\otimes & \longrightarrow & \mathcal{V}^\otimes \\ \downarrow & & \downarrow & & \downarrow \\ \mathcal{O}_{\leq n}^\otimes & \longleftarrow & (\tau_{n-1}\mathcal{O}^\otimes)_{=n} & \longrightarrow & \tau_{n-1}\mathcal{O}_{\leq n}^\otimes \end{array}$$

in which the vertical arrows are coalgebras. Forming pushouts of the top and bottom rows of we obtain a map $\alpha(v) : \mathcal{X}^\otimes \rightarrow \tau_n\mathcal{O}_{\leq n}^\otimes$, where we applied Corollary C.23 to identify the codomain. It is straightforward to verify that $\alpha(v)$ is a coalgebra in $\tau_n\mathcal{O}_{\leq n}^\otimes$. Clearly the construction of α can be made natural to yield the desired map $\mathcal{B} \rightarrow \mathrm{coAlg}^c(\tau_n\mathcal{O}_{\leq n}^\otimes)$.

Now let $p^\otimes : \mathcal{X}^\otimes \rightarrow \tau_n\mathcal{O}^\otimes$ be a compact coalgebra. The same argument used to prove Proposition

C.17 proves that the following square is a pullback of ∞ -operads:

$$\begin{array}{ccc} (\tau_{n-1}\mathcal{O}^\otimes)_{=n} & \longrightarrow & \tau_{n-1}\mathcal{O}_{\leq n}^\otimes \\ \downarrow & & \downarrow \\ \mathcal{O}_{=n}^\otimes & \longrightarrow & \tau_n\mathcal{O}_{\leq n}^\otimes. \end{array}$$

Pulling back $p^\otimes$ along the maps in this square we produce a cube

$$\begin{array}{ccccc} (\tau_{n-1}\mathcal{X}^\otimes)_{=n} & \xrightarrow{\quad} & \tau_{n-1}\mathcal{X}_{\leq n}^\otimes & & \\ \downarrow & \searrow & \downarrow & \searrow & \\ & \mathcal{X}_{=n}^\otimes & & \mathcal{X}_{\leq n}^\otimes & \\ & \downarrow & & \downarrow & \\ (\tau_{n-1}\mathcal{O}^\otimes)_{=n} & \xrightarrow{\quad} & \tau_{n-1}\mathcal{O}_{\leq n}^\otimes & & \\ & \searrow & \downarrow & \searrow & \\ & \mathcal{O}_{=n}^\otimes & \xrightarrow{\quad} & \tau_n\mathcal{O}_{\leq n}^\otimes & \end{array}$$

where we used Lemma C.27 to identify the ∞ -operads making up the top square. Then $\beta(p^\otimes)$ is described by the diagram obtained from this cube by deleting the vertices $\mathcal{X}_{\leq n}^\otimes$ and $\tau_n\mathcal{O}_{\leq n}^\otimes$. All faces of the cube are pullbacks; moreover, the top and bottom faces are pushouts by Corollary C.23. These observations imply that the composites $\alpha \circ \beta$ and $\beta \circ \alpha$ are naturally equivalent to the identity, proving the lemma. $\square$

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