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Retrospective cohort study of usage patterns of epidural injections for spinal pain in the US fee-for-service Medicare population from 2000 to 2014

Laxmaiah Manchikanti,1,2 Vidyasagar Pampati,1 Joshua A Hirsch3,4

ABSTRACT

Objective: To assess the usage patterns of epidural injections for chronic spinal pain in the fee-for-service (FFS) Medicare population from 2000 to 2014 in the USA.

Design: A retrospective cohort.

Methods: The descriptive analysis of the administrative database from Centers for Medicare and Medicaid Services (CMS) Physician/Supplier Procedure Summary (PSPS) master data from 2000 to 2014 was performed. The guidance from Strengthening the Reporting of Observational studies in Epidemiology (STROBE) was applied. Analysis included multiple variables based on the procedures, specialties and geography.

Results: Overall epidural injections increased 99% per 100 000 Medicare beneficiaries with an annual increase of 5% from 2000 to 2014. Lumbar interlaminar and caudal epidural injections constituted 36.2% of all epidural injections, with an overall decrease of 2% and an annual decrease of 0.2% per 100 000 Medicare beneficiaries. However, lumbosacral transforaminal epidural injections increased 609% with an annual increase of 15% from 2000 to 2014 per 100 000 Medicare population.

Conclusions: Usage of epidural injections increased from 2000 to 2014, with a decline thereafter. However, an escalating growth has been seen for lumbosacral transforaminal epidural injections despite numerous reports of complications and regulations to curb the usage of transforaminal epidural injections.

INTRODUCTION

The reports of neurological complications from epidural injections have taken centre stage in the USA1–7 and in other parts of the world over the years.8 Even though the basis for such alarm and subsequent regulatory atmosphere has been criticised,1–7 the explosive increase of numerous modalities to manage spinal pain including epidural injections and the economic impact have provided ammunition for such an atmosphere.3–18 Reports from the US Burden of Disease Collaborators19 and from other parts of the world20–21 have shown spinal pain occupying three of the five top categories of disability. In addition, the prevalence of chronic impairing low back pain has increased in one report 162% from 1992 to 2006, increasing from 3.9% to 10.2%.22 Further, multiple assessments also have shown the chronicity of spinal pain long after its onset.23–24 The evidence of increasing burden of disease and disability across the globe coupled with increasing numbers of treatments has created an unacceptable situation with economic, social and healthcare impact. Further complicating this circumstance is the widely debated issues of efficacy of these interventions.24–40

The statistics show that epidural injections, including percutaneous adhesiolysis procedures, are the most commonly performed procedures in managing spinal pain among interventional techniques, varying from 58.6% in 2000 to 45.2% in 2014 of all
interventional techniques. The usage of epidural procedures, excluding percutaneous adhesiolysis, showed an overall increase of 165% or 96% per 100 000 fee-for-service (FFS) Medicare beneficiaries with an annual increase of 7.2% or 4.9% from 2000 to 2014, showing a slight decrease compared to 2000 to 2013, from a rate of 105.6% to an annual increase of 5.7%. Interlaminar epidural injections have increased at a slower pace. Among the epidural injections, continuous epidural injections with catheterisation and neurolytic epidural procedures have not been used in managing chronic spinal pain. Manchikanti et al. in assessing Medicare FFS population in the USA from 2000 to 2013, showed an increase of 119% for cervical and thoracic interlaminar epidural injections and 11% for lumbosacral interlaminar and caudal epidural injections per 100 000 Medicare population with an annual increase of 6.2% or 0.8%, respectively. Contrasting these milder increases, they determined an explosive increase of 577% for lumbosacral transforaminal epidural injections and an 84% increase of cervical and thoracic transforaminal epidural injections per 100 000 Medicare population with an annual increase of 15.8% and 4.8%, respectively, during the same period. Thus, the use of epidural injections has risen dramatically, despite discordant opinions of their effectiveness and their association with rare, but catastrophic complications.

This study is undertaken with an aim of assessing the usage patterns and patterns of use of epidural injections in Medicare FFS population in the USA with the analysis of data from 2000 to 2014.

METHODS
Approval by the Institutional Review Board (IRB) was not sought for this assessment as all analysis encompassed public use files (PUF) or non-identifiable data, which is non-attributable and non-confidential, available through the Centers for Medicare and Medicaid Services (CMS) database. The study was performed using Strengthening the Reporting of Observational studies in Epidemiology (STROBE) guidance.

Study design
The study was designed to assess usage patterns of epidural injections, excluding continuous epidurals and neurolytic procedures, which constitute a small proportion used for chronic management, in the FFS Medicare population in the USA from 2000 to 2014.

Setting
National database of specialty usage data files from CMS, USA, FFS Medicare.

Participants
Participants included the FFS Medicare recipients from 2000 to 2014.

For analysis, the current procedure codes for epidural injections were used. The CPT codes used included epidural codes CPT 62310, 62311 and transforaminal epidural codes CPT 64479, 64480, 64483 and 64484. These codes were identified for years 2000 to 2014. Subsequently, usage data were assessed based on the place of service, either the facility which included ambulatory surgery centres and hospital outpatient departments (HOPDs), or a non-facility setting—the office. The data are calculated for overall services for each technique, and the rate of services for 100 000 Medicare beneficiaries, and also based on the specialty.

Variables
Multiple characteristics are assessed in this evaluation of the Medicare population and increase in the Medicare population from 2000 to 2014, usage of epidural procedures in the cervical, thoracic, lumbar and sacral spine. Additional characteristics assessed included various specialty designations and the settings in which the procedures were performed.

The description of various specialties was as follows: multiple specialties representing interventional pain physicians including interventional pain management −09, pain medicine −72, anaesthesiology −05, physical medicine and rehabilitation −25, neurology −13, psychiatry −26 were described as interventional pain management. Surgical specialties included orthopaedic surgery −20, general surgery −17 and neurosurgery −14. Radiologic specialties included diagnostic radiology −30 and −94 interventional radiology. All other physicians were grouped into a separate group (general physicians), and all other non-physician providers were considered as other providers.

Data sources
The data were obtained from the CMS physician supplier procedure summary master data from 2000 through 2014. These data provide all FFS Medicare participants below the age of 65 and above the age of 65 receiving epidural procedures.

Measures
Allowed services were calculated from services submitted minus services denied and services with zero payments.

Allowed services were assessed for each procedure, and rates were calculated based on Medicare beneficiaries for the corresponding year and are reported as procedures per 100 000 Medicare beneficiaries.

Bias
The study was conducted with the internal resources of the primary author’s practice without any external funding, either from industry or elsewhere. The data were purchased from CMS by the American Society of Interventional Pain Physicians (ASIPP). CMS’s 100% data set consists of usage by CPT code with modifier usage (as an additional procedure or bilateral procedure), specialty codes, place of service, Medicare carrier.
number, total services and charges submitted, allowed and denied, and amount paid.

**Study size**
The study size is large with inclusion of all patients under Medicare FFS undergoing epidural procedures for spinal pain from 2000 to 2014.

**Data compilation**
The data were compiled using Microsoft Access 2003 and Microsoft Excel 2003 (Microsoft, Redmond, Washington, USA).

**RESULTS**
**Participants**
Participants included the FFS Medicare recipients from 2000 to 2014.

**Descriptive data**
Table 1 illustrates the characteristics of Medicare beneficiaries as well as the epidural injections provided to them. Medicare beneficiaries increased 35% from 2000 to 2014 compared to an increase of 99% in the rate (per 100 000 Medicare beneficiaries) of epidural injections with an annual increase of 5% compared to a 2.2% annual increase in the number of Medicare beneficiaries which is 2.6 times the increase of the population rate.

**Usage characteristics**
Table 2 and figure 1 illustrate the usage characteristics of epidural injections in the Medicare population from 2000 to 2014. Overall epidural injections increased 99% per 100 000 Medicare beneficiaries with an annual increase of 5%. However, lumbosacral interlaminar and caudal epidural injections (CPT 62311) decreased 2% per 100 000 Medicare beneficiaries with a 0.2% annual decrease compared to an increase of 104% per 100 000 beneficiaries and a 5.2% annual increase for cervical/thoracic interlaminar epidural injections (CPT 62310). In contrast, lumbosacral transformaminal epidural injections (CPT 64483 and 64484) increased 609% per 100 000 population with an annual increase of 15% and cervical/thoracic transformaminal epidural injections (CPT 64479 and 64480) increased 99% with an annual increase of 4.8%. Thus, all the decrease in usage of interlaminar epidural injections were compensated by increases of transformaminal epidural injections in the lumbar spine. In addition, cervical and thoracic transformaminal epidural injections have been decreasing from 2011 to 2013 but have shown an increase in 2014. Using the number of patient episodes providing the services, lumbar/sacral interlaminar or caudal epidural injections (CPT 62311) decreased at a rate of −2% from 2000 to 2014, whereas the rate of usage in 2014 was 815 858 services with 1525 per 100 000 Medicare FFS population with a decrease of 12.2% from the previous year and the decreases observed from 2006 through 2014. In addition, the number of patient episodes with transformaminal epidural injections (CPT 64483) were slightly less with 763 793 services with 1428 per 100 000 Medicare population, with an increase of 15% from 2000 to 2014, with decreases observed in 2 years with 4.0% decrease in 2012 and 5.1% in 2013 with an increase of 4.7% in 2014. In 2000, 1560 patients per 100 000 Medicare population received lumbar and caudal epidural injections, whereas 214 received lumbar transformaminal epidural injections. These numbers decreased for interlaminar epidural injections from 1560 to 1525, whereas lumbar transformaminal epidural injections increased from 214 to 1428 per 100 000 Medicare population.

As shown in figure 2, the proportion of epidural injections of all interventional techniques performed reduced 57% to 45% from 2000 to 2014.

**Specialty characteristics**
Online supplementary appendices 1 and 2 illustrate the usage of epidural injections by various specialties. In the group of interventional pain management, including anaesthesiology, interventional pain management, pain medicine, physical medicine and rehabilitation, neurology and psychiatry, the rate of increase was 113% per 100 000 Medicare beneficiaries with an overall increase of 99% from 2000 to 2014. However, among these groups, physical medicine and rehabilitation showed an overall increase of 672% and 472% per 100 000 Medicare beneficiaries. Radiology, consisting of interventional radiology and diagnostic radiology, also showed an increasing rate of 167% per 100 000 Medicare beneficiaries from 2000 to 2014. Surgical specialties, including neurosurgery, orthopaedic surgery and general surgery, showed an increase of 58% from 2000 to 2014.

**Site of service characteristics**
Epidural injections are provided in multiple settings including HOPDs, ambulatory surgical centres (ASCs) and in physician’s offices (in-office). There has been a significant shift over the years in epidural injections based on the location of the procedure’s performance. In 2002, HOPD services constituted 54.3%, with ASCs providing 19.9% of the service, and in-office providing 25.8%. By 2014, the HOPD share decreased to 29.4%, the ASC share increased to 27.7% and the in-office share dramatically increased to 42.9% as shown in online supplementary appendices 3 and 4.

**Main results**
- Epidural injections increased 99% per 100 000 Medicare beneficiaries with an annual increase of 5% in FFS Medicare beneficiaries from 2000 to 2014. Lumbar interlaminar and caudal epidural injections constituted 36.2% of all epidural injections, with an overall decrease of 2% and an annual decrease of 0.2% per 100 000 Medicare beneficiaries.
- Lumbosacral transformaminal epidural injections increased 609% with an annual increase of 15% from 2000 to 2014 per 100 000 Medicare population.
Table 1  Characteristics of Medicare beneficiaries and epidural procedures excluding percutaneous adhesiolysis, continuous epidurals and neurolytic epidurals.

<table>
<thead>
<tr>
<th>US population Medicare beneficiaries</th>
<th>Epidural services*</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Per cent to US population</td>
</tr>
<tr>
<td>≥65 years (,000) number</td>
<td>≥65 years (,000)</td>
</tr>
<tr>
<td>Total population (,000)</td>
<td>Number</td>
</tr>
<tr>
<td>Y2000</td>
<td>282 172</td>
</tr>
<tr>
<td>Y2001</td>
<td>285 040</td>
</tr>
<tr>
<td>Y2002</td>
<td>288 369</td>
</tr>
<tr>
<td>Y2003</td>
<td>290 211</td>
</tr>
<tr>
<td>Y2004</td>
<td>292 892</td>
</tr>
<tr>
<td>Y2005</td>
<td>295 561</td>
</tr>
<tr>
<td>Y2006</td>
<td>299 395</td>
</tr>
<tr>
<td>Y2007</td>
<td>301 290</td>
</tr>
<tr>
<td>Y2008</td>
<td>304 056</td>
</tr>
<tr>
<td>Y2009</td>
<td>307 006</td>
</tr>
<tr>
<td>Y2010</td>
<td>308 746</td>
</tr>
<tr>
<td>Y2011</td>
<td>311 583</td>
</tr>
<tr>
<td>Y2012</td>
<td>313 874</td>
</tr>
<tr>
<td>Y2013</td>
<td>316 129</td>
</tr>
<tr>
<td>Y2014</td>
<td>318 892</td>
</tr>
<tr>
<td>Per cent change from 2000 to 2014</td>
<td>13.0</td>
</tr>
</tbody>
</table>

*Epidural services=62310—cervical/thoracic interlaminar epidural injections; 62311—lumbar/sacral interlaminar epidural injections; 64479—cervical/thoracic transforaminal epidural injections; 64480—cervical/thoracic transforaminal epidural injections add-on; 64483—lumbar/sacral transforaminal epidural injections; 64484—lumbar/sacral transforaminal epidural injections add-on.
## Table 2: Utilisations of epidural injections in the fee-for-service Medicare population from 2000 to 2014

<table>
<thead>
<tr>
<th>Year</th>
<th>Cervical/thoracic interlaminar epidurals (CPT 62310)</th>
<th>Lumbar interlaminar and caudal epidurals (CPT 62311)</th>
<th>Cervical/thoracic transforaminal epidurals</th>
<th>Lumbar/sacral transforaminal epidurals</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Services</td>
<td>Rate</td>
<td>Per cent of change from previous year</td>
<td>Services</td>
</tr>
<tr>
<td>2001</td>
<td>84,385</td>
<td>211</td>
<td>10.3</td>
<td>702,713</td>
</tr>
<tr>
<td>2002</td>
<td>99,117</td>
<td>245</td>
<td>16.1</td>
<td>788,919</td>
</tr>
<tr>
<td>2003</td>
<td>109,783</td>
<td>267</td>
<td>9.1</td>
<td>838,858</td>
</tr>
<tr>
<td>2004</td>
<td>130,649</td>
<td>313</td>
<td>17.3</td>
<td>878,174</td>
</tr>
<tr>
<td>2005</td>
<td>141,652</td>
<td>333</td>
<td>6.5</td>
<td>945,350</td>
</tr>
<tr>
<td>2006</td>
<td>146,748</td>
<td>339</td>
<td>1.6</td>
<td>946,961</td>
</tr>
<tr>
<td>2007</td>
<td>156,415</td>
<td>353</td>
<td>4.4</td>
<td>926,029</td>
</tr>
<tr>
<td>2009</td>
<td>175,503</td>
<td>383</td>
<td>5.1</td>
<td>888,166</td>
</tr>
<tr>
<td>2010</td>
<td>184,750</td>
<td>394</td>
<td>2.8</td>
<td>888,421</td>
</tr>
<tr>
<td>2011</td>
<td>200,134</td>
<td>414</td>
<td>5.2</td>
<td>914,324</td>
</tr>
<tr>
<td>2012</td>
<td>213,390</td>
<td>424</td>
<td>2.4</td>
<td>925,179</td>
</tr>
<tr>
<td>2013</td>
<td>217,393</td>
<td>419</td>
<td>–1.3</td>
<td>901,468</td>
</tr>
<tr>
<td>2014</td>
<td>208,741</td>
<td>390</td>
<td>–6.9</td>
<td>815,858</td>
</tr>
</tbody>
</table>

*Per cent of change from 2000 to 2014*

| Change | 176 | 104 | – | 32 | –2 | – | 182 | 129 | 160 | 93 | – | 799 | 988 | 856 | 609 | – |

*Geometric average annual change (%)*

| Geometric average | 7.5 | 5.2 | – | 2.0 | –0.2 | – | 7.7 | 6.1 | 7.1 | 4.8 | – | 17.0 | 18.6 | 17.5 | 15.0 | – |

Rate—per 100,000 Medicare beneficiaries.
However, the ratio of lumbosacral transforaminal epidural injections increased from 14.6% of all epidural injection in 2000 to 51.9% in 2014, thus, exceeding interlaminar epidural injections.

Site-of-service usage patterns showed a decrease in HOPDs associated with a dramatic increase in in-office procedures.

**DISCUSSION**

Usage of epidural injections for chronic spinal pain in the FFS Medicare population in the USA increased dramatically from 2000 to 2014. The increase for epidural injections has been shown to be 99% per 100 000 Medicare beneficiaries with an annual increase of 5%, compared to the increase of Medicare beneficiaries per 100 000 population of 35% with an annual increase of 2.2% during the same period. The increases were predominantly noted for lumbar transforaminal epidural injections with a 609% increase per 100 000 Medicare population from 2000 to 2014 with an annual increase of 15.0%. The increases were modest with 93% for cervical and thoracic transforaminal epidural injections and 104% for cervical and thoracic interlaminar epidural...
injections per 100,000 Medicare population. Usage of cervical/thoracic interlaminar epidural injections decreased by 6.9%, from 217,393 to 208,741, from 2013 to 2014 and for lumbar/sacral interlaminar epidural injections 9% from 901,468 to 815,858, whereas there was an 8% increase in cervical/thoracic transforaminal epidural injections from 55,108 to 59,531 and an 8% increase in lumbar/sacral transforaminal epidural injections from 1,085,918 to 1,171,538. Dramatic increases were noted for lumbosacral transforaminal epidural injections from a baseline rate of 309 in 2000 to 2190 in 2014 for per 100,000 Medicare population, an increase of 609% or an annual rate of 15%. In contrast, interlaminar epidural injections in the lumbar spine, which also include caudal epidural injections, have decreased 2% with an annual decrease of 0.2% from 1,560 in 2000 per 100,000 Medicare population to 1,525 in 2014. Consequently, only interlaminar epidural injections correlated with overall Medicare beneficiary growth, which has been shown to be 55% and growth of Medicare beneficiaries above age 65 years vs below 65 years with 30.2% vs 65.7%. There was also change in site of service usage patterns with a decrease in HOPD use and a dramatic increase in in-office services. ASC share increased from 19.9% in 2002 to 27.7% in 2014 and in-office services dramatically increased from 25.8% in 2002 to 42.9% in 2014 (see online supplementary appendices 3 and 4), whereas HOPD share decreased from 54.3% to 29.4%.

As shown in online supplementary appendices 2 and 3, specialty characteristics showed that an overwhelming majority of the procedures (89.5%) were performed by pain management specialists, which essentially remained stable over the years. Surgery was a distant second specialty with 4.5% and radiology followed with 3.8% usage. Surgical specialties performed fewer procedures when compared to 2000, whereas radiologists performed more procedures. General physicians and other providers including Certified Registered Nurse Anesthetists, nurse practitioners and Physician Assistants also provided a lesser number of epidural injections than in 2000.

The results demonstrated in this evaluation were similar to other recently performed evaluations.11–15 However, these results are noteworthy compared to some of the previous studies, which focused on different aspects rather than assessment of growth and usage.17–49 Friedly et al52 48 and Abbott et al50 indicate that injection therapies were provided with lack of evidence for managing chronic low back pain. Abbott et al50 also included analysis of a publication from the Office of Inspector General in 201049 with multiple recommendations to curb the growth of lumbosacral transforaminal epirudals that showed a lack of significant effect or, at most, mild influence. Another paradoxical development is that transforaminal epidural injections have exceeded the total number of lumbar interlaminar and caudal epidural injections starting in 2009, which essentially reversed a long-standing trend of a high proportion of interlaminar and caudal epidural injections compared to transforaminal epidural injections, despite multiple reports of complications and resultant warnings.1–5 11–15

Some of the limitations for our assessment include lack of inclusion of patients participating in Medicare Advantage Plans, which could lead to exclusion of ~20–30% of the population. Further, there is also potential for coding errors and elimination of procedures which are not commonly used for spinal pain, such as continuous epidural injections and neurolytic procedures, may underestimate the number of procedures performed. However, the advantages of this study include that we have used the full Medicare data instead of an extrapolation and also all Medicare FFS population instead of using only those 65 years or older.

The increasing prevalence, disability, healthcare costs and human toll of spinal pain, the increasing usage of all modalities, specifically epidural injections—the subject of this assessment—continue to incite controversy and provide the basis of the claims that epidural injections are overused, leading to inappropriate use, abuse and fraud without evidence of efficacy, medical necessity and indications.12 24–26 37 38 The supporters of various modalities continue to profess cautious use with demonstration of effectiveness and cost utility, claim that spinal pain continues to increase, along with its understanding, which continues to evolve over the years.24–36 39–40 51 These reports have been extensively critiqued.24 27–32 52–56 In addition to substantial differences between proponents and opponents with the majority of the government-sponsored studies in the USA showing lack of effectiveness of epidural injections in managing low back and lower extremity pain, Lewis et al39 40 in two separate manuscripts funded by the National Health Services (NHS) and health technology assessment programme have presented positive results for epidural injections. In a systematic review and economic model of the clinical and cost-effectiveness of management strategies for sciatica performed for the health technology assessment,39 results were positive for demonstrating the effectiveness of epidural corticosteroid injections. They40 also showed, in a systematic review and network meta-analysis of comparative clinical effectiveness of management strategies for sciatica with review of 122 relevant studies and 21 treatment strategies, statistically significant improvement with epidural injections. In addition, network meta-analysis40 also showed superiority of epidural injections to traction, percutaneous discectomy and exercise therapy.

Overall, this assessment shows a continued increase of usage from 2000 to 2011, with subsequent decreasing patterns of usage of epidural injections. However,
large-scale and seemingly inappropriate increases in usage are related to lumbar transforaminal epidural injections, whereas there was a net decrease of lumbar interlaminar and caudal epidural injections. Even though epidural injections have constituted smaller increases when compared to other modalities, with continued controversy and the increase of 609% in lumbosacral transforaminal epidural injections from 2000 to 2014, and associated major complications related to transforaminal epidural injections, caution must be exercised in performing these procedures, specifically transforaminal epidural injections. Thus, it is essential not only to develop appropriate evidence, but also to synthesise the evidence using up-to-date randomised controlled trials and proper methodology without confluence of bias. With such analysis of the data, there is no superiority for transforaminal epidural injections compared to interlaminar epidural injections in the lumbar or cervical spine.  

CONCLUSIONS

The use of epidural injections escalated from 2000 to 2011 with a small decline since then. However, dramatic increases were shown in usage patterns of lumbar transforaminal epidural injections despite rare complications, warnings and measures reducing the overall impact.

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Competing interests

LM has provided limited consulting services to Semnur Pharmaceuticals, Incorporated, which is developing non-particulate steroids. JAH is a consultant for Medtronic.

Provenance and peer review

Not commissioned; externally peer reviewed.

Data sharing statement

No additional data are available.

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