Optimization of Gene Expression by Natural Selection

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Optimization of gene expression by natural selection

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It is generally assumed that stabilizing selection promoting a phenotypic optimum acts to shape variation in quantitative traits across individuals and species. Although gene expression represents an intensively studied molecular phenotype, the extent to which stabilizing selection limits divergence in gene expression remains contentious. In this study, we present a theoretical framework for the study of stabilizing and directional selection using data from between-species divergence of continuous traits. This framework, based upon Brownian motion, is analytically tractable and can be used in maximum-likelihood or Bayesian parameter estimation. We apply this model to gene-expression levels in 7 species of Drosophila, and find that gene-expression divergence is substantially curtailed by stabilizing selection. However, we estimate the selective effect, s, of gene-expression change to be very small, approximately equal to \( N_s \) for a change of one standard deviation, where \( N \) is the effective population size. These findings highlight the power of natural selection to shape phenotype, even when the fitness effects of mutations are in the nearly neutral range.

A

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to Brownian Motion. Here we apply models of Brownian motion to describe the variance in gene-expression level between orthologous genes as a function of the time separating these orthologs (13, 14). Brownian motion, also known the Wiener process, represents one of simplest continuous-time, continuous-state stochastic processes. In a Brownian motion, the degree of stochastic change away from the current state is independent of both state and time. The increment that a Brownian motion makes over a time interval of length \( t \) is normally distributed with mean \( 0 \) and variance \( \sigma^2 \). The “volatility” parameter \( \sigma \) completely describes the Brownian motion and determines the rate at which a trait’s value diffuses away from its current state. In an evolutionary context, \( \sigma \) describes the rate of “phenotypic drift” experienced by a gene. Our use of the term drift differs from the classic usage, wherein drift refers to a systematic trend in the evolution of a Brownian motion. Genes in which expres-

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The authors declare no conflict of interest.

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Fig. 1. Realizations of the OU process. Three individual realizations are shown for each of four different parameter values. The drift parameter $\sigma$ determines the degree of mutational pressure randomly impacting the trait value, while $\lambda$ determines the pull of selection toward some optimal trait value. In each realization, the starting value was sampled from the equilibrium distribution.

Inferring Fitness Landscapes. We convert the OU parameters $\lambda$ and $\sigma$ into population-genetic estimates of the strength of selection through comparison of the ratio of the instantaneous rates of positive change and negative change in the OU model to the ratio of fixation rates of selectively advantageous and disadvantageous mutations. We find that the ratio of instantaneous rates of change for the OU model is:

$$\frac{r_{+\mu}}{r_{-\mu}} = \frac{\lambda}{e^{\sigma^2/\lambda} - 1}$$

where $\lambda$ represents the optimal trait value, $\lambda$ is proportional to the strength of selection, and $\sigma$ is proportional to the strength of drift. Solving this yields the density function of an OU process:

$$f(x|x_0, \mu, \sigma, t) = N(x_0 e^{-\lambda t} + \mu (1 - e^{-\lambda t}), \sigma^2 \lambda (1 - e^{-2\lambda t}))$$

Here we see that variance does not increase in proportion to time, and instead saturates at a stable equilibrium:

$$\lim_{t \to \infty} f(x|x_0, \mu, \lambda, \sigma, t) = N(\mu, \sigma^2 / 2\lambda)$$

The temporal character of the OU model for various values of $\lambda$ and $\sigma$ is shown in Fig. 1.

Results

One key finding is that the accumulation of variance in gene-expression level between 7 species of Drosophila is not proportional to the amount of time separating each species (Fig. 2). This result immediately suggests that continuous neutral evolution of gene expression is unlikely. Instead, we find that expression divergence between orthologous genes saturates rapidly in evolutionary time. This general pattern was previously hypothesized to exist by Whitehead and Crawford (20). Species pairs of Drosophila do not show a significant increase in expression divergence beyond that present between D. melanogaster and D. ananassae. Saturation of gene-expression divergence is expected if expression levels are under stabilizing selection.
We describe this effect using the OU model of quantitative trait divergence. We find that the two-parameter OU model describes the observed saturation of gene-expression divergence remarkably well, accounting for 75.7% of the mean squared error in pairwise expression variance (see Fig. 2). Nonlinear regression estimates the selection parameter $\lambda$ at 26.14 (95% confidence interval [CI]: 17.78–34.49) and the drift parameter $\sigma$ at 4.14 (95% CI: 3.52–4.76). This value of $\sigma$ suggests that, in the absence of selection, drift will perturb gene expression one standard deviation in the time it takes to accumulate 0.058 aa substitutions per site, or in Drosophila, roughly 41.7 million years (see Methods). Conversely, this value of $\lambda$ suggests that selection will bring gene-expression level halfway toward its optimum value in the time it takes to accumulate 0.027 aa substitutions per site, or 19.0 million years. This result provides the timescale at which the phylogenetic signal of gene-expression variance decays with evolutionary distance.

Divergence in gene expression is limited physically by biochemical constraints on maximum transcription, and there must eventually be saturation effects because of these constraints. However, because the distribution of gene-expression values within each species is normalized, the predominate limitation will be statistical. Complete saturation of gene-expression divergence would cause orthologs to show independent values of gene expression: that is, expression in species A would be random relative to expression in species B. In this case, the variance in gene expression between pairs of independent genes is expected to equal 1. Hence, without selection, pairwise expression variance is expected to saturate at 1. However, we infer saturation of gene-expression divergence at $\sigma^2/2\lambda = 0.328$ (95% CI: 0.309–0.337), consistent with stabilizing selection acting to limit expression divergence.

Additional insight into the underlying evolutionary process can be gained by using the OU model to estimate the fitness landscape for gene expression (Fig. 3). We estimate that an evolutionary change that causes gene expression to move from a point one standard deviation distant from optimal expression to a point matching the optimum exactly will have a selective effect of $\lambda/2\sigma^2 = +0.763 N$s (see Fig. 3). To confirm these findings, we simulated evolution on this landscape under a strong-selection/weak-mutation model (19). We find that the equilibrium distribution of simulated trait values is normally distributed with a variance matching that predicted by the OU model [supporting information (SI) Fig. S1].

In agreement with previous research (21), we find that a gene’s rate of protein-sequence evolution correlates with its level of gene-expression variance across the Drosophila phylogeny ($\rho = 0.112$, $P < 10^{-15}$, Spearman rank correlation). However, using the OU model, expression variance can be decomposed into drift and selection. We find that the rate of protein-sequence evolution impacts a gene’s level of selective constraint, but not its rate of phenotypic drift (Fig. 4). These results make intuitive sense, and support the OU process as a model for the evolution of gene expression.

Using gene-specific maximum-likelihood estimates, we find substantial differences in $\sigma$ and $\lambda$ across genes (complete data set available as Table S1). Selective constraint, measured as the equilibrium variance $\sigma^2/2\lambda$, also varies significantly across genes (Fig. S2). However, even on a single-gene basis, very few genes show evidence for neutral evolution of gene expression (see Fig. S2). Only 68 genes out of 6,085 (1.1%) have an equilibrium variance greater than 1. However, because of small sample size ($n = 7$), the power of gene-specific inference is weak. On an individual basis, 2,459 genes out of 6,085 (40.4%) can reject equilibrium variance equal to 1 at the 5% level. For each gene, the gain in likelihood going from the neutral model ($\sigma$ estimated; $\lambda$ set to $\sigma^2/2$) to the selective model ($\sigma$ and $\lambda$ estimated) was assessed, where $2 \log (L_{sel}/L_{neu})$ is assumed to be $\chi^2$ distributed with one degree of freedom.

**Discussion**

**Stabilizing Selection on Gene-Expression Level.** Differences in levels of gene expression between extant species have accumulated over time through the processes of random genetic drift and natural selection. We use a model of genetic drift and natural selection based upon the OU process to assess differences in gene-expression level between 7 species of Drosophila. Drift and selection act together to shape expression pattern in Drosophila (see Fig. 2). Each gene has an expression optimum, which selection seeks to preserve. Changes that move the population toward this optimum level are selected for, while changes that move the population away from this optimum are selected against. Interestingly, the magnitude of the selection we infer is quite small, on the order of $N$s for a difference in expression deviating from the optimum by one standard deviation (see Fig. 3). This is within the range that many evolutionary biologists would regard as “nearly neutral” (22). Nevertheless, these small effects significantly limit the divergence of gene-expression.
levels. These findings highlight the "overwhelming odds against the less fit" (23) and the power of natural selection to shape phenotypic variation.

The extent of stabilizing selection on gene-expression divergence has been a contentious topic. Khaitovich et al. (5), using a similar approach to the present study, find that pairwise divergence in expression level increases in proportion to time across primates. The discrepancy between these results and our own may come from multiple sources. Khaitovich et al. examine chimpanzee, orangutan, and macaque expression levels using probes designed for human genes. In this case, sequence differences among species will mimic expression divergence (7), and so apparent expression divergence will continue to increase with time, even when the underlying expression divergence has saturated. Additionally, Khaitovich et al. define expression divergence as squared mean difference between species-specific expression levels. This statistic (unlike our measure of average variance, mean of one half of squared differences) is biased by an amount proportional to sampling variance. Phylogenetically distant comparisons had a smaller sample size than close comparisons and so were biased toward large estimates of expression divergence (7). Another study of primate-expression divergence parisons and so were biased toward large estimates of expression divergence as squared mean difference between species-specific expression levels. This statistic (unlike our measure of average variance, mean of one half of squared differences) is biased by an amount proportional to sampling variance. Phylogenetically distant comparisons had a smaller sample size than close comparisons and so were biased toward large estimates of expression divergence (7).

Methods

Phenotypic drift results in $a^2 = 17.14$ units of variance in the time it takes to accumulate 1.0 aa substitutions per site. This is equivalent to $8.68 \times 10^{-10}$ units of expression variance per generation (see Methods). Lande (13) gives the expected variance per generation because of random genetic drift as $h^2\pi^2/N$, where $h^2$ is the heritability of the trait, $\pi^2$ is the level of variance across individuals within a population, and $N$ is the effective population size. Assuming $h^2 = 0.5$, $\pi^2 = 0.0726$ (based upon empirical comparisons between two strains of D. simulans), and $N = 9.05 \times 10^8$ [determined from synonymous genetic diversity in D. simulans (29) and inferred Drosophila mutation rate (30)], we arrive at an expectation of $4.02 \times 10^{-6}$ units of variance per generation. The reasonably close correspondence between the empirical estimate and the theoretical prediction suggests that the OU model does well to describe the underlying evolutionary process.

However, mutation-accumulation experiments have suggested much larger values of mutational variance in gene-expression level, or $= 2.4 \times 10^{-5}$ units of variance per generation (31). In this study, a relatively small number of individual mutations resulted in widespread changes in gene-expression level. This discrepancy can be reconciled by assuming that mutations of large effect would be purged by natural selection before reaching appreciable frequency and, hence, do not end up contributing to standing genetic variation. This phenomenon is another aspect of selective constraint. Our calculated rate of phenotypic drift of $= 10^{-9}$ represents the population-level turnover of standing variation into fixed differences, and not the input of variation because of new mutations.

Model Assumptions. Our analysis has made several simplifying assumptions, including constant gene-expression optima, symmetrical mutation rates, and strong-selection/weak-mutation dynamics. If the optimum itself is subject to stochastic variation, then our analysis will underestimate the true strength of stabilizing selection. This is because movement of the optimum and subsequent tracking by natural selection will appear similar to weak selection poorly tracking a constant optimum. However, strong selection tracking a shifting optimum will result in decreased levels of standing variation compared to levels expected under a constant optimum. We find levels of within-population variation that are highly compatible with the observed rate of drift, suggesting that shifting optima have not had a major influence on our results.

We find that asymmetrical mutation should not significantly impact our results. We simulated evolution on the fitness landscape shown in Fig. 3 under a strong-selection/weak-mutation model, where the rate of mutation to lower expression was twice the rate of mutation to higher expression. We found that asymmetrical mutation had no discernable effect on equilibrium variance (Fig. S3), suggesting our estimates are robust to the presence of mutational asymmetry. Additionally, the results of Lande (13) suggest that our model is robust to the assumption of strong-selection/weak-mutation dynamics.

Throughout our analysis, we have assumed that species-specific normalization (see Methods) had little effect on our estimates of OU parameters. To assess the impact of this assumption, we performed simulations wherein expression levels of 10,000 genes were evolved according to the OU model and subsequently normalized in a species-specific fashion (Fig. S4). We find that normalization results in overestimation of the degree of selective constraint, suggesting that our conclusion of nearly neutral evolution is conservative.

Conclusions

It is well known that purifying selection constrains the rate of sequence change. Often, the reduction in evolutionary rate estimated using $d_s/d_\mu$ is taken as a measurement of the degree of selective constraint. We find that selection, rather than simply decreasing the overall rate of expression divergence, instead curtails expression divergence in a nonlinear fashion. Thus, measurement of selective constraint on the evolution of continuous traits requires comparison of multiple orthologous trait values to be successful, but fortunately does not require a neutral proxy in the way of sequence evolution.

The OU framework presented here may be substantially extended to model further intricacies of gene-expression evolution. For example, large-scale fluctuations in $\lambda$ and $\sigma$ could be investigated by allowing branch-specific parameter values. We would expect fluctuations of effective population size to significantly impact inferred levels of selection. Additionally, it is possible to identify lineage-specific adaptation for a particular gene by allowing for multiple trait optima across a phylogeny (i.e., $\mu$ of D. melanogaster may differ from $\mu$ of other Drosophila). Standard methods, such as likelihood-ratio tests, could then be used to assess significance. It would be highly interesting to see whether lineages undergoing adaptive-sequence evolution also show evidence of adaptive gene-expression evolution. We believe that the OU model presented here will prove useful to the future study of gene-expression evolution, and to the study of phenotypic evolution in general.
Methods

One-to-One Orthologous Genes in 7 Drosophila Species. Orthologous relationships from 7 Drosophila species (D. ananassae, D. melanogaster, D. mojavensis, D. pseudoobscura, D. simulans, D. virilis, and D. yakuba) were obtained from the AAZAWiki (http://rana.lbl.gov/drosophila/wikiv/index.php; accessed March 2008) (32). Ortholog predictions were based upon fuzzy reciprocal BLAST clustering, and regions of poor alignment were screened via sliding window filter (32). To avoid complications caused by gene duplication and gene loss, only those genes that maintain a 1:1 orthologous relationship among all 7 species were analyzed. This methodology identified 7,415 orthologous genes.

Protein Sequence Change. Alignments of orthologous coding sequences were also obtained from the AAZAWiki (32). To control for alignment errors, we eliminated all alignments in which gaps accounted for >25% of total alignment length. The remaining 5,380 alignments were translated into amino acids and concatenated across proteins. These concatenated sequences were used to estimate evolutionary distance via the methods implemented in the amino acid-based likelihood (AAML) package of Phylogenetic Analysis by Maximum Likelihood (PAML) v3.13d (33). These methods give per-branch estimates of evolutionary distance that account for saturation effects because of multiple-hit sites. We take these estimates of evolutionary distance as proxies for evolutionary time. Evolutionary distances are shown in Fig. S5. Ref. 11. Shapiro MD, Eyre-Walker A (2002) Adaptive protein evolution in Drosophila. Proc Natl Acad Sci USA 99:10220–10225. (http://www.ncbi.nlm.nih.gov/geo/; accessed March 2008). For each array, we were obtained from the Gene Expression Omnibus under accession GSE6640.

Gene-Expression Data. Present-day gene-expression levels for all 7 Drosophila species were based upon data from Zhang et al. (34). Raw hybridization data were obtained from the Gene Expression Omnibus under accession GSE5600 (http://www.ncbi.nlm.nih.gov/geo/; accessed March 2008). For each array, we took the log2 intensities of its probes and normalized these intensities to have mean 0 and variance 1. After normalization, we took the mean of all probes corresponding to a specific protein-coding mRNA as the expression level of that gene. We then took the mean of these gene-specific expression levels across 4 male and 4 female replicates. This resulted in a single expression level for each gene in each species. We limited the data set to include only those genes with unambiguous 1:1 orthologous relationships. Of the orthologous groups, 6,085 of 7,415 had expression data. We then renormalized the data so that each species shows mean 0 and variance 1. This methodology only stretches and shifts expression values, it does not alter the shape of the distribution. Regardless, we find that expression levels are approximately normally distributed (Fig. S6). Additionally, we compared the expression level of each gene across each species. We limited the data set to include only those genes with corresponding to a specific protein-coding mRNA as the expression level of that gene. Gene-specific substitution rates are taken as the total rate of substitution across the gene over 50 million generations, assuming 20 generations per year. Additionally, we used PAML to make gene-specific estimates of the rate of amino acid substitution. Gene-specific substitution rate is assumed to be drawn from the equilibrium distribution of the OU process:

\[
\| (x_{mel}, \ldots, x_{vir}) | \mu, \lambda, \sigma \rangle \sim N(M, V)
\]

with vector of means:

\[
M = \left[ \begin{array}{cccc}
\sigma^2 & 0 & \cdots & 0 \\
0 & \sigma^2 & \cdots & 0 \\
\vdots & \vdots & \ddots & \vdots \\
0 & 0 & \cdots & \sigma^2 \\
\end{array} \right]
\]

and covariance matrix:

\[
V = \left[ \begin{array}{cccc}
\sigma^2 & \ldots & \sigma^2 & \sigma^2 \\
0 & \ldots & 0 & 0 \\
\vdots & \ddots & \vdots & \vdots \\
0 & 0 & \ldots & \sigma^2 \\
\end{array} \right]
\]

where \( x_{mel} \) represents the total divergence time separating D. melanogaster and D. simulans, \( x_{vir} \) represents the total divergence time separating D. melanogaster and D. virilis, and \( x_{sim} \) represents the divergence time shared by D. simulans and D. virilis in their evolution away from D. melanogaster. Formulas for other species pairs follow the same pattern. Parameters \( \mu, \lambda, \sigma \) are estimated as those that maximize the likelihood function:

\[
L(\mu, \lambda, \sigma) = \| (x_{mel}|(\mu, \lambda, \sigma) \times g(x_{sim}, \ldots, x_{vir})|\mu, \lambda, \sigma)
\]

A step-by-step tutorial of this maximum-likelihood estimation technique can be found in the SI Appendix.

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