A preliminary evaluation of the correlation between regional energy phosphates and resting state functional connectivity in depression

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A preliminary evaluation of the correlation between regional energy phosphates and resting state functional connectivity in depression

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A B S T R A C T
Impaired brain energy metabolism is among the leading hypotheses in the pathogenesis of affective disorders and linking energy phosphates with states of tissue-function activity is a novel and non-invasive approach to differentiate healthy from unhealthy states. Resting state functional MRI (fMRI) has been established as an important tool for mapping cerebral regional activity and phosphorous chemical shift imaging (\textsuperscript{31}P CSI) has been applied to measure levels of energy phosphates and phospholipids non-invasively in order to gain insight into the possible etiology of affective disorders. This is an initial attempt to identify the existence of a correlation between regional energy phosphates and connectivity at nodes of the posterior default mode network (DMN). Resting state fMRI in conjunction with \textsuperscript{31}P 2D CSI was applied to 11 healthy controls and 11 depressed patients at 3 T. We found that differences between the two groups exist in correlation of lateral posterior parietal cortex functional connectivity and regional Pi/PCr. Results of this study indicate that resting-state-fMRI-guided \textsuperscript{31}P CSI can provide new insight into depression via regional energy phosphates and functional connectivity.

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1. Introduction

Mitochondrial dysfunction, including damage to the electron transport chain, has been suggested to be an important factor in a range of neuropsychiatric disorders such as bipolar disorder (BPD), depression, and schizophrenia (MacDonald et al., 2006; Kato and Kato, 2000; Cataldo et al., 2010). This implicates involvement of abnormal energetics in affective disorders, which can be quantified using \textsuperscript{31}P MRS to measure brain levels of phospholipids and high-energy phosphates and gain insight into the status of these metabolites and possible mechanisms of the disorders (Moore et al., 1997; Kato and Kato, 2000). Much progress has been made in collecting static levels of the \textsuperscript{31}P metabolites from participants while resting in the scanner in conjunction with regional segmented tissue composition (Hetherington et al., 2001). Previous studies on skeletal muscle exercise have also demonstrated a close coupling between work output and regional energy phosphates and how normal and disease differed from each other in performance (Arnold et al., 1984; Chance et al., 1985). This motivates effort to look into paradigms of \textsuperscript{31}P MRS in conjunction with visual stimulation (Chen et al., 1997) or medications even though applications of these paradigms have their own limitations.

Specifically, within physiological range, the changes in brain energy metabolites due to stimulation or tasks could be relatively small and regional as the brain is operating at nearly full capacity of its energy sources even at resting state (Shulman et al., 2004; Raichle and Mintun, 2006). Furthermore, the long data acquisition time of \textsuperscript{31}P MRS requires a lengthy stimulation or task, which could increase the probability of unwanted motion artifact. These issues motivated us to collect and examine energy phosphates that may be associated with resting state functional connectivity in patients with affective disorders.

Resting state functional connectivity (RSFC) of the human brain has received an enormous amount of attention in recent years for its involvement in the intrinsic operation of the brain. fMRI studies have found that the default mode network (DMN) is “activated” during resting state but “deactivated” while performing tasks (Shulman et al., 1997; Raichle et al., 2001; Corbetta and Shulman, 2002). This network has been associated with processes ranging from attention lapses to clinical disorders like anxiety (Weissman et al., 2006; Buckner et al., 2008; Castellanos et al., 2008). With resting state functional MRI (fMRI), researchers have recently discovered significant abnormalities in altered spatial distribution and fluctuation frequencies of functional connectivity in the brain of people with affective disorders compared to healthy controls (Ongur et al., 2010; Chai et al., 2011). Note that brain regions within the DMN have a correlated time course of fMRI signals. The higher the degree of the correlation, the higher the functional connectivity.

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$^{31}$P MRS can directly measure regional levels of ATP, PCR, Pi, and phospholipid metabolites with a spatial resolution of several cubic centimeters per voxel (Hetherington et al., 2001). Phosphocreatine (PCr), glycolysis, and oxidative phosphorylation in mitochondria are the main energy sources that support brain function and activity. Net adenosine triphosphate (ATP) is generated from glycolysis and oxidative phosphorylation via inorganic phosphate (Pi) and the PCr/creatine (Cr) energy reservoirs. ATP is synthesized via oxidative phosphorylation, the ATP source with endurance capacity. PCr and glycolysis, on the other hand, respond quickly to increases in ATP utilization due to enhanced neural activity (Erecinska and Silver, 1989). When PCr responds to a increase in ATP utilization, the level of PCR decreases and the levels of Pi and the Pi/PCR ratio increase. During muscle exercise, higher Pi/PCr ratio is associated with higher work output.

We hypothesize that DMN connectivity may be associated with regional metabolic activity, which may involve metabolites such as GABA and regional energy phosphates. The former has recently been explored extensively (Northoff et al., 2007; Muthukumaraswamy et al., 2009; Donahue et al., 2010; Hu, Chen et al., 2013) but the latter has not been studied, making the present approach the first to address this issue using MRS. We have recently investigated the feasibility of using $^{31}$P MRS in conjunction with resting state fMRI in mapping regional energy phosphates associated with functional connectivity at the nodes of the posterior DMN. This report details the results of the preliminary study.

## 2. Materials & methods

### 2.1. Participants

Under protocols approved by the McLean Hospital Institutional Review Board (IRB), 11 depressed patients (5 males/6 females, age: $61 \pm 8$, HAM-D = $21.6 \pm 3.2$, YMRS = $4.6 \pm 3.7$) (Table 1), two of them were diagnosed with major depressive disorder (MDD) and nine with BPD depression, were recruited to participate in this study. Eleven age- and sex-matched healthy volunteers (5m/6f, age: $56 \pm 8$, HAM-D (Hamilton Depression Rating Scale (Hamilton, 1960)) = 0.6, YMRS (Young Mania Rating Scale (Young et al., 1978)) = $0.5 \pm 0.8$ served as healthy controls. All participants provided written informed consent before participating and had no MRI-related contraindications. All patients were currently on stable doses of medication and included the following: MDD patients were taking quetiapine, sertraline, trazodone and/or venlafaxine. All BPD patients were taking either a mood stabilizer (lithium and/or lamotrigine), an atypical antipsychotic (quetiapine, aripiprazole, risperidone, asenapine), SSRIs (sertraline, trazodone, fluoxetine) or other antidepressant (buproprion, imipramine). In addition, many patients were prescribed a variety of medications to treat hypertension, type 2 diabetes, GERD, thyroid disease, and/or high cholesterol. No participants were on medications or supplements, such as CoQ10, that may alter energy state. Among them, ten depressed patients and ten healthy controls (HC) completed both resting state fMRI and $^{31}$P 2D chemical shift imaging (CSI) data collection.

### 2.2. Resting state fMRI data acquisition

The resting state BOLD MR images were collected on a 3 T whole body MR scanner (TIM Trio, Siemens AG, Germany) using a 32-channel head array. During the resting state imaging, participants were instructed to lie still with their eyes open in low-level illumination without fixation for approximately 9 min. No cognitive tasks were performed before or during the MR study. The BOLD images were obtained with a $T_2^*$-weighted echo planar imaging (EPI) sequence. Acquisition parameters include TR/TE = 2200/30 ms, 4 dummy scans, flip angle = 90°, and field of view = $224 \times 224$ mm$^2$ with a $64 \times 64$ acquisition matrix, yielding a voxel size of $3.5 \times 3.5 \times 3.5$ mm$^3$. We acquired 35 contiguous axial functional slices of 3.5 mm thickness without a gap to cover the brain for 240 time points during the resting state fMRI data collection. The first 4 time points of the scan were not included in the data analysis to allow global image intensity to reach steady state.

### 2.3. $^{31}$P MRS data acquisition

The $^{31}$P 2D CSI was collected with a double-quadrature dual-tuned (proton/phosphorous, $^{1}H^{/31}P$) volume head coil (Clinical MR Solutions, LLC, Brookfield, WI, USA) on the same TIM Trio MR scanner used for the resting state fMRI data collection. After shimming to optimize static field homogeneity, a set of $^{31}$P CSI data was collected from a graphic prescribed 30-millimeter axial slice across the brain (Fig. 1) using a 2D phase-encoding gradient matrix of $8 \times 8$ (weighted) and interpolated into $16 \times 16$ in post-processing. To reduce possible mis-registration due to chemical shift difference, the $^{31}$P CSI slice was excited using a 1.28-ns hyperbolic sinc pulse with its excitation bandwidth of 3.55 kHz. Other parameters included flip angle $-32°$, TE = 2.3 ms, TR = 2.2 s, FOV = 220, and 18 signal averages for a total data acquisition time (TA) of 6.5 min.

### 2.4. Resting state fMRI data analysis

Prior to analyzing functional connectivity, motion correction was first performed in 3drealign of the 3dvoreg function of AFNI (Analysis of Functional Neuroimages, http://afni.nimh.nih.gov/afni/) and a temporal band-pass filter (0.008 Hz < f < 0.1 Hz) was applied to reduce low frequency drift and high frequency physiological noise. Linear regression was also applied to remove nuisance covariates of parameters of rigid body motion, signals from the white matter and ventricle region of interest, and signals from the whole brain mask. In order to better define the DMN node in the posterior cingulate/precuneus cortex (PCC) region in the patients, we used an independent component analysis (ICA) algorithm (MELODIC (Multivariate Exploratory Linear Decomposition into Independent Components) v4.0, one part of FSL (FMRI’s Software Library, http://www.fmrib.ox.ac.uk/fsl) FMRIB Oxford University, UK (Beckmann and Smith, 2004)) to identify DMN components from 60 spatiotemporal independent components and the coordinates of the DMN node in the PCC region.

A PCC seed was defined as a sphere with a radius of 10 mm centered at (0 69 18) (Table 2) and the PCC time series was calculated by averaging the time series of all voxels in the sphere. Correlation maps were generated for each participant by calculating the correlation coefficients, voxel-by-voxel, between the time course of the seed and the time courses of voxels in other brain regions. To test for significant connectivity changes in each participant, the correlation coefficients were converted to Z-scores by using Fisher’s r-to-z transformation. For group-level correlation Z-map analysis, we computed a one-sample t-test to yielding a group-averaged Z-score map.

#### 2.5. Correlation of regional activation activities with depression symptom severity

For DMN nodes in the posterior cingulate (PCC) and bilateral posterior parietal cortex (LPPC and RPPC), regional Z-scores were extracted from the Z-score maps with a 10-mm ROI from the corresponding resting state active regions. Because the $^{31}$P CSI slice in most of the participants did not cover the DMN regions in the frontal lobe, the data

<table>
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<th>Group</th>
<th>BPD/MDD</th>
<th>HC</th>
<th>p</th>
</tr>
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<td>5m/6f</td>
<td>5m/6f</td>
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</tr>
<tr>
<td>Age (year)</td>
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<td>$56.4 \pm 8.4$</td>
<td>NS</td>
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<tr>
<td>Illness duration (years)</td>
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<td>$32.6 \pm 3.2$</td>
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<tr>
<td>Ham-D</td>
<td>$21.6 \pm 3.2$</td>
<td>$0.4 \pm 0.8$</td>
<td>&lt;0.01</td>
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<tr>
<td>YMRS</td>
<td>$4.6 \pm 3.7$</td>
<td>$0.2 \pm 0.6$</td>
<td>NS</td>
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</table>

Note: NS = not significant.
analytical strategy was focused in the DMN regions of the PCC and posterior parietal cortex (LPPC and RPPC). In addition, regional Z-scores were also extracted from the extra resting-state active regions of the posterior parietal/occipital lobes which only appeared in the depressed patients (LPPC and RPPC) with a 10-mm ROI (Table 2). Multiple regression analyses were performed to assess the relationship between individual patients’ DMN regional Z-scores (functional connectivity) and their symptom severity as assessed by HAM-D. The null hypothesis was tested for each variable of interest using the Student t-test. Statistical significance was defined at an alpha level of $p \leq 0.05$, two tailed.

### 2.6. $^{31}$P MRS data processing

The 2D CSI data were post-processed on a satellite console of the MR system for integrals of $^{31}$P metabolite signals (Pi, PCr, $\gamma$- and $\alpha$-ATP) voxel-by-voxel. To avoid a relatively large chemical shift mis-registration of $\beta$-ATP due to its relatively large chemical shift, molecular ATP level (mATP) was calculated by averaging $\gamma$- and $\alpha$-ATP instead of all three components. To minimize the impact of RF $B_1$ inhomogeneity across the brain at $^{31}$P frequency (Fig. 1), metabolic ratios Pi/PCr and Pi/ $\gamma$-ATP, instead of comparing absolute levels of the metabolites, were calculated on a voxel-by-voxel basis for comparison. We also examined normalized Pi (calculated as Pi/(Pi + PCr)) as well as normalized PCr (calculated as PCr/(Pi + PCr)) in regions of the DMN. Regions of interest (ROIs) were selected from both-group-overlapped activation regions and depressed-only activation regions in the group correlation maps in the DMN regions of the parietal/occipital lobes (Fig. 2) based on brain anatomy landmark. The Pi/PCr and Pi/$\gamma$-ATP ratios were extracted from the CSI voxels at the anatomic locations corresponding the ROIs in the CSI maps superimposed on the anatomic image and the corresponding correlation maps. The Pi/PCr ratios were calculated based on weighting the voxel faction $\sum_{i} v_i$ (Pi/PCr)$_i$, where $v_i$ is the voxel faction $i$ and (Pi/PCr)$_i$ is the corresponding Pi/PCr ratio. Similar calculations were applied to the Pi/$\gamma$-ATP ratios. The ROI of PCC was a 4-voxel average for both patients and the healthy controls. The ROIs of the LPCC and RPPC were one voxel average, and those of the LPPC and RPPC were 2-voxel average for both groups.

### 3. Results

#### 3.1. Functional connectivity

Group correlation maps revealed that the resting state active area in the left and right posterior parietal cortex (LPPC and RPPC) of depressed patients was much larger than the area in the healthy controls (Figs. 2 and 3a). Functional connectivity, as measured by group averaged Z-scores, of the healthy controls was noticeably lower than those of the patients in the PCC, LPCC, and RPPC regions (Fig. 3a). The differences of the connectivity reached statistical significance ($p = 0.05$) in the LPCC. More specifically, Z-scores of the healthy controls were 45% lower than that of the patients. The connectivity of the patients in the LPPC and RPPC regions was significantly higher than those of the controls in the same regions (L: +316%, $p = 0.004$; R: +214%, $p = 0.0103$) (Fig. 3c).

#### 3.2. $^{31}$P metabolites

The group averaged Pi/PCr ratios of healthy controls were higher in all DMN regions in the PCC, LPCC, and RPPC (Fig. 3b) as well as the LPPC, and RPPC (Fig. 3c), compared to those of patients, with the difference reaching statistical significance in the LPCC and LPPC regions (+19% each in LPCC and LPPC, $p \leq 0.05$). The lower Pi/PCr in the depressed patients was most likely due to reduced Pi because the Pi/$\gamma$-ATP ratio was also significantly lower in the regions of the PCC, LPCC, and LPPC, while pH and PCr/mATP remained statistically similar in all DMN regions of both groups (Table 3).

### Table 2

| Location of Z-scores that were extracted from a 10 mm radius sphere. |
|------------------|------------------|------------------|
| Region           | Table of ROI coordinates |                  |
|                  | $x$ | $y$ | $z$ |
| PCC              | 45  | 34  | 50  |
| RPPC             | −45 | 63  | 24  |
| LPCC             | 42  | 72  | 30  |
| RPPC             | −42 | 48  | 27  |
| LPPCD            | 42  | 63  | 24  |
| RPPCD            | 42  | 63  | 24  |

Note: MPFC node of the DMN components was not included in this study because it did not overlap with the slice of the $^{31}$P 2D CSI in many participants. Group averaged Z-scores of the ROIs were presented in Fig. 3a and c.
3.3. Correlations

In the PCC region, the functional connectivity was not significantly correlated with regional Pi/PCr ratios \( (p = 0.392) \) for both groups. In the LPPC and RPPC regions, the functional connectivity of the healthy controls was negatively correlated \( (p = 0.023) \) with the regional Pi/PCr ratios \( (\text{Fig. 4a}) \) and its intercept on the Pi/PCr axis was 0.40 while those of the patient LPPC and RPPC were uncorrelated \( (p = 0.867) \). However, in the LPPCD and RPPCD regions, the functional connectivity of the patients was significantly and positively correlated with the regional Pi/PCr ratios \( (p = 0.0166) \), with an intercept of 0.13 on the Pi/PCr axis. Similarly, normalized Pi \( (\text{as calculated by Pi/(Pi + PCr)}) \) was negatively correlated with regional connectivity of the LPPC and RPPC of the healthy controls and was positively correlated with the regional connectivity of the LPPCD and RPPCD of the patients. Normalized PCr was significantly lower in the LPPCD and RPPCD of the patients. However, there was no significant correlation between Pi/\( \gamma \)ATP and functional connectivity in the PCC and regions of the left posterior parietal cortex for both groups.

4. Discussion

The results of this preliminary study confirm that \(^{31}\)P CSI can detect regional energy phosphates associated with DMN activity on a broadband clinical scanner and that these differences can be used to identify depressed patients from matched healthy controls. The major finding of this study is that the DMN of depressed patients was coherently and metabolically different from that of healthy controls. The difference appeared to be mainly in the bilateral posterior parietal cortex \( (\text{Fig. 3}) \) and was marked by the following key metrics: 1) higher resting state functional connectivity and lower Pi/\( \gamma \)ATP and Pi/PCr metabolic ratios, and 2) a distinct correlation between regional Pi/PCr ratios and functional connectivity.

The differences in coherence originated with the larger recruitment and the higher connectivity in the DMN regions of the posterior parietal cortex, especially in the left posterior parietal cortex \( (\text{Fig. 3a, c}) \), which has been found to be involved in a wide range of cognitive processes ranging from attention, memory, motor action and language to mathematical problem solving and social integration. Previous studies have shown that increased connectivity in parietal regions is associated with impaired emotional and cognitive processing such as deficits in attention, memory, and audiovisual emotional integration in depressed patients \( (\text{Goveas et al., 2011; Müller et al., 2013}) \). Resting state hyperconnectivity and larger recruitment of correlated regions in the DMN in depressed patients have also been observed in patients with MDD and are linked to depressive ruminations \( (\text{Greicius et al., 2007; Berman et al., 2011; Hamilton et al., 2011}) \). Following treatment with antidepressant medications, the hyperconnectivity of the DMN was reduced in depressed patients \( (\text{Delaveau et al., 2011}) \), which was associated with an improvement of their depressive symptoms.
By definition, hyperconnectivity represents a higher degree of coherence with the PCC seed region, which may or may not require a higher intensity of spontaneous BOLD MR signal. The Pi/PCr ratio is a quantity that links to ADP via equilibrium of creatine kinase reaction and a measure of phosphate energy stores compared to Pi. The ratio was shown to be a control factor in the work–biochemical cost relationship of functioning tissue and in oxidative phosphorylation of skeletal muscle (Chance et al., 1985; Chance et al., 1986). The negative correlation between Pi/PCr and functional connectivity (Z-scores) in the RPPC and LPPC regions of healthy controls suggests that regional connectivity is limited by the concentration and distribution of energy phosphates. The intercept on the Pi/PCr axis of Fig. 4a implies that if regional Pi/PCr reaches a level of 0.40 or beyond, then the regional resting-state connectivity would cease because all the available energy must be allocated to maintain the basic needs of the region. These data suggest that the regional DMN connectivity in the healthy controls does not rely on the regional Pi/PCr ratio/energy reservoir and is most likely supported by glycolysis and phosphorylation and was well regulated metabolically because during the resting state, oxygen and nutrition to the region via blood were at steady state and maintained regional protein synthesis and neural activity. The positive correlation in the RPPC and LPPC regions of the patients suggests that the connectivity in the LPPC and RPPC regions of the depressed brains most likely depends on the regional Pi/PCr ratio reservoir, implicating a slower phosphorylation process in the regions. Future studies may offer more insight into the underlying mechanism that leads to the distinctive correlations of resting state functional connectivity and regional Pi/PCr between controls and depressed patients.

A few technical issues must be considered when mapping energy phosphates. First, $^{31}$P CSI is subject to chemical shift misregistration artifacts similar to proton spectroscopic imaging. In the present study, we set the carrier frequency at the PCr resonance and the chemical shift difference between Pi and PCr is approximately 5 ppm (approximately 240 Hz) yields about 7% of voxel misregistration error. While the flip angle, TR, and T1s of the metabolites to avoid possible saturation. Finally, as with all studies of patients with a psychiatric disorder, medication effects must be considered. Obviously, unmedicated, depressed patients would have been ideal for a mechanism study, but such individuals are relatively rare, especially when older adults are included, and it would be unethical to ask a patient to delay starting their medication[s] in order to participate in this study, which targeted possible correlation between regional connectivity and energy metabolites. Instead, we elected to adopt inclusion/exclusion criteria that would admit only patients who were on stable doses of a limited number of medication[s].

### Table 3

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<tr>
<th>Regions</th>
<th>Participant</th>
<th>Pi/PCr</th>
<th>Pi/γATP</th>
<th>PCr/mATP</th>
<th>pH</th>
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<td>0.2770</td>
<td>0.8604</td>
<td>6.9972</td>
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<tr>
<td>RPPC Dep</td>
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<td>0.2564</td>
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<tr>
<td>RPPC HC</td>
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<td>0.9014</td>
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<td>6.9891</td>
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</table>

Where Dep = depressed patients and HC = healthy controls. Bold font indicates a statistically difference between the groups. PCr/mATP = 1/(average(αATP/PCr, γATP/PCr)).

### Fig. 4

a. Relationship between Pi/PCr ratios of RPPC and LPPC regions of healthy controls and the regional functional connectivity (Z-scores) observed in this study. $Z = 0.818–2.0389$ (Pi/PCr), $R^2 = 0.06$, $p = 0.023$. b. Relationship between Pi/PCr ratios of LPPCD and RPPCD regions of the depressed patients and the regional functional connectivity (Z-scores) observed in the present study. $Z = 1.892 \times (Pi/PCr) - 0.2471$, $R^2 = 0.1352$, $p = 0.0166$.
antidepressants and mood stabilizers but not on supplements or medications that may alter the energy state. Regardless of the medication effect, patients still presented with depressive symptoms and elevated HAM-D scores. Thus, the present study demonstrates a proof of concept that resting state functional connectivity and regional energy phosphates were correlated using a multimodal imaging approach of \(^{31}\)P MRS and \(^{1}H f\)MRI.

In summary, we found an altered metabolic pattern in the default mode network of depressed patients compared to healthy controls. The depressed DMN nodes in the PCC and posterior parietal cortex displayed a hyper-connective state that was associated with lower Pi/PCr ratios compared to those of the controls. The connectivity of the LPPC and RPPC regions was distinctively correlated with the regional Pi/PCr ratio depending on the controls or the depressed patients, implicating a possible coupling between depressed behavior and regional energetic stress.

Acknowledgment

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