Vortex-Peierls States in Optical Lattices

A. A. Burkov and Eugene Demler

Department of Physics, Harvard University, Cambridge, Massachusetts 02138, USA

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We show that vortices, induced in cold atom superfluids in optical lattices, may order in a novel vortex-Peierls ground state. In such a state vortices do not form a simple lattice but arrange themselves in clusters, within which the vortices are partially delocalized, tunneling between classically degenerate configurations. We demonstrate that this exotic quantum many-body state is selected by an order-from-disorder mechanism for a special combination of the vortex filling and lattice geometry that has a macroscopic number of classically degenerate ground states.

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The existence of quantized vortices is one of the most dramatic manifestations of the macroscopic wave function (“order parameter”) in superfluid (SF) Bose gases. Considerable theoretical [1] and experimental [2] effort has thus been applied to the study of SF vortices in cold atoms systems. Vortices are usually regarded as classical objects that form regular lattices due to long-range repulsive interactions between them. Intuitively, this point of view seems to be in accord with the fact that vortices are topological objects, i.e., the existence of an isolated vortex in the system can be established by observing phase winding infinitely far away from the vortex core. However, analogies with two-dimensional (2D) electronic systems in high magnetic fields, exhibiting the fractional quantum Hall (FQH) effect, suggest that under certain conditions vortex lattices may be melted by quantum fluctuations, and various strongly correlated vortex liquid states may thus emerge [3].

Optical lattices offer additional opportunities to explore the quantum mechanical behavior of vortices by allowing one to tune the strength of quantum fluctuations. Several approaches to stabilizing FHQ states in cold atoms systems using optical lattices have already been proposed [4–6]. More generally, of interest are situations in which vortices may behave as strongly interacting quantum particles, moving in a periodic optical lattice potential. Such a possibility appears to be rather counterintuitive, since naively we think of vortices as macroscopic objects. Nevertheless, the common view nowadays is that vortices in 2D systems can be considered as quantum particles with a finite mass [7]. It is then interesting to find experimentally observable phenomena in cold atoms systems in which this quantum nature of vortices is manifested. In particular, manifestations of the quantum mechanical behavior of vortices may be most dramatic in situations when long-range intervortex interactions are frustrated, which strongly enhances the effect of quantum fluctuations. Such a frustration in optical lattice systems may be engineered by choosing the appropriate combination of the optical lattice geometry and the vortex density. We should point out that some classical commensuration effects between vortex lattices and the underlying optical lattice pinning potential have already been studied, both theoretically [8] and experimentally [9], but the possible quantum effects have not been previously considered.

In this Letter we discuss an example of such a quantum mechanical behavior of SF vortices in an optical lattice. We show that for a particular combination of the optical lattice geometry and the vortex filling, for which classical vortex configurations are strongly frustrated, a vortex-Peierls (VP) state is realized. By VP state we mean a vortex lattice, in which vortices are not localized at the maxima of the optical lattice potential, but are instead partially delocalized, resonating quantum mechanically between degenerate pinned configurations. Peierls ordering has been extensively studied, most recently in the context of quantum magnetism of localized spin systems [see Ref. [10] for review]. Here we demonstrate that such ordering may, under certain conditions, occur for vortices in optical lattice superfluids.

We consider a SF system of cold bosonic atoms, loaded in an optical periodic potential with the dice lattice geometry, shown in Fig. 1. The fascinating features of the quantum mechanics of particles on the dice lattice in a

![Image](image_url)

FIG. 1 (color online). Optical dice lattice created by superimposing three laser field potentials $I_1(\mathbf{r})$, $I_2(\mathbf{r})$, and $I_3(\mathbf{r})$ (see text). $a_1 = \frac{1}{2} \hat{x} - \frac{\sqrt{3}}{2} \hat{y}$ and $a_2 = \frac{1}{2} \hat{x} + \frac{\sqrt{3}}{2} \hat{y}$ are the basis directions. Lighter areas correspond to potential minima. Inequivalent sites in the three-site unit cell of the dice lattice are labeled as 1, 2, and 3.

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perpendicular magnetic field were first pointed out by Vidal et al. [11] and extensively studied in a number of subsequent works [see, e.g., [12] and references therein]. Atoms in an optical lattice can be described by the Bose–Hubbard model [13], in which bosons are assumed to tunnel between nearest-neighbor sites of the lattice and interact when they are on the same site. We will assume that the average number of bosonic atoms per site of the dice lattice \( \hat{n} \) is an integer. In this case increasing the on-site interaction energy \( U \) relative to the hopping amplitude \( t \) until \( U/\hat{n}t \sim 1 \) will induce a SF-Mott Insulator (MI) transition [14] when \( \hat{n} \) bosons will be localized on each site to minimize the interaction energy.

To induce vortices in the SF we add an effective perpendicular "magnetic field." We will comment on particular methods that could be used to create such an effective field at the end of the Letter. We will focus on a specific value of the flux per plaquette of the dice lattice \( 2\pi f = 2\pi/3 \), where \( f = 1/3 \) has the physical meaning of the vortex filling, i.e., the average number of vortices per plaquette. Centers of dice plaquettes can be associated with sites of the dual kagomé lattice, which correspond to the maxima of the optical lattice potential. It is then convenient to assume that the vortex cores are located on the kagomé lattice sites. Since vortices interact via a long-range repulsive potential, they will try to arrange themselves in patterns on the kagomé lattice that maximize the distance between each vortex and its neighbors. As shown by Korshunov [15], at filling factor \( f = 1/3 \) the set of vortex configurations that minimize the classical interaction energy between the vortices consists of all states, where every triangular plaquette of the kagomé lattice is occupied by exactly one vortex. The number of such configurations grows exponentially with the system size and the classical ground state of vortices has only algebraic order at zero temperature, but no true long-range order [15].

Nevertheless, below we will demonstrate that strong quantum fluctuations near the SF-MI transition lift the classical degeneracy and select a vortex state with a true long-range order. This state has, however, a manifestly classical degeneracy and selects a vortex state with a true long-range order [15].

Here \( \Phi_i \) is the local SF order parameter, \( \mu \) denote the nearest-neighbor vectors of the dice lattice, \( J \sim U\hat{n}t, u_d, u_b > 0 \), and \( r \) tunes the system across the SF-MI transition. To find the ordering patterns near the transition, we need to diagonalize the first term in Eq. (1) [17]. Choosing Landau gauge for the vector potential \( A_{i\mu} = 2\pi fi/(1 - \delta_{i\mu}) \) one obtains the following dispersion for the lowest Hofstadter band: \( e(k) = -\sqrt{2} \cos(k_1) + \cos(k_2) - 2\cos(k_1 - k_2) + \sqrt{3}\sin(k_1) + \sqrt{3}\sin(k_2) \pm 1/2 \), where \( k = k_1b_1 + k_2b_2 \) and \( b_1, b_2 \) are the reciprocal lattice vectors of the dice lattice. The boson dispersion has two minima inside the first Brillouin zone of the dice lattice, at wave vectors \( k_0 = (0, 2\pi/3) \) and \( k_1 = (2\pi/3, 0) \). The corresponding eigenvectors are given by \( \psi^0 = (1, 1, 0) \) and \( \psi^1 = (e^{-2\pi i/3}, 0, 1) \). We can then write the lattice order parameter fields \( \Phi_i \) as linear combinations of these two low energy modes \( \Phi_{i\sigma}(r) = \sum_{\vec{l}=0,1} \psi_{\vec{l}\sigma} \psi^\dagger_{\vec{l}\sigma} \), where the index \( \vec{l} \) labels the unit cells of the dice lattice, \( \sigma = 1, 2, 3 \) labels sites within each unit cell, and \( \psi_{\vec{l}\sigma} \) are the fields, corresponding to the low energy boson modes. To obtain the LG action in terms of the fields \( \psi_{\vec{l}\sigma} \) in its most general form we need to know how these fields transform under the symmetry operations of the dice lattice. The relevant operations are the elementary translations along the basis directions \( T_1 \) and \( T_2 \), rotations by \( \pi/3 \) around the sixfold coordinated sites \( R_{\pi/3} \), and reflections with respect to the \( x \) and \( y \) axes \( I_{x,y} \). These transformations are given by:

\[
T_1: \psi_{\vec{l}\sigma} \rightarrow \psi_{\vec{l}\sigma} e^{-2\pi i\vec{l}/3}, \quad T_2: \psi_{\vec{l}\sigma} \rightarrow \psi_{\vec{l}\sigma} e^{2\pi i\vec{l}/3}, \quad R_{\pi/3}: \psi_{\vec{l}\sigma} \rightarrow \psi_{\vec{l}+\vec{\epsilon}_{\pi/3}\sigma/3}, \quad I_{x,y}: \psi_{\vec{l}\sigma} \rightarrow \psi_{\vec{l}\sigma} e^{\pm 2\pi i\vec{\epsilon}_{\pi/3}/3},
\]

where the subscripts of the fields are taken modulo 2. Using these transformations, the most general form of the imaginary time LG action is found to be [18]

\[
S = \int_0^\beta dt \int d\vec{x} \left[ \frac{1}{2} \sum_{\vec{l}} \left( \partial_\mu \varphi_{\vec{l}\sigma} \right)^2 + c^2 \left( \partial_\mu \varphi_{\vec{l}\sigma} \right)^2 + r \left( \varphi_{\vec{l}\sigma} \right)^2 
+ u_d \left( \sum_{\vec{l}} \left| \varphi_{\vec{l}\sigma} \right|^2 
+ v \left| \varphi_{\vec{l}\sigma} \right|^2 \left( \varphi_{\vec{l}\sigma} \right)^2 
+ u_b \left( \sum_{\vec{l}} \left| \varphi_{\vec{l}\sigma} \right|^2 \right)^2 + w \left( \left( \varphi_{\vec{l}\sigma} \right)^2 + c.c. \right) \right].
\]

In the mean-field approximation, which we expect to be accurate for the effective classical 2 + 1-dimensional system, described by Eq. (2), three different SF phases are possible, depending on the signs of the \( u \) and \( w \) couplings:

(1) \( u > 0 \): either \( \varphi_{\vec{l}\sigma} \neq 0 \) or \( \varphi_{\vec{l}\sigma} \neq 0 \). (2) \( u < 0, w < 0 \): \( \varphi_{\vec{l}\sigma} = \varphi_{\vec{l}\sigma} \neq 0 \). The relative phase \( \theta = \arg(\varphi_{\vec{l}\sigma}/\varphi_{\vec{l}\sigma}) \) is determined by the last term in Eq. (2) and is given by \( \theta = 2\pi n/3, n = 0, 1, 2 \). (3) \( u < 0, w > 0 \): \( \varphi_{\vec{l}\sigma} = \varphi_{\vec{l}\sigma} \neq 0 \). The relative phase is given by \( \theta = (2n + 1)\pi/3, n = 0, 1, 2 \). To reinterpret the states we have found in the vortex language, it is convenient to calculate gauge-invariant supercurrents on each bond, which we define as \( J_{\mu\nu} = \text{Im}(\Phi_{\mu}^* \Phi_{\nu} e^{-i\phi_{\mu\nu}}) \). In the \( \nu > 0 \) state we find that supercurrents vanish on every bond. This fact, combined with
the picture of this state in terms of the SF order parameter, leads to the vortex configuration shown in the right panel of Fig. 2. Since this state contains configurations, in which two vortices are located on nearest-neighbor sites, it cannot be the true ground state of the vortices.

Calculating supercurrents in the second candidate ground state, realized when \( v, w < 0 \), we obtain the configuration shown in the right panel of Fig. 3. Vortices in this case are localized on the dice lattice plaquettes, which have supercurrents circulating around them in the counterclockwise direction. This configuration is a member of the classical ground state manifold, since none of the vortices have nearest neighbors.

Finally, the supercurrent pattern in the state, realized when \( v < 0 \) and \( w > 0 \), is shown in the right panel of Fig. 4. One can see that none of the dice plaquettes in this case have a full vortex localized in it. Instead, the vortices appear to be bound in partially delocalized triplets, populating the six dice lattice plaquettes, adjacent to the sixfold coordinated sites with zero order parameter expectation values. The fact that the order parameter vanishes on these sites means that vortices are moving around such sites, locally destroying phase coherence. It also means that the boson number does not fluctuate on such sites, i.e., the bosons on these sites are in the Mott phase. The only vortex state that is consistent with this picture, and also does not violate the constraint of no-nearest-neighbor vortices, is the one in which the vortex triplets resonate between two degenerate configurations (corresponding instantaneous order parameter phase configurations are explicitly shown in Fig. 4). This state is clearly more energetically favorable than the state in Fig. 3, since it does not violate the no-nearest-neighbor constraint but also allows the vortices to gain kinetic energy by partially delocalizing over hexagonal plaquettes of the dual kagomé lattice. We can estimate the energy gain in this state due to the vortex delocalization, compared to the \( w < 0 \) state, as follows. Interaction energy of the vortices (energy of the supercurrents) is of order \( n \hbar \) per lattice site. Vortex kinetic energy (energy gain from phase fluctuations) is of order \( U \) per site. Therefore, the energy gain in the \( w > 0 \) state, compared to the \( w < 0 \) state, is of order \( n \hbar (U/n \hbar)^3 \), which is not small when \( U \approx n \hbar \). Thus, we find that the state in Fig. 4 is the ground state of the vortices in our problem. Since the vortices are not localized on sites of the kagomé lattice, but are partially delocalized over plaquettes, we call this state a VP state.

Let us now discuss how to observe the VP state experimentally. Creating an optical lattice with a dice geometry experimentally is more difficult than most other 2D lattices, but fortunately still possible with the current technology. We propose the following procedure [see Ref. [12] for an alternative proposal]. One first creates a kagomé lattice, which is done using the laser field potential proposed in Ref. [19]:

\[
I_1(r) = \sum_{i=1}^{3} \left[ \cos(k_i \cdot r + \frac{\pi}{2}) + 2 \cos(\frac{1}{2} k_i \cdot r + \frac{3 \pi \sigma_i}{2}) \right]^2,
\]

where \( k_1 = (\pi, \sqrt{3} \pi) \), \( k_2 = (\pi, -\sqrt{3} \pi) \), \( k_3 = (-2\pi, 0) \), \( \sigma_1 = \sigma_3 = 1 \), and \( \sigma_2 = -1 \). Here the maxima of the potential correspond to the kagomé lattice sites. To create a perfect dice lattice we superimpose two additional laser potentials that have a triangular lattice geometry:

\[
I_2(r) = 4 \sum_{i=1}^{3} \cos^2(\frac{3}{2} k_i \cdot r), \quad \text{and} \quad I_3(r) = -4 \sum_{i=1}^{3} \cos^2(g_i \cdot r),
\]

where \( g_1 = (\pi, \pi/\sqrt{3}) \), \( g_2 = (0, -2\pi/\sqrt{3}) \), and \( g_3 = (-\pi, \pi/\sqrt{3}) \). Here \( I_2(r) \) has maxima at the sixfold coordinated sites of the dice lattice, while \( I_3(r) \) has minima at both threefold and sixfold coordinated sites. The superposition of \( I_1(r) \), \( I_2(r) \), and \( I_3(r) \) creates a perfect dice lattice, in which all potential wells have equal depth. Effective magnetic flux in this setup can be created by the rotating mask method [9], which generates a rotating optical lattice potential.

Alternatively, the proposal of Ref. [4] can be used to create the effective perpendicular field. One uses the combination of a time-dependent quadrupolar potential \( V(t) = V_{qp} \sin(\omega t) xy \) and a temporal modulation of the tunneling...
In conclusion, we have proposed that vortices in optical lattice SF may exist in VP ground states, which are direct analogs of valence-bond-solid states of interacting bosons. In particular, we have demonstrated that in the case of a dice optical lattice with vortex filling of 1/3 per plaquette, the ground state of the vortices is a plaquette VP state, in which vortices bind into triplets that resonate between two degenerate configurations on plaquettes of the dual kagomé lattice. Such unconventional vortex ordering is a result of an order-by-disorder phenomenon, where extensive degeneracy of frustrated classical vortex configurations is lifted by quantum fluctuations.

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[9] V. Schweikhard et al. (to be published).