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Finding the Largest Rectangle in Several Classes of Polygons

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Finding the Largest Rectangle in Several Classes of Polygons^{*}

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Abstract

This paper considers the geometric optimization problem of finding the Largest area axis-parallel Rectangle (LR) in an *n*-vertex general polygon. We characterize the LR for general polygons by considering different cases based on the types of contacts between the rectangle and the polygon. A general framework is presented for solving a key subproblem of the LR problem which dominates the running time for a variety of polygon types. This framework permits us to transform an algorithm for orthogonal polygons into an algorithm for nonorthogonal polygons. Using this framework, we obtain the following LR time results: $\Theta(n)$ for *xy*-monotone polygons, $O(n\alpha(n))$ for orthogonally convex polygons, (where $\alpha(n)$ is the slowly growing inverse of Ackermann's function), $O(n\alpha(n) \log n)$ for horizontally (vertically) convex polygons, $O(n \log n)$ for a special type of horizontally convex polygon (whose boundary consists of two *y*-monotone chains on opposite sides of a vertical line), and $O(n \log^2 n)$ for general polygons (allowing holes). For all these types of non-orthogonal polygons, we match the running time of the best known algorithms for their orthogonal counterparts.

A lower bound of time in $\Omega(n \log n)$ is established for finding the LR in both selfintersecting polygons and general polygons with holes. The latter result gives us both a lower bound of $\Omega(n \log n)$ and an upper bound of $O(n \log^2 n)$ for general polygons.

Keywords: rectangles, geometric optimization, fast matrix searching.

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1 Introduction

The problem of finding the Largest area axis-parallel Rectangle (LR) inside a general polygon¹ of *n* vertices is a geometric optimization problem in the class of polygon *inclusion* problems [8]. Define $Inc(\mathcal{P}, \mathcal{Q}, \mu)$: Given $P \in \mathcal{P}$, find the μ -largest $Q \in \mathcal{Q}$ inside P, where \mathcal{P} and \mathcal{Q} are families of polygons, and μ is a real function on polygons such that:

$$\forall Q, Q' \in \mathcal{Q}, \qquad Q' \subseteq Q \quad \Rightarrow \quad \mu(Q') \le \mu(Q).$$

Our problem is an inclusion problem where Q is the set of axis-parallel rectangles, \mathcal{P} is the set of general polygons, and μ gives the area of a rectangle.

This rectangle problem arises naturally in applications where a quick internal approximation to a polygon is useful. It is needed, for example, in the industrial problem of laying out apparel pattern pieces on clothing "markers" with minimal cloth waste [23, 24] (see Section 7).

1.1 Related Work

Despite its practical importance, work on finding the LR has been restricted to orthogonal polygons² [5, 21, 31] and, recently, convex polygons [6] (see Figure 1). Amenta [6] has shown that the LR in a convex polygon can be found in linear time by phrasing it as a convex programming problem. For a constrained type of orthogonal polygon, Aggarwal and Wein [5] give a $\Theta(n)$ time algorithm for finding the LR using the monotonicity of an area matrix associated with the polygon.

McKenna *et al.* [21] use a divide-and-conquer approach to find the LR in an orthogonal polygon in $O(n \log^5 n)$ time. For the merge step at the first level of divide-and-conquer, they obtain an orthogonal, vertically separated, horizontally convex, polygon³. At the second level, their merge step produces an orthogonal, orthogonally convex polygon⁴, for which they solve the LR problem in $O(n \log^3 n)$ time. They also establish a lower bound of time

¹A general polygon is a polygonal region in the plane with an arbitrary number of components and holes. A rectangle is *inside* if it is a subset. The rectangle can share part of its boundary with the polygon's.

²We use O'Rourke's definition: "An orthogonal polygon is one whose edges are all aligned with a pair of orthogonal coordinate axes, which we take to be horizontal and vertical without loss of generality" [26]. In the context of this paper, this might be called an *axis-parallel* polygon.

³The boundary of a *vertically separated* polygon consists of two chains which extend from the highest point of the polygon to the lowest point and which are on opposite sides of some vertical line. A *horizontally convex* polygon contains every horizontal line segment whose endpoints lie inside the polygon. For a *vertically separated, horizontally convex* polygon, the two chains are *y*-monotone.

⁴An *orthogonally convex* polygon is both horizontally and vertically convex. This class contains the class of convex polygons.



Figure 1: Related Work

in $\Omega(n \log n)$ for finding the LR in orthogonal polygons with degenerate holes, which implies the same lower bound for general polygons with degenerate holes.

McKenna *et al.* note, without giving details, that the LR in an orthogonal polygon can also be found using the more complicated $O(n \log^3 n)$ time divide-and-conquer algorithm of Chazelle *et al.*[10] for the largest empty rectangle (LER) problem. The LER problem is stated as follows: given a rectangle containing a set S of n points, find the largest area rectangular subset, with sides parallel to those of the original rectangle, whose interior contains no points from S ([10, 25, 3]). Chazelle *et al.* observe that the running time of the merge step of their algorithm is dominated by the largest empty corner rectangle (LECR) problem: given two subsets S_{left} and S_{right} of S, find the largest rectangle containing no point of S which has lower-left corner in S_{left} and upper-right corner in S_{right} . The fastest solution to LECR is Aggarwal and Suri's $O(n \log n)$ time algorithm, which they present as part of an $O(n \log^2 n)$ time solution to the LER problem [3]. Their LECR algorithm relies on fast searching of area matrices.

We observe that a speed-up in the LECR algorithm automatically improves the running time for finding the LR in an orthogonal polygon. This speed-up occurs because a fast LECR algorithm implies a fast algorithm for the Largest Corner Rectangle (LCR) in an orthogonal, vertically separated, horizontally convex polygon⁵. Computing the LCR, in turn, dominates the running time of the LR problem for orthogonal, vertically separated, horizontally convex polygons. Finally, as we have previously stated, this special case is required for the merge step of a divide-and-conquer algorithm for general orthogonal polygons. Thus the O($n \log n$) time algorithm for LECR yields an O($n \log^2 n$) time algorithm for finding the LR in an orthogonal polygon.

The $O(n \log^3 n)$ time algorithm of [21] for orthogonal, orthogonally convex polygons can

⁵The LCR of an orthogonal polygon is the largest area rectangle with diagonally opposite corners on the boundary of the polygon. Our definition of LCR for non-orthogonal polygons is somewhat more specific (see Section 3).

also be improved by applying recent results in fast matrix searching. Aggarwal and Suri [3] note that, for the LECR problem which can be associated with the vertices of this type of polygon, there is a corresponding area matrix whose maximum can be found in $O(n \log n)$ time by decomposing it into a set of simpler area matrices. They note in [4] that Klawe and Kleitman's results [18] for this simpler type of matrix imply $O(n\alpha(n))$ search time for the more complex matrix, where $\alpha(n)$ is the slowly growing inverse of Ackermann's function. It is easy to see that this yields $O(n\alpha(n))$ time for finding the LR in an orthogonal, orthogonally convex polygon.

Melissaratos and Souvaine [22] use the visibility techniques of [15] to solve several geometric optimization problems. In particular, they find the largest triangle contained in a polygon in $O(n^4)$ time by considering the types of contacts between the polygon and the triangle. A similar approach can be applied to the LR problem by using the concept of rectangular visibility⁶ [28], but this leads to an $O(n^5)$ algorithm, which is much slower than the $O(n \log^2 n)$ one we propose in this paper.

Another possible approach to the LR problem involves Voronoi diagrams, but it is unlikely to produce an algorithm faster than $O(n \log^2 n)$. Chew and Drysdale [11] discuss using a Voronoi diagram of a point set, based on a convex distance function, to find the associated largest empty convex shape. Chazelle *et al.* [10], in their work on the largest empty rectangle problem, cite the use of a Voronoi diagram in the L_{∞} or L_1 metric [20, 16] to find the largest empty axis-parallel square for a point set. Aurenhammer [7] notes that a transition from squares to rectangles is complicated because the distance function depends on the aspect ratio of the rectangle, which is unknown. Chazelle *et al.* [10] use a Voronoi-like diagram to solve the LECR problem. However, this approach is slower than Aggarwal and Suri's LECR algorithm [3], which is based on fast matrix searching. In order to use Voronoi diagram, often called the *medial axis* [27, 19] of a polygon. Since the fastest algorithm for the largest empty rectangle problem (for point sets) is not based on Voronoi diagrams, we doubt that using such a generalized Voronoi diagram would yield an algorithm faster than the $O(n \log^2 n)$ one we present in this paper.

No published algorithm is known for finding the LR in a general non-orthogonal polygon with (non-degenerate) holes, nor has a lower bound tighter than $\Omega(n)$ been established.

⁶Overmars and Wood [28] define rectangular visibility as follows: "Given a set of points S in the plane, a point p is said to be rectangularly visible from a point q with respect to S if and only if there exists an orthogonal rectangle R that contains both p and q, but no other point of S." We use a slightly less restrictive version of rectangular visibility (see Section 3.2).

1.2 Overview

We present the first algorithmic results for general polygons with holes: an $O(n \log^2 n)$ time algorithm. We also prove a lower bound for this type of polygon of time in $\Omega(n \log n)$. The divide-and-conquer approach used for finding LRs in orthogonal polygons is applicable to non-orthogonal polygons, but it is a challenge to deal with the special cases of the LR problem that arise during the merge step. As is the case for orthogonal polygons, the running time is dominated by the LCR (largest corner rectangle) problem for vertically separated, horizontally convex polygons. Unfortunately, for non-orthogonal polygons, it is not so easy to reduce the LCR problem to a LECR problem. For this reason, we present a general framework which we use to transform LCR problems for several types of non-orthogonal polygons into LCR problems for "partially orthogonal" polygons. The framework shows how to modify a LECR algorithm to solve these special LCR problems. This framework allows us to achieve the same LR time bounds for each non-orthogonal case as has already been achieved for the corresponding orthogonal case.

Our paper is organized as follows. In Section 2 we characterize the LR for general polygons by considering different cases based on the types of contacts between the rectangle and the polygon. In Section 3 we present a general framework for solving the 2-contact case of the LR problem, which dominates the running time for a variety of polygon types⁷. The framework involves transforming the polygon, via vertex projection and inner orthogonal approximations, into a "partially orthogonal" polygon for which we can solve the associated LCR problem by solving a modified LECR problem. The LECR problem is solved efficiently using fast matrix searching techniques from the literature.



Figure 2: Algorithmic Results for a Variety of Polygon Types

Section 4 presents an $O(n \log^2 n)$ time divide-and-conquer algorithm for finding the LR in a general polygon with holes. Our 2-contact framework is applied to solve the 2-contact case for a vertically separated, horizontally convex polygon. This type of polygon arises in the merge step, and finding its LCR and LR dominates the running time of the divide-andconquer algorithm. We show that its LR can be found in $O(n \log n)$ time. This $O(n \log n)$

⁷The 2-contact case is equivalent to a constant number (eight) of LCR problems.

algorithm uses the results of Aggarwal and Suri [3, 4] for the LECR problem.

Section 5 gives algorithms for finding the LR in several other types of polygons. We show that the LR of an xy-monotone polygon⁸ can be found in $\Theta(n)$ time. This algorithm is based on using the 2-contact framework to solve the 2-contact case in $\Theta(n)$ time. We use the framework to solve the 2-contact case in $O(n\alpha(n))$ time for an orthogonally convex polygon. This leads to an $O(n\alpha(n))$ time algorithm for finding the LR of an orthogonally convex polygon. The orthogonally convex polygon result is used as the basis for an $O(n\alpha(n)\log n)$ time divide-and-conquer algorithm for horizontally (vertically) convex polygons. If used in the divide-and-conquer algorithm of McKenna *et al.* [21], this immediately gives $O(n\alpha(n)\log^2 n)$ time for general polygons. However, this is not as fast as our $O(n\log^2 n)$ time algorithm based on vertically separated, horizontally convex polygons. However, these LR algorithms (or the 2-contact framework) may be useful in the development of other fast geometric algorithms. The running time results of Sections 4 and 5 are summarized in Figure 2.

In Section 6 we prove a lower bound of time in $\Omega(n \log n)$ for finding the LR in both self-intersecting polygons and general polygons with holes. The latter result gives us both a lower bound of $\Omega(n \log n)$ and an upper bound of $O(n \log^2 n)$ for general polygons with holes. It uses symbolic perturbation to extend the $\Omega(n \log n)$ lower bound of McKenna *et al.* for orthogonal polygons with degenerate holes. The proof for self-intersecting polygons involves a reduction from MAX-GAP. This $\Omega(n \log n)$ lower bound clearly demonstrates that the LR *inclusion* problem is harder than the corresponding smallest rectangle *enclosure* problem, which has a trivial linear time algorithm.

Section 7 discusses LR applications.

2 Characterizing the LR

In this section we characterize the LR contained in a general polygon P by considering different cases based on the types of contacts between the LR and the boundary of P. We outline a naive algorithm for finding the LR based on this characterization. Others have used contact classification for algorithmic development (see, for example, [22, 21, 13]).

2.1 Types of Contacts

Intuitively, if an axis-parallel rectangle is inside P, it has four degrees of freedom (parameters) and can "grow" until each of its four sides is stopped by contact with the boundary of P.

⁸A simple polygon consisting of two xy-monotone chains is an xy-monotone polygon. A chain is xy-monotone if it is monotone with respect to both the x and y axes. A chain is monotone with respect to a line l if a line orthogonal to l intersects the chain in exactly one point [29].

Contacts between the rectangle and P are of two types: 1) a side of the rectangle with a vertex of P, and 2) a corner of the rectangle with an edge of P. In order to discuss the first type, we require the notion of a *reflex extreme* vertex, introduced in [30].

Definition 2.1 A vertex v of P is a vertical reflex extreme vertex if exterior(P) has a local vertical line of support at v: for some epsilon > 0, the vertical line segment of length ϵ and with midpoint v is a subset of P (boundary plus interior). A horizontal reflex extreme vertex is defined similarly.

For type 1 contacts, a reflex extreme vertex of P touches a side of the rectangle and stops growth in one direction; we call this a *reflex contact*. Each reflex contact can remove one degree of freedom. Two reflex contacts with adjacent sides of the rectangle fix a corner of the rectangle. For type 2 contacts, a corner of the rectangle touches an edge of P forming an *edge contact*.

2.2 A Determining Set of Contacts

Definition 2.2 A set of contacts C is a **determining set of contacts** if the LR R satisfying C has finite area and if the LR R' satisfying any proper subset $C' \subset C$ has greater or infinite area.

For example, a set of four reflex contacts, one on each side of the rectangle, is a determining set.

Note: A determining set determines the area of the LR, but it does not necessarily determine a *unique* rectangle or LR.



Figure 3: Edge Contact Types for a Determining Set

Within a determining set, we distinguish between two different subtypes of edge contacts. An edge contact is *fixed* if the set of constraints uniquely determines the point of contact with the rectangle. Otherwise, it is a *sliding contact*. Note that we are considering here the set of *all* rectangles which satisfy the determining set of contacts, and we are not just considering rectangles of maximal area. A fixed contact can arise when there is no freedom to slide along an edge because a reflex contact fixes a coordinate. For example, in Figure 3(a), the reflex contact of the determining set fixes the x-coordinate of the edge contact, which completely determines the location of the edge contact. If an edge contact has an adjacent side which has either a reflex or fixed contact, then the edge contact must also be a fixed contact.

Two sliding edge contacts are *dependent* if the position of one determines the position of the other; otherwise they are *independent*. An independent sliding contact requires that the two adjacent sides of the rectangle do not have any contact with P (see Figure 3(b)). A sliding contact adjacent to another sliding contact is dependent, because the two contacts must share a coordinate (see Figure 3(c)).

2.3 Maximization Problems

Here we examine maximization problems associated with certain determining sets of contacts. Finding the LR associated with a determining set of contacts requires solving a maximization problem if the set contains a sliding contact. For a given set of contacts, the number of degrees of freedom is the number of undetermined parameters of the rectangle. Degrees of freedom within a determining set can arise only from sliding contacts because any other degree of freedom would result in a rectangle of infinite area, and therefore the contacts would not form a determining set. It follows that if a determining set consists of only reflex or fixed edge contacts, no maximization is required. For each independent sliding contact in the set, we can parameterize the associated edge. The maximization problems can then be classified based on the number of parameters.

2.3.1 1-Parameter Problems

The set of 1-parameter maximization problems can be further subdivided according to the number of dependent sliding contacts.

The Basic 1-Parameter Problem: The simplest 1-parameter problem involves no dependent sliding contacts, just a single independent one. This is the basic 1-parameter problem, and it arises when one corner of the LR has a sliding contact and the diagonally opposite corner is fixed. The basic 1-parameter problem can be solved by parameterizing the edge associated with the sliding contact and maximizing a quadratic in one variable. This can be solved in O(1) time.

An alternate constant-time solution to the 1-parameter problem is based on the following lemma, which demonstrates that the slope of the LR diagonal depends only on the slope of the polygon edge. We assume here that the edge is neither vertical nor horizontal. **Lemma 2.1 (Slope Lemma)** Given a point p and a line L with slope s, the LR with one corner at p and diagonally opposite corner at point q on L has diagonal \overline{pq} , where the slope of $\overline{pq} = -s$.

In other words, for a basic 1-parameter problem, if one corner of a LR is incident on L, the slope of the LR's diagonal is the negative of L's slope.



Figure 4: Slope Lemma

Proof: Assume p is at the origin, and that the line L is given by ax + by = d (see Figure 4). W.l.o.g. assume L intersects the +x and +y axes. (Note: we ignore the degenerate cases of horizontal and vertical lines, for which the LR is undefined.) The family of hyperbolas given by xy = r represents curves corresponding to rectangles of constant area. Moving away from the origin into the first quadrant, the hyperbola branch which is tangent to L provides the area c of the LR associated with L. Let (x_c, y_c) be the coordinates of the point at which L is tangent to the hyperbola xy = c.

Now, the tangent to xy = c at (x_c, y_c) is equal to L, so the normal to xy = c at (x_c, y_c) is equal to the normal to L at (x_c, y_c) . The normal to xy = c is $(F_x, F_y) = (y, x)$; evaluated at (x_c, y_c) it is (y_c, x_c) . The normal to L at (x_c, y_c) is (a, b). Therefore,

$$\operatorname{slope}(\overline{pq}) = \frac{y_c}{x_c} = \frac{a}{b} = -\operatorname{slope}(L).$$

Note that, as a consequence of this lemma, if p moves downward, q moves downward along its edge.

Two Dependent Sliding Contacts: If there are exactly two dependent sliding contacts in a determining set, then these contacts are at the endpoints of one edge of the rectangle, and there is a reflex contact with the opposite edge of the rectangle. W.l.o.g. these are the top and bottom edges with y-coordinates y and y', as shown in Figure 5(a). To find the LR, we parameterize edge $\overline{p_2p_1}$ by t, yielding a quadratic in t to maximize (see Appendix A.1 for details).



Figure 5: 1-Parameter Problems with Two and Three Dependent Sliding Contacts

Three Dependent Sliding Contacts: The case of three dependent sliding contacts is depicted in Figure 5(b). It is dealt with in a manner similar to the case of two dependent sliding contacts. See Appendix A.2 for details.

2.3.2 The 2-Parameter Problem

There is only one type of 2-parameter problem. It has two independent sliding contacts. The following lemma allows us to reduce a 2-parameter problem to a set of 1-parameter problems.

Lemma 2.2 Let e_1 and e_2 be non-intersecting line segments. Consider the set of empty axisparallel rectangles which have diagonally opposite corners on e_1 and e_2 . There is a largest area rectangle in this set with at least one corner at an endpoint of e_1 or e_2 .

Proof: One way to prove the claim is to parameterize the positions of the corners of the rectangle on e_1 and e_2 . The area of the rectangle is a quadratic function of the two parameters. It is easily shown that the graph of the quadratic over the patch $[0,1] \times [0,1]$ is a saddle surface, and therefore the maximum is achieved along the boundary of the patch. The boundary corresponds to the subset of rectangles which have at least one corner at an endpoint of either e_1 or e_2 .

A geometric argument is more intuitive, however. Let c_1 on e_1 and c_2 on e_2 be opposite corners of a rectangle, and let r be the diagonal connecting c_1 and c_2 . Now replace e_1 and e_2 by the lines l_1 and l_2 containing them. Consider the set S of all line segments that connect l_1 and l_2 and are parallel to r. The length of these line segments as a function of their distance from r is monotone. If l_1 and l_2 are parallel, the length is constant. Otherwise the length increases moving away from r in one direction, and decreases in the opposite direction. Move away from r in the direction of increasing length (either direction if l_1 is parallel to l_2) until either c_1 or c_2 is at an endpoint of e_1 or e_2 , and let r' be the new diagonal. Since $|r'| \ge |r|$, the area of the rectangle whose diagonal is r' is at least as large as the area of the rectangle whose diagonal is r. Therefore, given any rectangle with opposite corners on e_1 and e_2 , there is one with at least as large an area and with a corner at an endpoint of e_1 and e_2 . Thus there is always an LR with a corner at an endpoint.

Having established that there exists a LR with a corner at a vertex in this case, we can find it by considering, in turn, each of the four endpoints of e_1 and e_2 , solving the associated 1-parameter problems, and then comparing the four resulting 1-parameter LR areas.

2.4 Characterization Theorem

To characterize the LR, we examine the possible determining sets of contacts. By enumerating the reflex contacts between the LR and P, we derive the set of five cases shown in Figure 6.



Figure 6: The Determining Sets of Contacts for the LR

Theorem 2.3 The determining set of the LR of a general polygon P conforms (up to symmetry) to one of the five cases in Figure 6.

Proof: The proof is a straightforward examination of cases. See Appendix B for details. ■

Corollary 2.4 Given a determining set C for a LR of a general polygon, it follows that $2 \le |C| \le 4$.

2.5 A Naive Algorithm

Based on the above characterization, we can find the LR in a general polygon by finding the LR under the constraints of each of the five cases and selecting the largest one.

Theorem 2.5 For each determining set of contacts in Figure 6, the LR can be found in constant time.

Proof: A reflex contact yields, in constant time, one of the four parameters of the rectangle, as does a fixed contact. In all cases we can process these contacts first. The remaining situations are all either 1-parameter problems or 2-parameter problems, which can be solved in constant time because they only require maximizing a constant number of quadratic forms.

A naive LR algorithm can use Theorem 2.5 and supply it, in each case, all possible determining sets for P. These can be identified using an algorithm with up to four nested loops, one for each element of the determining set. For each LR candidate, we can check if it is empty (i.e. contains no point from the boundary of P in its interior) in O(n) time. We conclude:

Theorem 2.6 The LR of an n-vertex general polygon can be found in $O(n^5)$ time.

In the remainder of the paper we show how to use the LR characterization combined with fast matrix searching to develop a more sophisticated approach to this problem which yields an $O(n \log^2 n)$ time algorithm.

3 A General Framework for the 2-Contact Case

We compute the LR for a general polygon with holes using divide-and-conquer. The divideand-conquer algorithm must find the LR in a polygon P, which is a subset of the general polygon, and which is of the following type: the boundary of P consists of two y-monotone chains V and E on opposite sides of a vertical line. Recall from Section 1.1 that we call this a vertically separated, horizontally convex polygon (see Figure 2). By Corollary 2.4, the algorithm must consider the 2, 3, and 4-contact cases in order to find the LR in P. Of these, the 2-contact case dominates the running time. This section gives a framework for creating algorithms for the 2-contact case for classes of polygons with y-monotone chains (including the class of vertically separated, horizontally convex polygons). We call this the 2-contact framework. Section 4 applies the 2-contact framework to create a 2-contact LR algorithm for vertically separated, horizontally convex polygons and then gives the divide-and-conquer LR algorithm for general polygons. Section 5 applies the 2-contact framework to create 2-contact LR algorithms for other types of polygons and then gives LR algorithms for these types. For all of these polygon types, the 2-contact framework yields an algorithm which has the same order running time as the fastest LR algorithm for the *orthogonal* version of that type.

The 2-contact case for polygons with y-monotone chains V and E involves finding the largest rectangle which is inside P and which has one corner on V and the diagonally opposite corner on E. By Lemma 2.2, one of the corners of the largest 2-contact rectangle is at a vertex of either V or E. Furthermore, there are four choices for which corner of the rectangle has this corner-vertex contact. We refer to each of eight possibilities as a Largest Corner Rectangle (LCR) problem. In what follows, we treat only the LCR problem for which the lower-left corner of the rectangle is a vertex of V and the upper-right corner is on an edge of E. We call such a rectangle a vertex-edge rectangle for V and E. This definition of LCR is analogous to Chazelle's definition of the LECR.

In Section 1.1, we mentioned that Chazelle *et al.* use a divide-and-conquer strategy to solve the LER (Largest Empty Rectangle) problem for a set of points. For their algorithm, the most difficult subcase is the LECR (Largest Empty Corner Rectangle) problem: given a set S of points and given two subsets S_{left} and S_{right} of S, find the largest rectangle containing no point of S in its interior which has lower-left corner in S_{left} and upper-right corner in S_{right} . Ideally, we would like to solve the LCR problem for P, V and E in the following manner. Set S = vertices(P), $S_{\text{left}} = \text{vertices}(V)$, and $S_{\text{right}} = \text{vertices}(E)$. Then find the LECR of S, S_{left} , and S_{right} . Unfortunately, there are two ways in which the LECR can fail to be the LCR. First, some edge of P might intersect the interior of the LECR. Second, the actual LCR might have its upper-right corner in the middle of an edge of E, not at a vertex.

Fortunately, for a variety of polygon types, it is possible to reduce the problem of computing the LCR to that of computing the LECR. The reduction involves several steps, and these steps constitute our 2-contact framework for solving 2-contact LR problems. Section 3.1 gives a high level description of the 2-contact framework, and Sections 3.2 through 3.4 give specific details.

3.1 High Level Description of the 2-Contact Framework

This section gives a high level description of the 2-contact framework. The "user" of the framework must provide a linear-time transformation of P, V, and E into P', V', and E' which satisfies certain properties. The framework specifies the properties (which amount to the notion of creating "partially orthogonal" P', V', and E'). The "user" must also provide a LECR algorithm with certain properties that the framework also specifies. The framework

shows how to create an algorithm for the LCR R' of P', V', and E'. Because of the properties, R' is at least as large as the LCR of P, V, and E, and $R' \subseteq P$.

Notice that the framework does *not* necessarily create an algorithm for the LCR of P, V, and E. The rectangle R' is an LCR of P', V', and E', but it might be a 3-contact or 4-contact rectangle inside P. Nevertheless, an algorithm for R' is sufficient. Recall that the overall goal is to compute the LR of P. When the LR algorithm "checks" the 2-contact case, it is acceptable for the LCR algorithm to find a rectangle inside P that is *larger* than the largest 2-contact rectangle.

Thus, the framework takes a transformation and a LECR algorithm as "input" and creates a LCR algorithm as "output." To do this, the framework defines a second transformation that consists of adding a new vertex at the midpoint of every edge of E'. This transforms P' into P'' and E' into E''. The framework also defines a measure η for the size of corner rectangles which have diagonally opposite corners in vertices(V') and vertices(E''). The framework modifies the user-supplied LECR algorithm by substituting a call to η whenever the LECR algorithm computes the area of a corner rectangle.

Here, in broad detail, is the LCR algorithm that the framework creates. The algorithm first transforms P, V, and E, into polygon P' and chains V' and E' of P' using the transformation provided by the "user". Next, it applies the second transformation, yielding P''and E''. It calls the modified LECR algorithm to compute the rectangle R'' which maximizes η over all rectangles with one corner in vertices(V'), the diagonally opposite corner in vertices(E''), and which contains no element of vertices(P'') in its interior. It applies a constant-time transformation to convert R'' into R', the LCR of P', V', and E'.

The framework deals with both of the ways in which the output R'' of the LECR algorithm could fail to solve the LCR problem: it might not be inside P and it might not have the largest area. First, the properties which the user-supplied transformation of P to P' must satisfy guarantee that R'' is inside P' and P. Second, R'' might not have the correct area, but $\eta(R'')$ is equal to the area of the LCR R' of P', V', and E', and R'' can be transformed into R'.

Section 3.2 defines the three properties that P', V', and E' must have in order to apply the 2-contact framework. It defines η , and proves that the LECR R'' for the vertices of P'', V', E'', and the measure η can be transformed into the LCR R' of P', V', and E'which, in turn, is inside P and is at least as large as the LCR of P, V, and E. Section 3.3 considers the question of transforming a LECR algorithm into a LECR algorithm for measure η by substituting the η function for the area function in the implementation of the LECR algorithm. This section defines a property called *total monotonicity* and proves that, if both V and E are y-monotone, then both the area function and the η function are totally monotone. It then observes that if the proof of correctness of the LECR algorithm only depends on the total monotonicity of the area function, then the proof will still work if η is substituted for area. This "meta-theory" is a general scheme for transforming algorithms and proofs. However, the only way to be really sure that the proof "only depends" on total monotonicity is to substitute η for area and recheck the proof. Section 3.4 observes that if the number of vertices of P', V', and E' are linear in the number of vertices in P, then the algorithm for the LCR of P', V', and E' has the same order running time as the corresponding LECR algorithm.

3.2 Properties and the LR Measure

This section defines three properties of P', V', and E' with respect to P, V, and E. It also defines a size measure η for rectangles with opposite corners at vertices of V', and E''. Recall that E'' has vertices at the midpoints of edges of E'. We call these added vertices *special vertices*. For a rectangle whose corner is at a vertex of E', η is the area function, but for a rectangle whose corner is at a special vertex, η has a different value defined below. We prove that the LECR R'' for the vertices of P', V', and E'' has the property that $\eta(R'')$ is greater than or equal to the area of the LCR of P, V, and E. We also show how to generate a rectangle R' inside P with this area.

The following are the three properties that P', V', and E' must satisfy with respect to P, V, and E.

Property I: Polygonal regions P and P' satisfy $P' \subseteq P$ and each vertex-edge rectangle for P, V, and E is a vertex-edge rectangle for P', V', and E'. (Even if the upper-right corner of the rectangle is at a vertex of E, we still consider it to be a vertex-edge rectangle.)

Property II: For every vertex $v \in V'$ and every edge $e \in E'$: if any point q in the interior of e is rectangularly visible⁹ from v inside P', then the entire edge e is rectangularly visible from v.

Property III: If vertex $v \in V'$ and a point $q \in E'$ are rectangularly visible with respect to vertices (P'), then v and q are rectangularly visible with respect to P'.

Adding a "special" vertex at the midpoint of every edge of E' does not alter the satisfaction of Properties I-III. Therefore P', V', and E'' also satisfy Properties I-III. We introduce a new measure η on corner rectangles of V', and E''. For rectangles which have a corner at a special vertex, this measure differs from the area function.

Definition 3.1 (LR Measure) The **LR measure** η of rectangle rect(vw), for vertices $v \in V'$ and $w \in E''$, is defined as follows. If w is not a special vertex, it is area(rect(vw)).

⁹Two points p and q are rectangularly visible [28] inside polygonal region P if $rect(pq) \subseteq P$, where rect(pq) is defined to be the axis-parallel rectangle with diagonal pq.

If w is a special vertex, $\eta(\operatorname{rect}(vw))$ is the area of the LR for vertex v and the edge $e \in E'$ containing w.

For a given v and e, the LR can clearly be computed in constant time (see Lemma 2.1).

Lemma 3.1 Let polygon P' and y-monotone chains V' and E' satisfy Property I with respect to P, V, and E. Then the LCR for P', V', and E' lies inside P, and it is at least as large as the LCR for P, V, and E.

Proof: Let R be the LCR for P, V, and E, and let R' be the LCR for P', V', and E'. By Property I, every vertex-edge rectangle for P, V, and E is a vertex-edge rectangle for P', V', and E'. Therefore area $(R') \ge \operatorname{area}(R)$. Since $P' \subseteq P, R' \subseteq P$.

Lemma 3.2 Let polygon P' and y-monotone chains V' and E' satisfy Properties II and III. Let E" and η be as defined above. Then the LECR for vertices(P'), vertices(V'), vertices(E"), and measure η can be transformed in constant time into a LCR for P', V', and E'.

Proof: Let R' be a LCR for P', V', and E', and let R'' be the LECR for vertices(P'), vertices(V'), vertices(E''), and measure η .

We first prove the following claim: $\eta(R'') = \operatorname{area}(R')$.

 $\eta(R'') \ge \operatorname{area}(R')$: Let vq be the diagonal of R', where q lies on edge e of E'. If q is an endpoint of e, then $\operatorname{area}(R') = \eta(R')$ by the definition of η . Also, $\eta(R'') \ge \eta(R')$ because R'' is the LECR under the measure η . Thus, $\eta(R'') \ge \operatorname{area}(R')$. If q is not an endpoint of e, let w be the special vertex of e. By Property II, since v can see q, v can see w. Since $\eta(\operatorname{rect}(vw))$ is equal to the area of the largest vertex-edge rectangle for v and e, $\eta(\operatorname{rect}(vw)) \ge \operatorname{area}(R')$. Again, since R'' is the LECR, $\eta(R'') \ge \eta(\operatorname{rect}(vw))$, and thus $\eta(R'') \ge \operatorname{area}(R')$.

area $(R') \ge \eta(R'')$: It suffices to show that, given R'', we can construct rect(vq), where area $(rect(vq)) = \eta(R'')$, where v is a vertex of V', and where q lies on an edge of E'. Let vw be the diagonal of R''. If w is not special, then let q = w. If w is special, then, by Property II, since v can see w, v can see all of edge e containing w, and thus v can see q where vq is the diagonal of the LR for v and e. Thus, area $(rect(vq)) = \eta(R'')$. Finally, we observe that area $(R') \ge area(rect(vq))$ since R' is the LCR and thus is at least as large as any other vertex-edge rectangle. By transitivity, $area(R') \ge \eta(R'')$. Putting this together with the inequality from the previous paragraph shows that $area(R') = \eta(R'')$, which establishes the claim.

The last paragraph of the proof of the claim implies that we can construct a vertexedge rectangle rect(vq) in constant time such that $area(rect(vq)) = \eta(R'')$. The claim itself establishes $\eta(R'') = area(R')$, and therefore area(rect(vq)) = area(R'). This means that rect(vq) is either the LCR of P', V', and E' or at least an LCR. Thus, we can construct R'from R'' in constant time.

3.3 Total Monotonicity of the LR Measure

Lemmas 3.1 and 3.2 reduce the LCR problem to a LECR problem with the notion of "size" given in Definition 3.1. A LECR algorithm clearly depends on the notion of "size": the algorithm of Aggarwal and Suri for largest perimeter is very different from their algorithm for largest area [3, 4]. Furthermore, our notion of size does *not* possess the property required for the class of inclusion problems defined in Section 1:

$$\forall Q, Q' \in \mathcal{Q}, \qquad Q' \subseteq Q \quad \Rightarrow \quad \eta(Q') \le \eta(Q)$$

Here we assume Q is the set of all corner rectangles with lower-left corner in $S_{\text{left}} = \text{vertices}(V')$ and upper-right corner in $S_{\text{right}} = \text{vertices}(E'')$. However, η does possess this property if Q is the set of all *empty* corner rectangles with respect to S = vertices(P').

Fortunately, for polygons with y-monotone chains which we consider, almost any known algorithm for the LECR can be used to compute the LECR by merely substituting size for area in the algorithm.

Suppose we number the vertices of V' by decreasing y-coordinate. Similarly, we number the vertices of E". It is standard to define an area matrix¹⁰ M whose entry m_{ij} contains the size of the rectangle with lower-left corner at vertex $v_i \in \text{vertices}(V')$ and upper-right corner at vertex $w_j \in \text{vertices}(E'')$. The LECR algorithms we use only require that M satisfies a certain monotonicity property. Of course, some entries in the area matrix are invalid because v_i and w_j are not rectangularly visible. However, the only property which the algorithms really depend on is the total monotonicity property for legal¹¹ 2 × 2 minors of the matrix. We define this property and show that M defined using the LR measure of Definition 3.1 satisfies it.

Definition 3.2 ([2]) M is totally monotone¹² if, for every i < i' and j < j' corresponding to a legal 2×2 minor, $m_{i'j'} > m_{i'j}$ implies $m_{ij'} > m_{ij}$.

Lemma 3.3 If an increasing index in M corresponds to decreasing y-coordinates of the associated vertices, then M defined by the LR measure is totally monotone.

Proof: It suffices to assume that $w_j \in \text{vertices}(E'')$ and $w_{j'} \in \text{vertices}(E'')$ are special vertices, since we can consider an ordinary vertex to represent an edge of zero length with a

¹⁰Size matrix would be the more general term.

¹¹A legal 2×2 minor contains only entries corresponding to empty rectangles.

¹²In the literature, the term **totally monotone** refers to a matrix which has the total monotonicity property and no illegal entries. Matrices which have the property but have some illegal entries are sometimes referred to as **monotone** (e.g. monotone-single-staircase, monotone-double-staircase). This is confusing, since monotonicity is also presented in the literature as a weaker condition than total monotonicity: a matrix for which the column of the row maximum moves to the right as *i* increases is monotone, and it is totally monotone only if all 2×2 minors also possess this property. Our terminology removes this confusion.

special vertex equal to the ordinary vertex. Let e_j and $e_{j'}$ be the edges containing w_j and $w_{j'}$, respectively. Let the LR vertices for the pairs (v_i, e_j) , $(v_{i'}, e_j)$, $(v_i, e_{j'})$ and $(v_{i'}, e_{j'})$ be p_1 , p_2 , p_3 , and p_4 , respectively. Let A, B, C, D, E, and F be the areas of rect $(v_i p_1)$, rect $(v_i p_3)$, rect $(v_{i'} p_4)$, rect $(v_i p_2)$, and rect $(v_{i'} p_3)$, respectively. To show monotonicity, it suffices to show that: $B > A \Rightarrow D > C$. To show this we need the intermediate result: $B > E \Rightarrow F > C$.

$$B > E$$

$$(p_{3x} - v_{ix})(p_{3y} - v_{iy}) > (p_{2x} - v_{ix})(p_{2y} - v_{iy})$$

$$p_{3x}p_{3y} + v_{ix}(p_{2y} - p_{3y}) > p_{2x}p_{2y} + v_{iy}(p_{3x} - p_{2x})$$

For the next step we need the following: $v_{iy} \ge v_{i'y}$, $p_{2y} \ge p_{3y}$, $v_{i'x} \ge v_{ix}$, and $p_{3x} \ge p_{2x}$. The y-coordinate inequalities are direct consequences of the y-monotonicity of vertex and edge chains. By assumption, we are dealing with a valid 2×2 minor of the matrix. Therefore, there are four distinct empty rectangles which have a lower-left corner in the set $\{v_i, v_{i'}\}$ and an upper-right corner in the set $\{w_j, w_{j'}\}$. By Properties II and III, there are twelve empty rectangles which have a lower-left corner in the set $\{v_i, v_{i'}\}$ and an upper-right corner in the set $\{p_1, w_j, p_2, p_3, w_{j'}, p_4\}$ (although they are not necessarily distinct since p_1 could equal w_j , for instance). The two x-coordinate inequalities must hold in order that rectangles rect $(v_i p_3)$ and rect $(v_{i'} p_2)$ be empty.

$$p_{3x}p_{3y} + v_{i'x}(p_{2y} - p_{3y}) > p_{2x}p_{2y} + v_{i'y}(p_{3x} - p_{2x})$$

$$(p_{3x} - v_{i'x})(p_{3y} - v_{i'y}) > (p_{2x} - v_{i'x})(p_{2y} - v_{i'y})$$

$$F > C$$

The definition of a LR implies that: $A \ge E$ and $D \ge F$. B > A and $A \ge E \Rightarrow B > E \Rightarrow F > C$. $D \ge F$ and $F > C \Rightarrow D > C$. Therefore $B > A \Rightarrow D > C$.

Corollary 3.4 If V' and E' are y-monotone, then M defined by the LR measure is totally monotone.

Proof: P' satisfies the condition of Lemma 3.3.

3.4 LCR Running Time

Based on Lemmas 3.1 and 3.2 and Corollary 3.4, we make the following claim, which is used in Section 4 and Section 5 to establish running times for solving the 2-contact case in a variety of types of polygons.

Claim 3.5 For an n-vertex polygon P with y-monotone chains V and E, if the following two conditions hold, then the LCR can be found in the same asymptotic running time as the LECR algorithm.

- An O(n) vertex polygon P' and with y-monotone chains V' and E' satisfying Properties I-III can be produced from P, V, and E in O(n) time.
- The LECR algorithm for S = vertices(P'), $S_{\text{left}} = \text{vertices}(V')$, and $S_{\text{right}} = \text{vertices}(E'')$ depends only on the total monotonicity of the matrix associated with the size measure.

As stated previously, E'' is E' with a special vertex added at the midpoint of each edge of E'.

In general, we produce P', V', and E' from P, V, and E by projecting vertices of P and replacing some edges of P by inner orthogonal approximations. For this, we assume O(n)preprocessing time to construct horizontal and vertical visibility maps for P. Projection and orthogonalization can be done in O(n) time and add only a linear number of vertices to P. The LECR algorithms we use depend only on the total monotonicity of the area matrix. To obtain the desired running time in each case for finding the LCR, it therefore suffices to construct P', V', and E', show that they satisfy Properties I-III, and establish the running time of the appropriate LECR algorithm.

Implementation Note: We can avoid the use of special vertices if we accept a more complicated definition of the LR measure. For entry m_{ij} , let e be the edge whose upper endpoint is w_j . If all of e is rectangularly visible to v_i , $\eta(\operatorname{rect}(v_iw_j))$ is the area of the LR whose lower-left corner is at v_i and upper-right corner is on e. Otherwise, $\eta(\operatorname{rect}(v_iw_j)) = \operatorname{area}(\operatorname{rect}(v_iw_j))$.

4 LR Algorithm for General Polygons

This section develops an $O(n \log^2 n)$ time divide-and-conquer algorithm for finding the LR in a general polygon with holes. First, the 2-contact framework is applied in Section 4.1 to solve the 2-contact case for a vertically separated, horizontally convex polygon. This type of polygon arises in the merge step of the divide-and-conquer algorithm. Next, we show in Section 4.2 that the LR of a vertically separated, horizontally convex polygon (see Page 2 for the definition) can be found in $O(n \log n)$ time. This $O(n \log n)$ algorithm uses the results of Aggarwal and Suri [3, 4] for the LECR problem. Finally, Section 4.3 gives the full algorithm for general polygons with holes.

4.1 LCR of a Vertically Separated, Horizontally Convex Polygon

In this section, we apply the 2-contact framework to solve the 2-contact case for a vertically separated, horizontally convex polygon. Suppose V is the left chain and E is the right chain. Recall that V and E are y-monotone. Section 4.1.1 gives the transformation from P, V, and E to P', V', and E' that is required by the framework (Section 3.2), and Section 4.1.2 provides the required LECR algorithm. By Claim 3.5, the transformation and the LECR algorithm are all we need to create a LCR algorithm for vertically separated, horizontally convex polygons.

4.1.1 Construction of P', V', and E'



Figure 7: P' Construction for Two Chains of a Vertically Separated, Horizontally Convex Polygon

We produce P', V', and E' using the following set of projections followed by orthogonalization (see Figure 7). From each vertex in V, project a vertical ray upwards, adding vertices where the rays hit V, as shown in Figure 7(a). For each vertex in V (including new ones) project a horizontal ray rightward, as in Figure 7(b). Add vertices to E where these rays hit. For each vertex of E (including new ones) project up, adding new vertices, as in Figure 7(c). Now, replace each edge of modified V and E which has positive slope by its inner orthogonal approximation to produce P', V', and E'. This process adds a linear number of new vertices. The final result is illustrated in Figure 7(d).

Proof of Property I: Edges of P which have negative slope are not orthogonalized, so a vertex-edge rectangle of P is also a vertex-edge rectangle of P'. We need only show that if it is empty in P it is empty in P'. Suppose vertex-edge rectangle rect(vq) is empty in P, but not empty in P'. Then rect(vq) must contain a vertex c of the orthogonalized upper-left (w.l.o.g.) boundary of P', but it does not intersect edge ab of P, where acb is the inner orthogonal approximation of ab. Therefore $a_x < v_x < c_x$. But this cannot be because v was projected upwards, so there should be a vertex between a and b with x-coordinate v_x .

Proof of Property II: Let v be a vertex of V' and let q and q' be two vertices on the same edge e of E'. We need to show that if rect(vq) is empty, then rect(vq') is also. We consider first the case in which q is below q'. Assume rect(vq) is empty but rect(vq') contains a vertex c of the upper-left chain. As we shift q towards q', the top edge of rect(vq) moves upwards and must hit c before q reaches q'. But $c_y = a_y$, where acb is the inner orthogonal approximation of ab, and a was projected rightwards onto the edge chain. Therefore q hits a projected vertex before it reaches q', contradicting the assumption that they were on the same edge. Now consider the case in which q is above or at the same height as q'. Assume rect(vq) is empty but rect(vq') contains a vertex c of the lower-right chain. As we shift q towards q', the right edge of rect(vq) moves rightwards and must hit c before q reaches q'. But $c_x = b_x$, where acb is the inner orthogonal approximation of ab, and b was projected vertex before it reaches q', contains a vertex c of the lower-right chain. As we shift q towards q', the right edge of rect(vq) moves rightwards and must hit c before q reaches q'. But $c_x = b_x$, where acb is the inner orthogonal approximation of ab, and b was projected upward to E'. Therefore q hits a projected vertex before it reaches q', contradicting the assumption that they were on the same edge.

Proof of Property III: The proof of Property I guarantees that rectangle rect(vw) does not intersect the inner orthogonal approximation of any positive slope subedge of P. It remains to show that no edge with negative slope can cut across rect(vw). A negative slope edge cannot cross the vertical line separating L and R, so it must cross either the upper-right or lower-left corner, contradicting the y-monotonicity of one of the chains.

4.1.2 LECR Algorithm

Aggarwal and Suri have an $O(n \log^2 n)$ algorithm for the LER (Largest Empty Rectangle) problem for points[3, 4]. They use a divide-and-conquer approach which partitions the set S of points into two subsets S_{left} and S_{right} about a vertical line, and recursively finds the LER in S_{left} and S_{right} . The merge step requires finding the LECR (Largest Empty Corner Rectangle) whose lower-left corner is in S_{left} and upper-right corner is in S_{right} . They solve the LECR problem in $O(n \log n)$ time by forming an area matrix whose legal 2×2 minors are monotone and by applying fast searching techniques to this matrix. Their proof of this LECR algorithm relies only on the monotonicity property. We reimplement this LECR algorithm by substituting our LR measure η (see Definition 3.1) instead of the area measure. We run this modified algorithm on inputs $S_{\text{left}} = \text{vertices}(V')$ and $S_{\text{right}} = \text{vertices}(E'')$.

By Claim 3.5, the transformation of the previous section and the modified LECR algorithm yield an $O(n \log n)$ time algorithm for finding the LCR in a vertically separated, horizontally convex polygon.

4.2 LR of a Vertically Separated, Horizontally Convex Polygon

Theorem 4.1 The LR in an n-vertex vertically separated, horizontally convex polygon (or horizontally separated, vertically convex polygon) can be found in $O(n \log n)$ time.



Figure 8: Orthogonally Convex Polygon at Merge Step

Proof: We treat the vertically separated, horizontally convex polygon P, w.l.o.g. The 2contact case consists of eight LCR subcases. The results of Section 4.1 show that each LCR problem can be solved in $O(n \log n)$ time. The remaining cases involve either 3 or 4 contacts. We claim these cases can be solved by an $O(n \log n)$ time divide-and-conquer algorithm¹³.

Lemma 4.2 The 3 and 4-contact LRs for an n-vertex vertically separated, horizontally convex polygon P can be found in $O(n \log n)$ time.

Proof: We use a divide-and-conquer algorithm which, at each step, partitions the vertex set using a horizontal line L into two sets, each of size at most $\lfloor n/2 \rfloor + 4$. We determine the endpoints of L in linear time by examining all the edges of the polygon. We construct the polygon above L and the polygon below L in linear time by walking around the boundary of P. Then we recursively find the 3 and 4-contact LRs above L and the 3 and 4-contact LRs below L. The merge step requires that we find the 3 and 4-contact LRs intersecting L. Let R_L denote the larger of the two 3 and 4-contact LRs intersecting L. Let Q be the largest polygon inside P and containing L that is monotone with respect to L (see Figure 8).

Lemma 4.3 $R_L \subseteq Q$. Furthermore, Q is orthogonally convex, can be constructed in $\Theta(n)$ time, and has O(n) vertices.

Proof of Lemma: Any axis-parallel rectangle R intersecting L must be such that each point $p \in R$ is vertically visible to L; hence $R_L \in Q$. To construct Q we first supplement

¹³Note that it is also possible to solve the 3 and 4-contact case in O(n) time using a sweep-line algorithm, but that does not improve the overall running time.

the vertices of P with the extra points obtained from the precomputed vertical visibility map. We then claim that two simple traversals of P suffice to construct Q. Let l be the left endpoint of L, and r the right endpoint. The first traversal is counterclockwise from l to r to construct the bottom portion of Q; the second is clockwise from l to r to build the top part. We begin the counterclockwise traversal by following the downward projection of l until it hits the boundary of P. Then we follow the boundary of P unless we encounter either 1) a reflex extreme vertex that is supported from the right by a vertical line, 2) a vertex which is the bottom endpoint of a vertical visibility line emanating from a reflex extreme vertex that is supported from the left by a vertical line, or 3) the x value of r. In case (1), we follow the visibility line downwards to the boundary of P. In case (2) we follow it upwards to the associated reflex extreme vertex. In case (3), we proceed to r, and terminate the traversal. Constructing the top part of Q is similar.

The visibility map introduces at most one new vertex for each vertex of P, so Q has O(n) vertices. We visit each vertex at most once during each sweep, so the algorithm requires $\Theta(n)$ time. To show Q is orthogonally convex, let b and t be the lowest and highest points (respectively) on P that are visible to L. The counterclockwise sweep builds an xy-monotone path from l to b and from b to r. Similarly, the clockwise sweep builds an xy-monotone path from l to t and from t to r. Since the result is a polygon consisting of four xy-monotone chains, such that $l_x \leq b_x \leq r_x$ and $l_x \leq t_x \leq r_x$, it is orthogonally convex.

This completes the proof of Lemma 4.3.

The 3 and 4-contact LRs in the orthogonally convex polygon Q can be found in $\Theta(n)$ time using a sweep-line algorithm. The algorithm is essentially the same as that used by McKenna *et al.*[21] to obtain the same time bound for orthogonal, orthogonally convex polygons. Details appear in Appendix C.

Now we argue that if the LR in P intersects L and is a 3 or 4-contact LR, it is also a 3 or 4-contact LR in Q. This is because, if a rectangle r has at least three contacts with P, it has at least three contacts in Q.

The running time of the algorithm therefore satisfies the recurrence $T(n) \leq 2T(\lfloor n/2 \rfloor + 4) + \Theta(n)$, which gives an $O(n \log n)$ algorithm for finding the 3 and 4-contact LRs.

This completes the proof of the Lemma 4.2.

This completes the proof of Theorem 4.1.

4.3 LR of a General Polygons with Holes

Theorem 4.4 The LR in an n-vertex general polygon can be found in $O(n \log^2 n)$ time.

Before giving the proof, we discuss a difficulty which arises in constructing a partitioning line for a divide-and-conquer algorithm for finding the LR in a general polygon. If the polygon did not have holes, we could apply a corollary of Chazelle's polygon-cutting theorem [9] to find a single vertical line segment within P which partitions the boundary of P into two pieces, each containing less than 2n/3 vertices. Because we allow holes, we cannot subdivide the boundary of P into two pieces using a single vertical line segment; we must partition it using multiple line segments. Let L be a vertical line which partitions the vertices of P into two sets, each of size roughly n/2, and suppose L is partitioned into k pieces L_1, L_2, \ldots, L_k by the interior of the polygon. We want to split P into left and right subpolygons P_{left} and P_{right} , recursively find the LR in each subpolygon, and then perform a merge step in which we find the LR intersecting L_i , for $1 \leq i \leq k$. However, in such an approach, the fact that the endpoints of L_i are not vertices of P means we add 2k vertices each time we recurse.

McKenna *et al.* [21] observed that, if P is an orthogonal polygon with holes, one need not add 2k new vertices if the following technique is used. Before the start of the divide-andconquer algorithm, preprocess P so that all vertical projections (internal to P) of vertices of P are vertices. At each step of the divide-and-conquer algorithm, construct a trapezoid Q_i corresponding to each L_i as follows. L_i intersects two edges of P; these edges are vertically visible from each other. Because of the preprocessing, the left endpoints of these edges can be joined by a vertical line segment l_i , and their right endpoints can be joined by a vertical line segment r_i^{14} . Segments l_i and r_i contain only points which are internal to or on the boundary of P. Let Q_i be the (empty) trapezoid bounded on the left by l_i and on the right by r_i (see Figure 9), and let $Q = \bigcup Q_i$. McKenna *et al.* observe that, if the LR does not intersect L_i , then it does not contain any point in the interior of Q_i . This allows them to redefine P_{left} and P_{right} to be completely disjoint by removing Q from consideration. Unfortunately, their observation about Q_i does not hold in the non-orthogonal case. We overcome this in the proof below by considering rectangles which cross either l_i or r_i and finding the LR in Q_i .

Proof: We preprocess P to construct horizontal and vertical visibility maps and to add the internal vertical projections of vertices. Our divide-and-conquer algorithm partitions the vertices of P (both original and vertical projections) at each step using a vertical line L into two sets, each of size at most $\lceil n/2 \rceil$. Suppose that L is partitioned into k pieces L_1, L_2, \ldots, L_k by the interior of the polygon. For $1 \leq i \leq k$, we define l_i , r_i , and Q_i as above. As before, let $Q = \bigcup Q_i$, and construct subpolygons P_{left} and P_{right} of $P \setminus Q$ to the left

¹⁴In a degenerate case when vertices of P lie on L_i , one can treat this as if $L_i = l_i$, and arbitrarily choose Q_i to be the trapezoid to the right of the partitioning line segment L_i . It is easy to show that this implies that no more than 1/2 the vertices of P on L end up on the boundary of P_{left} . Therefore, $|P_{\text{left}}| \leq 3n/4$.



Figure 9: Construction of Trapezoid Q_i

and right of L such that they do not share any vertices and each has no more than $\lceil n/2 \rceil$ vertices. We recursively find the LR in P_{left} and P_{right} . In the merge step, for $1 \le i \le k$, we find the LR in Q_i , the LR of P which intersects l_i , and the LR of P which intersects r_i .

To show that this algorithm finds the LR of P, we argue as follows. If the LR does not intersect the interior of Q, then it lies either in P_{left} or P_{right} , so, at the divide step, we can recursively find the LR in P_{left} and P_{right} . If the LR intersects the interior of Q, we can find it during the merge step as follows. If the LR lies entirely within Q, we can find it by finding the LR in each Q_i . If the LR is not entirely within Q, it must cross some l_i or r_i .

We now show that the algorithm requires $O(n \log^2 n)$ time. First, we note that an $O(n \log n)$ sweep algorithm suffices for constructing the visibility maps and projecting the vertices. This need only be done once before the start of the divide-and-conquer algorithm, and the maps can be updated in linear time at each step. We can determine the endpoints of L_i , $1 \leq i \leq k$, in linear time by examining all the edges of the polygon and using the vertical visibility map. Because we have the visibility maps, P_{left} and P_{right} can be constructed in O(n) time. P_{left} and P_{right} each have size $\leq \lceil n/2 \rceil$. Since Q_i is a trapezoid, the LR in Q_i can be found in O(1) time, so the LR in Q can be found in O(n) time. The technique for r_i is the same.

Lemma 4.5 For an n-vertex polygon P, the LR which intersects l_i , for $1 \le i \le k$, can be found in a total of $O(n \log n)$ time.

Proof of Lemma: Let H_i be the largest polygon in P which is horizontally visible from l_i . Let H_i have n_i vertices.

Claim 4.6 The LR which intersects l_i is a subset of H_i . Furthermore, H_i is a vertically separated, horizontally convex polygon, and can be constructed in $O(n_i)$ time.

Proof of Claim: The proof is similar to the proof of Lemma 4.3. We use the horizontal visibility map and two traversals to construct H_i . H_i is a vertically separated, horizontally

convex polygon because each traversal builds a chain that is monotone with respect to the vertical line l_i .

This establishes Claim 4.6.

Claim 4.7 $\sum_{i=1}^{k} n_i \in O(n)$.

Proof of Claim: The horizontal visibility map on P partitions the interior of P into a set of trapezoids T. Let $T_i \subseteq T$ be the set of trapezoids that contains a point in the interior of l_i ; hence $O(n_i) \in O(|T_i|)$. Consider i, j such that $i \neq j$ and l_j is to the right of l_i (w.l.o.g.). The only points which the interior of l_i sees to its right (and to the left of L) are points in Q_i . Since the interior of Q_i is empty, this means that no point in the interior of l_j is horizontally visible from a point in the interior of l_i . Therefore, l_i and l_j cannot share a trapezoid. Thus, each trapezoid in T is associated with at most one i, and therefore $\sum_{i=1}^{k} O(|T_i|) \in O(|T|) \in O(n)$.

This establishes Claim 4.7.

By Theorem 4.1, we can find the LR in H_i in $O(n_i \log n_i)$ time. Combining this result with Claim 4.7 implies that we can find the LR which intersects l_i , for $1 \le i \le k$, in a total of $O(n \log n)$ time, which establishes Lemma 4.5.

Lemma 4.5 implies that the merge step can be performed in $O(n \log n)$ time. This yields the following recurrence: $T(n) \leq 2T(\lceil n/2 \rceil) + O(n \log n)$, which gives $O(n \log^2 n)$ time for the combined algorithm.

This completes the proof of Theorem 4.4.

Note: In a degenerate case when vertices of P lie on L_i (see footnote on Page 24), the recurrence becomes $T(n) \leq T(n_1) + T(n_2) + O(n \log n)$, where $n_1 + n_2 = n$ and $n_1, n_2 \leq \lceil 3n/4 \rceil$, which still has the solution $O(n \log^2 n)$.

This completes the presentation of the LR algorithm for general non-orthogonal polygons with holes. The following section presents LR algorithm for other types of polygons. Readers not interested in these should skip to Section 6, which gives the lower bounds on running time for the LR problem for general polygons.

5 LR Algorithms for Other Types of Polygons

In this section we derive efficient algorithms for computing the LR in different classes of n-vertex polygons. We obtain the following running times (see also Figure 2 on Page 5).

• XY-monotone polygon: $\Theta(n)$.

- Orthogonally convex polygon: $O(n\alpha(n))$.
- Horizontally convex polygon (or vertically convex): $O(n\alpha(n) \log n)$.

For each type we use the characterization results of Section 2 to identify the relevant determining sets of contacts. For the 2-contact case, we use the 2-contact framework of Section 3 to reduce the problem to a LECR problem. Then we apply fast matrix searching techniques from the literature to solve the LECR problem. Finally, we show how to deal with cases of three or more contacts. These results demonstrate the generality of our 2-contact framework.

5.1 XY-Monotone Polygon

The first polygon we consider is xy-monotone and has, w.l.o.g., two decreasing xy-monotone chains. V is the lower chain and E is the upper chain. The LR in an xy-monotone polygon must be a LCR. Sections 5.1.1 and 5.1.2 apply our 2-contact framework to show that the LCR can be found in $\Theta(n)$ time.

5.1.1 Construction of P', V', and E'

Given an xy-monotone polygon P with chains V, and E, we transform them into P', V', and E' as follows (see Figure 10). From each vertex in V, project a horizontal ray rightward and a vertical ray upward. Add vertices to E where these two rays hit it. Clearly this adds at most 2n new vertices. (For this transformation, V' = V.)



Figure 10: P' Construction for Two XY-Monotone Chains

Proof of Property I (see Section 3.2): Property I is easily verified for P and P' since we have merely subdivided the edges of E.

Proof of Property II: Property II is also easily proven. Let v be a vertex on V' and let q and q' be two points on the same edge e on E'. We need to show that q is visible if and only if q' is. Suppose q is visible and q' is not. Imagine moving q towards q'. At

some point rect(vw) must degenerate into either a horizontal or vertical line segment. By the construction of P', there must be a vertex at this point of e. Therefore q and q' do not lie on the same edge, producing a contradiction.

Proof of Property III: Property III follows from the xy-monotonicity of the chains. If v is any vertex on the lower chain and w is any vertex on the upper chain such that v is below and to the left of w, then the rectangle they form is necessarily empty of both vertices and edges.

5.1.2 LECR Algorithm

Finally, we describe an algorithm for finding the LECR with vertex v on V' and a vertex w on E'' (which is E' with a special vertex added at the midpoint of each edge). It is wellknown that if all points v_i lie below-left of all points w_j , then the area matrix with entries $m_{ij} = \text{area}(\text{rect}(v_iw_j))$ is not only *totally monotone*, but, in addition, every 2×2 minor is legal [2]. If any v_i lies either above-left or below-right of any w_j (v_iw_j has negative slope), then we give the area the same magnitude but negative sign.

Note that some entries of the resulting area matrix correspond to illegal rectangles (v above w), but these entries are all negative. An algorithm for finding the maximum entry will return a positive entry, which will correspond to a legal rectangle. Because the chains are xy-monotone, the matrix is still totally monotone even though some entries are illegal and negative.

We have thus reduced the problem to that of finding the maximum entry in an $n_1 \times n_2$ totally monotone matrix where n_1 and n_2 are the lengths of the two chains. We discuss below how this can be done in $O(n_1 + n_2)$ time, yielding a $\Theta(n)$ time algorithm for finding the LCR for an xy-monotone polygon.

Implementation Note: The set of illegal entries in the matrix is bounded by falling staircases. Aggarwal and Klawe [1] show that such a monotone matrix can be *completed* so that its maximum can be found in linear time. Using negative entries is our practical alternative to using completion here. In fact, for this particular problem one can drop any notion of visibility and simply use the vertices of P. The projection step is unnecessary. The use of signs to represent illegality makes this more natural technique work correctly.

Here we discuss an efficient way from the literature to compute the maximal elements in totally monotone matrices (see Definition 3.2). Naively, we can examine all $O(n^2)$ matrix entries to find the maximal element. However, Aggarwal *et al.* showed that, if a matrix is totally monotone and has no illegal entries, the maximum can be found in linear time:

Lemma 5.1 ([2]) If any entry of a totally monotone matrix of size $n \times m$ can be computed in constant time, then the row-maximum problem for this matrix can be solved in $\Theta(m)$ time if $m \ge n$ and $\Theta(m(1 + \log(n/m)))$ time if n > m.

Note that this result assumes the existence of an oracle which takes as input (i, j) and returns the value m_{ij} in constant time.

Corollary 5.2 If any entry of a totally monotone matrix M of size $n \times m$ can be computed in constant time, then the row-maximum problem for this matrix can be solved in O(m+n)time.

Proof: From Lemma 5.1, when $m \ge n$ the problem can be solved in O(m) time. When n > m, the fact that $O(m(1 + \log(n/m))) \in O(n)$ immediately yields O(n) time, which establishes the corollary. However, we present the following alternative proof for the n > m case which is based on an algorithm that is easy to implement.

We can complete M using $-\infty$ by modifying the oracle. If $j \leq m$, it returns m_{ij} , but if j > m, the oracle returns $-\infty$. The oracle still operates in constant time. The total monotonicity of the matrix is preserved because every 2×2 minor is monotone. To establish this, consider the minor given by: m_{ij} , $m_{i'j}$, $m_{ij'}$, $m_{i'j'}$, for i' > i and j' > j. If the minor contains no $-\infty$ entries, it is monotone because M is totally monotone. If it has all $-\infty$ entries, then because $m_{ij} = m_{ij'}$ and $m_{i'j} = m_{i'j'}$ we cannot have the forbidden condition $m_{i'j} \geq m_{i'j'}$ when $m_{ij'} > m_{ij}$. In the remaining case the forbidden condition cannot occur because $m_{ij} > m_{ij'}$ and $m_{i'j} > m_{i'j'}$. The completed matrix has dimension $n \times n$, so we can find its maximum in $\Theta(n)$ time by Lemma 5.1. Combining the two cases yields O(n+m).

Theorem 5.3 The LR in an n-vertex xy-monotone polygon can be found in $\Theta(n)$ time.

Proof: The LR in an *xy*-monotone polygon must be a 2-contact LR. From the results of Section 5.1.1 and Corollary 5.2 of Section 5.1.2, we can find the 2-contact LR in $\Theta(n)$ time.

5.2 Orthogonally Convex Polygon

An orthogonally convex polygon P is bounded by four xy-monotone chains. Let V be the lower-left chain and E be the upper-right chain. We show below how to construct P', V', and E' which satisfy the Properties I-III of the 2-contact framework (Section 3.2).

To create the polygon P', do the following for each vertex v in V: project rightwards and upwards, creating two new vertices (see Figure 11(a)). For each vertex u on the upper-left chain (including the newly created ones) do the following: if the rightward projection of uhits E, project u rightwards and create a new vertex on E. Similarly, for each vertex u on the lower-right chain, project it upwards if it lies below E.



Figure 11: P' construction for an orthogonally convex polygon

Replace every edge ab (a to the left of b) of the upper-left chain by a horizontal edge ac followed by a vertical edge cb. (This must be done *after* the projection step.) In other words, replace the upper-left chain by an inner orthogonal approximation. Similarly, replace the lower-right chain by an inner orthogonal approximation. The final result is P', V', and E' as depicted in Figure 11(b). Note that for this particular transformation, V' = V.

Proof of Property I: We do not change the shape of V and E, although we do add a linear number of new vertices to E. Therefore, a vertex-edge rectangle of P is also a vertex-edge rectangle of P'. We only have to verify that if it is empty in P, then it is empty in P'. This argument is identical to that in the proof of Property I for the vertically separated, horizontally convex case which appears in Section 4.1.1.

Proof of Property II: Let v be a vertex of V' and let q and q' be two vertices on the same edge e of E'. We know from the proof of Property II for xy-monotone polygons that vq has positive slope if and only if vq' does. We need to show that if rect(vq) is empty, then rect(vq') is also. Because the construction of P' is symmetric with respect to x and y, we consider w.l.o.g. q below q'. This case is dealt with in the proof of Property II for the vertically separated, horizontally convex polygon, and is omitted here.

Proof of Property III: Suppose rect(vw) contains no vertices of P' where v is a vertex V' lying lower-left from w, a vertex of E'. Rectangle rect(vw) clearly does not intersect the monotonically decreasing chains V' and E'. Since the upper-left and lower-right chains of P' are xy-monotone and orthogonal, it does not intersect them either.

5.2.1 LECR Algorithm

If no entries were illegal, we would use the same algorithm as described for the xy-monotone chains in Section 5.1. However, we prove that the two additional xy-monotone chains in

the current case introduce two sets of illegal entries into the area matrix. We show that the boundaries of these sets are rising staircases, so that the matrix is a double staircase matrix (see definition below). We again represent entries whose rectangle diagonals have negative slope by assigning them negative area.



Figure 12: Totally Monotone Rising Double Staircase Matrix

Definition 5.1 ([3]) A matrix M is totally monotone double staircase if it is totally monotone and if there exist two sets of illegal entries S_1 and S_2 such that the boundary of each set forms an xy-monotone staircase inside M and S_1 and S_2 lie in diagonally opposite corners of M.

Figure 12 shows a totally monotone *rising* double staircase matrix (S_1 and S_2 are in the upper left and lower right corners, respectively). Note that the two staircases may intersect, although they do not in the figure.

Before giving the LECR algorithm, we prove that the area matrix for this case is a totally monotone rising double staircase matrix.

Lemma 5.4 M is a totally monotone rising double staircase matrix.

Proof: Let $\operatorname{rect}(v_iw_j)$ be a rectangle which does not intersect the upper-left chain and let q be the upper-left corner of that rectangle. Every rectangle $\operatorname{rect}(v'_iw'_j)$ for $i' \ge i$ and $j' \ge j$ lies in the lower right quadrant of q and therefore does not intersect the upper-left chain. Thus if any entry in the area matrix is legal with respect to the upper-left chain, then every entry to its lower-right in the matrix is also legal. Therefore the set of entries which are illegal with respect to the upper-left chain form the set S_1 bounded by a rising staircase.

It is straightforward to show that the lower-right chain produces the set S_2 .

The LECR algorithm in this case must find the staircase boundaries of M in linear time and then find the maximum entry in M. We show below how to find the maximum value in a totally monotone rising double staircase matrix in $O(n\alpha(n))$ time. We can construct the left staircase (w.l.o.g.) in linear time by traversing the upper-left chain as follows. For row i, we obtain v_i on V' and project up and to the right to obtain w_i on E'. (The upward projection might hit E', directly yielding w_j . It is also possible that the rightward projection hits the lower-right chain; in this case the entire row is illegal.) Entry i, j is the first legal entry in row i with respect to the upper-left chain.

For the case of two chains of an orthogonally convex polygon, with which we associated totally monotone rising double staircase matrices (see Definition 5.1), we also seek a subquadratic time search algorithm. Efficiently searching a totally monotone rising double staircase matrix involves transforming it into a set of rising *single* staircase matrices, defined below and illustrated in Figure 13. In the figure, *upper* and *lower* refer to the position of the set of illegal entries¹⁵.



Figure 13: Totally Monotone Rising Single Staircase Matrices

Definition 5.2 ([3]) A matrix M is totally monotone single staircase if there exists one special set of entries S such that any 2×2 minor that does not contain entries from Sis totally monotone; the boundary of S forms an xy-monotone staircase inside M.

Lemma 5.5 ([18]) If any entry of a totally monotone single staircase matrix of size $n \times m$ can be computed in constant time, then the row-maximum problem for this matrix can be solved in $O(n\alpha(m) + m)$ time.

Implementation Note: Aggarwal and Suri note that the constants are large here. They recommend in practice a theoretically slower algorithm. One such algorithm is the $O((m+n)\log \log n)$ algorithm of Aggarwal and Klawe [1].

Lemma 5.6 ([4]) Given a totally monotone rising double staircase matrix M for which the staircase boundaries are known, the row-maximum problem for M can be solved in $O(n\alpha(m)+m)$ time.

The proof of this is based on the following claim and Lemma 5.5. Appendix D provides an alternate way of partitioning.

 $^{^{15}}$ Aggarwal and Klawe [1] distinguish between rising (which we call upper) and reverse rising (lower) staircase matrices.

Claim 5.7 ([3]) An $n \times m$ totally monotone rising double staircase matrix can be partitioned in O(n + m) time using an xy-monotone chain into a set of at most 2k single staircase matrices, each of dimension $n_i \times m_i$, such that $\sum_{i=0}^{2k-1} (n_i) \leq 2n$ and $\sum_{i=0}^{2k-1} (m_i) \leq 2m$.

Thus, we obtain, by Claim 3.5, an $O(n\alpha(n))$ time algorithm for finding the LCR for two chains of an orthogonally convex polygon.

5.2.2 LR Algorithm

The boundary of an orthogonally convex polygon can be partitioned, in linear time, into four xy-monotone polygonal chains. We establish the following result for this type of polygon:

Theorem 5.8 The LR in an n-vertex orthogonally convex polygon can be found in $O(n\alpha(n))$ time.

Proof: An orthogonally convex polygon has no reflex extreme vertices, so there are only three possible configurations for LR determining sets of contacts (see Figure 14).



Figure 14: Determining Sets of Contacts for an Orthogonally Convex Polygon

Lemma C.1 of Appendix C shows that the 3 and 4-contact cases can be solved in linear time.

Lemma 5.9 The 2-contact LR of an n-vertex orthogonally convex polygon can be found in $O(n\alpha(n))$ time.

Proof of Lemma: The results of Section 5.2 show that for the 2-contact case we can reduce the LR problem for each pair of diagonally opposite xy-monotone chains in linear time to the problem of finding the maximum in a totally monotone rising double staircase matrix. By Lemma 5.6 we can find the maximum in this matrix in $O(n\alpha(n))$ time.

This completes the proof of Lemma 5.9.

This completes the proof of Theorem 5.8.

5.3 Horizontally and Vertically Convex Polygons

Theorem 5.10 The LR in an n-vertex horizontally (or vertically) convex polygon can be found in $O(n\alpha(n)\log n)$ time.

Proof: We treat the horizontally convex case, w.l.o.g.. We use a divide-and-conquer algorithm which, at each step, partitions the vertex set using a horizontal line L into two sets, each of size at most $\lfloor n/2 \rfloor + 4$. We determine the endpoints of L in linear time by examining all the edges of the polygon. We construct the polygon above L and the polygon below L in linear time by walking around the boundary of P. Then we recursively find the LR above L and the LR below L. The merge step requires that we find the largest rectangle R_L intersecting L. Let Q be the largest polygon inside P and containing L that is monotone with respect to L.

Lemma 5.11 $R_L \subseteq Q$. Furthermore, Q is orthogonally convex, can be constructed in $\Theta(n)$ time, and has O(n) vertices.

Proof of Lemma: Any axis-parallel rectangle R intersecting L must be such that each point $p \in R$ is vertically visible to L; hence $R_L \in Q$. The proof that Q is orthogonally convex, can be constructed in $\Theta(n)$ time, and has O(n) vertices is identical to the argument in the proof of Lemma 4.3, and is omitted here.

Now we find the LR in Q, which, by Theorem 5.8, can be done in $O(n\alpha(n))$ time. The running time of the algorithm therefore satisfies the recurrence,

 $T(n) \le 2T(|n/2| + 4) + O(n\alpha(n)),$

which gives an $O(n\alpha(n)\log n)$ algorithm for finding the LR.

This completes the proof of Theorem 5.10.

6 Lower Bounds

Here we establish lower bounds of time in $\Omega(n \log n)$ for finding the LR in both selfintersecting polygons and general polygons with holes. The latter result gives us both a lower bound of $\Omega(n \log n)$ and an upper bound of $O(n \log^2 n)$ for general polygons with holes.

These lower bounds contrast with the $\Theta(n)$ time result achievable for the corresponding enclosure problems ¹⁶.

¹⁶It is interesting to note that the dual problems of largest empty circle and smallest enclosing circle for a set of points also have different lower bounds. The largest empty circle can be constructed in $\Theta(n \log n)$ time, and the smallest enclosing circle can be found in $\Theta(n)$ time [29].

6.1 Self-Intersecting Polygons

We prove a lower bound of time in $\Omega(n \log n)$ for finding the LR in a self-intersecting polygon.



Figure 15: Orthogonal self-intersecting polygon constructed for input = 10, 5, 30, 25

Theorem 6.1 Finding the LR in an n-vertex self-intersecting polygon requires time in $\Omega(n \log n)$ in both the linear and algebraic decision tree models.

Proof: We reduce the MAX-GAP problem¹⁷ [5] to the LR problem for self-intersecting orthogonal polygons. Consider an instance of MAX-GAP: given a set of n real numbers $x_1, x_2, ..., x_n$, we must find the maximum difference between two consecutive numbers in the sorted list. We construct from this set, in linear time, a self-intersecting orthogonal polygon of unit height as follows: each x_i in the sequence corresponds to a rectangle $r_i = [(x_1, 0), (x_1, 1), (x_i, 0)]$. We start the construction from $(x_1, 0)$, complete the degenerate rectangle r_1 , then construct r_2, \ldots, r_n (as shown in Figure 15). This construction results in a self-intersecting polygon, with the property that the area of the LR included in it is the solution to the corresponding MAX-GAP problem, thus proving the theorem.

6.2 General Polygons with Holes

McKenna *et al.* [21] have given a lower bound of time in $\Omega(n \log n)$ for finding the LR in a general polygon with degenerate (zero area) holes. Aggarwal and Suri [3] have given the same lower bound for LER. Using symbolic perturbation [14, 32], both of these can be extended to lower bounds on the computation of the LR in a general polygon. For the degenerate case, McKenna *et al.* use a reduction from the *even distribution problem*: given a set of *n* real numbers $x_1, x_2, x_3, \ldots, x_n$ (not sorted), determine if there exist adjacent x_i and x_j in the sorted list such that $x_j - x_i > 1$. Their reduction involves the construction of a long horizontal rectangle with vertical "slits" at each x_i . These slits can be thought of as degenerate rectangular holes. Given a slit $(x_i, y_b)(x_i, y_t)$ we can "expand" it to a rectangle with diagonal $(x_i, y_b)(x_i + \epsilon, y_t)$ where $\epsilon > 0$. Of course, if we choose ϵ greater than the value of the minimum gap between points (possibly another $\Omega(n \log n)$ problem), then neighboring

¹⁷In both the linear and algebraic decision tree models (if not enhanced to include floor and ceiling functions), MAX-GAP has a lower bound of $\Omega(n \log n)$.

slits will overlap and the polygon will be self-intersecting; in effect, we have to know the minimum gap in order to compute the maximum gap.

Symbolic perturbation rescues us from this chicken and egg problem by allowing ϵ to remain unevaluated until after we have run the LR algorithm. Given an algorithm for computing the LR of a polygon with non-degenerate holes, we modify the way the algorithm evaluates and tests the sign of arithmetic expressions. Since some of the inputs involve ϵ , the arithmetic expressions of the modified algorithm are polynomials in ϵ . For these, the modified algorithm computes the sign by taking the sign of the first (lowest degree in ϵ), non-zero coefficient. We observe that:

- there exists a value of ϵ such that the signs computed by the modified algorithm equal the signs computed by the unmodified algorithm on this value of ϵ (this is the basic theory of symbolic perturbation);
- the running time of the modified algorithm is a constant times the running time of the unmodified algorithm on that value of ϵ .

Hence, any algorithm for the LR in a general polygon can be used to test even distribution via a linear time reduction. Hence the construction of the LR in a general polygon has an $\Omega(n \log n)$ lower bound. We could have also reduced the LER problem to the LR problem by replacing every point in the LER instance by a square of size ϵ .

7 Applications

When a polygon is nearly rectangular, the LR provides a good inner approximation (see Figure 16).



Figure 16: Inner Approximation

Many LR applications have surfaced in our automatic marker-making project for the apparel industry. We briefly describe two of them in this section. The goal of our project is to automate the task of laying out polygonal apparel pattern pieces on a rectangular sheet of cloth of fixed width and minimal length [23, 24]. In the apparel industry, this layout is called a *marker*.

Pants markers consist of large *panel* pieces and smaller *trim* pieces. We have a heuristic method that does a good job placing the larger panel pieces [24]. We use LRs during the trim placement stage. Figure 17 shows a rectangular marker with the large panels already placed. The smaller trim pieces to the left of the marker rectangle must be placed in the *gaps* of unused material between adjacent panels. We compute the LR of each trim piece and use that inner approximation as part of our algorithm that decomposes the gaps into smaller, more manageable regions [12]. The decomposition algorithm is part of software which we have licensed to a CAD firm in the apparel industry. We have also considered computing the LR of each gap region and then packing the nearly rectangular trim pieces into the LRs using techniques from the rectangle packing domain. We do not currently use this strategy in our trim placement heuristic.



Figure 17: Pants Marker with Placed Panels and Unplaced Trim

8 Conclusion

We have presented the first algorithmic result for finding the LR in non-orthogonal general polygons with holes: an $O(n \log^2 n)$ time algorithm. We have also established a lower bound of time in $\Omega(n \log n)$ for this type of polygon.

For a variety of non-orthogonal polygons, we have shown that the LR can be found in the same asymptotic running time as the best algorithms for their orthogonal counterparts. Our running time for xy-monotone polygons is optimal, but for orthogonally convex polygons, horizontally convex polygons, and vertically separated, horizontally convex polygons, no non-trivial lower bound has been established.

Pursuing more efficient algorithms for finding the *exact* LR is certainly one direction for future work. Another direction of practical importance is to find a fast *approximate* LR algorithm. Such an algorithm would be very helpful in our applications.

This paper has described a general mechanism for developing LR algorithms for nonorthogonal polygons. The mechanism has three key components: 1) the idea of "determining sets" of contacts, used to characterize the LR for a general polygon with holes, 2) identifying the determining set of contacts corresponding to the one subproblem which dominates the running time for finding LRs in a variety of types of polygons, and 3) a general framework for solving the dominant subproblem using a new notion of rectangle size. The framework involves creating a partially orthogonal polygon to which we apply a known algorithm for solving the LECR problem. To develop each LR algorithm, we solve the key subproblem using our framework and then solve the remaining subproblems. There may be other classes of polygons, in addition to the five we examined, that are amenable to this general method.

It is interesting that in order to solve the LR problem we need a notion of rectangle size which does not possess the following important property held by both area and perimeter for rectangles: $\forall Q, Q' \in \mathcal{Q}, Q' \subseteq Q \Rightarrow \eta(Q') \leq \eta(Q)$. We think it might be useful in other instances to consider such nonstandard size measures.

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A The Basic 1-Parameter Problem

A.1 Two Dependent Sliding Contacts

This section supplies details for finding the LR under the assumption that it has two dependent sliding contacts with the polygon. Refer to Figure 5(a). To find the LR, we parameterize edge $\overline{p_2p_1}$ by t, yielding the following quadratic in t to maximize:

$$\mathcal{F}(t) = (x' - x)(y - y')$$

= $(A + Bt)(C + Dt)$ (1)

where:

$$A = \frac{y_2 - b}{m} - x_2 \quad B = \frac{y_1 - y_2}{m} + (x_2 - x_1)$$
$$C = y_2 - y' \qquad D = y_1 - y_2$$
$$m = \frac{(y_4 - y_3)}{(x_4 - x_3)} \quad b = y_4 - mx_4$$

Note that x' is obtained by solving the line equation associated with edge $\overline{p_4p_3}$.

A.2 Three Dependent Sliding Contacts

For the case of three dependent sliding contacts, (see Figure 5(b)), we again parameterize edge $\overline{p_2p_1}$ and express x' in terms of y for edge $\overline{p_4p_3}$. Similarly, we express y' in terms of x for edge $\overline{p_5p_6}$. We again obtain a quadratic in t to maximize. The difference between this and the previous case is in the values of C and D:

$$C = y_2 - m'x_2 - b' \quad D = y_1 - y_2 + m'(x_2 - x_1)$$
$$m' = \frac{(y_6 - y_5)}{(x_6 - x_5)} \qquad b' = y_5 - m'x_5$$

B Proof of the Characterization Theorem

This section present the proof of Theorem 2.3 of Section 2.4: The determining set of the LR of a general polygon P conforms (up to symmetry) to one of the five cases in Figure 6.

Proof: A determining set has, by definition, at most one reflex contact with each side of the LR. For each of the possible numbers of reflex contacts, we show that the determining set of a LR of that type conforms (up to symmetry) to one of the configurations shown in Figure 6. In each case, we must eliminate degrees of freedom beyond those of sliding contacts.

We observe that a determining set cannot contain both two adjacent reflex contacts and the fixed contact between them; this would be redundant.

- Case 4: In this case there is one reflex contact with each side of the LR. Since each reflex contact removes one degree of freedom from the rectangle, this set of contacts is sufficient to determine the LR. Removing any one reflex contact allows the rectangle to grow, so all four contacts are necessary.
- Case 3: Three reflex contacts are not sufficient to determine the LR, since the fourth side can move outward. We must add an edge contact which is fixed because it is adjacent to a reflex contact. There is only one choice of position for this contact, up to symmetry. It fixes the remaining side of the rectangle.
- Case 2: Two reflex contacts can touch either two adjacent sides or two opposite sides of the rectangle. In both cases, edge contacts are needed to determine the LR. Since two degrees of freedom remain, the determining set contains at most two edge contacts.

In the adjacent case, we examine the possibility of edge contacts at the corners of the rectangle, excluding the corner between the two reflex contacts. There are two ways that two edge contacts can appear. In both cases both contacts must be fixed, so they fix the remaining sides of the rectangle. If only one edge contact appears, it must be a sliding contact; otherwise a degree of freedom remains. The sliding contact is diagonally opposite to the corner fixed by the reflex contacts. This contact is sufficient because it represents a basic 1-parameter problem.

In the opposite case, any edge contact is fixed; hence there must be two of them. There are two possible ways they can be configured. In both cases, all four sides of the rectangle are fixed.

Case 1: One reflex contact is not sufficient to determine the LR. We can choose to place edge contacts at any three of the four corners of the rectangle (four would be redundant). If there are three edge contacts, they are all fixed and they completely determine the LR. There are two ways to configure three such contacts. If there are two edge contacts, they can be adjacent or diagonally opposite. If they are adjacent, they must both be opposite the reflex contact; otherwise a degree of freedom remains. These adjacent contacts are dependent sliding contacts, and they determine the LR because they represent a 1-parameter problem. If they are diagonally opposite, one is fixed, and the other is a sliding contact; this is also a 1-parameter problem. One edge contact is not sufficient, together with the one reflex contact, to determine the LR.

Case 0: In this case there are no reflex contacts. One sliding contact is not sufficient to determine the LR. If there are two sliding contacts, they must be opposite if they are to determine the LR. This is a 2-parameter problem. If there are three edge contacts, they are all dependent sliding contacts. They are sufficient to determine the LR because they represent a 1-parameter problem. If there are four edge contacts, they yield four equations in four unknowns, for which the LR is completely determined. This therefore forms a set of fixed contacts.

C Finding the 3 and 4-Contact LRs in an Orthogonally Convex Polygon

We show that the 3 and 4-contact cases for the LR in orthogonally convex polygons can be solved in linear time. McKenna *et al.*[21] obtain the same time bound for orthogonal, orthogonally convex polygons.

Lemma C.1 The 3-contact and 4-contact LRs of an n-vertex orthogonally convex polygon can be found in $\Theta(n)$ time.



Figure 18: Bottom-Up Sweep for 3 and 4-Contact Cases

Proof of Lemma: The 3 and 4-contact LRs have either both bottom corners on the bottom chains of P or both top corners on the top chains. A bottom-up sweep solves the bottom corners case, where events are vertices of the bottom chains. Each event determines a y-span along two edges e_1 and e_2 . Let the top chain pieces corresponding to e_1 and e_2 be c_1 and c_2 , respectively (see Figure 18). The natural y-ordering of vertices along c_1 and c_2 yields

a set of secondary events on the upper chains for this (e_1, e_2) pair. If an edge e_3 for an upper event on c_1 has a corresponding edge e_4 on c_2 , then we solve the 4-contact problem for $\{e_1, e_2, e_3, e_4\}$. Otherwise, we solve the 3-contact problem for $\{e_1, e_2, e_3\}$ if e_3 is below c_2 . (If e_3 is above c_2 the 3-contact LR is guaranteed to intersect c_2 ; if it is below it will not intersect.) Note that as the bottom sweep-line moves up, the top sweep-line moves down. The top-down sweep is similar.

No sorting is required for the sweeps because the xy-monotone chains are naturally ordered in x and y. Because the visibility maps can be precomputed in linear time, c_1 and c_2 are found in constant time. For the bottom-up sweep, the total number of upper events is linear because each edge fragment is visited once for each sweep. A constant amount of work is done at each upper event, since the visibility map is precomputed and solving each 3 or 4-contact problem requires only constant time by Theorem 2.5. Thus, the LR is found in $\Theta(n)$ time.

This completes the proof of Lemma C.1.

D Fast Searching of Totally Monotone Matrices

Aggarwal and Klawe provide an alternate partitioning of a totally monotone rising double staircase matrix[1] to that of Aggarwal and Suri (see Lemma 5.6). Their partitioning holds for the more general *totally monotone partial matrix*, which contains two sets of illegal entries whose boundaries are unimodal sequences. Klawe [17] extends the definition of a totally monotone partial matrix to any matrix whose set of legal entries is orthogonally convex (each row and column contains at most one contiguous group of legal entries). Without giving the details, she notes that the algorithm leading to Lemma 5.5 can be trivially extended to handle this type of matrix. We observe that this type can be accommodated via partitioning, without extending the algorithm, as follows:

Lemma D.1 An $n \times m$ totally monotone partial matrix M whose staircase boundaries are known can be partitioned in O(n + m) time into a set of five matrices. One is a totally monotone double staircase matrix and the other four are totally monotone single staircase matrices.

Proof: Let t, b, l and r be the top, bottom, leftmost and rightmost legal entries of M. Let p be the downward projection of t, and q be the upward projection of b. We can find p and q in O(n + m) time. The matrix determined by tqbp is a totally monotone double staircase matrix M'. M' partitions M, leaving triangular submatrices on the left and right. If q is on tr, then the triangular submatrix qrb is partitioned into two totally monotone single staircase matrices by the horizontal line through r and the triangular submatrix tlp is partitioned.

into two totally monotone single staircase matrices by the horizontal line through l. If q is on tl, we partition qlb and trp into totally monotone single staircase matrices. Partitioning the triangular regions takes O(n + m) time.

With this partitioning, one can find the maximum in a totally monotone partial matrix in $O(n\alpha(m) + m)$ time by simply using Lemma 5.5 and Lemma 5.6, instead of extending the algorithm associated with Lemma 5.5.

Whenever possible, Section 5.1 and Section 5.2 used "legal" negative entries in the area matrix in place of illegal entries. In the case of orthogonally convex polygons in Section 5.2, the matrix was double staircase. If we had used illegal entries in all cases, then the matrix would have been partial. With that approach, we still could have solved the problem using time in $O(n\alpha(n))$ by applying Lemma 5.6. We do not present the argument here, but we could have also solved the xy-monotone polygon problem of Section 5.1 if we had used illegal entries in all cases. The matrix would have been a *falling* double staircase matrix whose maximum can be found in O(n) time using a fairly straightforward completion technique. The use of negative entries made the algorithms simpler but was not essential to the running times.