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VERY HIGH DENSITY CLUMPS AND OUTFLOWING WINDS IN QSO BROAD-LINE REGIONS

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ABSTRACT

Intercomparison of the spectra of seven high-luminosity quasi-stellar objects (QSOs) shows that there is a gradation of properties in their broad emission-line regions (BELRs) that can be understood as differing mixtures of different gas components. Six of these seven objects have unusually narrow BELR profiles, which greatly facilitates the disentangling of blends and measuring of weak lines. In the QSO 0207−398, the BELR is made up of at least three kinematically distinct components; its spectrum is in fact a composite of the spectra of the more homogeneous BELRs in the QSOs at either end of our sequence of properties.

This paper focuses on the properties of the line-emitting region in Q0207−398 dubbed component “A,” which has sharp (FWHM = 1000 km s⁻¹), symmetric line profiles centered at zero velocity. We find that these lines are emitted in very dense (n₁ = 10¹¹ cm⁻³) gas at a characteristic radius r ≈ 10¹⁷.⁷ cm from the continuum source and which emits a low-ionization spectrum including strong Al iii λ1857.

The second component, “B,” in Q0207−398 is the subject of a companion paper. It is characterized by high-ionization lines such as N v λ1240, O vi λ1034, and C iv λ1549 with profiles that peak at zero velocity but have a blue tail extending out to −11,000 km s⁻¹. It receives about the same incident flux as component A and therefore may lie at the same distance from the continuum source, but it is significantly less dense (n₁ ≈ 10¹⁴ cm⁻³).

The remaining line emission from Q0207−398 is attributed to a component “C” which has reasonably broad (FWHM = 2000 km s⁻¹), symmetric line profiles centered at zero velocity. Most of the Lyα and C iii] λ1909 emission comes from this region, but it also contributes to C iv, N v, and many other lines. The spectrum of component C is in fact quite similar to that of “normal” QSOs.

We interpret component A as the dense source for radiatively accelerated, outward flowing gas which we see as component B. Component A may consist of the ablated atmospheres of stars which have strayed too close to the QSO nucleus. In addition, component A’s velocity width is essentially the same as that of the stellar population in the nucleus of M87. From the radius and velocity, we infer the presence of a central mass of only 10⁷−10⁸ M☉, if we are measuring virial motions and if the ionizing continuum is isotropic. An alternate possibility is that the narrow single-peaked component A profile comes from a rotating torus/accretion disk, probably seen face-on, in which case it is impossible to measure the central mass.

We discuss the similarity between Mg ii-type broad absorption line (BAL) QSOs and Q0207−398, which does not have BALs. In fact, the two Mg ii-type BAL objects in our sample have spectra almost exactly like that of Q0207−398, except that component B is seen in absorption rather than in emission.

Subject headings: line: profiles — quasars: emission lines — quasars: individual (Q0207−398)

1. INTRODUCTION

It is now clear that in many QSOs the broad emission-line regions (BELRs) are far from homogeneous. This shows up as differences between the emission-line profiles of different species (Gaskell 1982; Wilkes 1984; Espey et al. 1989; Corbin 1990), which clearly indicates a connection between velocity and the physical conditions in the emitting gas. These profile differences are easily detectable at fairly low spectral resolution in perhaps a fourth of all z ≈ 2 QSOs (Espey et al. 1989). Francis et al. (1992) showed through the technique of principal component analysis that QSO emission-line profiles can in general be thought of as the superposition, in varying proportions, of a few fairly standard components with distinct spectroscopic properties. Similar results were found by Wills et al. (1993) and Brotherton et al. (1994), using a different approach to decomposing the line profiles.

The emission-line spectrum that we see from any part of the BELR is determined by three main parameters: the chemical abundances, the hydrogen density nH, and the ionizing photon flux Φ ∝ L₁₄₀₀/r² incident on the gas clouds. Because the density and incident flux together set the ionization level in the gas, these two parameters frequently are rolled up together into the single parameter $U \propto L₁₄₀₀/(r²n_H)$. But since the incident flux is (for a known luminosity and assuming an isotropically emitting continuum source) a direct measure of the distance of the ionized gas from the continuum source, independent measurements of $n_H$ and $Φ(H)$ would make it possible to map out the dependence of

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TABLE 1
OBJECT LIST

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<th>W(C IV) (Å, rest frame)</th>
<th>FWHM(C IV) (km s^{-1})</th>
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[a] For q_0 = 0.5, H_0 = 100 km s^{-1} Mpc^{-1}.
[b] C IV affected by BAL absorption; FWHM is for Fe II UV 1911 blend.

2. OBSERVATIONAL MATERIAL

2.1. Selection of QSOs

Four of the QSOs studied here (Q0000–398, Q2212–299, Q1623+268, and Q0207–398) were chosen because there already existed high-resolution spectra of them covering the rest wavelength range from about 912 Å to the Lyα emission line. This region is riddled by Lyα forest absorption lines, so that reasonably high signal-to-noise ratio, high-resolution spectra are necessary to have any chance of detecting weak emission lines. We had noticed some time ago that Q0207–398 has detectable C III λ1907 emission in this region, and we wanted to compare it to other QSOs of similar redshift and luminosity.

Table 1 lists redshifts, magnitudes, and luminosities for each of these QSOs, while Table 2 gives the references for the previously published spectra we have used as well as relevant information about the new observations.

TABLE 2
SPECTRA

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To calibrate the existing high-resolution spectra in the blue, and to extend the coverage into the red, we obtained additional spectra with 5–9 Å resolution in the observed frame. These have good spectrophotometric accuracy, so that we can adequately measure continuum shapes and relative intensities of widely separated emission lines. The final wavelength coverage in the rest frame of each QSO is approximately $\lambda\lambda 900–2500$.

As soon as we reduced our first set of low-dispersion spectra, it became apparent that Q0207 – 398 is unusual not only because C iii $\lambda 977$ can be detected, but also because of the presence of strong lines of Al iii and other species in the vicinity of C iii $\lambda 1909$. In fact, at the time Q0207 – 398 was reduced, there were only two other QSOs known to us which had spectra closely resembling that of Q0207 – 398 in the $\lambda 1909$ region: the broad absorption line (BAL) objects H0335 – 334 (Hazard et al. 1984) and Q03408 – 4505 (Boyle et al. 1990). Others are now known (see Weymann et al. 1991), and many of these are also BAL QSOs.

In order to make a better comparison, we observed H0335 – 334 in the rest wavelength range $\lambda\lambda 1050–1680$ to complement existing data at longer wavelengths (we could not observe C iii $\lambda 977$ in this object because it falls below the atmospheric cutoff). In the case of Q03408 – 4505, we used an existing spectrum from unpublished work by J. Baldwin, B. Peterson, B. Boyle, and T. Shanks. This covers the observed wavelength range $\lambda\lambda 3250–9700$ (rest wavelength range $\lambda\lambda 1110–3220$) at 12 Å resolution with good spectrophotometric accuracy.

Finally, since Q0207 – 398, H0335 – 334, and Q03408 – 4505 all have exceptionally narrow emission lines, we also obtained an additional blue spectrum of the narrow-line QSO 1451 + 1017. This supplements data taken by Baldwin et al. (1988).

2.2. Observing Procedure

The new spectra were obtained with the Ritchey-Chrétien (RC) spectrograph on the Cerro Tololo Inter-American Observatory (CTIO) 4 m telescope. We initially used a GEC CCD with 576 pixels along the dispersion to observe with two grating setups which together covered the wavelength range $\lambda\lambda 3296–9580$ with 12 Å resolution. The later observations were made with a Reticon CCD which has 1200 pixels in the dispersion direction and much higher blue response than the GEC, using grating settings covering either $\lambda\lambda 5123–7739$ at 5 Å resolution or (for Q1623 + 268) $\lambda\lambda 3240–7520$ at 9 Å resolution.

These new data were combined with previously existing spectra taken either at the CTIO 4 m or the Anglo Australian Telescope (AAT) (see Table 2).

For each object, most of the observations were taken through a narrow (~1'5) slit, but both blue and red low-resolution spectra were obtained at CTIO on photometric nights through a 7" or 10" slit in order to obtain accurate (to about 5%) spectrophotometry over the full optical passband. Each narrow-slit spectrum (including those from the AAT) was then binned heavily in wavelength and divided into the appropriate wide-slit spectrum to derive a normalizing function at each wavelength. The unbinned narrow-slit spectra were then multiplied by these normalizing functions to put them onto similar flux scales, after which they were coadded, weighting them so that at any given wavelength only the highest resolution data contributed to the final summed spectrum. Table 1 lists the monochromatic magnitudes at the position of the redshifted C iv line, measured from the final coadded spectra.

2.3. Emission-Line Measurements

The overall spectrum of each object is shown in Figure 1. We used the highest resolution data available in each wavelength range, so that while the resolution is only around 500 km s$^{-1}$ up to the red of Ly$\alpha$, it is much higher to the blue with the result much of the apparent noise at the blue end is actually just the Ly$\alpha$ forest absorption lines.

The region between Ly$\alpha$ and C iii $\lambda 1909$, after subtracting the continuum, is shown in Figure 2. In order to measure the strengths of the numerous, frequently blended emission lines in this region, we fitted synthetic spectra constructed separately for each QSO. We used the profiles of different strong emission lines as templates which were then shifted to the correct wavelengths and added while varying the relative intensities of different multiplets until a best fit was achieved. This was done as an interactive procedure, with goodness of fit judged by visually examining a residual plot.

The wavelengths and relative intensities within multiplets as used in these fits are listed in Table 3 and are also indicated by the position and size of the tick marks under each spectrum in Figure 1. For many of the multiplets, we assumed that the relative strength of each line is proportional to the Einstein $A$-value multiplied by the upper level's statistical weight, which will be true if the sublevels are indeed populated according to their statistical weights.

---

**FIG. 1.—Overall rest-frame spectrum of each object in our sample. For each QSO, the same data are plotted on two vertical scales in order to show both the line peaks and the detail at the continuum level.**

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and if the gas is optically thin in the line in question. If the gas is optically thick in a particular line, the lines in the multiplet will all have the same intensity; this was assumed to be the case for $\text{O~I} \lambda 1034$, $\text{N~V} \lambda 1240$, $\text{O~I} \lambda 1303$, $\text{Si~IV} \lambda 1397$, $\text{C~IV} \lambda 1549$, $\text{Fe~II} \lambda 191$, and $\text{Al~III} \lambda 1857$. For the $\text{Fe~III} \lambda 34$ multiplet, we used the individual intensity ratios measured from the spectrum of H0335 – 336, where the components are clearly resolved.

Figure 3 shows a blowup of the spectral region of Q0207 – 398 which includes the $\text{C~III} \lambda 977$, Ly$\gamma$ blend and the Ly$\beta$, O vi $\lambda 1034$ blend in Q0207 – 398. A dashed line showing the fitted profiles is shown superimposed on the observed spectrum and then again shifted upward by $\frac{1}{2}$ of the figure height. The tick marks show the expected location of several emission lines for which strengths or upper limits are derived.
separate columns for the individual kinematic components described below. Within each column the line strengths have been normalized to the strength (or upper limit) for C iv $\lambda 1549$. The normalizing factor (in units of ergs cm$^{-2}$ s$^{-1}$ in the observed frame) is listed in the bottom row of the table.

Upper limits were determined by increasing the line strength slowly until significant negative fluctuations appeared in the residual plot. This is also a reasonable estimate of the uncertainty in the measured strength of nearby lines. For unblended lines against a smooth continuum, this corresponds very roughly to the line peak exceeding a continuum noise of about 2 $\sigma$ after “eyeball” smoothing to a resolution equal to the narrowest features of interest (approximately the resolution shown in Fig. 1). However, the uncertainties are increased significantly by uncertainties in the continuum placement and in the accuracy of the modeling of other lines in a blend, and/or by failure of the template to describe precisely the observed profile. The best rule of thumb for assessing the uncertainties in the line strengths given in Table 4 is to use the listed upper limits for nearby lines in the same spectrum. Failing that, typical uncertainties are $\approx 10\%$ of the C iv strength for lines to the redward of Ly$\alpha$ emission and 2–3 times worse for lines blueward of Ly$\alpha$ emission.

3. COMPARISON OF SPECTRA

3.1. Overview

In Figures 1 and 2 we have shown the spectra in what we feel is a logical progression of properties. We consider the upper three objects to have fairly “normal” emission-line spectra except for the fact that the emission lines are rather narrow ($1000–3000$ km s$^{-1}$ FWHM). At the bottom of the figures, we have placed two BAL QSOs with virtually identical emission-line spectra. In the region from 1700 to 2000 Å, these have very obvious differences from the top three spectra; there is a fairly strong line at 1875 Å, strong Al iii $\lambda 1857$ emission, and considerable substructure in the $\lambda 1909$ emission feature. In the middle, we place Q1623 + 268 and Q2007 – 398, which seem to be a composite of the distinctly different spectral types above and below them and also to have as an additional distinctive feature extended blue tails on their C iv $\lambda 1549$ lines (see Fig. 4). There are particularly obvious similarities between the bottom two spectra and that of Q2007 – 398; the main difference is that the lines in Q2007 – 398 which have extended blue tails in emission are the ones now seen in absorption in the BAL spectra. Q1623 + 268 appears to have a very similar spectrum, except that the details have been washed out by the much greater widths of the line profiles.

We describe this sequence of properties in greater detail below.

3.2. Al iii $\lambda 1857$ and the $\lambda 1909$ Region

We were particularly struck by the great similarity in this region between the spectrum of Q2007 – 398 and those of H0335 – 398 and Q03408 – 4505. There can be no doubt that the double-peaked feature near $\lambda 1857$ in all three spectra arises from the Al iii $\lambda 1854.7, 1862.8$ doublet; the wavelength separation is exact. The two lines should have a
3.3. Fe \(\text{II}\) UV 191 (\(\lambda 1787\))

Another striking similarity between the spectrum of Q0207 – 398 and those of Q03408 – 4505 and H0335 – 334 is the narrow emission line at 1787 Å attributable to Fe \(\text{II}\) multiplet UV 191 (\(\lambda 1785.3, 1786.7,\) and 1788.0). These are among a group of only about a dozen QSOs in which we have seen this multiplet as a clear feature. Graham et al. (1995) discuss the spectrum of another QSO which, like that of Q0207 – 39, has quite narrow emission lines from Fe \(\text{II}\) UV 191, Al \(\text{III}\), and Fe \(\text{II}\) UV 34, and no broad absorption lines. However, unlike Q0207 – 398, this new object also has strong Fe \(\text{II}\) emission in the 2200–2700 Å wavelength range. It probably has its origin in selective excitation; the other UV emission lines of Fe \(\text{II}\) are clearly not emitted in such narrow lines, although those of Al \(\text{III}\) are. Considerable additional searching of the multiplet tables, and comparisons to spectra of novae and other emission-line objects, does not yield an alternate identification. The origin of UV 191 is discussed further in Appendix C.

3.4. Fe \(\text{III}\) UV 48 (\(\lambda 2071\))

From Figure 2 it is clear that Fe \(\text{III}\) multiplet UV 48 is a good identification for the feature near \(\lambda 2071\) in the bottom three spectra, while it is weak or absent in the upper four spectra. Note that a cosmic ray has been removed from the spectrum of H0335 – 334 at the position of the longest wavelength component of UV 48, so the poor fit to the predicted intensity ratios within the multiplet is not surprising. The detailed fit is good in the cases of Q03408 – 4505 and Q0207 – 398. In the spectrum of Q1451 + 1017, there is a weak feature slightly shortward of the expected wavelength; because of the poor wavelength fit, we believe this to be a different, unidentified transition. The upper level of UV 48 is connected directly to the Fe \(\text{III}\) ground state through multiplet UV 1 at 1122 Å. Thus, although its upper level is at 11 eV, UV 48 can easily be pumped by continuum radiation longward of the Lyman limit, and therefore it should be a strong emitter from any Fe \(\text{II}^+\) zone which has at least moderate optical depth in UV 1. This sets it apart from UV 34.

3.5. He \(\text{II}\) \(\lambda 1640\)

A final systematic trend visible in Figure 2 is that the top three spectra (those with weak Al \(\text{III}\)) all have quite noticeable He \(\text{II}\) \(\lambda 1640\) emission, while in the bottom four spectra (the ones with strong Al \(\text{III}\)) the He \(\text{II}\) line is weak or cannot be detected, with an upper limit which shows that the He \(\text{II}/\text{C IV}\) intensity ratio is considerably lower than normal.

Paper II shows that, given the strong N \(\text{v} 1240\) emission from most of these QSOs (Q1451 + 1017 being the conspicuous exception), this can only be an abundance effect. The helium line becomes weaker at high abundances because many of the helium-ionizing photons are absorbed
by heavy elements rather than by helium. The N \ ν λ1240/He \ ν λ1640 ratio is an especially sensitive measure of this because both lines come from the same ionization zone, and because N is a secondary element in stellar evolutionary processes and is therefore built up much more rapidly as a function of metallicity than most other heavy elements (see Paper II).

3.6. C \ Ⅲ λ977

Relative to C \ Ⅳ λ1549, the C \ Ⅲ λ977 line is clearly stronger in Q0207 – 398 than in any of the other QSOs. The upper limits for the other objects are 2–5 times smaller than the estimated strength in Q0207 – 398.

The C \ Ⅲ λ977/C \ Ⅲ λ1909 intensity ratio has been proposed as a density indicator in BELR spectra (see Osterbrock 1991), and it is certainly true that strong \ λ977 indicates that high-density gas is present. However, if a range of densities is present, the two lines will be produced in quite different components of the BELR. Strong \ λ977 would be produced only in high-density gas subjected to high ionizing flux levels, while \ λ1909 would come from lower density gas receiving lower ionizing flux, and the final intensity ratio would mostly measure the relative masses of the two components. We note also that some part of this emission is attributable to Lyγ \ λ973; however, C \ Ⅲ is definitely present (see Fig. 3).

4. Q0207 – 398

The key object in this study is Q0207 – 398. Its combination of narrow emission lines and profile differences gives us unusually good leverage for identifying which lines come from which BELR component. We describe first in considerable detail how we have deconvolved the various emission features into what we think is a “best guess” set of line strengths for each component, and then we discuss in turn our model for each component.

4.1. Separation of Individual Profile Components

The simplest description of the emission lines in this QSO is that they form three different groups. The process of disentangling these components starts in Figure 5a, where we overplot the observed profiles of Lyα, C \ Ⅳ λ1549, and the Fe \ Ⅱ UV 191 feature. C \ Ⅳ is obviously much broader and less symmetric than UV 191, so at least two profiles are needed.

UV 191 is a blend of three lines at 1785.27, 1786.75, and 1788.00 Å which, for the physical conditions derived below, should be all of equal intensity. Experiments combining narrow Gaussian profiles at these wavelengths show that individual multiplet members with FWHM \sim 900 km s\(^{-1}\) will combine together to give a sharply peaked profile with 10000 km s\(^{-1}\) FWHM, as is observed. In view of the very modest broadening due to blending and the large errors which would arise from trying to disentangle it, we have used the entire UV 191 profile as our template for the narrowest emission component. Its wavelength was checked by fitting it to the Al \ Ⅲ λ1854.72, 1862.78 doublet, which gives a good fit using the expected blended wavelength of 1786.7 Å.

The C \ Ⅳ line is a blend of the two members of the C \ Ⅳ λ1548.20, 1550.77 doublet, which we expect to have a 1:1 (optically thick) intensity ratio for conditions within the broad emission-line region. To recover an unblended \ λ1548.20 profile, we repeatedly shifted our best-estimate \ λ1548.20 profile (initially the full blend) to 1550.77 Å and scaled it to have half the total flux in the blend. Subtracting this from the blended profile gave us a new best estimate of the \ λ1548.20 profile. In two iterations, this converged to a template from which we can very accurately model the full blended profile. The final \ λ1548.20 profile is shown as the heavy line in Figure 5b; it has an extended blue tail but cuts off sharply on its red side at about the same velocity as the peak of the Fe \ Ⅱ UV 191 line (dotted line in Fig. 5b).

Then we moved on to the observed Lyα, N \ ν 1240 blend. N \ ν is another doublet which we expect to be near its optically thick 1:1 intensity ratio. For any reasonable shape for the red wing of Lyα, the N \ ν line must be cutting off sharply on its red side in the same way as C \ Ⅳ \ λ1548.20. Therefore, we used the \ λ1548.20 profile to fit the N \ ν \ λ1238.81, 1242.80 blend and subtracted it from the Lyα, N \ ν blend. The intensity of N \ ν was varied until we could see no residual features on the Lyα wing that obviously correlated with the shape of the N \ ν blend. After interpolating over many obvious absorption lines and smoothing, this left us with a Lyα profile which we used as the third of our three initial profiles; it is the moderately broad and very symmetric one shown as the light solid line in Figure 5b.

Up to this point, we consider the separation of these components to have been rather straightforward. But even sticking to the simplified idea of describing an entire unruly BELR with only three components corresponding to three physical regions, there is no reason to believe that lines such
as Ly$\alpha$ and C iv are emitted by only one of those three components. Although it is clear from Figure 5b that no Fe ii UV 191 comes from gas at the velocities characterizing the wings of the other two lines, there could be a fair amount of emission from the UV 191–forming region contributing to the central spike in the Ly$\alpha$ profile or to the area near the C iv $\lambda 1548.20$ peak. The maximum contributions which do not produce holes in the residuals are 22% of Ly$\alpha$ and 24% of $\lambda 1548.20$. Similarly, some of the C iv emission could be produced by gas having the same profile as Ly$\alpha$, accounting for up to 51% of the C iv flux.

To arrive at a “best guess” of the actual contributions of each region to each profile, we have combined the basic profile information given above with the intensity ratios between different lines predicted by the CLOUDY photoionization calculations described below (see also Appendix B). The strengths of the individual emission lines were measured by fitting combinations of these templates to each observed blend, and when ambiguous, the amount of each component which contributed to these fits was guided by photoionization calculations.

Specifically, according to our models there is no way to produce the Ly$\alpha$:N v:C iv:Al iii intensity ratios that would come from assigning the maximum possible UV 191 profile contribution to the Ly$\alpha$ and C iv $\lambda 1548.20$ profiles, so we discard that possibility. The contribution cannot be more than about 25% of the maximum, or 5%–6% of the total flux in each of the Ly$\alpha$, N v, and C iv lines. The models also show that it is extremely hard to produce C iii $\lambda 1909$ without producing C iv $\lambda 1549$. Since C iii$^{+}$ will turn out to have roughly the same profile as Ly$\alpha$, we subtract the maximum possible Ly$\alpha$ profile contribution (51%) from the $\lambda 1548.20$ profile. This defines a new profile which has little flux to the red of the line peak, but a long tail to the blue.

Our final adopted profiles are shown in Figure 5c. They are as follows:

Component A.—These are the narrowest lines. They are symmetric, with FWHM = 1000 km s$^{-1}$ and FWHI = 3500 km s$^{-1}$. They are typified by the Al iii $\lambda 1857$ doublet and Fe ii UV 191, but they also seem to include C ii, C iii, and Si ii features and probably also the Fe iii multiplet (UV 34) around $\lambda 1909$ (see § 3).

Component B.—This group includes C iv $\lambda 1549$, N v $\lambda 1240$, and O vi $\lambda 1034$. These are the broadest lines (FWHM = 3900 km s$^{-1}$), and they have a pronounced blueward asymmetry (with the blue tail going out to $-9000$ km s$^{-1}$).

Component C.—This final set of lines includes Ly$\alpha$, Si iv $\lambda 1397$, and C iii$^{+}$ $\lambda 1909$. These have FWHM = 1900 km s$^{-1}$, with moderately symmetric cores and extended wings reaching zero intensity at about $\pm 11,000–12,000$ km s$^{-1}$. There is no unblended line with this profile; component C’s existence is inferred because several of the observed blended profiles cannot be produced by any combination of just components A and B.

We describe these three components in turn, with the emphasis on the physical properties of component A. Component B is the subject of Paper II.

4.2. Component A: Very High Density Gas

Here we show that the emitting region of the narrowest emission lines (component A), Al iii, Fe ii UV 191, and probably Si ii, O i, is one of very high gas densities.

4.2.1. Al iii $\lambda 1857$

Al iii $\lambda 1857$ is the only line which we can uniquely identify with component A and which is incorporated in the spectral synthesis code. CLOUDY does not make predictions for the Fe ii and Fe iii lines because of the great complexity of those ions. The basic goal of the modeling effort for component A, therefore, was to produce a sufficient equivalent width of Al iii $\lambda 1857$ without overproducing any of the other lines.

4.2.2. Observational Constraints on the Density

Si iii $\lambda 1892$ appears to be weak or absent in component A. This is important, since we will show in the next section that the small Si iii/Al iii intensity ratio will constrain component A to have very high densities. Since Si iii would fall quite near a prominent shoulder which is observed on the blue wing of C iii $\lambda 1909$, it is important to illustrate why we do not think this line can be at all strong. Figure 6a shows the best fit that we can get to the $\lambda 1909$ blend if we assume that only Si iii and C iii are present. We tried many combinations of components A, B, and C, but this particular fit uses only component C. The fit is unacceptable because the observed peaks fall significantly to the red of the fitted peaks. Component A profiles give an even worse fit to the data because of the narrowness of the predicted lines.

Figure 6b shows a much better fit to the observed profile. In this case the blend was modeled by a combination of C iii and Fe iii multiplet UV 34. The individual lines in the Fe iii multiplet were modeled with component A profiles.
having the same relative intensities as in H0335−398 (see Appendix A, since we regard the latter QSO as having an essentially pure component A-type spectrum (however, component C profiles give an equally good fit). A component C profile is required to get a good fit to C III \lambda 1909; the component A profile is too narrow. Once the profiles have been chosen, this model has only one free parameter (the Fe III/C III intensity ratio), yet it gives a very good fit. We conclude that Fe III and C III are the main contributors to the \lambda 1909 blend.

This implies that Si III] must be fairly weak. We have considered the two different upper limits on the Si III] strength shown in Figure 6c. These are both component A profiles at the position of Si III]. The higher limit ignores the Fe III fit and requires only that the residual not have a noticeable hole at the position of Si III]; it gives Al III/Si III] > 1.7. We regard this as the smallest ratio which is at all reasonable. But when we include Fe III in the fit, as we believe we must, the limit increases to Al III/Si III] \geq 3.3, as shown by the smaller Si III] limit in Figure 6c. The paucity of Si III] in component A is important, as its critical density is among the highest for the ultraviolet intercombination lines (see § 3.2), and thus it will set the highest lower limits to the hydrogen particle density.

4.2.3. Photoionization Calculations

We generated grids of models using the ionizing continuum described in Appendix B and shown in Figure 5 of Paper II. The basic approach is to run grids of several hundred models covering a wide range of different gas densities n_H and hydrogen ionizing photon fluxes \Phi(H). Contour plots are then made of the intensity ratios and equivalent widths of all the predicted emission lines falling in the observed wavelength range. By tracing the contours corresponding to observed values or limits onto a master plot of n_H versus \Phi(H), it is possible to delimit the range of parameters which could produce the observed spectrum. This is analogous to the classical methods for analyzing H II region spectra which are described in Seaton (1960), Osterbrock (1989), and elsewhere.

Figure 7a is a contour plot showing the predicted equivalent width of Al III \lambda 1857 per unit covering factor. The observed W_\lambda(Al III] is 1.8 \AA. The covering factor is unknown, but clearly it must be less than 1.0 since components B and C also must receive an appreciable amount of ionizing flux. We arbitrarily chose 50% covering factor as a representative maximum value to use in constraining the physical conditions, which means that the values on Figure 7a should be compared to an observed lower limit of 1.8 \AA/0.5 = 3.6 \AA (0.6 dex).

Figure 7b shows the limits that come from the Al III/Si III] ratio, estimated above. Moving from left to right, the solid contours represent ratios of 0.1, 1.0, 10.0, etc.

The intersection of Figures 7a and 7b produces a region of acceptability on the density-flux plane, based upon the W_\lambda(Al III] and the Al III/Si III] ratio. The result is shown in Figure 8. The solid limiting contour (labeled “1”) marks the requirement that the gas covers less than half of the continuum source [W_\lambda(Al III] > 3.6 \AA]; this condition is met inside this contour. In the previous section, a conservative lower limit to the Al III/Si III] ratio was found: > 1.7. In Figure 8 we show this limiting contour as a dashed line (labeled “2”); the condition is met for values of density and flux lying to the right of this line. Considering both limiting contours restricts the hydrogen particle density to be larger than about 10^{12.2} cm^{-3} (or larger if the limiting Al III/Si III] ratio of 3.4 is used). At these densities, most of the normally strong UV emission lines observed in QSOs are at or near their thermalization limits.

Another constraint on the allowed parameter space in Figure 8 comes from the lower limit on the Al III/Ly\alpha ratio (0.05 or -1.3 dex; see Table 4) for component A. This indicates a region on the density-flux plane which nearly coincides with the intersection of Figures 7a and 7b just described. Values of this ratio must lie within the oval shaped dot-dashed contour (“3”) in Figure 8. Combining these limits with those above decreases somewhat the allowed area on the density-flux plane. The limiting contour of the Ly\alpha/Al III ratio as well as the W_\lambda(Al III] places an upper limit to the density, n_H, of \sim 10^{13.4} cm^{-3}. Tests show that these limits on the density and flux are not strongly dependent on continuum shape or metallicity.

Taken together, the constraints mentioned thus far allow a range of 1.5 dex in values of the ionization parameter, U(H) = \Phi(H)/n_H c, centered near a value of U(H) \sim 0.01. The ratio of Al III to a higher ionization line further limits the ionization parameter, but with the caveat that there is some freedom on the continuum shape. The observational limit on the Al III/C IV ratio restricts values of density and flux to lie below the dotted line (“4”) on Figure 8. A more constraining ratio is the Al III/Si IV ratio limit, shown as a triple-dot-dashed line (“5”); values must lie below this line. The range of allowed values of U(H) is reduced to 0.75 dex. The remaining allowed area on the density-flux plane in Figure 8 is bounded by the Al III/Si IV ratio at high fluxes, by the Al III/Ly\alpha ratio at low fluxes, by the Al III/Si III] ratio at low densities, and by W_\lambda(Al III] (among others) at high densities.

The final limits from Figure 8 are 10^{12.2} < n_H < 10^{13.3} cm^{-3} and 10^{20.1} < \Phi(H) < 10^{21.2} cm^{-2}. We adopt the midpoints of these ranges, n_H = 10^{12.7} cm^{-3} and \Phi(H) = 10^{20.65} cm^{-2}, as representative of the conditions in component A. This is the same flux in hydrogen ionizing photons as is favored to be incident upon component B (\Phi(H) \approx 10^{20.7} cm^{-2}; Paper II). These parameters imply a covering factor of \sim 0.28. For the adopted continuum shape and a specific luminosity at 1216 \AA of \lambda L_\lambda = 3.67 \times 10^{46}(100/H_0)^2 ergs s^{-1} (assuming q_0 = 0.5 and isotropic emission), this value of \Phi(H) corresponds to a radial distance between the ionized gas and the continuum source of r \sim 5 \times 10^{17}(100/H_0) cm. In Table 5, we show the predicted line intensities of component A for the chosen values of n_H and \Phi(H). The predictions are normalized to the observed flux in the Al III emission line.

The observed Al III/H\alpha \lambda 1640 \approx 1.67, while the ratio calculated from the models does not reach that value anywhere on the density-flux plane (Fig. 7c) and is in the range 0.4-0.6 within the region constrained by the other line ratios. A softer big bump cutoff energy for the same value in \alpha_{\text{cut}} would help, as would higher metallicities. For example, a big bump with a cutoff energy of 18 eV, the minimum allowed by the curvature of the observed UV continuum (Paper II) and which happens to be the ionization potential of Al^4, would produce an Al III/H\alpha ratio of about 1 while altering the others results little. Increasing the metallicity to 10 Z_{\odot} will produce the observed lower limit to the Al III/H\alpha ratio in the region of interest on the density-flux plane while altering the other results little [except to increase the
Fig. 7.—(a) Predicted contours of \( \log W(\text{Al III}) \) for component A, as a function of density and flux of hydrogen ionizing photons, for a covering factor of 1.0 and for metallicity \( Z = 5 \, Z_\odot \). The solid contour in the center has a value of 1 (10 Å) and decreases outward in increments of 0.2 in the log. The observed equivalent width must be scaled by (covering factor)\(^{-1}\) before comparing to this figure; the observed \( W(\text{Al m}) = 1.8 \, \text{Å} \) then scales to \( \log (3.6) = 0.6 \, \text{dex} \) for the assumed covering factor of 0.5. (b) Same as (a) for \( \log (\text{Al m}/\text{Si m}) \). This ratio is mainly a function of \( n_H \). The leftmost solid contour has value 0, with values increasing to the right in increments of 0.2 in the log. The observed limits [log (Al m/Si m)] > 0.22 in the most relaxed case; see § 4.2.2] indicate densities larger than \( \sim 10^{12} \, \text{cm}^{-3} \). (c) Same as for \( \log (\text{Al m}/\text{He m}) \). The central solid contour has value of 0 in the log, with values decreasing outward in increments of 0.2 in the log. The observed limit to the ratio is \( \geq 0.2 \) in the log. These calculations fail to reproduce that limit anywhere; see § 4.2.3 for details.

\( W(\text{Al m}) \) by a factor of \( \sim 2 \). In Paper II we emphasize that \( Z = 5 \, Z_\odot \) is the minimum metallicity required by the high-ionization line ratios in component B, and it might well be larger. Doubling the metallicity is therefore a perfectly acceptable solution. However, given the uncertainties in the atomic physics for third row elements, uncertainties in the elemental abundances as a function of metallicity, and a desire to keep the number of free parameters at a minimum, we choose not to fine-tune either the continuum shape or the metallicity.

There is also an important discrepancy between the predicted and observed Si II intensities. This is probably the signature of a pumping mechanism, as is discussed in Appendix C. The other large difference between the obser-
vations and the model is that the O III] λ1665 intensity is underpredicted by a factor of 10. We do not see any way to make this intercombination line in such high-density gas, and we can only suspect that we have either misidentified the line or attributed it to the wrong component.

4.3. Component B: Outward-Flowing, High-Ionization Gas

Paper II explores simulations of this component. The approach there is to find the ionizing continuum shape and other input parameters that will produce the observed intensity ratio N v/λ1240/He II λ1640 > 10 (and be consistent with all other measured values) with the least possible abundance enrichment. This requires at least 5 times solar metallicity. The most important constraints come from the measured limit of N v/He II and the measured value of \( W_0(N\,\nu) \), and from the measured N v/C IV and N v/O VI ratios. These indicate \( n_H \sim 10^{11} \) cm\(^{-3}\) and \( \Phi(H) \sim 10^{20.7} \) cm\(^{-2}\) s\(^{-1}\). Since we find similar values of \( \Phi(H) \) for components A and B, they are at similar distances from the nucleus unless the two components see different continua.

The extended blueward tail on the profile of component B (Fig. 5c) strongly suggests a gas flow. Such a flow must

![Figure 7c](image-url)

**Fig. 7c**

![Figure 8](image-url)

**Fig. 8**—All available limits (see Table 4) for component A, as a function of density and flux of hydrogen ionizing photons. Values must lie inside contour 1, to the right of contour 2, inside contour 3, beneath contour 4, and beneath contour 5. The star marks the adopted \( \Phi(H), n(H) \) values from § 4.2.3.

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TABLE 5

<table>
<thead>
<tr>
<th>Line</th>
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<th>Predicted</th>
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<tr>
<td>Ly α 973</td>
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<td>C ii 2336</td>
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</table>

* Component A fluxes, in units of 10^{-15} ergs cm^{-2} s^{-1}.

have a substantial velocity gradient, which will affect the transfer of otherwise saturated emission lines. Continuum fluorescence is included as an excitation mechanism for all lines in our calculations (see Ferguson, Ferland, & Pradhan 1994). For thermal line widths, this is usually not a significant contribution, but fluorescence will be important if lines are significantly turbulently broadened, since they are then able to absorb more of the continuum. We computed a series of models in which turbulence was added to the line widths. As expected, the equivalent widths of lines did increase, by as much as a factor of 3 for a turbulent velocity equal to the observed line widths. The relative line intensities did not change by more than 50% for even the most extreme case, since all lines are strengthened by this process. We do not consider turbulence further here, since the main effect is only to lower the required gas covering factor by the amount the equivalent widths change.

4.4. Component C: An Almost-Normal BELR?

Finally we come to component C, for which the template line is the more or less symmetric Lyα profile. This becomes the catch-all for whatever observed line flux cannot be explained by our models of components A and B. It appears to produce most of C iii] λ1909 as well as almost all of the Lyα and Si iv λ1397. Our "best-guess" separation of the line components attributes 51% of the N v λ1240 and C iv λ1549 flux to component C.

Since the line strengths measured for this component C are basically residuals from fits to other components, we have not attempted to model this region, and therefore we cannot give quantitative limits on n_H or Φ. However, Table 4 shows that except for Lyα being 2 times too strong, component C's spectrum is very similar to that of the two "normal" QSOs in our present sample (Q0000 - 398 and Q2212 - 299) which have comparable luminosities. Those two objects in turn have Lyα/C iv ratios about 2 times larger than in the composite QSO spectrum presented by Boyle (1990).

The other way in which the spectra of Q0000 - 398, Q2212 - 299, and all three emission-line components of Q0207 - 398 appear to be unusual is that Si iii] λ1892 is very weak relative to C iii] λ1909. The Si iii] / C iii] ratio is <0.15 in these three QSOs, whereas it is typically about 0.3 in Seyfert galaxies and in four narrow-lined QSOs observed by Ulrich (1989), as well as in Q1451 + 1017 (Table 4).

We conclude that component C has a spectrum which is similar to those of two of the three "normal" narrow-lined QSOs in our sample, and which is much more like the spectra of generic quasars and Seyfert galaxies than like the spectra of components A or B.

5. DISCUSSION

5.1. Bloated Stars?

Component A is >20 times denser than component B. It appears to have a covering factor Ω/4π and incident ionizing flux Φ(H) similar to component B, suggesting that the two components might be in the same location. Component A has an approximately Gaussian velocity distribution with a width (FWHM = 1000 km s^{-1}) that is characteristic of velocities of stars deep within the nuclei of giant elliptical galaxies such as M87 (Sargent et al. 1978; Harms et al. 1994). Component B, on the other hand, has a velocity profile which is consistent with a gas flow, either inward or outward.

A natural interpretation of these facts is that component A is made up of dense concentrations that are undergoing mass loss (whose origin is intrinsic, due to external heating, or both) to form component B. The gas densities inferred for component A are in fact quite close to the gas densities characteristic of the atmospheres of stars. We suggest that component A is in fact made up of "bloated" stars which are in the vicinity of the QSO nucleus (see Alexander & Netzer 1994) and which are having gas driven off by radiation pressure from the nucleus.

Matthews (1983) estimated the conditions needed for radiatively driven mass loss from stars. The conditions he assumed are radically different from those we find here, or are currently thought to characterize quasars. Our clouds are much closer to the continuum source and so are exposed to a far stronger incident continuum. Our standard parameters for the Al iii region correspond to Matthews's parameter f_{UV} = 60 rather than unity. Further, our continuum is far harder; the mean ionizing photon energy is roughly 40 eV rather than 13.6 eV. Finally, the opacity of the gas is increased considerably by the enhanced metals. All these effects combine to make radiative acceleration more important than Matthews found.

Our simulations predict the radiative acceleration, and stellar mass loss will occur if this exceeds the star's surface gravity. Radiative acceleration arising from all continuous opacity sources and lines is calculated. This includes all stages of ionization of the first 30 elements and the 24,000 lines described in Appendix B. As expected, we find far more efficient acceleration than would be produced by continuous absorption alone. Figure 9 shows the predicted radiative acceleration at the cloud's illuminated face, on the density-flux plane. For the parameters adopted for the Al iii
Another obvious alternative to this result is to assume that component A is gas rotating in a disk. Since the line profiles are narrow and not obviously double-peaked, such a disk would probably be in a face-on orientation, making it impossible to estimate the central mass. In that case, the model in which component B is gas ablating off bloated stars would no longer explain the extended blue tails of the component B profile, since any radiative acceleration of gas driven off the component A material would be nearly in the plane of the sky.

5.3. QSO Spectral Types

The range of properties of the spectra shown in Figures 1 and 2 might be explained in the following way: the spectra near the top of the figures are of QSOs which have significantly smaller contributions from very dense regions than do the QSOs producing the spectra shown near the bottom of the figures. At the same time, there appears to be a progressive decrease, from top to bottom of the figures. At the same time, there appears to be a progressive decrease, from top to bottom of the figures, of the relative strength of the “normal” (component C) QSO spectrum.

This description of individual QSO spectra as the superposition of a few standard templates, but in changing proportions, is similar to the results of Francis et al. (1992), Wills et al. (1993), and Brotherton et al. (1994). Those papers dealt with the average properties of large samples and showed that BLR spectra can statistically be described as the superposition of a “very broad line region” (VBLR) and an “intermediate line region” (ILR). The VBLR discussed by Brotherton et al. is spectrascopically similar to component B in Q0207–398; it has similar line width (7000 km s$^{-1}$), it is blueshifted, and can be fitted with the same ionization parameter (but they chose a somewhat higher density and therefore a correspondingly smaller $r$). Whether it has the same physical interpretation that we give to component B is unclear.

Their ILR, however, is obviously quite different from component A. It has a very different spectrum and is best modeled as relatively low-density gas ($n_{H} \sim 10^{10}$ cm$^{-3}$) lying farther out ($r \sim 1$ pc) than the VBLR gas. As described by Brotherton et al., the narrow-lined QSO Q1451 + 1017, which we include in this present study, is one of the prototypes for their ILR spectrum, and it is clear from our work here that it is quite different from component A. In the case of Q0207–398, any ILR has presumably been included in our component C.

The significance of the Brotherton et al. paper and its predecessors is that in general the BLR spectra of QSOs are better described by two components than by one. We show elsewhere (Baldwin et al. 1995) that a powerful generalized description of the BLR is as an ensemble of clouds covering a huge range both of gas densities and of distances from the continuum source, and that the distribution functions of those two parameters can be modeled statistically.

The case of Q0207–398 is different; in this particular QSO, the kinematical separation of the line profiles allows us to study some very well-defined, specific subregions within the BLR. It is unclear what fraction of all QSOs might have a region similar to component A which does not happen to have a separable line profile. Since we identify Al III emission as an easily detectable signature of high-density regions very close to the nucleus, we naively would have expected that strong Al III emission would be corre-
labeled with C IV profiles which indicate outflowing gas (either in emission or absorption). Various surveys of BAL QSO spectra do indicate that Al III is unusually strong in those objects (Hartig & Baldwin 1986; Junkkarinen, Burbidge, & Smith 1983; Weymann et al. 1991), although Weymann et al. (1991) would place the two BAL QSOs included in this study (H0335 − 336 and Q03408 − 4505) in a separate subclass of BAL QSOs distinguished by Mg II and Al III absorption troughs and also by particularly strong Al III and Fe III emission.

In Table 6 we list the total Al III equivalent widths for the objects observed here and also average values for some recent samples of BAL and non-BAL QSOs. Despite the remarkable overall similarities between the spectrum of Q0207 − 398 and those of our two BAL QSOs, W_A(Al III) for Q0207 − 398 is smaller than the average for any of the QSO samples. In our models, Al III and most other emission lines from the high-density regions are completely saturated at the blackbody limit, and so it is plausible that the relatively small (factor of 4) differences in W_A(Al III) shown in Table 6 are telling much about the range in ionizing continuum shapes as about the amount of high-density gas present. The emergence of lines such as Fe II UV 191 or the strong Si II high-excitation lines may in the end be better indicators of the presence of large amounts of dense gas, for the reasons outlined in Appendix C.

Finally, we note that the striking similarities of the narrow emission-line characteristics indicate that a relationship between Q0207 − 398 and the two BAL QSOs described here (H0335 − 336 and Q03408 − 4505) may exist. The major difference between Q0207 − 398 and these two Mg II-type BAL QSOs is that the former has a strong component B in emission, while the latter have many of the same lines in absorption from resonant scattering owing to outflowing material. We have suggested that component B might also be associated with an outflowing wind. Might these two outflows have a common origin (e.g., acceleration of material from component A)? Could the existence of component B in either emission or absorption be caused by the orientation of the observer? If the two types of outflows are indeed of the same origin, then the scattered flux must (1) escape strongly preferentially in directions away from the viewing angle required to observe the BALs (otherwise we would observe a strong emission signature from the BAL gas in the two BAL QSOs, and it is clear that we do not), or (2) have a much smaller covering factor in the two BAL QSOs, or (3) be preferentially destroyed in the two BAL QSOs. While current understanding concerning the BAL QSO phenomenon is that whether we observe BALs or not may depend on the observer’s viewing angle to the QSO (Weymann et al. 1991), it is hard to understand how the emission properties of the scattering medium in the outflowing wind could be so extremely anisotropic (see Hamann, Korista, & Morris 1993). The other two possibilities require differences to exist between the outflows.

6. SUMMARY

This study of seven z ~ 2 QSOs has produced the following main results:

1. The spectra show a range of properties which can be understood as a progressive difference in the relative contributions of different types of emission-line regions. At one extreme we see spectra which are a combination of low-ionization lines including Al III λ1867, Fe II UV 191, and various Fe III multiplets, and broad, asymmetric N v λ1240 and C IV λ1549 lines. QSOs at the other end of the sequence emit the “classical” QSO spectrum including Lyα, C IV λ1549, He II λ1640, and C III λ1909.

2. Analysis of the spectrum of Q0207 − 398 shows the following:

(i) The spectrum of Q0207 − 398 is at an intermediate point in this sequence of properties. Its emission-line profiles come from three different gas components which we call A, B, and C. These components can be separated kinematically, permitting them to be studied individually.

(ii) Component A lines include Al III λ1857, Fe II UV 191, and Fe III UV 34 and UV 48. They are produced in an extremely dense (n_e > 10^{12.5} cm^{-3}) gas which, unless the ionizing radiation is not isotropic, lies ~5 × 10^{17} cm (~0.16 pc) from the ionizing continuum source. The emission-line profiles from this region are quite narrow, with FWHM ~ 1000 km s^{-1}.

(iii) Component B produces much of the N v and C IV flux in this same QSO. This gas is receiving about the same ionizing flux as component A, but it is less dense (n_e ~ 10^{11} cm^{-3}). The line profiles have extended blue tails, which imply gas flowing at velocities v ~ 10^8 km s^{-1}.

(iv) We suggest that component A consists of the expanded envelopes of a large number of stars which are having their photospheres stripped away by radiation pressure from the QSO nucleus. Component B is the resulting outflowing wind. This model implies that the virial mass of the central object is only 10^{7}−10^{8} M_☉, if the ionizing continuum is isotropic.

(v) If component A is instead a rotating disk of some type, then the centrally peaked line profiles indicate that the disk probably is seen nearly face on. The above mass estimate would then be meaningless. But radiation pressure stripping of component A then could not account for the extended blue tail on the profile of component B, since the acceleration would be in the plane of the sky.

(vi) Q0207 − 398 also includes a third emission region, component C, which we interpret as the spectrum which dominates in “normal” QSOs.

3. There are many similarities between Q0207 − 398 and the two Mg II BAL QSOs in our sample. We suggest that the low-ionization Mg II BAL phenomenon in general may be a consequence of stars being too close to the QSO.

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nucleus, and that Al iii λ1857 emission is the indicator of those stars. The fraction of non-BAL QSOs which have significant amounts of outflowing gas can probably be determined from the statistics of Al iii emission strength. Our sample includes several luminous QSOs which do not have detectable Al iii emission, but several large and well-defined samples show that it is in fact very common.

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APPENDIX A

DETAILS OF LINE STRENGTH MEASUREMENTS

A1. Q1451+1017

The line profiles are composites of a broad (FWHM = 6200 km s⁻¹, FWZI = 25,000 km s⁻¹) base with an asymmetric red tail, and a strong narrow (FWHM = 850 km s⁻¹) spike. The C iv line has these two components in a broad-narrow intensity ratio of 4:1. The line strengths for Q1451+1017 in Table 4 are for a fit to each of the other lines of a profile with the two components in this intensity ratio, except that the integrated line intensity is given for Lyα and Mg ii.

The narrow components offer an especially good opportunity for separating blends. Baldwin et al. (1988) had shown previously that the features near 1909 Å are clearly C iii] and Si iii], rather than Fe ii, but that C iii] λ1909 and Mg ii λ2798 are weaker relative to Lyα and C iv than in QSOs of more typical (broader) emission line widths.

Our improved data now show that the feature near λ1400 is clearly Si iv λ1397 rather than O iv] λ1402 (the individual components are easily resolved), and they also allow us to measure N v λ1240, O i λ1303, N iv] λ1486, and O iii] λ1665. The fits to C iv λλ1548.20, 1550.77 and Si iv λλ1393.76, 1402.77 indicate that within each doublet the lines have a 1:1 intensity ratio as would be expected if the lines are optically thick, rather than the 2:1 value expected in the optically thin case.

The core of the Lyα emission line appears to extend to the red of the velocities of the other lines (see Fig. 3 of Baldwin et al. 1988). Recent model calculations made by Ferland et al. (1992) suggest that O v] λ1218.39 can become very strong in gas with a high ionization parameter, reaching 30% of the intensity of Lyα. We were able to get a very good fit to the redward side of the Lyα spike in Q1451+1017 by including a 30% contribution from O v] λ1218. However, there then must be strong absorption in Lyβ bluward of the line center; too many adjustable parameters are required for this to be a proof of the presence of O v] λ1218.

A2. Q0000−398 AND Q2212−299

These two objects both have moderately narrow emission lines. Within each object, all the emission lines can be fitted by the same profile except that C iii] has a small amount of excess emission on its red shoulder. Overlying absorption at the position of Lyβ in Q2212−299 causes the upper limit on its strength to be highly uncertain. In both QSOs there is a moderately strong line near 1300 Å which gives a much better fit to Si ii λ1307 than to O i λ1303; this is surprising since the O i line is expected to be strong as a result of fluorescence with Lyβ. All QSOs have significant amounts of O iv] λ1402 contributing to the λ1400 blend, although the exact split between O iv] and Si iv λ1397 is quite uncertain. Al iii and Fe ii UV 191 are weak (but detectable) in both these objects, and the λ1909 blend is dominated in both cases by C iii].

A3. Q1623+268

This QSO has broader lines than any of the others studied here and profiles which are clearly different for different lines. Many of the line identifications are therefore uncertain. We again produced a template C iv λ1548.20 profile by the same iterative technique employed with Q0207−398. The resulting profile gives a good fit to N v λλ1238.81, 1242.80, reproducing accurately the sharp drop to the redward side of the line center.

However, this template did not extend nearly far enough to the red to provide an adequate fit to C iii] λ1909. The wavelength separation of the two highest peaks in the λ1900 blend suggests that the main components are Si iii] λ1892 and C iii] λ1909. Therefore, to obtain a template profile representing C iii], we started again with a rough guess at the correct profile, fitted it to the Al iii and Si iii] lines in the blend, and then used the residual as an improved estimate of the C iii] profile. The final C iii] profile has FWHM = 3100 km s⁻¹ (vs. 4900 km s⁻¹ for C iv) and has its peak displaced 1900 km s⁻¹ to the red of the C iv peak.

We tried fitting both these templates to various other lines in the spectrum. The fit to O vi is poorer than expected, but fitting C iii] λ1909 to O vi or either line to Lyβ gave significantly worse fits. Using the high-resolution data of Sargent, Boksenberg, & Steidel (1988) as a guide to where the emission line is free of overlying absorption, we found that we could not get a reasonable fit to Lyα unless we constructed a profile made up of the C iv and C iii] templates in roughly equal proportions. We could not tell whether the strong λ1400 feature is O iv] or Si iv] (or a combination of the two) because the velocity offset between the two available templates is close to the velocity difference between the two possible features. The results for the feature near 1305 Å are similarly ambiguous; we cannot tell whether it is O i λ1303 or Si ii λ1307.

Table 4 lists the results of our attempts to fit each of two different template profiles at the location of each line listed in Table 3. The columns headed "A" and "B" are for fits using the C iv and C iii] templates respectively.

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This QSO has extremely narrow emission lines (FWHM \( \approx 1000 \) km s\(^{-1}\)). Unfortunately, BAL absorption greatly confuses the line measurements shortward of C IV \( \lambda 1549 \). To the red of C IV, all lines can be fitted by a single profile; we used Fe II UV 191 as the template. Most of the line strength measurements in this region are taken from Hartig & Baldwin (1986).

We then proceeded on the assumption that this would also be the correct template for the line profiles to the blue of C IV \( \lambda 1549 \). The line strengths listed in Table 4 for Si IV \( \lambda 1397 \) and C IV come from fitting just the red wing of the predicted blend, in which over half the flux from the blend must be absorbed away by the BAL system. The \( \lambda 1400 \) feature is identified with Si IV \( \lambda 1397 \) rather than O IV \( \lambda 1402 \) because the observed emission does not extend nearly far enough to the red to be the latter. The strength of C II \( \lambda 1335 \) is estimated from fitting to the blue side of a narrow spike; the red side is eaten away by the blueward edge of the S IV BAL trough.

The region around Ly\( \alpha \) is particularly messy. There are obvious peaks at the positions of N V \( \lambda 1240 \) and Si II \( \lambda 1263 \), but the highest point in the vicinity of Ly\( \alpha \) is 600 km s\(^{-1}\) to the red of the expected position. There appears to be absorption at the expected line center, which could be the result of the displacement of the peak. However, for any reasonable fit to the continuum (see Fig. 1), Ly\( \alpha \) also appears to have an immense red tail which initially falls off rapidly until it reaches half-peak intensity at about 3500 km s\(^{-1}\), and then it drops more slowly to reach zero intensity at about 16,000 km s\(^{-1}\). This could be emission from outward-flowing gas on the far side of the nucleus, corresponding to the gas on the near side which produces the BAL systems. The C IV BAL system in this QSO has an unusually narrow trough extending to \(-3300\) km s\(^{-1}\), and then further absorption which, if C IV, extends clear to \(-24,000\) km s\(^{-1}\).

A5. Q03408 - 4505

In spite of the great similarity to H0335 - 336, the spectrum of this object was much harder to measure because of small but definite differences in the line profiles. We again used Fe II UV 191 as our template because it appears to have fewer problems with blending or BAL absorption than any of the other lines. We obtained reasonably good fits to Al III \( \lambda 1857 \), C II \( \lambda 1335 \), and Si IV \( \lambda 1402.77 \) (assuming that the companion Si IV \( \lambda 1393.76 \) line falls in the \( \lambda 1402.77 \) BAL trough). However, N V \( \lambda 1240 \), Si II \( \lambda 1263 \), O I \( \lambda 1303 \), Si II \( \lambda 1307 \), C IV \( \lambda 1549 \), the lines in the \( \lambda 1909 \) blend, and Mg II \( \lambda 2798 \) all appear to have somewhat broader profiles. The values in Table 4 for most of these broader lines are just rough guesses at how to divide up fluxes in blends and to allow for the effects of BAL absorption, made using both the \( \lambda 1787.2 \) of the Mg II \( \lambda 2797 \) profiles as guides.

The separation of the individual peaks in the \( \lambda 1909 \) blend are more consistent with Fe III than with Si III, C III]. In view of the great similarity to the spectrum of H0335 - 337, we have assigned all the flux from this blend to Fe III and listed upper limits for Si III] and C III] which are half the Fe III strength. Intermediate combinations of the relative line strengths are also acceptable, but we doubt that Fe III could be more than 50% weaker than the value given in Table 4.

APPENDIX B

NUMERICAL DETAILS

The following sections describe some details and assumptions used in the CLOUDY photoionization code (Ferland 1995).

B1. ATOMIC DATA

B1.1. Data for C, N, Al, and Si Lines

Intensities of intercombination lines of nitrogen, aluminum, and silicon are crucial to this paper. Collision strengths for N III \( \lambda 990 \) and N III] \( \lambda 1750 \) are taken from Blum & Pradhan (1992), and transition probabilities are from Stafford, Hibbert, & Bell (1993). For N IV] \( \lambda 1486 \), the transition probabilities are taken from Allard et al. (1990), while the collision strengths are from Keenan et al. (1986). The Al III \( \lambda 1860 \) data are from Dufour et al. (1986) and Dufour & Kingston (1987b). Collision strengths and transition probabilities for Si III] \( \lambda 1892 \) are taken from Dufour & Kingston (1989) and Nussbaumer (1986), respectively. For Si IV \( \lambda 1397 \), data are from Dufour & Kingston (1987a) and Mendoza (1983). A series of permitted transitions of Si II are observed. We adopt the oscillator strengths given by Morton, York, & Jenkins (1988) and the collision strengths of Dufour & Kingston (1991). Recently, Kwong et al. (1993) measured experimentally the transition probability of C III \( \lambda 1909 \) to be 25% larger than the value derived by Nussbaumer & Storey (1978), the new value being 120.9 s\(^{-1}\). We adopted this newer value.

B1.2. Dielectronic Recombination

The state specific rate coefficient for decays from an autoionization level \( a \) above the ionization threshold of atom \( X^{+m} \) to the bound level \( b \) of the atom is related to the abundance of the ion \( X^{+m+1} \) by (Nussbaumer & Storey 1983)

\[
\alpha_{\text{die}}(a, T) = A_{a,b} b(X^{+m}) \frac{n^a(X^{+m})}{n^a n^a(X^{+m+1})},
\]

(1)

where \( A_{a,b} \) is the rate coefficient for radiative decays to the bound level, \( b(X^{+m}) \) is the departure coefficient for the autoionizing level, and the densities are their values in thermodynamic equilibrium. We assume that the autoionizing level is held in LTE by detailed balance between dielectronic recombination and autoionization. Converting the Einstein \( A_{a} \) to an oscillator
strength $f_b$, this expression becomes

$$a_{\text{diele}}(a, T) = A_{a, b} (X_a^{+m}) \frac{n_e^{n^*} (X_a^{+m+1})}{n_e^{n*} (X_a^{+m})} \frac{g_a}{g_{a, g}} \frac{\hbar}{2\pi m k T} \frac{8\pi^2}{mc^2 \gamma_{\text{ion}}} \frac{\gamma_{\text{ion}}^2}{g_a} \frac{E_a}{kT}$$

$$\approx 1.38 \times 10^{-8} T^{-3/2} f_{\text{abs}} \frac{g_i}{g_{\text{ion}}} \lambda_{\text{ion}}^2 \exp \left(-\frac{E_i}{kT}\right),$$

where the symbols have their usual meanings, the wavelength is expressed in microns, and we assume that the partition function of the ion is equal to the statistical weight of the ground state.

Dielectronic recombination rate coefficients for nitrogen are taken from Nussbaumer & Storey (1983). These have not been computed for most third row elements, so means of rate coefficients derived for second row elements are used for third row elements (Al and Si included), as discussed by Ali et al. (1991). In addition, we note that the dielectronic recombination rates are accurate at low densities only; possible effects of high densities on these rates are uncertain. We use the fits from Davidson (1975). This uncertainty has a significant impact on the prediction of those emission lines for which dielectronic recombination is important (e.g., N III and Si II).

**B1.3. Atomic Line Acceleration**

The Opacity Project (OP) calculations (Seaton et al. 1992) produced a set of accurate atomic data for all stages of ionization of astrophysically important elements: $Z \leq 14$ and $Z = 16, 18, 20, 26$, where $Z$ is atomic number. In particular, the OP data include oscillator strengths for all optically allowed transitions between states with $n \leq 10$ and $1 \leq L$. We retrieved from the OP database TOPbase (Cunto et al. 1993) the $gf$-values for all multiplets which involve the ground term. These 6018 resonance multiplets include 23,505 lines. We calculated the $f$-values of all individual lines in multiplets based on $LS$ coupling rules (Russell 1936). The TOPbase does not include the data for Fe I and Fe II. We obtained the $f$-values for 109 lines of 17 resonance multiplets of Fe I from Fuhr, Martin, & Wiese (1988) and 225 lines of 19 resonance multiplets of Fe II from Nahar (1995). In the whole, our line database includes the $f$-values of 23,839 lines of 6054 resonance multiplets.

These lines are treated as averaged multiplets rather than individual lines in the calculations described here. Each line has an associated optical depth, and the depth-dependent continuum pump rate is modeled as in Ferland (1992). The form of this function does not strongly affect results, since most line driving occurs over the first few optical depths, as the line absorbs the Doppler core.

**B1.4. Other Details**

All lines, including the intercombination lines, can become optically thick at the flux levels considered in this paper. All lines predicted here are transferred using escape probabilities, including destruction by the continuous background opacity. For strong resonance lines, interpolation on the results of Hummer & Kunasz (1980) are used, and Hummer's K2 function (Hummer 1968, 1981) is used for lines characterized by complete redistribution in a Doppler core.

Secondary ionization efficiencies are taken from the recent calculations of Xu & McCray (1991). Continuum fluorescence is an important excitation mechanism for many of the strong resonance lines in the component with large line width. This process is treated adopting the formalism presented by Ferland (1992).

**B2. MODEL PARAMETERS**

**B2.1. The Cloud Composition**

The $Z = 5 Z_\odot$ mixture is that described in Paper II and is the lowest metallicity mixture capable of reproducing the limit to N v/Fe II in component B in Q2027−398. The specific $5 Z_\odot$ abundances used are, by number relative to hydrogen, as follows: He, $1.24 \times 10^{-11}$; Li, $1.19 \times 10^{-8}$; Be, $1.52 \times 10^{-10}$; B, $4.45 \times 10^{-9}$; C, $8.24 \times 10^{-4}$; N, $1.15 \times 10^{-3}$; O, $5.95 \times 10^{-3}$; F, $1.95 \times 10^{-7}$; Ne, $7.94 \times 10^{-4}$; Na, $1.36 \times 10^{-5}$; Mg, $2.51 \times 10^{-4}$; Al, $1.93 \times 10^{-5}$; Si, $2.29 \times 10^{-4}$; P, $2.41 \times 10^{-6}$; S, $1.06 \times 10^{-4}$; Cl, $1.21 \times 10^{-6}$; Ar, $2.34 \times 10^{-5}$; K, $8.72 \times 10^{-7}$; Ca, $1.42 \times 10^{-5}$; Sc, $1.73 \times 10^{-9}$; Ti, $1.22 \times 10^{-7}$; V, $1.49 \times 10^{-8}$; Cr, $6.87 \times 10^{-7}$; Mn, $4.85 \times 10^{-5}$; Fe, $6.64 \times 10^{-5}$; Co, $3.18 \times 10^{-9}$; Ni, $2.50 \times 10^{-6}$; Cu, $2.65 \times 10^{-8}$; Zn, $6.41 \times 10^{-8}$.

**B2.2. The Incident Continuum**

We use the continuum adopted in Paper II. Briefly, this was a big bump represented by $f \propto e^{-\hbar \nu/kT}$ with an energy cutoff of 21.6 eV, added to a $f \propto \nu^{-1}$ X-ray power law extending to 100 keV. The two continua were normalized by a choice of $a_{\text{ox}} = -1.2$. The choice of $a_{\text{ox}}$ was driven by the need to match the observed $W_{\text{d}}(\text{N v})$ in component B with a reasonable covering factor (0.2). The observed values were much smaller ($-1.57$ and $-1.84$; Wilkes et al. 1994, Bechtold et al. 1994), and we concluded that the gas in component B may be viewing a continuum different from the observed one. If this is the case, then it is possible that component A sees yet another continuum. However, for reasons of simplicity and because the predictions of the general conditions within component A based upon the lower ionization emission lines will not be very sensitive to the continuum shape, we have chosen to use the same continuum shape here. See Paper II for more details concerning the choice of continuum shape.

**B2.3. Stopping Criterion**

The models were stopped when the electron density fell to 0.5 times the total hydrogen density. For the final component A model, the total hydrogen column density was $\sim 10^{23}$ cm$^{-2}$, so the maximum depth considered was $\sim 10^{23}/10^{12.65} \approx 10^{10.4}$ cm.
APPENDIX C

THE Si II DISASTER

Figure 10 shows a Grotrian diagram for the lowest levels of Si$^{+}$, and selected terms of Fe$^{+}$. Table 5 shows that our calculations explain adequately the intensity of the lowest Si II line, $\lambda$1814, relative to Al III $\lambda$1857, but they fail to reproduce the more highly excited Si II lines ($\lambda$1263, $\lambda$1307) by 1-2 orders of magnitude. These high-excitation lines are relatively strong and well measured: Si II $\lambda$1263/Si II $\lambda$1814 = 6 and Si II $\lambda$1307/Si II $\lambda$1814 = 7. We calculated grids of the Si II $\lambda$1307/Si II $\lambda$1814 intensity ratio like those shown in Figure 7 for other lines and find that nowhere on the density-ionizing flux plane does the predicted Si II $\lambda$1307/Si II $\lambda$1814 ratio come any closer than an order of magnitude to the observed one. This demonstrates that slight changes in parameters cannot help match this line ratio. In the work described above, we did not attempt to match any Si II line because of this fundamental uncertainty in the origin of the spectrum. Nevertheless, the final model (Table 5) reproduces the intensity of the lower excitation Si II line ($\lambda$1814) quite well. The problem is that the high-excitation lines are much stronger relative to $\lambda$1814 than expected for collisional excitation.

This suggests that a selective excitation process, not included in the present calculations, affects excited levels of Si$^{+}$. A question that may be related to this is the origin of Fe II UV 191 (also shown in Fig. 10). This multiplet is known to be selectively excited in stars (see the review by Johansson & Hansen 1988), either by the continuum through UV 9, or by the chance coincidence that Fe II UV 9 overlaps with Si II $\lambda$1263. This second line is, in fact, strong in our source, although our simulations cannot reproduce it. The sources with strong UV 191 also have strong Si II $\lambda$1263. In fact, the Si II $\lambda$1263 is stronger than the feature that is close to UV 191, suggesting that it is energetically possible for a fluorescence processes involving Si II to excite UV 191 with the observed intensity.

We have investigated several selective excitation processes to try to reproduce the intensity of the highly excited Si II lines. Si$^{+}$ has an autoionizing level very close to the ionization edge (3d$^{2}$F$^{+}$, shown in Fig. 10; Bashkin & Stoner 1975). The autoionizing level decays to a bound transition through dielectronic recombination lines at $\lambda$1909 and $\lambda$1307, and features at these wavelengths are in fact strong (although we do not identify them with the stabilizing transition). We know of no estimates of the oscillator strength of the dielectronic recombination transitions to bound levels, so we assume unity. The result for the model presented in Table 5 is that the two transitions from the autoionizing level are predicted to have intensities roughly equal to the Al III line. We conclude that dielectronic recombination could be a competitive process in selectively exciting the $\lambda$1263 and $\lambda$1814 transitions of Si II. Unfortunately, we are not in a position to make reliable estimates of the dielectronic recombination rate coefficient, beyond what is described here. This process should be investigated further.

An alternative is charge transfer. H$^{+}$ + Si$^{++}$ $\rightarrow$ H$^{+}$ + Si$^{+}$ is known to be fast, but the daughter is left in the ground state of Si$^{+}$ (Gargaud, McCarron, & Valiron 1982) and produces no Si II lines. We have investigated charge transfer into excited states of Si$^{+}$, an energetically possible channel for the temperatures in our simulations. We assume a rate coefficient equal to that quoted by Gargaud et al. for transfer into ground, and we confirm that this would result in significant emission. This too should be investigated further.

For pure collisional excitation, the Si II $\lambda$1531 line, which we do not see (but the line is blended with C IV), will have an intensity comparable to the higher excitation Si II lines such as $\lambda$1307 and $\lambda$1263. If $\lambda$1531 is much weaker than $\lambda$1263 or $\lambda$1307, then this would be evidence that the latter lines are not collisionally excited.

It is because of the extreme conditions in component A that such processes as dielectronic recombination or charge transfer can produce significant emission. All resonance lines from this region are saturated near the blackbody limit because of thermalization at the high density. As a result, lines such as Al III are not efficient emitters, and processes which produce excited transitions such as the dielectronic recombination described above, become competitive.

![Grotrian diagram for Si$^{+}$ and Fe$^{+}$](image)

**Fig. 10.** A partial Grotrian diagram for Si$^{+}$ and Fe$^{+}$, with the lines discussed in this paper indicated.

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