



# Periods of a Moduli Space of Bundles on Curves

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# PERIODS OF A MODULI SPACE OF BUNDLES ON CURVES.

By D. MUMFORD and P. NEWSTEAD.

We will work over the complex numbers in this paper. For all curves  $C$ , and for all integers  $(n, d)$ , the problem arises of determining the structure of the "space" of all vector bundles  $E$ , with rank  $n$  and degree ( $= \deg c_1(E)$ )  $d$ . The problem has been considerably clarified recently by the introduction of the concept of stable and semi-stable bundles: [4], [6], [10]. It has been proven, in particular, that for each  $n$  and each line bundle  $L$  on  $C$  such that  $n$  and  $\deg L$  are relatively prime, then the set:

$$S_{n,L}(C) = \left\{ \begin{array}{l} \text{set of all stable vector bundles } E \text{ on } C \text{ of} \\ \text{rank } n \text{ such that } \Lambda^n E \cong L \end{array} \right.$$

has a natural structure of a non-singular projective variety of dimension  $(n^2 - 1) \cdot (g - 1)$ , where  $g = \text{genus } (C)$ . It is important to note that the map

$$E \mapsto E \otimes M$$

for a line bundle  $M$  induces an isomorphism

$$S_{n,L}(C) \xrightarrow{\cong} S_{n,L \otimes M^n}(C)$$

hence the variety  $S_{n,L}(C)$  depends essentially only on the residue class of  $\deg L \bmod n$ .

We wish to look at the case  $g \geq 2$ ,  $n = 2$ ,  $\deg L$  odd. In this case, we may assume for simplicity that a base point  $x_0 \in C$  has been chosen that  $L$  is taken to be the line bundle whose sections form the sheaf  $\mathcal{O}_C(x_0)$ . We abbreviate  $S_{2,L}(C)$  now to  $S_2^-(C)$ . The topology of these varieties has been described in [7] and when the genus of  $C$  is 2, their complete structure is described in [8].  $S_2^-(C)$  has dimension  $3g - 3$  and is known to be birationally equivalent to  $\mathbf{P}_{3g-3}$ . In particular, it is simply connected and the invariants  $h^{0,p} = h^{p,0}$  are all 0, ([9]). In [7], it is also proven that  $B_2 = 1$ ,  $B_3 = 2g$ . Now for non-singular projective varieties  $X$  with  $h^{0,3} = h^{3,0} = 0$ , a very interesting invariant is Weil's "intermediate jacobian" attached to  $H^3(X)$ . This is an abelian variety, which we shall denote  $J^2(X)$ , which is by definition:

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$$J^2(X) \cong H^3(X, \mathbf{R}) / \text{Image}[H^3(X, \mathbf{Z})]$$

where  $H^3(X, \mathbf{R})$  is given a complex structure via the decomposition

$$H^3(X, \mathbf{R}) \otimes \mathbf{C} \cong H^{2,1} \oplus H^{1,2}$$

since this induces an isomorphism

$$H^3(X, \mathbf{R}) \cong H^{1,2} = H^2(X, \Omega^1).$$

cf. [11], [1], [3]. Weil also showed that a polarization on  $X$  induces a polarization on  $J^2(X)$  in a canonical way.

If  $\text{Alb}(C)$  denotes the albanese, or jacobian, variety of  $C$ , then our main result is:

**THEOREM.**  $J^2[S_2^-(C)] \cong \text{Alb}(C)$ .

Note that  $S_2^-(C)$  has a *unique* polarization since  $B_2 = 1$ , hence  $J^2(S_2^-(C))$  has a *canonical* polarization, just as  $\text{Alb}(C)$  does. It is easy to check that our isomorphism is compatible with these canonical polarizations, hence by Torelli's theorem, we conclude:

**COROLLARY.** If  $S_2^-(C_1) \cong S_2^-(C_2)$ , then  $C_1 \cong C_2$ .

Before beginning the proof, we must recall Weil's map relating  $J^2(X)$  to codimension 2 cycles on  $X$ :

let  $Y$  be a non-singular parameter space,

let  $W$  be an algebraic cycle on  $X \times Y$  of codimension 2.

Then we get

$w \in H^4(X \times Y, \mathbf{Z})$ , the fundamental class of  $W$   
 esp.  $w_{3,1} \in (H^3(X, \mathbf{Z})/\text{torsion}) \otimes H^1(Y, \mathbf{Z})$ , the  $(3,1)$ -component  
 of  $w$ .

Then  $w_{3,1}$  defines a map

$$\begin{array}{ccc} \phi_w: \triangle H^1(Y, \mathbf{R}) & \xrightarrow{\text{linear maps which are integral on } H^1(Y, \mathbf{Z})} & H^3(X, \mathbf{R}) / \text{Image } H^3(X, \mathbf{Z}) \\ \parallel & & \parallel \\ \text{Alb}(Y) & & J^2(X) \end{array}$$

which is easily seen to be complex-analytic using the fact that  $w$  is of type  $(2, 2)$  in the Hodge decomposition of  $H$ . Note the obvious fact:

LEMMA 1.  $\phi_w$  is an isomorphism if and only if  $w_{3,1}$  is "unimodular," (i. e., written out as a matrix in terms of bases of  $H^3(X, \mathbf{Z})/\text{torsion}$ ,  $H^1(Y, \mathbf{Z})$ , it is a square matrix with  $\det = \pm 1$ ).

1. In the sequel, we abbreviate  $S_2^-(C)$  by  $S$ . The first step in our proof is to construct a universal vector bundle  $E$  on  $S \times C$ , i. e., one whose restriction to  $\{t\} \times C$ , for any  $t \in S$ , is exactly the vector bundle  $E_t$  on  $C$  corresponding to the point  $t \in S$ . This is a problem in descent theory. In fact,  $S$  can be described as a quotient  $R/PGL(\nu)$ , where  $R$  is a non-singular quasi-projective variety, and  $PGL(\nu)$  acts freely on  $R$ ; and where there is a vector bundle  $F$  on  $R \times C$  whose restriction to  $\{t\} \times C$ , any  $t \in R$ , is the vector bundle on  $C$  corresponding to the image of  $t$  in  $S$ : cf. [10], p. 321. However, the difficulty is that the action of  $PGL(\nu)$  on  $R$  does not, a priori, lift to an action on  $F$ . Instead,  $GL(\nu)$  acts on  $F$  satisfying

- 1)  $G_m = \text{center}(GL(\nu))$  acts on  $F$  by homotheties
- 2) if  $\pi: GL(\nu) \rightarrow PGL(\nu)$  is the canonical map, and  $T_g$  represents the action of an element  $g$ , then the diagram

$$\begin{array}{ccc} F & \xrightarrow{\quad T_g \quad} & F \\ \downarrow & & \downarrow \\ R \times C & \xrightarrow{\quad T_{\pi(g)} \times 1_C \quad} & R \times C \end{array} \quad \text{commutes.}$$

The way out of this type of impasse is to find a "functorial" way of associating to every vector bundle  $E$  on  $C$  (of the type being considered) a 1-dimensional vector space  $\lambda(E)$  such that multiplication by  $\alpha$  in  $E$  induces multiplication by  $\alpha$  in  $\lambda(E)$ . By functorial we mean that the procedure extends to families of such vector bundles: if  $E$  is a vector bundle on  $T \times C$  (for any algebraic scheme  $T$ ) whose restriction to  $\{t\} \times C$  is of the type under consideration, then we should get a line bundle  $\lambda(E)$  on  $T$ . Moreover, for any diagram of vector bundles

$$\begin{array}{ccc} E_1 & \xrightarrow{\quad g \quad} & E_2 \\ \downarrow & & \downarrow \\ T_1 \times C & \xrightarrow{\quad f \times 1_C \quad} & T_2 \times C \end{array}$$

making  $E_1$  into a fibre product of  $E_2$  and  $T_1 \times C$  over  $T_2 \times C$ , we should be given a definite isomorphism of  $\lambda(E_1)$  with  $f^*(\lambda(E_2))$ . For example, if  $T_1 = T_2 = \text{Spec}(\mathbf{C})$ ,  $E_1 = E_2 = E$ , and  $g$  is multiplication by a scalar  $\alpha \neq 0$ , we are then given an induced automorphism of  $\lambda(E)$ : we want this automorphism to be multiplication by  $\alpha$  too (it might turn out to be multiplication by  $\alpha^n$  instead). All this data is subject to an obvious co-cycle condition: compare [5], p. 64. If we can find such data, we get as a consequence a line bundle  $\lambda(F)$  on  $R$ , plus an action of  $GL(v)$  on  $\lambda(F)$  in which the center acts by homotheties. If we then define

$$F' = F \otimes p_1^*(\lambda(F)^{-1}),$$

we get a new vector bundle on  $R \times C$  with the same restrictions to the fibres  $\{t\} \times C$  as before; but where in the natural action of  $GL(v)$  on  $F'$ , the action of the center  $G_m$  on  $F$  and  $p_1^*(\lambda(F)^{-1})$  cancel each other out, i.e.,  $PGL(v)$  acts on  $F$ . Then  $F/PGL(v)$  is the sought-for universal vector bundle on  $S \times C$ .

Here's how to construct  $\lambda$ . We limit ourselves to the case  $T = \text{Spec}(\mathbf{C})$ ,  $E$  a vector bundle on  $C$ , since the generalization of  $\lambda$  to an arbitrary base will be clear. Recall  $E$  has rank 2, degree 1, and is stable:

- a)  $H^1(E \otimes (\Omega_C^1)^k) = (0)$ , if  $k \geq 1$ .

*Proof.* This group is dual to  $H^0(\hat{E} \otimes (\Omega_C^1)^{1-k})$  and if this were non-zero, we would get a non-zero homomorphism

$$(\Omega_C^1)^{k-1} \rightarrow \hat{E}$$

hence a sub-line-bundle  $G \subset \hat{E}$  of degree  $\geq 2(k-1)(g-1) \geq 0$ . This contradicts the stability of  $E$ .

- b) If  $V_k(E) = H^0(E \otimes (\Omega_C^1)^k)$ , then

$$\dim V_k(E) = (2g-2)(2k-1) + 1.$$

*Proof.* Riemann-Roch.

- c) Set  $\lambda(E) = [\Lambda^{2g-1} V_1(E)]^{\otimes (3g-1)} \otimes [\Lambda^{g-5} V_2(E)]^{\otimes (-g)}$ .

Then multiplication by  $\alpha$  in  $E$  induces the endomorphism, multiplication by  $\alpha$ , in each  $V_k(E)$ , hence it induces multiplication by  $\alpha$  to the power

$$(3g-1)(2g-1) + (6g-5)(-g)$$

in  $\lambda(E)$ . This number happens to be 1!

We now know that  $E$  exists. Next consider the chern classes of  $E$ . We have

$$\begin{aligned} c_2(E) &\in H^4(S \times C, \mathbf{Z}) \cong (H^2(S, \mathbf{Z}) \otimes H^2(C, \mathbf{Z})) \\ c_1(E) &\in H^2(S \times C, \mathbf{Z}) \cong H^2(C, \mathbf{Z}) \otimes H^2(S, \mathbf{Z}) \\ &\quad \otimes (H^3(S, \mathbf{Z}) \otimes H^1(C, \mathbf{Z})) \\ &\quad \otimes H^4(S, \mathbf{Z}). \end{aligned}$$

Note that any bundle  $E \otimes p_1^*M$ ,  $M$  a line bundle on  $S$ , would have the same universal property that  $E$  does, so  $c_1(E)$  is not very interesting. However, let

$$\alpha = (c_2(E))_{3,1} = [\text{component of } c_2(E) \text{ in } H^3(S, \mathbf{Z}) \otimes H^1(C, \mathbf{Z})].$$

A simple computation of chern classes shows that  $\alpha$  is independent of this modification of  $E$ . According to [7],  $H^3(S, \mathbf{Z})$  and  $H^1(C, \mathbf{Z})$  have the same rank. In fact:

PROPOSITION 1.  $\alpha$  is unimodular.

This will be proven in § 2. Assuming this, it follows from Lemma 1 that if  $W =$  the algebraic 2nd chern class of  $E$ , then Weil's map  $\phi_W: \text{Alb}(C) \rightarrow J^2(S)$  is an isomorphism, as required. Although it is not essential, it will be convenient in § 2 to know that  $H^3(S, \mathbf{Z})$  is torsion-free. In fact, the torsion subgroup of  $H^3(X, \mathbf{Z})$ —for any non-singular complete variety  $X$  over  $\mathbf{C}$ —is a birational invariant of  $X$  known as the “topological Brauer group” (cf. [12], Cor. (7.3) and equation (8.9), p. 59). And  $S$  is birationally equivalent to  $\mathbf{P}_{3g-3}$  which has no  $H^3$  at all!

2. We start by recalling the results of [6]. In fact, let  $S_0$  be the subset of  $SU(2)^{2g}$  consisting of points  $(A_1, \dots, A_{2g})$  such that

$$\prod_{i=1}^g A_{2i-1} A_{2i} A_{2i-1}^{-1} A_{2i}^{-1} = -I.$$

Then  $S_0$  is an orientable submanifold of  $SU(2)^{2g}$  and there is a natural map

$$p: S_0 \rightarrow S,$$

which is a principal fibration with group  $PU(2)$ . The map  $p$  may be determined as follows. Let  $\tilde{C}$  be the simply-connected covering of  $C$  which is

ramified over  $x_0$  with ramification index 2. The group  $\pi$  of this covering is generated by elements  $a_1, \dots, a_{2g}$  subject to the single relation

$$\left[ \prod_{i=1}^g a_{2i-1} a_{2i} a_{2i-1}^{-1} a_{2i}^{-1} \right]^2 = e.$$

Thus a point of  $S_0$  may be regarded as a representation of  $\pi$ , and this representation defines a stable bundle  $E$  over  $C$  of rank 2, with  $\Lambda^2 E \cong L$ , and hence a point of  $S$ . So we get a map  $p: S_0 \rightarrow S$ . Notice that the  $a_i$  determine elements of  $\pi_1(C)$  and hence of  $H_1(C; \mathbf{Z})$ , and that these elements form a basis for  $H_1(C; \mathbf{Z})$ ; let  $\{\alpha_i\}$  be the dual basis of  $H^1(C; \mathbf{Z})$ .

LEMMA 2.  $p^*: H^3(S; \mathbf{Z}) \rightarrow H^3(S_0; \mathbf{Z})$  is an isomorphism.

*Proof.* Since  $H^1(PU(2); \mathbf{Z}) = 0$  and  $H^1(S; \mathbf{Z}) = 0$  ( $S$  is simply-connected), the spectral sequence of the fibration  $p$  gives rise to an exact sequence

$$H^0(S; H^2(PU(2); \mathbf{Z})) \rightarrow H^3(S; \mathbf{Z}) \xrightarrow{p^*} H^3(S_0; \mathbf{Z}) \rightarrow H^0(S; H^3(PU(2); \mathbf{Z})).$$

Now the first group in this sequence is  $\mathbf{Z}_2$  and the last is  $\mathbf{Z}$ . Moreover  $H^3(S; \mathbf{Z})$  is torsion-free (see § 1) and has the same rank as  $H^3(S_0; \mathbf{Z})$  by the results of [7]. The lemma now follows.

LEMMA 3. The homomorphism  $H^3(SU(2)^{2g}, \mathbf{Z}) \rightarrow H^3(S_0; \mathbf{Z})$  induced by the inclusion of  $S_0$  in  $SU(2)^{2g}$  is an isomorphism.

*Proof.* Lemma 3 of [7] shows that the homomorphism

$$H_3(S_0; \mathbf{Z}) \rightarrow H_3(SU(2)^{2g}, \mathbf{Z})$$

is surjective, except possibly for some 2-primary torsion. However, in this simple case, the same argument can be used to prove that the homomorphism is really surjective. It follows at once that  $H^3(SU(2)^{2g}; \mathbf{Z})$  is contained in  $H^3(S_0; \mathbf{Z})$  as a direct summand. The lemma now follows from the fact that the ranks of these two groups are equal (see [7]) and that  $H^3(S_0; \mathbf{Z})$  is torsion-free by Lemma 2.

Now let  $p_i: S_0 \rightarrow SU(2)$  denote the projection on the  $i$ -th factor and let

$$\beta_i = p_i^*[\text{generator of } H^3(SU(2); \mathbf{Z})].$$

Then by Lemma 3 the  $\beta_i$  form a basis for  $H^3(S_0; \mathbf{Z})$ . In view of Lemma 2, it is now sufficient to prove:

PROPOSITION 2.  $c_2[(p \times 1_C)^* E]_{3,1} = \sum_{i=1}^{2g} \beta_i \otimes \alpha_i.$

Now choose embedding  $s_i: S^1 \rightarrow C - x_0$  which represent the generators  $a_i$  of  $\pi$ . Then

$$\begin{aligned} s_i^*(\alpha_j) &= 0 & i \neq j \\ &= \text{generator of } H^1(S^1; \mathbf{Z}) & i = j. \end{aligned}$$

Hence Proposition 2 will follow at once from

PROPOSITION 3.

$$c_2[(1_{S_0} \times s_i)^*(p \times 1_C)^*E]_{3,1} = \beta_i \otimes [\text{generator of } H^1(S^1; \mathbf{Z})].$$

We now need to recall a few more details from [6]. Let  $E_\rho$  be the bundle over  $C$  corresponding to the representation  $\rho \in S_0$ . Then ([6] Remark 6.2) we can write down coordinate transformations for  $E_\rho$  as follows. Choose a finite open covering  $\{U_i\}$  ( $i=0, 1, \dots, m$ ) of  $C$  such that every non-empty intersection of the sets  $U_i$  is contractible. Assume  $x_0 \in U_0$ ,  $x_0 \notin U_i$  for  $i \neq 0$ . Assume moreover that there exist discs  $D_i$  in  $\tilde{C}$  such that  $U_0$  is the quotient of  $D_0$  by  $\mathbf{Z}_2$  and that for  $i \neq 0$ ,  $D_i$  maps homeomorphically onto  $U_i$ . For every  $i, j, k$ , where  $k=i$  or  $j$ , let  $W_{ij,k}$  be a connected component of  $v^{-1}(U_i \cap U_j) \cap D_k$  (where  $v: \tilde{C} \rightarrow C$  is the covering map). If  $U_i \cap U_j = \emptyset$ ,  $i \neq j$ ,  $W_{ij,k}$  maps homeomorphically onto  $U_i \cap U_j$ ; let  $\gamma_{ij}$  be the element of  $\pi$  such that  $\gamma_{ij}W_{ij,j} = W_{ji,i}$ . Then a set of coordinate transformations  $g_{ij}$  for  $E_\rho$  is given by

$$\begin{aligned} g_{ij} &= \rho(\gamma_{ij}) \text{ on } U_i \cap U_j, \quad i \neq 0, \quad j \neq 0 \\ &= f_i \cdot \rho(\gamma_{0i}) \text{ on } U_0 \cap U_i, \quad i \neq 0, \end{aligned}$$

where  $f_i$  is an analytic scalar function on  $U_0 \cap U_i$  which is independent of  $\rho$ . Note that the coordinate transformations depend differentially on  $\rho$ , so that the same  $g_{ij}$  (now regarded as functions on  $S_0 \times U_i \cap S_0 \times U_j$ ) define a differentiable bundle  $E'$  over  $S_0 \times C$  which is a differentiable family of analytic bundles over  $C$ .

Now  $E' | \{\rho\} \times C \cong E_\rho \cong (p \times 1_C)^*E | \{\rho\} \times C$  for all  $\rho \in S_0$ . Since  $E$  is stable, it follows that

$$\dim_{\text{Anal.}} H^0(C; \text{Hom}(E', (p \times 1_C)^*E) | \{\rho\} \times C) = 1$$

for all  $\rho$ . So by Proposition 2.7 of [2],

$$\bigcup_{\rho \in S_0} H^0(C; \text{Hom}_{\text{Anal.}}(E', (p \times 1_C)^*E) | \{\rho\} \times C)$$



has a natural structure of differentiable line bundle over  $S_0$ . Let  $L$  be the induced line bundle over  $S_0 \times C$ . There is then an obvious isomorphism

$$E' \otimes L \cong (p \times 1_C)^* E.$$

So

$$c_2[(p \times 1_C)^* E]_{3,1} = c_2(E')_{3,1}.$$

Using the above explicit description of the bundle  $E'$ , we see that for any continuous map  $s: S^1 \rightarrow C - x_0$ ,  $(1_{S_0} \times s)^* E'$  can be described as follows: take a trivial bundle of rank 2 over  $S_0 \times [0, 1]$  and glue its ends together by means of the map

$$S_0 \rightarrow SU(2),$$

defined by

$$\rho \mapsto \rho(a), \text{ where } a \in \pi \text{ corresponds to } s.$$

Apply this when  $s = s_i$ ,  $a = a_i$ , and  $\rho(a_i) = p_i(\rho)$ ; so Proposition 3 will follow at once from

LEMMA 4. *Let  $W$  be a space and let  $F$  be the bundle over  $W \times S^1$  obtained by glueing together the two ends of the trivial bundle of rank 2 over  $W \times [0, 1]$  by means of the map  $f: W \rightarrow SU(2)$ . Then*

$$c_2(F) = f^*[\text{generator of } H^3(SU(2); \mathbf{Z})] \otimes [\text{generator of } H^1(S^1; \mathbf{Z})].$$

*Proof.*  $F$  is the bundle induced by  $f$  from the bundle obtained by taking  $W = SU(2)$ ,  $f = 1_{SU(2)}$  in the construction. Hence it is sufficient to prove the lemma for this special case. But then it follows from the fact that  $H^*(BSU(2))$  is generated by  $c_2$ .

This completes the proof of Proposition 3 and hence of our theorem.

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