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Conversion of Mouse and Human Fibroblasts into Functional Spinal Motor Neurons

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SUMMARY

The mammalian nervous system is composed of a multitude of distinct neuronal subtypes, each with its own phenotype and differential sensitivity to degenerative disease. Although specific neuronal types can be isolated from rodent embryos or engineered from stem cells for translational studies, transcription factor mediated reprogramming might provide a more direct route to their generation. Here we report that the forced expression of select transcription factors is sufficient to convert mouse and human fibroblasts into induced motor neurons (iMNs). iMNs displayed a morphology, gene expression signature, electrophysiology, synaptic functionality, *in vivo* engraftment capacity and sensitivity to degenerative stimuli, similar to embryo-derived motor neurons. We show that the converting fibroblasts do not transit through a proliferative neural progenitor state, and thus form *bona fide* motor neurons *via* a route distinct from embryonic development. Our findings demonstrate that fibroblasts can be converted directly into a specific differentiated and functional neural subtype, the spinal motor neuron.

INTRODUCTION

The mammalian central nervous system (CNS) is assembled from a diverse collection of neurons, each with its own unique properties. These discrete characteristics underlie the proper integration and function of each neuron within the circuitry of the brain and spinal cord. However, their individual qualities also render particular neurons either resistant or sensitive to particular degenerative stimuli. Thus, for each neurodegenerative disease, a stereotyped set of neuronal subtypes is destroyed, causing the hallmark presentation of that condition. Therefore, if we are to comprehend the mechanisms that underlie the

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development, function and degeneration of the CNS, we must first deeply understand the properties of individual neuronal subtypes.

Physiological and biochemical studies of individual neuronal types have been greatly facilitated by the ability to isolate distinct classes of neurons and interrogate them *in vitro*. Most studies have focused on neurons isolated from the developing rodent CNS. However, it is not routinely possible to isolate analogous populations of human neurons or to isolate and fully study differentiated central neurons. Pluripotent stem cells, such as embryonic stem cells (ESCs), may provide an inexhaustible reservoir of diverse neural subtypes, offering an attractive approach for *in vitro* studies (Wichterle et al., 2002). Although stem cells have shown great promise, to date, only a handful of neural subtypes have been produced in this way. Furthermore, in many cases the neuronal populations produced from stem cells have not been shown to possess refined subtype specific properties and may only superficially resemble their counterparts from the CNS (Peljto and Wichterle, 2011).

Experiments using the reprogramming of one set of differentiated cells directly into another suggest an alternative approach for the generation of precisely defined neural subtypes. Using distinct sets of transcription factors, it is possible to reprogram fibroblasts into pluripotent stem cells (Takahashi and Yamanaka, 2006), blood progenitors (Szabo et al., 2010), cardiomyocytes (Ieda et al., 2010) as well as functional, post-mitotic neurons (Caiazzo et al.; Pfisterer et al., 2011; Vierbuchen et al., 2010). We have therefore considered the idea that by using factors acting on cells intrinsically, rather than relying on morphogens that act extrinsically, it might be possible to more precisely specify the exact properties of a wide array of neuronal types. Most reprogramming studies have so far only produced induced neurons (iNs) with an unknown developmental ontogeny and a generic phenotype (Pang et al., 2011; Pfisterer et al., 2011; Vierbuchen et al., 2010). Recently, two studies have generated cells that resemble dopaminergic neurons based on the production of tyrosine hydroxylase (Caiazzo et al.; Pfisterer et al., 2011). However, it is unclear whether these cells are molecularly and functionally equivalent to embryo- or ESC-derived dopaminergic neurons. In particular, it has yet to be determined whether any type of neuron made by reprogramming can survive and properly integrate into the CNS. If neuronal reprogramming is to be successfully applied to the study of CNS function or degeneration, then it must be capable of producing specific neuronal types that possess the correct phenotypic properties both *in vitro* and *in vivo*.

To determine whether transcription factors can bestow a precise neural subtype identity, we sought factors that could reprogram fibroblasts into spinal motor neurons. Motor neurons control the contraction of muscle fibers actuating movement. Damage to motor neurons caused by either injury or disease can result in paralysis or death; consequently, there is significant interest in understanding how motor neurons regenerate after nerve injury and why they are selective targets of degeneration in diseases such as spinal muscular atrophy (SMA) and amyotrophic lateral sclerosis (ALS). We therefore attempted induction of motor neurons both because of their significant translational utility and because the developmental origins and functional properties of this neural subtype are among the most well understood.

Here we show that when mouse fibroblasts express factors previously found to induce reprogramming toward a generic neuronal phenotype (Vierbuchen et al., 2010), they also respond to components of the transcription factor network that act in the embryo to confer a motor neuron identity on committed neural progenitors. Thus, we found that forced expression of these transcription factors converted mouse fibroblasts into induced motor neurons (iMNs). Importantly, we found that the resulting iMNs had a gene expression program, electrophysiological activity, synaptic functionality, *in vivo* engraftment capacity and sensitivity to disease stimuli that are all indicative of a motor neuron identity. We also

show that the converting fibroblasts do not transition through a proliferative neural progenitor state before becoming motor neurons, indicating they are formed in a manner that is distinct from embryonic development. Finally, we demonstrate that this same approach can convert human fibroblasts into motor neurons.

RESULTS

11 Factors Convert Fibroblasts into *Hb9*::GFP+ Cells with Neuronal Morphologies

We hypothesized that transcription factors known to instruct motor neuron formation during development might also facilitate the conversion of other cell types into motor neurons. To test this idea, we used the literature to select eight candidate transcription factors that participate in varied stages of motor neuron specification (Jessell, 2000). In order to potentially aid the transition toward a neuronal phenotype, we supplemented the motor neuron specification factors with three factors that convert fibroblasts into induced neurons (iNs) of a generic character (*Ascl1*, *Brn2* and *Myt1l*) (Vierbuchen et al., 2010) (Figure 1A).

For reprogramming studies, we used mouse embryonic fibroblasts (MEFs) harvested from *Hb9*::GFP mouse embryos at day E12.5, allowing spinal motor neuron conversion to be monitored. Prior to use, cultures of MEFs were carefully screened for the absence of any contaminating GFP+ cells. First, we asked whether the action of the three iN factors alone could generate *Hb9*::GFP+ cells by transducing MEFs with retroviral vectors encoding *Ascl1*, *Brn2* and *Myt1l* (Figure 1A). Although cells with a neuronal morphology were observed, as previously reported (Vierbuchen et al., 2010), no *Hb9*::GFP+ cells emerged, even after 35 days (Figure S1A). This suggests that the iN factors alone do not generate motor neurons, consistent with the report that cholinergic neurons were not generated by these factors (Vierbuchen et al., 2010).

We next tested whether the eight motor neuron specification factors we selected could induce motor neurons in the absence of the three iN factors. Based on titrating with a control virus encoding GFP, we determined that each factor was expressed in >95% of the fibroblasts. Encouragingly, a small number of *Hb9*::GFP+ cells were observed at 35 days post-transduction; however, they did not possess a normal neuronal morphology (Figure S1A). We therefore next asked whether the two sets of factors, iN factors and motor neuron specification factors, together could synergize to produce motor neurons. Indeed, when the aggregate set of 11 factors was transduced into fibroblasts, a significant number of *Hb9*::GFP+ cells emerged, which elaborated complex processes and all of which expressed a neuronal form of tubulin (n=50) (Figure 1B). We preliminarily designated these *Hb9*::GFP+ cells, induced motor neurons (iMNs).

iMNs Are Efficiently Induced by 7 Factors

To determine which of the 11 factors were necessary for generating iMNs, we omitted each gene one at a time (Figure S1B). Excluding either *Lhx3* or *Ascl1* eliminated iMN formation. However, reprogramming efficiency was either only slightly reduced or unchanged when each of the remaining factors were removed (Figure S1B). Interestingly, we observed that ectopic expression of *Hb9* was not required for iMN formation (Figure S1B), suggesting that, at least in that case, exogenous *Hb9* was not simply transactivating its own promoter. Similarly, we observed *Isl1/2* expression by immunostaining in iMNs (80.6%, n=36), even when the *Isl1* retrovirus was omitted from the transduction (Figures 1C–D and Figure S1B).

Although *Lhx3* and *Ascl1* seemed necessary for reprogramming, they were not sufficient to induce motor neuron formation (Figure S1C). However, when *Lhx3* was combined with the three iN factors (*Ascl1*, *Brn2* and *Myt1l*), we observed a modest number of *Hb9*::GFP+ cells (Figure 1E). Because these four factors could not efficiently induce motor neuron formation,

we next individually added each of the other factors back to this smaller set (Figure 1E). We found that either *Isl1* or *Hb9* were capable of increasing the efficiency of iMN induction, which was further enhanced when *Ngn2* was added to the other 6 factors (Figure 1F). Indeed, the efficiency of motor neuron induction with these 7 factors (*Ascl1*, *Brn2*, *Myt1l*, *Lhx3*, *Hb9*, *Isl1* and *Ngn2*) surpassed the activity of the 11 as a whole and, depending on the culture conditions used, reached between 5% and 10% of the number of MEFs transduced (Figure 1F and Figure S1E). Adding any one of the remaining factors, which are all known to function in earlier stages of motor neuron specification (Lee et al., 2005), dramatically decreased the efficiency of reprogramming by the 7 factors (Figure S1D).

We reasoned that, although our apparently homogeneous MEF cultures lacked *Hb9*::GFP+ cells, they could be contaminated with rare embryonic neuronal progenitors that might be more responsive to reprogramming. To rule out the possibility that iMNs originated from such progenitors, we prepared fibroblasts from the tails of adult *Hb9*::GFP mice and transduced them with the optimal set of 7 factors. Again, GFP+ cells with neuronal morphologies emerged (Figure 1G), indicating that the ability to respond to the 7 iMN factors was not restricted to cells of an embryonic origin.

iMNs Possess a Motor Neuron Gene Expression Signature

To begin to assess whether iMNs had the known characteristics of cultured embryonic motor neurons, we carefully examined the phenotype of iMNs made with 10 factors (*Isl1* omitted). We found that iMNs were comparable in cell body size and projection length to both E13.5 embryo- and ESC-derived motor neuron controls (Figure S2A). To determine how similar overall transcription in iMNs was to control motor neurons, we isolated the three motor neuron types by fluorescence-activated cell sorting (FACS) and performed transcriptional profiling (Figure 2A–D). For these analyses, RNAs isolated from MEFs and ESCs were used as negative controls. When we performed hierarchical clustering of the data, iMNs grouped closely to embryonic motor neurons, as did ESC-derived motor neurons (Figure 2A). In contrast, iMNs were very distinct from the initial MEF population. Thus, our results suggest that transduction of MEFs with these transcription factors results in a global shift towards a motor neuron transcriptional program.

When we examined the transcription of specific neuronal genes, we again found that iMNs and control motor neurons were very similar. Relative to either MEFs or ESCs, iMNs and both types of control motor neurons expressed elevated levels of β 2-tubulins (*Tubb2a* and *Tubb2b*) and *Map2* (Figure 2B–D and Figure S2B), as well as synaptic components such as synapsins (*Syn1* and *Syn2*), synaptophysin (*Syp*) and synaptotagmins (*Syt1*, *Syt4*, *Syt13* and *Syt16*) (Figure 2B–D and Figure S2C). iMNs also expressed known motor neuron transcription factors that were not provided exogenously (*NeuroD* and *Isl1*) (Figure 2C–D and Figure S2D), as well as the gene encoding the enzyme cholineacetyltransferase (*ChAT*) (Figure 2C–D and Figure S2E). In contrast, iMNs had downregulated the fibroblast program as exemplified by reduced transcription of *Snail*, *Thy1* and *Fsp1* (Figure 2D and Figure S2F). Immunostaining confirmed that the iMNs expressed *Map2* (100%, n=120) (Figure 3A), synapsin (Figure 3B), and vesicular ChAT (97.6%, n=124) (Figure 3C), indicating that they had indeed activated the enzymatic pathways for producing acetylcholine (ACh), the neurotransmitter released by motor neurons, and suggesting they should be capable of forming functional synapses. In contrast, the vast majority of iMNs did not express tyrosine hydroxylase (3%, n=150) (Figure S2G), suggesting that they were not of a mixed neuronal character.

In order to determine if the iMNs truly adopted a new cellular identity through transdifferentiation, we performed qRT-PCR analysis to ask if they established an endogenous program of motor neuron gene expression (Table S1). As expected for a

somatic cell type such as the motor neuron, the retroviral transgenes used for reprogramming were not silenced in the iMNs (Figure S2H), leaving it unclear as to whether the endogenous loci of these motor neuron genes had been activated. When we quantified the endogenous mRNA levels of the motor neuron-specific genes used for conversion, we found that all 7 transcription factors were expressed at levels similar to those in ESC-derived motor neurons (Figure 2E). Furthermore, immunostaining revealed that iMNs created without exogenous Hb9 still activated expression of this important transcription factor from the endogenous locus (87.9%, n=149) (Figure 3D). Together, these data indicate that the iMNs we produced had established a transcriptional program characteristic of motor neurons.

iMNs Possess the Electrophysiological Characteristics of Motor Neurons

In order to determine if MEF- and tail tip fibroblast-derived iMNs possessed the electrophysiological properties of motor neurons, we performed whole-cell patch clamp recordings. The average resting membrane potential for iMNs was -49.5 mV (SEM 5.6, n=6), which was similar to that for control ESC-derived motor neurons (-50.5 mV, SEM 3.5, n=13). Depolarizing voltage steps in voltage clamp elicited fast inward currents followed by slow outward currents, consistent with the opening of voltage-activated sodium and potassium channels, respectively (Figures 4A–B and Figure S3A). The inward current was blocked by addition of 500 nM tetrodotoxin (TTX), a potent antagonist of TTX-sensitive voltage-activated sodium channels (Figure 4C). A defining feature of a neuron is its ability to fire action potentials. In current clamp experiments with iMNs, depolarizing current steps produced single or multiple action potentials (90%, n=10), with overshoot, after-hyperpolarizations and a firing frequency similar to that reported for ESC-derived motor neurons and rat embryonic motor neurons (Alessandri-Haber et al., 1999) (Figures 4D–E and Figure S3B).

We next tested whether iMNs express functional receptors for the excitatory and inhibitory neurotransmitters that normally act on motor neurons. As might be expected given the known receptor subunit transitions associated with development of immature neurons to a fully differentiated state, certain agonists yielded responses in some but not all neurons. Glycine and GABA are the major inhibitory neurotransmitters, and their ionotropic activity is mediated by opening chloride channels. Addition of 100 μ -M glycine (44.4%, n=9, Figure 4F) or GABA (72.7%, n=11, Figure 4G, and Figure S3C) elicited inward currents when cells were held at -80 mV. We also evaluated the response of iMNs to fast excitatory glutamatergic neurotransmitters and observed a strong response to the receptor agonist kainate (80%, n=15 cells, Figure 4H, and Figure S3D).

Consistent with our physiological analyses, and similar to control embryonic motor neurons and motor neuron populations described previously (Cui et al., 2006), the iMNs transcribed the genes encoding α and β subunits of voltage-gated sodium channels (Figure 2C–D and Figure S3E), as well as members of the Shaker-, Shaw-, and Eag-related, inwardly rectifying, and calcium-activated families of potassium channels (Figure 2C–D and Figure S3G). In addition, iMNs transcribed genes encoding the receptor components required for responding to the neurotransmitter glutamate (Figure 2C–D and Figure S3F). Together, our physiological and gene expression analyses indicate that iMNs are excitable, generate action potentials and respond to both inhibitory and excitatory neurotransmitters in a manner characteristic of both ESC-derived and embryonic motor neurons.

iMNs Form Functional Synapses With Muscle

Our initial results indicated that iMNs have many of the phenotypic and electrophysiological properties of *bona fide* motor neurons. However, the defining functional characteristic of the

spinal motor neuron is its ability to synapse with muscle and, through the release of acetylcholine (ACh), stimulate muscle contraction. To test whether iMNs could form functional neuromuscular junctions (NMJs), we co-cultured FACS-purified iMNs with myotubes derived from the C2C12 muscle cell line. We found that iMNs could establish themselves in these muscle cultures and sent projections along the length of the myotubes (Figure S3H).

Strikingly, we observed that several days following the addition of purified iMNs, C2C12 myotubes began to undergo regular and rhythmic contraction (Figure 4I). Regular contractions were not seen at this time point in myotubes that were cultured alone or with generic iNs (Table S2). To directly test whether the regular contractions of myotubes were due to synaptic stimulation of ACh receptors, we quantified the frequency of myotube contraction and then added curare to the culture medium. As curare selectively and competitively antagonizes nicotinic ACh receptors, its addition should only inhibit muscle contractions that result from stimulation of such receptors (Figure 4I and Movie S1). Shortly after the addition of curare, we observed a precipitous and sustained decline in the frequency of myotube contraction, indicating that the contractions were indeed dependent on the stimulation of ACh receptors.

In order to directly visualize NMJ formation in iMN cultures, we co-cultured iMNs with primary chick myotubes (Figure 4J and Figures S3I–L). After one week of co-culture, we found that many *Hb9::GFP+* iMNs survived even following withdrawal of neurotrophic support, suggesting that they had formed synapses with the muscle. Three weeks after co-culture had been initiated, staining with α -bungarotoxin (α -BTX) revealed ACh receptor clustering on the myofibers (Figures 4J and Figure S3I–K). As occurs in ESC-derived motoneuron/chick myotube cocultures (Miles et al., 2004; Soundararajan et al., 2007), ACh receptors clustered preferentially near the iMN axons, although the clustering was not always clearly opposed to *Hb9::GFP+* axons. This phenomenon is similar to what occurs during chick (Dahm and Landmesser, 1988) and mouse (Lupa and Hall, 1989) neuromuscular development where receptor clustering first appears near the innervating motor axons, but not always in direct contact. Imaging in the x - z and y - z orthogonal planes verified that ACh receptors clustered near iMN axons superimposed with the *Hb9::GFP+* axons (Figure S3L). These results indicate that iMNs signal to the post-synaptic muscle fiber to induce appropriate receptor clustering which is necessary for neuromuscular transmission. Together, these data indicate that iMNs can make functional synaptic junctions with muscle.

iMNs Integrate into the Developing Chick Spinal Cord

Transplantation of motor neurons into the developing chick spinal cord provides a rigorous test of their ability to survive *in vivo*, migrate to appropriate engraftment sites in the ventral region of the spinal cord, and to properly respond to axon guidance cues to send their axonal projections out of the spinal cord through the ventral root (Peljto et al., 2011; Soundararajan et al., 2006; Wichterle et al., 2002). In order to test the ability of iMNs to survive and function *in vivo*, we transplanted FACS-purified iMNs or control ESC-derived motor neurons into the neural tube of stage 17 chick embryos at 12–16 days post-transduction (Figure 5A). Although the injection of the iMNs along the dorsal-ventral axis was not precisely controlled, we observed that *Hb9::GFP+* iMNs engrafted in the ventral horn of the spinal cord in the location where endogenous motor neurons reside at stage 31 (Figure 5B). Like transplanted ESC-derived motor neurons (Soundararajan et al., 2006; Wichterle et al., 2002), the *Hb9::GFP+* cells maintained *Tuj1* expression and exhibited extensive dendritic arbors (Figure 5B). In addition, we asked whether iMNs project their axons out of the CNS. Endogenous and transplanted ESC-derived motor neurons send axonal projections out of the spinal cord through the ventral root towards musculature (Figure S4) (Soundararajan et al., 2010; Wichterle et al., 2002). When *Hb9::GFP* ESCs are subjected to directed differentiation

toward motor neurons, the resulting EBs contain both GFP+ motor neurons and distinct, non-motor neuronal subtypes that do not express GFP. In contrast to GFP+ motor neurons, GFP- non-motor neuron subtypes present within the same transplants extend extensive processes whose projections remain restricted to the developing spinal cord and do not exit through the ventral root (Soundararajan et al., 2010). Therefore, the chick transplantation assay can be used to measure motor neuron-specific axonal pathfinding. Indeed, after transplantation, we often observed *Hb9::GFP+* iMNs in the ventral horn of the spinal cord, and in 80% (n=5) of these cases, we saw axons of *Hb9::GFP+* iMNs projecting out of the spinal cord through the ventral root towards the musculature (Figure 5B). Thus, their *in vivo* engraftment capacity was similar to that observed for ESC-derived *Hb9::GFP+* motor neurons (Figure S4). Together, these data demonstrate that iMNs are able to engraft, migrate to appropriate sites of integration, and correctly respond to guidance cues *in vivo*, projecting their axons out of the CNS.

iMNs Are Sensitive to Disease Stimuli

ALS is an invariably fatal neurological condition whose hallmark is the selective and relentless degeneration of motor neurons. We reasoned that if iMNs fully phenocopied *bona fide* motor neurons, they should also be sensitive to degenerative stimuli thought to contribute to ALS. To determine if this was the case, we co-cultured iMNs with glial cells from the *SOD1G93A* mouse model of ALS. We, and others, have shown that both embryonic and ESC-derived motor neurons are selectively sensitive to the toxic effect of mutant glia, while other neural cell types, such as spinal interneurons, are relatively unaffected (Di Giorgio et al., 2007) (Nagai et al., 2007). iMNs were co-cultured with either wild-type or mutant *SOD1G93A* glia and the number of *Hb9::GFP+* iMNs quantified 10 days later. As we would expect if iMNs were indeed *bona fide* motor neurons, there was a sharp reduction in the number of iMNs co-cultured with mutant glia relative to those cultured with wild-type glia (Figures 5C–D), and the effect was similar in magnitude to its reported effect on ESC-derived motor neurons (Di Giorgio et al., 2007) (Nagai et al., 2007).

Currently, it is unclear whether there are cell-autonomous mechanisms of motor neuron degeneration induced by mutant SOD1 that can lead to overt differences in motor neuron survival *in vitro*. To see whether iMNs could be used to answer this question, we asked if there is a survival difference between wild-type and *SOD1G93A* iMNs in culture with wild-type glia. We prepared MEFs from mouse embryos that overexpress the *SOD1G93A* transgene as well as harbor the *Hb9::GFP* reporter, and transdifferentiated them into *Hb9::GFP+* iMNs alongside MEFs which only contain the *Hb9::GFP* reporter. We then FACS-purified *Hb9::GFP+* iMNs of both genotypes in parallel and plated the same number of cells for each on wild-type glia. After 4 days in culture, we observed impaired survival of *SOD1G93A* iMNs relative to control iMNs (Figure 5E), suggestive of a cell-autonomous disease phenotype. Taken together, these results indicate that iMNs are useful for studying both cell autonomous and non-autonomous contributors to motor neuron degeneration in ALS.

Because there is significant interest in the identity of factors and pathways that modulate neuronal survival in the context of neurodegenerative diseases, we also tested whether iMNs were similar to motor neurons in their sensitivity to growth factor withdrawal. Indeed, when the neurotrophic factors GDNF, BDNF and CNTF were all withdrawn from the medium, iMNs were lost more rapidly (Figure 5F). Thus, iMNs share a neurotrophic support requirement similar to embryonic motor neurons, and we conclude that iMNs could serve as a suitable substrate for *in vitro* studies of motor neuron function, disease and injury.

Fibroblasts Do Not Transit Through a Neural Progenitor State Before Becoming iMNs

The process by which the initial fibroblasts undergo conversion into another cell type in defined-factor reprogramming and transdifferentiation experiments remains poorly understood. In particular, it is currently unknown if the somatic cells reprogram through the same developmental intermediates that are found in the developing embryo, for example, by first de-differentiating and then re-differentiating through a neural progenitor state into a neuron, or if they instead convert more “directly”. To address this question, we used a lineage tracing approach to ask if during the course of reprogramming, a gene commonly used to identify neuronal progenitors ever became expressed.

Motor neuron progenitor cells are highly proliferative in culture (Frederiksen and McKay, 1988; Jessell, 2000). To determine whether iMNs transited through a highly proliferative intermediate during the reprogramming process, we quantified the timing of cell division in the reprogramming cultures using 48-hour pulses of BrdU. Following transduction, we found that the cells incorporated decreasing amounts of BrdU at each subsequent time point and did not incorporate detectable levels of BrdU after 4 days post-transduction (Figure 6A). Consistent with a previous report (Vierbuchen et al., 2010), these results suggest that the transduced cells quickly become post-mitotic. Since 10% of the fibroblasts eventually become iMNs and because GFP+ iMNs do not begin to appear in culture until day 5 and the majority arise between 7 and 14 days in culture these results suggest that the iMNs are not being produced from highly proliferative neuronal progenitors.

To more definitively test if the fibroblasts become motor neuron progenitors before differentiating into iMNs, we repeated the induction of a motor neuron identity using transgenic fibroblasts with a *Nestin::CreER* (Burns et al., 2007); *LOX-STOP-LOX-H2B-mCherry* (Abe et al.); *Hb9::GFP* genotype (Figure 6B). Because *Nestin* is a well-known marker of neural progenitor cells in the mammalian CNS (Messam et al., 2002), we reasoned that if the fibroblasts transited through a progenitor state before becoming motor neurons, the resulting iMNs would activate expression of *Nestin::CreER*, recombine the reporter gene and thus express both mCherry and *Hb9::GFP*.

First, as a positive control for this experiment, we generated iPSCs from the fibroblasts, then used retinoic acid and sonic hedgehog (Wichterle et al., 2002) to differentiate the iPSCs into motor neurons. As this directed differentiation protocol mimics development, we expected the resulting motor neurons to originate from *Nestin+* precursors. When we performed the differentiation without 4-hydroxytamoxifen (4-OHT), none of the resulting *Hb9::GFP+* motor neurons expressed mCherry (Figure 6C). However, when we added 4-OHT to the differentiation, 3% of the motor neurons co-expressed mCherry ($n > 2,000$) (Figure 6C), verifying that the *Nestin::CreER* reporter successfully identified motor neurons that transited through a *Nestin+* progenitor state. In contrast, when we treated the 7 factor-transduced MEF cultures with 4-OHT both before and during transdifferentiation, none of the resulting iMNs expressed mCherry ($n > 5,000$) (Figure 6D). These results confirm that fibroblasts do not become iMNs by transiting through a motor neuron progenitor cell state and further rule out the possibility that many of the iMNs are derived from contaminating neural progenitor cells in the MEF cultures.

Human iMNs Can Be Generated by 8 Transcription Factors

We next sought to determine whether the same, or a similar set of factors could be used to generate human iMNs from fibroblasts. To this end, human embryonic fibroblasts (HEFs) were derived from a human ESC line harboring the *Hb9::GFP* transgene (Di Giorgio et al., 2008). The HEFs were then transduced with viruses containing the 7 iMN factors identified in the mouse system as well as *NEUROD1*, a pro-neural gene reported to enhance the

conversion efficiency of human fibroblasts into iNs (Pang et al., 2011). 30 days after transduction, we observed *Hb9::GFP*⁺ cells with highly neuronal morphologies in the culture of 8 factor-transduced HEFs (Figures 7A–B), whereas untransduced HEFs never spontaneously expressed the transgene under the same conditions (Figure 7B). These putative human iMNs expressed vesicular ChAT (Figure 7C), indicating that they were indeed cholinergic in nature.

In order to assess the functionality of human iMNs made with 8 factors, we employed whole-cell patch clamp recording to look at their electrophysiological properties. Similar to their mouse counterparts, human iMNs expressed functional voltage-gated sodium and potassium channels (Figure 7D) and were able to fire action potentials (Figure 7E) when depolarized. Importantly, they responded appropriately to the addition of 100 μ M kainate (Figure 7F) and 100 μ M GABA (Figure 7G), demonstrating their ability to receive and respond to the major excitatory and inhibitory inputs, respectively, that govern spinal motor neuron activity. Therefore, functional iMNs can be generated from human fibroblasts by transdifferentiation.

DISCUSSION

We have shown that a small set of transcription factors can convert embryonic and adult fibroblasts into functional motor neurons. The iMNs expressed pan-neuronal and motor neuron-specific markers, as well as the receptors and channels that generate excitable membranes sensitive to transmitters, allowing them both to fire action potentials and receive synaptic input. These cholinergic iMNs also possessed the defining hallmark of motor neurons: the ability to synapse with muscle and to induce its contraction. Most importantly, iMNs are able to contribute to the developing CNS *in vivo*, migrating appropriately to the ventral horn and sending out axonal projections through the ventral root. We also demonstrated that the iMNs are sensitive to a degenerative ALS stimulus that selectively affects motor neurons. Thus we provide several lines of evidence that iMNs are functional motor neurons with consequent utility for the study of motor neuron physiology and disease susceptibility.

It is critical to note that we cannot rule out the possibility that other motor neuron-inducing factors have been overlooked, or that varying the cocktail of genetic factors might further enhance the frequency or even accuracy of conversion. In addition, it will be important to determine whether the factors we have identified here, or a group of similar factors, are capable of converting adult human fibroblasts into motor neurons. Such a reprogramming approach would greatly facilitate the production of patient-specific motor neurons for therapeutic uses in regenerative medicine as well as for disease-related studies.

It is remarkable that the conversion to motor neurons occurs so efficiently given that the cells do not transit through a neural progenitor state. It was striking that under certain conditions, as many as one *Hb9::GFP*⁺ iMN was made from every 10 MEFs. This efficiency was substantially higher than iPSC reprogramming (Takahashi and Yamanaka, 2006) and could be the result of a cooperative process in which establishment of a general neuronal program is augmented by specific patterning to a motor neuron identity. These results indicate that the massive changes in gene expression induced during defined-factor reprogramming can be executed efficiently even though they do not mimic embryonic development precisely. In the future it will be of interest to determine whether this approach can serve as a general strategy for the production of many distinct neuronal subtypes.

EXPERIMENTAL PROCEDURES

Molecular Cloning, Isolating Embryonic and Adult Fibroblasts, Viral Transduction, and Cell Culture

Complementary DNAs for the 11 candidate factors were each cloned into the pMXs retroviral expression vector using Gateway technology (Invitrogen). *Hb9::GFP*-transgenic mice (Jackson Laboratories) were mated with ICR mice (Taconic) and MEFs were harvested from *Hb9::GFP* E12.5 embryos under a dissection microscope (Leica). TTFs were isolated from *Hb9::GFP*-transgenic adult mice as previously described (Vierbuchen et al., 2010). The fibroblasts were passaged at least once before being used for experiments. HEFs were isolated from human ESCs by culturing them in DMEM + 20% fetal bovine serum without bFGF for at least three passages. Retroviral transduction was performed as described (Ichida et al., 2009). Glial cells isolated from P2 ICR mouse pups were added to infected fibroblasts two days after transduction. The next day, medium was switched either to mouse motor neuron medium containing F-12 (Invitrogen), 5% horse serum, N2 and B27 supplements, glutamax and penicillin/streptomycin, or to N3 medium (Vierbuchen et al., 2010). Both media were supplemented with GDNF, BDNF and CNTF, all at 10 ng/ml. Efficiency of iMN generation was estimated by counting the number of *Hb9::GFP*⁺ cells with neuronal morphologies using a fluorescence microscope (Nikon), and two-tailed Student's *t* test was used for statistical analysis.

Obtaining ESC-Derived and Embryonic Motor Neurons, FACS, Microarray Analysis, and qPCR

Motor neurons were derived from *Hb9::GFP* mouse ESCs and isolated by FACS using standard protocol (Di Giorgio et al., 2007). Embryonic motor neurons were harvested from *Hb9::GFP* E13.5 embryos. Briefly, whole spinal cords were washed in F-12 (Invitrogen) and incubated in 10 ml of 0.025% trypsin with DNase for 45 minutes with gentle agitation every 15 minutes. Media was added to the dissociated spinal cords and the cells were triturated, spun down at 1,000 rpm for 5 minute and resuspended in DMEM/F-12 with glutamax and penicillin/streptomycin. FACS was performed in the same way as with ESC-derived motor neurons. Total RNA isolation, RNA amplification and microarray analysis were performed as described previously (Ichida et al., 2009). qPCR was performed using iScript cDNA Synthesis Kit and SYBR Green qPCR Supermix (Bio-rad) according to manufacturers' instructions, with the primers in Table S1.

Immunocytochemistry

Antibody staining was performed as previously described (Ichida et al., 2009). The following primary antibodies were used: mouse anti-Hb9 (DSHB, 1:50), mouse anti-Islet (DSHB, 1:100); mouse anti-TuJ1 (Covance, 1:500); rabbit anti-vChAT (Sigma, 1:1000); rabbit anti-synapsin I (Millipore, 1:500); goat anti-Chx10 (Santa Cruz, 1:200); and rabbit anti-tyrosine hydroxylase (ThermoScientific, 1:300).

Electrophysiology

Whole-cell voltage-clamp and current-clamp recordings were made using a Multiclamp 700B (Molecular Devices) at room temperature (21–23°C). Data were digitized with a Digidata 1440A A/D interface and recorded using pCLAMP 10 software (Molecular Devices). Data were low-pass filtered at 2 kHz and sampled at 20 kHz (1kHz and 2 kHz, respectively, for transmitter application). Patch pipettes were pulled from borosilicate glass capillaries on a Sutter Instruments P-97 puller and had resistances of 2–4 MΩ. The pipette capacitance was reduced by wrapping the shank with Parafilm and compensated for using the amplifier circuitry. Series resistance was typically 5–10 MΩ, always less than 15 MΩ,

and compensated by at least 80%. Leak currents were typically less than 200 pA with mean input resistance 675 M Ω and mean resting potential -49 mV. For study of voltage-gated conductances, linear leakage currents were digitally subtracted using a P/4 protocol and voltage was stepped from a holding potential of -80 mV to test potentials from -80 to 30 mV in 10 mV increments. Intracellular solutions were potassium-based solution and contained KCl, 150 ; MgCl₂, 2 ; HEPES, 10 ; pH 7.4 used for earlier experiments and KCl, 135 ; MgCl₂, 2 ; HEPES, 10 ; MgATP, 4 ; NaGTP, 0.3 ; Na₂PhosCr, 10 ; EGTA, 1 ; pH 7.4 used for later experiments with no obvious difference in sodium and potassium currents. The extracellular was sodium-based and contained NaCl, 135 ; KCl, 5 ; CaCl₂, 2 ; MgCl₂, 1 ; glucose, 10 ; HEPES, 10 ; pH 7.4). Based on the chloride Nernst potential of -2 mV, inward currents were expected following GABA and glycine treatment (Puia et al., 1990). Transmitters were not washed out, explaining the delayed current decay.

C2C12 Muscle Co-Culture

C2C12 myoblasts were expanded in DMEM with 20% fetal bovine serum and penicillin/streptomycin. When the culture reached 100% confluency, the serum content was reduced to 5% to induce differentiation. Flow-purified iMNs or iNs were added to the myotubes after 7–14 days and the medium switched to either mouse motor neuron or N3 media. The co-cultures were monitored for myotube contractions under the microscope with $10\times$ or $20\times$ objectives. To stop contractions, a solution of tubocurarine hydrochloride was added to a final concentration of between 50 nM and 50 μ M. Twitching myotubes were filmed using Nikon ACT-2U Imaging Software (Excel Technologies) and contraction frequencies determined.

iMN-Chick Myotube Co-Cultures and Immunocytochemistry

Myoblasts were isolated from the epaxial (longissimus) muscles of E10 White Leghorn chick embryos and plated in 24-well plates at a density of $100,000$ cells/well. Cultures were maintained at 37°C in F10 media (Gibco) supplemented with 0.44 mg/ml calcium chloride, 10% horse serum, 5% chicken serum and 2% penicillin:streptomycin. iMNs were added to the myotubes 5 days later in Neurobasal media (Gibco) supplemented with B27 (Gibco), 1% L-glutamine and 1% penicillin:streptomycin. Co-cultures were supplemented with 10ng/mL CNTF and GDNF every two days for the first week following the addition of the iMNs. Co-cultures were maintained for 3 weeks when they were prepared for immunocytochemistry. Antibody staining was performed as previously described (Soundararajan et al., 2006). A rabbit anti-GFP (Chemicon, 1:2000) primary antibody was used to visualize the iMNs and rhodamine-conjugated α -bungarotoxin (Invitrogen, 1:500) was used to visualize the AChRs. Images were acquired on a laser scanning-confocal microscope (Zeiss LSM 510). Orthogonal images were rendered and edited with LSM imaging software (Zeiss) and further contrast and brightness adjustments were performed on Photoshop version 7.0.

***In Ovo* Transplantation of ESC-derived motor neurons and iMNs**

In ovo transplantations and immunohistochemistry were performed as previously described¹². Briefly, E2.5 chick embryos were exposed; the vitelline membrane and amnion were cut to allow surgical access to the neural tube. An incision of 1–1.5 somites in length was made along the midline of the neural tube at the rostral extent of the developing hind limb bud (T7-L1) using a flame-sterilized tungsten needle (0.077 mm wire, World Precision Instruments). For control ESC-derived motor neuron transplantations, *Hb9::GFP*-transgenic mouse ESCs were differentiated into motor neurons as described previously (Soundararajan et al., 2006; Wichterle et al., 2002). A single embryoid body containing approximately 150–200 differentiated *Hb9::GFP*⁺ motor neurons was transplanted into the ventral lumen of the neural tube of E2.5 chick embryos as described previously (Soundararajan et al., 2006). For iMN transplantations, a sphere of iMNs mixed with non-transgenic, ESC-derived motor

neurons containing approximately 200 cells was transplanted into the ventral lumen of the neural tube of E2.5 chick embryos. For all transplantations, the chick embryos were harvested five days later, fixed in 4% paraformaldehyde/PBS, cut on a cryostat and then processed for immunohistochemistry. The following primary antibodies were used: rabbit anti-GFP (Chemicon, 1:1000) and mouse anti-Tuj1 (Covance, 1:1000). Images were captured with a digital camera (C4742; Hamamatsu Photonics, Hamamatsu, Japan) in conjunction with digital imaging acquisition software (IPLab; Version 4.0; BD Biosciences, Rockville, MD, USA).

Glia-Neuron Co-Culture for Disease Modeling

SODIG93A transgenic mice (Jackson Laboratories) were mated with ICR mice. Glial preps were derived from transgenic P2 pups and their littermates. 3 weeks later, confluent flasks of glial cells were passaged 1:2 onto 6-well plates and iMNs were plated on top. The co-cultures were kept in mouse motor neuron medium with neurotrophic factors and the media changed every other day for the duration of the experiment. Two-tailed Student's *t* test was used for statistical analysis.

Nestin::CreER Lineage Tracing

MEFs were isolated from E13.5 embryos that were transgenic for *Nestin::CreER*, *LOX-STOP-LOX-H2B-mCherry*, and *Hb9::GFP*. To generate iPSCs, the MEFs were transduced with retroviruses (pMXs vector) encoding *Oct4*, *Sox2*, and *Klf4*. Cells were cultured in mES media containing 13% Knockout Serum Replacement and colonies were picked, expanded, and verified by Nanog immunostaining. For the positive control, iPSCs were differentiated into motor neurons using retinoic acid and Sonic Hedgehog (Wichterle et al., 2002) in the presence or absence of 2 μ M 4-OHT. iMNs were also created in the presence or absence of 2 μ M 4-OHT.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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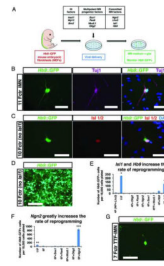


Figure 1. Generation of *Hb9*::GFP+ Induced Motor Neurons by 7 Factors

(A) Experimental outline. 11 candidate transcription factors include eight developmental genes in addition to the three iN factors.

(B) *Hb9*::GFP+ neurons express Tuj1 (purple). Scale bars represent 40 μ m.

(C) iMNs generated with 10 factors (without *Isl1*) express endogenous *Islet* (red). Scale bars represent 40 μ m.

(D) *Isl1* is dispensable for generating iMNs. Scale bar represents 200 μ m.

(E) Reprogramming efficiency is greater with *Hb9* or *Isl1* on top of 4 factors (*Lhx3*, *Ascl1*, *Brn2* and *Myt1l*) at day 21 post-transduction. Error bars indicate \pm s.d. * $P < 0.05$ (Student's *t*-test, two-tailed).

(F) Addition of *Ngn2* to the 6-factor pool (*Hb9*, *Isl1*, *Lhx3*, *Ascl1*, *Brn2* and *Myt1l*) greatly enhances reprogramming efficiency as seen 10 days after transduction. Error bars indicate \pm s.d. *** $P < 0.001$; ** $P < 0.01$ (Student's *t*-test, two-tailed).

(G) The 7 iMN factors convert adult tail tip fibroblasts into motor neurons. Scale bar represents 100 μ m.

See also Figure S1.

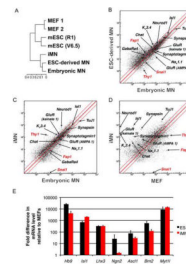


Figure 2. iMNs Possess Gene Expression Signatures of Motor Neurons

(A) Global transcriptional analysis of FACS-purified *Hb9::GFP*⁺ motor neurons. iMNs cluster with control motor neurons and away from MEFs.

(B–D) Pairwise gene expression comparisons show that iMNs are highly similar to embryo-derived motor neurons and dissimilar from the starting MEFs; black labeling denotes genes expressed in motor neurons, red labeling denotes genes expressed in fibroblasts, and the red lines indicate the diagonal and 2-fold changes between the sample pairs.

(E) qRT-PCR data showing expression of endogenous transcripts of the 7 iMN factors relative to their levels in ES-MNs. Error bars indicate \pm s.d.

See also Figure S2 and Table S1.

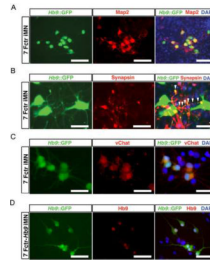


Figure 3. iMNs Express Neuronal and Motor Neuron Proteins

(A) iMNs express the pan-neuronal marker Map2 (red). Scale bars represent 100 μm .

(B) iMNs express synapsin (red). Scale bars represent 20 μm .

(C) iMNs express vesicular cholineacetyltransferase (vChAT, red). Scale bars represent 40 μm .

(D) iMNs express the motor neuron-selective transcription factor Hb9 (red). Scale bars represent 80 μm .

See also Figure S2.

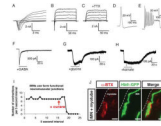


Figure 4. Electrophysiological Activity and *In Vitro* Functionality of iMNs

- (A) iMNs express functional sodium channels.
 (B) iMNs express functional sodium and potassium channels.
 (C) iMN sodium channel activity is appropriately blocked by tetrodotoxin (TTX).
 (D) iMNs fire a single action potential upon depolarization.
 (E) iMNs fire multiple action potentials upon depolarization.
 (F) 100 μ M GABA induces inward currents in iMNs.
 (G) 100 μ M glycine induces inward currents in iMNs.
 (H) 100 μ M kainate induces inward currents in iMNs.
 (I) iMN-induced contractions of C2C12 myotubes are blocked by 50 μ M curare. The arrow indicates the timing of curare addition.
 (J) iMNs cultured with chick myotubes form NMJs with characteristic α -bungarotoxin (α -BTX, red) staining. The dotted line outlines the boundaries of a myotube. Scale bar represents 5 μ m.
 See also Figure S3, Table S2 and Movie S1.

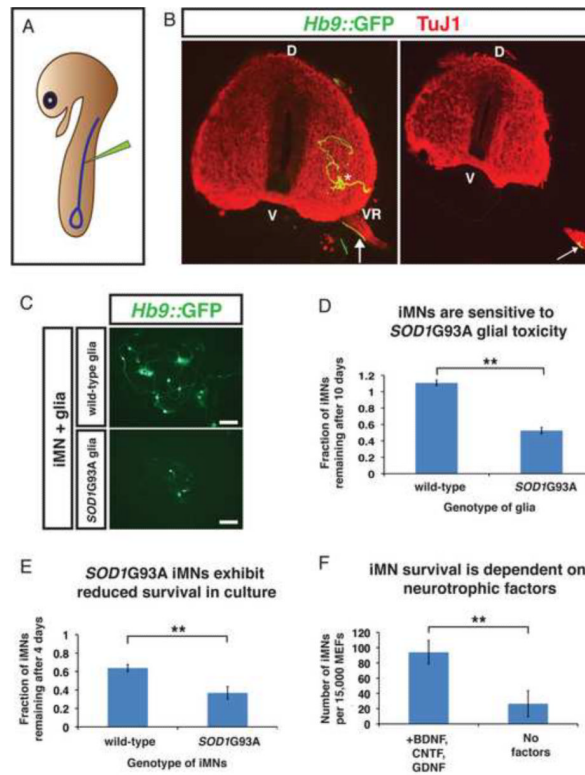


Figure 5. *In Vivo* Functionality and *In Vitro* Utility of iMNs

(A) Diagram showing the injection of iMNs into the neural tube of the stage 17 chick embryo.

(B) Transverse sections of iMN-injected chick neural tube 5 day after transplantation. Arrows in both panels indicate the same axon of an iMN exiting the spinal cord through the ventral root. D: dorsal, V: ventral, VR: ventral root.

(C) FACS-purified *Hb9::GFP*⁺ iMNs co-cultured with wild-type or the mutant *SOD1G93A*-overexpressing glia for 10 days. Scale bars represent 5 μ m.

(D) Quantification of (C). Error bars indicate \pm s.d. ***P* < 0.01 (Student's *t*-test, two-tailed).

(E) *SOD1G93A* iMNs exhibit reduced survival in culture with wild-type glia. Error bars indicate \pm s.d. ***P* < 0.01 (Student's *t*-test, two-tailed).

(F) Changes in iMN number after 9 days of culture in the presence or absence of neurotrophic factors (GDNF, BDNF and CNTF). Error bars indicate \pm s.d. ***P* < 0.01 (Student's *t*-test, two-tailed).

See also Figure S4.

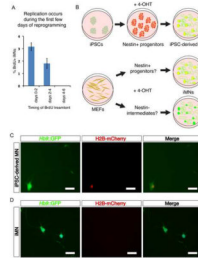


Figure 6. Transdifferentiation Does Not Occur Through a Nestin+ Neural Progenitor State

(A) Percentage of iMNs that have incorporated BrdU.

(B) Outline of the lineage tracing experiment using *Nestin::CreER*; *LOX-STOP-LOX-H2B-mCherry*; *Hb9::GFP* iPSCs or MEFs. To detect Nestin+ intermediates, cultures were treated with 1–2 μM 4-OHT during directed differentiation of iPSCs (positive control) or during transdifferentiation of fibroblasts by the 7 factors.

(C) FACS-purified, mCherry+ *Hb9::GFP*+ motor neurons derived from the triple transgenic iPSCs in the presence of 1 μM 4-OHT. Expression of mCherry was observed in 3% of *Hb9::GFP*+ cells ($n > 2,000$) and indicates the activation of *Nestin::CreER* during directed differentiation. Scale bars represent 40 μm .

(D) mCherry- *Hb9::GFP*+ iMNs generated from the triple transgenic MEFs by transdifferentiation in the presence of 2 μM 4-OHT. mCherry+ iMNs were never observed ($n > 5,000$), suggesting a Nestin+ state is not accessed during reprogramming. Scale bars represent 40 μm .

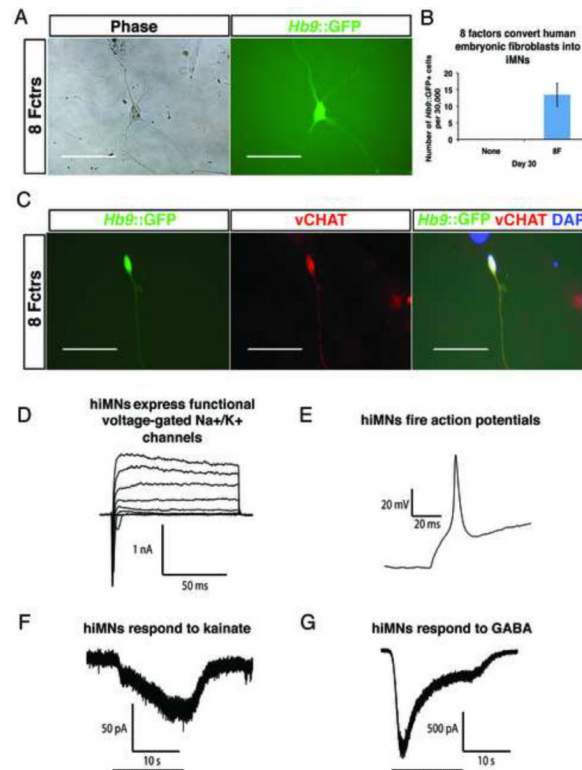


Figure 7. Human iMNs Are Generated by 8 Transcription Factors

(A) An *Hb9::GFP*⁺ neuron generated from a HEF culture by 8 transcription factors. Scale bars represent 80 μ m.

(B) Quantification of human iMN reprogramming efficiency at day 30 post-transduction.

(C) Human iMNs express vesicular choline acetyltransferase (vChAT, red). Scale bars represent 80 μ m.

(D) Human iMNs express functional sodium and potassium channels.

(E) Human iMNs fire action potentials upon depolarization.

(F) 100 μ M kainate induces inward currents in human iMNs.

(G) 100 μ M GABA induces inward currents in human iMNs.