Therapeutic lumbar facet joint nerve blocks in the treatment of chronic low back pain: cost utility analysis based on a randomized controlled trial

The Harvard community has made this article openly available. Please share how this access benefits you. Your story matters

Citation

Published Version
doi:10.3344/kjp.2018.31.1.27

Citable link
http://nrs.harvard.edu/urn-3:HUL.InstRepos:34868851

Terms of Use
This article was downloaded from Harvard University’s DASH repository, and is made available under the terms and conditions applicable to Other Posted Material, as set forth at http://nrs.harvard.edu/urn-3:HUL.InstRepos:dash.current.terms-of-use#LAA
Therapeutic lumbar facet joint nerve blocks in the treatment of chronic low back pain: cost utility analysis based on a randomized controlled trial

Laxmaiah Manchikanti, Vidyasagar Pampati, Alan D. Kaye, and Joshua A. Hirsch

Background: Related to escalating health care costs and the questionable effectiveness of multiple interventions including lumbar facet joint interventions, cost effectiveness or cost utility analysis has become the cornerstone of evidence-based medicine influencing coverage decisions.

Methods: Cost utility of therapeutic lumbar facet joint nerve blocks in managing chronic low back pain was performed utilizing data from a randomized, double-blind, controlled trial with a 2-year follow-up, with direct payment data from 2016. Based on the data from surgical interventions, utilizing the lowest proportion of direct procedural costs of 60%, total cost utility per quality adjusted life year (QALY) was determined by multiplying the derived direct cost at 1.67.

Results: Patients in this trial on average received 5.6 ± 2.6 procedures over a period of 2 years, with average relief over a period of 2 years of 82.8 ± 29.6 weeks with 19 ± 18.77 weeks of improvement per procedure. Procedural cost for one-year improvement in quality of life showed USD $2,654.08. Estimated total costs, including indirect costs and drugs with multiplication of direct costs at 1.67, showed a cost of USD $4,432 per QALY.

Conclusions: The analysis of therapeutic lumbar facet joint nerve blocks in the treatment of chronic low back pain shows clinical effectiveness and cost utility at USD $2,654.08 for the direct costs of the procedures, and USD $4,432 for the estimated overall cost per one year of QALY, in chronic persistent low back pain non-responsive to conservative management. (Korean J Pain 2018; 31: 27-38)

Key Words: Cost-benefit analysis; Cost effectiveness; Diagnostic techniques and procedures; Low back pain; Lumbar vertebrae; Nerve block; Quality-adjusted life years (QALY); Randomized controlled trial; Therapeutic uses; Zygapophyseal joint.
INTRODUCTION

Previous well-controlled studies have established facet joints, intervertebral discs, and sacroiliac joints as potential sources of low back and lower extremity pain [1–3]. Recent systematic reviews have revealed Level II evidence for the diagnostic validity of lumbar facet joint nerve blocks with controlled local anesthetic or placebo blocks [2, 4]. Similarly, systematic reviews and comprehensive assessment by spinal interventional techniques guidelines [1, 4, 5] have shown Level II evidence based on best evidence synthesis for conventional radiofrequency and therapeutic lumbar facet joint nerve blocks.

In addition to clinical effectiveness, cost effectiveness or cost utility analysis studies are crucial in clinical practice and health policy related to ever-increasing health care costs [6–32]. While the literature is replete with clinical effectiveness studies and systematic reviews [1–5, 33–47], the cost effectiveness or utility analysis of Interventional techniques has been limited [10–15, 30].

Appropriate cost effectiveness studies have been published for caudal epidural injections derived from randomized trials [11], lumbar interlaminar epidural injections [15], percutaneous adhesiolysis [12], and spinal cord stimulation [10, 14], showing procedural cost utility at USD 2,173 and USD 2,650, for caudal epidural injections and adhesiolysis, respectively. Cost effectiveness for spinal cord stimulation was shown at €5,624.

The cost effectiveness studies of physiotherapy showed it to be superior to advice alone, at a cost utility of USD 6,379 per quality adjusted life year (QALY) [31], whereas another study comparing cost effectiveness of primary care management with or without early physical therapy for acute low back pain [23] showed an incremental cost effectiveness ratio of USD 32,058 per QALY.

The cost analysis of surgical interventions demonstrated a significant benefit with direct medical costs, without including the costs of medications, of USD 18,645 (68%) with a total cost of USD 27,347 per 2 years in managing disc herniation [7], and USD 15,717 or 60% for direct costs, without medications, at a total cost per 2 years of USD 26,222 in surgical management of spinal stenosis, with USD 29,868 or 71% with a total cost of USD 42,081 for degenerative spondylolisthesis cost for 2 years [9].

Based on the above data, from Spine Patient Outcomes Research Trial (SPORT) [7, 9], cost utility analysis showed USD 69,403 per QALY for disc herniation [7], USD 77,603 per QALY gained for spinal stenosis, and USD 175,600 per QALY gained for degenerative spondylolisthesis [9]. Thus, costs attributed to direct medical costs without medical therapy appear to be variable at 60% for spinal stenosis, 88% for disc herniation, and 71% for spondylolisthesis [7, 9]. Extrapolating the data from highly regarded surgical intervention publications [7, 9], costs for caudal epidural injections, which were calculated with direct medical costs only, will increase from USD 2,173 to USD 3,628 per QALY with the addition of 40% of costs for cost utility analysis, whereas it will increase the cost utility of percutaneous adhesiolysis from USD 2,650 to USD 4,426 per QALY [12]. In addition, cost utility analysis of lumbar interlaminar epidural injections in the treatment of lumbar disc herniation, central spinal stenosis, and axial or discogenic low back pain utilizing the extrapolated method of surgical interventions of direct cost showed an average cost of USD 3,301 per QALY [15]. Consequently, interventional techniques with preliminary analysis appear to be cost effective compared to physical therapy as well as surgical interventions.

There is a growing concern in reference to the escalating utilization of multiple interventions and health care costs in chronic pain management, including those of conservative modalities, interventional techniques, and surgery [48–64]. Recently, Dieleman et al. [56] demonstrated that low back and neck pain accounted for the third highest amount, with an estimated health care spending in 2013 of USD 87.6 billion. In this analysis of health care expenses from 1996 through 2013, USD 30.1 trillion of personal health care spending was disaggregated by 155 conditions. Health care spending increased for 143 of the 155 conditions, but spending on low back and neck pain, and on diabetes mellitus, increased the most over the 18 years, by an estimated USD 57.2 billion for low back and neck pain.

In addition, spending on emergency care and retail pharmaceuticals also increased at the fastest rates of 6.4% and 5.6% annual growth rate, which were higher than the annual rate for spending on other sectors, which also include cost attributed to low back pain. It is thus crucial to provide not only clinically effective treatments, but also meet the cost utility criteria to provide value-based, high quality care.
Even though the effectiveness of interventional techniques in managing spinal pain has been extensively debated with discordant conclusions [1–5,34–47,65–69], overall evidence has been shown to be promising in managing chronic spinal pain. Thus, facet joint interventions may be provided for patients with facet joint pain from axial or somatic low back pain, and in a small proportion of patients with post-surgery syndrome [1–4].

Manchikanti et al., [48,49,58] demonstrated significant increases in facet joint interventions in the Medicare population. They showed lumbar facet joint interventions increased at 286.2% per 100,000 beneficiaries compared to –2% for lumbar epidural injections from 2000 to 2014. Analysis of individual procedures showed increases of 567.8% for lumbar radiofrequency and 227.3% for lumbar facet joint blocks [48,49,53].

The present investigation was undertaken in order to evaluate and determine valid and reliable cost utility information for therapeutic lumbar facet joint nerve blocks in managing chronic low back and lower extremity pain with data derived from a previously conducted double-blind, randomized controlled trial with a 2-year follow-up [70,71].

MATERIALS AND METHODS

1. Study design
A randomized, double-blind controlled trial evaluating therapeutic facet joint nerve blocks provided the basis for the current cost utility analysis [70,71]. The trial’s design and methodology have been reported [70,71]. Participants in the trial had previously failed conservative management and received therapeutic facet joint injections after achieving at least 80% concordant pain relief with controlled, comparative local anesthetic blocks [1,2]. An Institutional Review Board (IRB) approved the study, which was conducted in a contemporary interventional pain management setting in the United States.

Briefly, inclusion criteria consisted of those patients with a history of chronic function-limiting low back pain of at least 6 months’ duration with positive results to controlled diagnostic lumbar facet joint nerve blocks with a least 80% concordant pain relief and the ability to perform previously painful movements. For diagnostic lumbar facet joint nerve blocks (medial branch and L5 dorsal ramus blocks), the exclusion criteria included radicular pain, surgical interventions of the lumbar spine within the last 3 months, uncontrolled major depression or psychiatric disorders, heavy opioid usage of morphine equivalent of 300 mg, acute or uncontrolled medical illness, chronic severe conditions that could interfere with the interpretations of the outcome assessments, and women who were pregnant or lactating. A total of 120 patients were assigned to one of the 2 groups consisting of either a non-steroid group with local anesthetic only (Group I) or a steroid group with local anesthetic and steroid (Group II). All of the diagnostic lumbar facet joint nerve blocks were performed under sterile conditions in the operating room under fluoroscopic guidance with injection of 0.5 ml of 1% preservative-free lidocaine, followed by 0.5 ml of 0.25% bupivacaine on a separate occasion, usually 3 to 4 weeks after the first injection, if the results of lidocaine block were positive. Therapeutic lumbar facet joint nerve blocks were performed under fluoroscopic guidance in a sterile operating room with injection of 0.5 to 1.5 ml of mixture of clear solution with or without steroid at each level as assigned by grouping.

2. Analysis
Sixty patients were randomly assigned into each group from a total of 120 patients. Randomization was carried out in blocks of 20 patients by a computer-generated random allocation sequence. The sample size was determined as requiring 50 patients in each group. Statistical methods involved Chi-squared statistic, Fisher’s exact test, paired t-test, and one-way analysis of variance. Intent-to-treat analysis was performed utilizing the last follow-up data.

All costs were assessed based on 2016 reimbursement based on their payment sources for the facility and physician services. Since reimbursement rates often change, current reimbursement was utilized.

3. Outcome measures
Pain and function were measured in the study: pain with the 11-point Numeric Rating Scale (NRS) and function with the 50-point Oswestry Disability Index (ODI). Additional measurements included employment status and opioid doses converted to morphine equivalents. Measurements for all outcomes were performed at 3, 6, 12, 18, and 24 months after treatment. Significant pain relief was described as a 50% or more reduction in the NRS score, and significant improvement in function was described as at
least 40% reduction in ODI. Patients employed or unemployed on a part-time basis with limited or no employment due to pain were classified as employable.

4. Cost utility analysis

Based on their payment structure, using 2016 reimbursement data, direct procedural costs for 24 months after enrollment were assessed, including physician payments and facility expenses. Based on the most commonly quoted cost utility analysis of surgical interventions [7,9] utilizing the lowest cost for direct procedural costs without including medications, 60% was utilized. Thus, cost utility per QALY was calculated to provide realistic costs to meet the criteria of other interventions. The total cost utility was based on a multiplication of procedural costs by a factor of 1.67. This approach was based on well-regarded cost utility analyses in surgical interventions from SPORT trials [7,9] with extrapolation of costs. In these manuscripts [7,9], the authors identified direct and indirect costs. They also utilized surgical, as well as nonoperative, groups to assess the costs. Direct costs included medical and surgical costs at each time point during the follow-up period, whereas indirect costs included productivity losses, missed days of housekeeping, and unpaid caregivers, etc. Since use of medication costs were not utilized in our analysis, we eliminated those costs from direct costs and included them into indirect costs. The SPORT trials [7,9] showed a 2-year cost of managing disc herniation of USD $18,645 (68%) with a total cost of USD $27,341 without inclusion of medications, whereas for spinal stenosis and spondylolisthesis, direct costs without medication costs were USD $15,717 or USD $29,868 or total costs of USD $26,222 or USD $42,081 of which 60% were considered as direct medical expenses without medication for spinal stenosis and 71% was considered as direct expense without medication for spondylolisthesis. Quality of life improvement and cost

---

**Fig. 1.** Schematic presentation of patient flow at 2-year follow-up with therapeutic lumbar facet joint nerve blocks [70,71].
Table 1. Demographic Characteristics of Patients Undergoing Lumbar Facet Joint Nerve Blocks Included in Randomized Controlled Trial [70,71]

<table>
<thead>
<tr>
<th></th>
<th>Group I (local anesthetic without steroids) (N = 60)</th>
<th>Group II (local anesthetic with steroids) (N = 60)</th>
<th>Pooled (N = 120)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Gender</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Male</td>
<td>35% (21)</td>
<td>45% (27)</td>
<td>40% (48)</td>
</tr>
<tr>
<td>Female</td>
<td>65% (39)</td>
<td>55% (33)</td>
<td>60% (72)</td>
</tr>
<tr>
<td>Age</td>
<td>48.0 ± 14.3</td>
<td>45.7 ± 16.4</td>
<td>46.7 ± 15.4</td>
</tr>
<tr>
<td>Height (cm)</td>
<td>167.9 ± 9.3</td>
<td>171.7* ± 11.0</td>
<td>169.4 ± 10.4</td>
</tr>
<tr>
<td>Weight (kg)</td>
<td>83.27 ± 21.73</td>
<td>85.9 ± 22.68</td>
<td>84.6 ± 22.63</td>
</tr>
<tr>
<td>Duration of pain (months)</td>
<td>108.1 ± 102.8</td>
<td>108.0 ± 100.9</td>
<td>108.1 ± 101.5</td>
</tr>
<tr>
<td>Mode of onset of pain</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gradual</td>
<td>52% (31)</td>
<td>62% (37)</td>
<td>57% (68)</td>
</tr>
<tr>
<td>Sudden</td>
<td>16% (10)</td>
<td>5% (3)</td>
<td>11% (13)</td>
</tr>
<tr>
<td>WC/MVA</td>
<td>32% (19)</td>
<td>33% (20)</td>
<td>32% (39)</td>
</tr>
</tbody>
</table>

Values are mean ± SD. Group I: bupivacaine, Group II: bupivacaine and steroids. WC: workers compensation, MVA: motor vehicle injury. *Significant difference with the group I (P < 0.05).

utility was based on these costs for 2 years in these patients per QALY for disc herniation of USD $69,403 with 68% for direct medical costs without medical therapy, USD $77,600 for spinal stenosis per QALY, and USD $115,600 per QALY for degenerative spondylolisthesis with direct medical costs variable at 60% for spinal stenosis, and 71% for spondylolisthesis. Thus, utilizing the highest cost attributed as 40% for indirect expenses including medical therapy, in this analysis, 60% was utilized as direct costs without medical therapy. Consequently, it was multiplied by 1.67 to arrive at a total cost.

The present investigation utilized quality of life improvement per year (52 weeks) for 2 years (104 weeks) based on the costs of lumbar facet joint nerve blocks with primary outcomes of significant pain relief of 50% and improvement in function of 40%.

RESULTS

1. Patient flow
Patient flow is demonstrated in Fig. 1. Eighty percent of the patients in Group I and 85% of the patients in Group II were followed through 2 years.

2. Demographics
Patient demographics are demonstrated in Table 1, along with clinical characteristic data.

3. Adverse events
There were no major adverse events reported over the 2-year study period in any of the 120 participants.

4. Outcomes
Table 2 shows comparison summaries of NRS for pain and ODI score for function, Fig. 2 demonstrates combined improvement with significant reduction in NRS of ≥ 50% and ODI of ≥ 40%.

5. Cost utility analysis
Cost utility analysis was based on the quality of life improvement and cost for procedure per QALY based on the primary outcomes of pain relief and improvement in functional status (Table 3).

The results showed direct costs per procedure without medical therapy of USD $758.08. Direct costs for a one-year improvement in quality of life without the inclusion of medical therapy were USD $2,654.18 with the addition of indirect costs by multiplication with 1.67 yielding USD $4,432 per QALY.

DISCUSSION

The present study reports the cost utility of lumbar facet joint nerve blocks based on a review of 120 patients who were non-responsive to conservative management, and were randomized into 2 groups either treated with local anesthetic alone or treated with local anesthetic and...
Table 2. Pain Relief and Functional Assessment Evaluated by Oswestry Disability Index Characteristics in Randomized Controlled Trial of Lumbar Facet Joint Nerve Blocks [70,71]

<table>
<thead>
<tr>
<th></th>
<th>Group I</th>
<th>Group II</th>
<th>Pooled</th>
</tr>
</thead>
<tbody>
<tr>
<td>Numeric rating score</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Baseline</td>
<td>8.2 ± 0.8</td>
<td>7.9 ± 1.0</td>
<td>8.1 ± 0.9</td>
</tr>
<tr>
<td>6 months</td>
<td>3.6* ± 1.5 (83%)</td>
<td>3.3* ± 0.8 (93%)</td>
<td>3.4 ± 1.1 (88%)</td>
</tr>
<tr>
<td>12 months</td>
<td>3.7* ± 1.7 (82%)</td>
<td>3.5* ± 1.1 (85%)</td>
<td>3.6 ± 1.2 (83%)</td>
</tr>
<tr>
<td>24 months</td>
<td>3.5* ± 1.5 (85%)</td>
<td>3.2* ± 0.9 (90%)</td>
<td>3.3 ± 1.2 (87%)</td>
</tr>
<tr>
<td>Oswestry disability index</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Baseline</td>
<td>26.6 ± 4.6</td>
<td>25.9 ± 5.0</td>
<td>26.3 ± 4.8</td>
</tr>
<tr>
<td>6 months</td>
<td>12.7* ± 4.7 (58%)</td>
<td>12.2* ± 5.0 (50%)</td>
<td>12.3 ± 5.0 (59%)</td>
</tr>
<tr>
<td>12 months</td>
<td>12.3* ± 4.8 (68%)</td>
<td>11.8* ± 5.4 (65%)</td>
<td>12.1 ± 5.1 (67%)</td>
</tr>
<tr>
<td>24 months</td>
<td>12.0* ± 4.9 (70%)</td>
<td>11.0* ± 4.8 (78%)</td>
<td>11.5 ± 4.9 (74%)</td>
</tr>
</tbody>
</table>

(____) illustrates proportion with significant pain relief (≥ 50%) pain and 40% disability from baseline. *Significant difference with baseline values within the group (P < 0.001).

steroids. These patients were followed for 2 years, and using 2016 reimbursement data had a one year QALY valued at USD $2,654.18 for procedural costs and USD $4,432 with overall estimated costs. The procedures per patient were similar in the 2 groups: 5.6 ± 2.6 over 24 months. Each procedure produced a significant improvement of 19 ± 18.77 weeks; average relief overall was 82.8 ± 29.6 weeks.

These findings are in line with past cost utility analysis of randomized controlled trials of percutaneous adhesiolysis. Cost utility assessment of adhesiolysis reported direct costs of USD $2,650 and an estimated overall cost of USD $4,426 per QALY [12]. The cost utility was higher than the cost utility of caudal epidural injections with direct costs of USD $2,173 and an estimated overall cost of USD $3,628 per one year of QALY [11], and lumbar interlaminar epidural injections with overall cost of USD $3,301. Compared to caudal and lumbar epidural injections, the costs are higher despite the fact that no diagnostic interventions are included in the cost analysis. This result is probably because many of the patients with lumbar facet joint pain received bilateral facet joint nerve blocks, and all of them at 2 levels.

Cost utility was less than that of spinal cord stimulation (SCS) for failed back surgery syndrome, at £ 5,624 per QALY [10] or CAD at $9293 per QALY [14]. Of importance, Taylor et al. [10] utilized the United Kingdom’s National Institute for Health and Care Excellence (NICE) cost effectiveness analysis [18]. In patients with nonspecific low back pain of at least the 3-month duration, with disability, an incremental cost effectiveness of USD $4,594/QALY was shown with physical therapy [72]. A favorable cost utility of USD $2,216/QALY over spinal stabilization physiotherapy was demonstrated with individual physiotherapy [73]. Physiotherapy was also more cost effective than advice alone in low back pain of the 6-week duration, at a cost utility of USD $6,379/QALY [31].

A recent study of the cost effectiveness of primary care management, with or without early physical therapy for acute low back pain [23], revealed that early physical therapy resulted in higher total one-year costs and better quality of life after one year. This assessment also showed
Table 3. Cost Utility Analysis of Therapeutic Lumbar Facet Joint Nerve Blocks in Managing Chronic Low Back Pain

<table>
<thead>
<tr>
<th></th>
<th>Group I (bupivacaine without steroid)</th>
<th>Group II (bupivacaine with steroid)</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of patients</td>
<td>60</td>
<td>60</td>
<td>120</td>
</tr>
<tr>
<td>Total number of procedures for 2 years</td>
<td>334</td>
<td>335</td>
<td>669</td>
</tr>
<tr>
<td>Average number of treatments for 2 years per patient (mean) ± SD</td>
<td>5.6 ± 2.7</td>
<td>5.6 ± 2.5</td>
<td>5.6 ± 2.6</td>
</tr>
<tr>
<td>Total number of weeks with significant pain relief (≥ 50%) for all patients in the study in weeks (2 years)</td>
<td>4916</td>
<td>5020</td>
<td>9936</td>
</tr>
<tr>
<td>Average number of weeks with significant pain relief (≥ 50%) in two years per patient (mean) ± SD</td>
<td>81.9 ± 31.8 (60)</td>
<td>83.7 ± 27.5 (60)</td>
<td>82.8 ± 29.6</td>
</tr>
<tr>
<td>Average number of weeks with significant pain relief (≥ 50%) per procedure (mean) ± SD</td>
<td>19 ± 19.9 (60)</td>
<td>19 ± 18.2 (60)</td>
<td>19 ± 18.77</td>
</tr>
</tbody>
</table>

Direct costs (USD $) without drug costs

<table>
<thead>
<tr>
<th></th>
<th>Physician</th>
<th>Facility</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Group I</td>
<td>$73,064</td>
<td>$180,768</td>
<td>$253,831</td>
</tr>
<tr>
<td>Group II</td>
<td>$68,622</td>
<td>$184,699</td>
<td>$253,321</td>
</tr>
<tr>
<td>Total</td>
<td>$141,686</td>
<td>$365,467</td>
<td>$5,071,536</td>
</tr>
</tbody>
</table>

Direct cost per procedure (USD $)

<table>
<thead>
<tr>
<th></th>
<th>Physician</th>
<th>Facility</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Group I</td>
<td>$218.75</td>
<td>$541.22</td>
<td>$759.97</td>
</tr>
<tr>
<td>Group II</td>
<td>$204.84</td>
<td>$551.34</td>
<td>$756.18</td>
</tr>
<tr>
<td>Total</td>
<td>$211.79</td>
<td>$546.29</td>
<td>$758.08</td>
</tr>
</tbody>
</table>

Direct costs for 2-years improvement in quality of life (USD $)

<table>
<thead>
<tr>
<th></th>
<th>Physician</th>
<th>Facility</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Group I</td>
<td>$5,369.90</td>
<td>$5,248.08</td>
<td>$5,308.36</td>
</tr>
<tr>
<td>Group II</td>
<td>$5,248.08</td>
<td>$5,248.08</td>
<td>$5,248.08</td>
</tr>
<tr>
<td>Total</td>
<td>$10,618.08</td>
<td>$10,496.16</td>
<td>$10,556.44</td>
</tr>
</tbody>
</table>

Direct costs for 1-year improvement in quality of life (USD $)

<table>
<thead>
<tr>
<th></th>
<th>Physician</th>
<th>Facility</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Group I</td>
<td>$2,684.95</td>
<td>$2,624.04</td>
<td>$2,654.18</td>
</tr>
<tr>
<td>Group II</td>
<td>$2,624.04</td>
<td>$2,624.04</td>
<td>$2,624.04</td>
</tr>
<tr>
<td>Total</td>
<td>$5,309.00</td>
<td>$5,248.08</td>
<td>$5,258.22</td>
</tr>
</tbody>
</table>

Indirect costs (USD $) including drugs for 1-year improvement in quality of life

<table>
<thead>
<tr>
<th></th>
<th>Physician</th>
<th>Facility</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Group I</td>
<td>$1,798.91</td>
<td>$1,758.11</td>
<td>$1,778.30</td>
</tr>
<tr>
<td>Group II</td>
<td>$1,758.11</td>
<td>$1,758.11</td>
<td>$1,758.11</td>
</tr>
<tr>
<td>Total</td>
<td>$3,557.02</td>
<td>$3,516.22</td>
<td>$3,536.41</td>
</tr>
</tbody>
</table>

Total estimated costs (USD $) including procedural, drug and all indirect costs for 1-year quality of life improvement

<table>
<thead>
<tr>
<th></th>
<th>Physician</th>
<th>Facility</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Group I</td>
<td>$4,484.00</td>
<td>$4,382.00</td>
<td>$4,432.00</td>
</tr>
<tr>
<td>Group II</td>
<td>$4,382.00</td>
<td>$4,382.00</td>
<td>$4,382.00</td>
</tr>
<tr>
<td>Total</td>
<td>$8,866.00</td>
<td>$8,764.00</td>
<td>$8,784.00</td>
</tr>
</tbody>
</table>

The incremental cost effectiveness ratio was USD $32,058 (95% CI: $10,629, $151,161) per QALY. Even then, authors have concluded that early physical therapy is a cost-effective modality relative to usual primary care after one year for patients with acute, non-specific low back pain. Further, observational research also showed that delaying referral to physical therapy is associated with increased overall health care costs and a greater risk for receiving advanced imaging or invasive procedures for low back pain [24–26].

Overall analysis of complementary and alternative medical treatments for cost effectiveness compared to no treatment, a placebo, physical therapy, or usual care in reducing pain immediately or at short-term after improvement, revealed significantly greater effectiveness of complementary and alternative medicine treatments [6]. Dagenais et al. [27] showed that the largest proportion of direct medical costs for low back pain was spent on physical therapy and inpatient services, with 17% for each category, followed by pharmacy (13%), and primary care (13%). They also demonstrated that those with back pain had total medical care costs that were USD $1,015 greater (USD $3,493 versus USD $2,478) than those without back pain. They also analyzed the incremental medical care costs, attributing a total of USD $26 billion with USD $11.1 billion for office based visits, USD $4.7 billion for outpatient services, USD $4.5 billion for inpatient care, USD $3.9 billion for prescription drugs, and USD $1.1 billion for emergency department visits [27].

As shown by Dieleman et al. [56], low back pain continues to be one of the most expensive conditions in the United States with an estimated spending of USD $57.2 billion for low back and neck pain. In a cost utility analysis of value-based care in management of spinal disorders [17], great value was shown for nonoperative treatments such as graded activity increase over physical therapy and pain management, spinal manipulation over exercise, behavioral therapy and physiotherapy over advice, and finally acupuncture and exercise over usual general practitioner care. However, in a systematic review of cost utility analysis in spine care, only 45% of the cost utility studies showed estimates of less than USD $100,000 per QALY.
gained, whereas approximately 23% showed greater cost utility of USD $100,000 or more per QALY gain [16].

Cost utility analysis of operative interventions has shown variable estimations. The most common and expensive intervention, namely operative lumbar discectomy, showed surgical care demonstrating a significant incremental benefit and outcome advantage over non-operative care. In assessment of the data from the Spine Patient Outcomes Research Trial (SPORT), Tosteson et al. [7] showed cost effectiveness of surgical treatment for lumbar disc herniation at USD $89,403 per QALY for the general population and USD $34,355 for the Medicare population per QALY. They also showed [9] the cost effectiveness of spinal stenosis surgeries was USD $77,600 per QALY gained, whereas, it was USD $115,600 per QALY gained for degenerative spondylolisthesis.

In modern times of escalating health care utilization and costs straining economies across the globe, value-based medicine with high quality and low cost has become the norm of public policy [54–56–62–64–74–85]. Cost utility analysis refers to a particular form of cost effectiveness analysis with measurements of outcomes in terms of QALY. The cost utility analysis allows broad comparison across differing and not necessarily comparable programs or interventions.

Consequently, use of cost utility analysis for the interventions that provide the most value to patients is essential for achieving accountable and value-based health care [74–81]. With assessment of the cost utility of an intervention, public health policy may be centered around interventions which provide the most benefit to patients as measured by patient-centered outcome measures while providing high quality care at the least expense. The outcomes to be determined in long-standing, persistent, chronic pain poses multiple challenges; however, outcomes in chronic pain may be assessed appropriately utilizing disability days saved, pain-free days, or overall improvement in quality of life [86].

Again, the measurement of quality of life, an essential part of human survival, may be measured with functional status, health status, or health related quality of life, feeling of well-being, satisfaction with care, health service utilization, and economic analysis along with improved status of medical and psychological ailments [87]. Thus, quality of life assessment, in total, is designed to evaluate the patient’s ability to function in their own world, specifically in the elderly with improved physical function measures with the ability to perform daily activities of life including walking, climbing stairs, or carrying on a daily routine which they enjoy.

Consequently, we posit that this assessment has both provided appropriate cost utility analysis at a reasonable direct cost of USD $2,654 and overall cost of USD $4,432 per year of quality of life improvement. This cost is even lower than the cost utility provided by physical therapy [23] and surgical interventions [7–9].

The current analysis is limited because only current procedure costs were considered, and remaining costs were extrapolated at 40%. The study did not consider additional medical benefits, such as a return to work, The return to work for the patients in this study was impressive. At baseline, 39 patients were considered employable; only 27 were employed. At the end of the study, that number had increased to 38 of 39. Also, diagnostic costs were not considered. Additional limitations include that the results utilized here are from a single center assessment of 120 patients, even though it is a randomized, controlled trial and assessed long-term improvement.

The costs of the provision of interventional techniques have decreased in 2017 compared to 2016, consequently, it may even provide lower cost estimations if we utilized 2017 data. Yet, costs of diagnosis may be estimated to be at a maximum of USD $1,000 per patient with 1 or 2 controlled diagnostic blocks which were not performed in all the patients, but also provide approximately 9 weeks of significant improvement which will also add to improvement in the quality of life.

In addition, we also estimate that the overall costs reported in the current analysis might be 30% to 70% higher in a hospital setting, whereas they might be 20% to 30% lower in an office setting [88,89]. There are no cost estimations for radiofrequency neurotomy; however, considering that radiofrequency neurotomy provides approximately 6 months of relief on average, with improvement in functional status, and the costs are twice as much as therapeutic lumbar facet joint nerve blocks, the cost utility analysis probably will demonstrate similar results of costs per QALY.

Even then, it may be argued that radiofrequency neurotomy may provide considerably better and more cost effective relief which is expected to last on average about 6 months based on policy considerations with the ability.
to repeat the procedure after 6 months of relief [1,4,5]. Derby et al. [30] provided hypothetical cost analysis based on an 80% pain relief threshold as a criterion standard at USD $34,667; however, they have not calculated quality of life improvement.

ACKNOWLEDGEMENTS

The authors wish to thank: Tom Prigge, MA, and Laurie Swick, BS, for manuscript review; and Tonie M. Hatton and Diane E. Neihoff, transcriptionists; for their assistance in preparation of this manuscript.

CONFLICT OF INTEREST

Dr. Manchikanti has provided limited consulting services to Semnur Pharmaceuticals, Incorporated, which is developing non-particulate steroids.

Dr. Kaye is a speaker for Depomed, Inc.

Dr. Hirsch is a consultant for Medtronic.

REFERENCES


54–68.
69. Boswell MV, Manchikanti L. Appropriate design, methodological quality assessment, and clinically relevant outcomes are essential to determine the therapeutic role of epidural injections for low back pain and radiculopathy. Evid Based Med 2016: 21: 89.


