



# Locked Up Means Locked Out: The Effects of the Federal Drug Laws of the 1980s and 1990s on Black Male Students' College Enrollment

## Citation

Britton, Tolani Ayodele. 2018. Locked Up Means Locked Out: The Effects of the Federal Drug Laws of the 1980s and 1990s on Black Male Students' College Enrollment. Doctoral dissertation, Harvard Graduate School of Education.

## Permanent link

<http://nrs.harvard.edu/urn-3:HUL.InstRepos:37679924>

## Terms of Use

This article was downloaded from Harvard University's DASH repository, and is made available under the terms and conditions applicable to Other Posted Material, as set forth at <http://nrs.harvard.edu/urn-3:HUL.InstRepos:dash.current.terms-of-use#LAA>

## Share Your Story

The Harvard community has made this article openly available.  
Please share how this access benefits you. [Submit a story](#).

[Accessibility](#)

**Locked Up Means Locked Out: The Effects of the Federal Drug Laws of the  
1980s and 1990s on Black Male Students' College Enrollment**

Tolani Britton

Committee:

Bridget Terry Long, PhD

David Deming, PhD

Eric Bettinger, PhD

A Thesis Presented to the Faculty of the Graduate School of Education at  
Harvard University in Partial Fulfillment of the Requirements for the Degree of  
Doctor of Education

2018

© 2017  
Tolani Britton  
All Rights Reserved



To the young men who have been or are incarcerated and do not yet believe  
that higher education is for them

## Acknowledgements

I started this doctoral journey with a 1 month old, a four-year old and a husband in his second year of doctoral studies. I am so grateful for their loving support. God is good all the time.

Words cannot express my gratitude to Bridget Terry Long who served as advisor and mentor. I have learned so much about being a scholar from Bridget – her expertise, her patience, her generosity with her time and resources are deeply appreciated.

I would like to express my appreciation to my committee member David Deming who read drafts and provided critical feedback that made my work more precise.

I am thankful to Eric Bettinger for becoming an advisor during the two years that I was in California and then a dissertation committee member. Our meetings helped keep me on track, despite being away from my academic community.

I would like to acknowledge the role of Dick Murnane, whose stern yet kindly admonition that my topic was not compelling pushed me to find a research question that kept me up at night. His willingness to read drafts and provide feedback has helped advance my work.

Thank you to my doctoral cohort of 2011 at HGSE and individuals from the cohorts of 2010 and 2012 for their companionship on this journey. Special thanks to George Spencer for reading drafts and providing helpful feedback.

I thank the organizations that funded this research: the Harvard Presidential Fellowship and the National Academy of Education/Spencer, as well as conference participants that provided invaluable feedback at the Association for Education Finance and Policy Annual Conferences, Summer School for Socioeconomic Inequality at University of Chicago, and 2016 National Academy of Education Fellows Retreat. Thank you to Claudia Goldin for her thoughtful comments on the first dissertation paper.

I dedicate this dissertation to my mother, Pamela, who did not complete her college journey because she stayed at home with her children and imbued us with the character that would allow us to pursue our dreams, and my father, Peter, who has been both mother and father after the passing of my mother. I acknowledge the humorous inspiration of my sister ZMB and my brother Peter for his belief that I could do anything- and do it with style. Peter, you

are deeply missed. I am grateful for my aunts, Dawn and Pam, who have lovingly supported me and stood in the gap.

To my daughter Amélie who always asks the most important and most difficult questions- I am graduating ☺ and you are a brilliant reader. Peter, despite my life choices, it all worked out.

To my husband and best friend Travis Bristol, I applied to Harvard on your suggestion. Your love, encouragement, support, willingness to read drafts, and library trips with our two active and curious blessings allowed me to complete this dissertation. I look forward to a lifetime of collaboration.

## Table of Contents

Table of contents	iv
Abstract	v
Introduction to the Dissertation	1
Paper 1: Locked Up Means Locked Out: The Effects of the Anti-Drug Abuse Act of 1986 on Black Male Students' College Enrollment	
Introduction	7
Educational Outcomes and Incarceration	11
Conceptual Framework	19
Data	21
Empirical Methodology	25
Results	31
References	43
Tables and Figures	52
Paper 2: Educational Opportunity and the Criminal Justice System: The Effects of the Violent Crime Control and Law Enforcement Act of 1994 on Black Male Students' College Enrollment	
Introduction	68
Background and Literature Review	72
Research Design	77
Results	93
References	104
Tables and Figures	109



Conclusion to the Dissertation

121

## **Locked Up Means Locked Out: The Effects of the Federal Drug Laws of the 1980s and 1990s on Black Male Students' College Enrollment**

### *Abstract*

While research documents that rates of college access and completion have increased during the past several decades, the trend data also reveal differences by race and gender, with Black men having lower levels of college enrollment than other groups and experiencing a slower rate of improvement in college outcomes. This dissertation explores one possible reason for the educational gaps experienced by Black men. Using variation in state marijuana possession and distribution laws and state Truth in Sentencing (TIS) laws, I examine whether the Anti-Drug Act of 1986 and the Violent Crime Control and Law Enforcement Act of 1994, which led to the disproportionate incarceration of Black males for drug possession and manufacture, also helps to explain differences in college enrollment and graduation rates by race and gender. For my analysis, I use the Current Population Survey (CPS) October Supplements from 1984 - 2007 and information state marijuana laws and TIS adoption.

In the first paper, which looks at the effect of the Anti-Drug Act of 1986 on Black male college enrollment, I use a difference-in-differences strategy that exploits both the introduction of tougher federal laws and variation in state laws with regards to penalties for marijuana possession and

distribution. Overall, the results suggest that Black males had a 2.2% point *decrease* in the relative probability of college enrollment after the passage of the Anti-Drug Abuse Act of 1986 as compared to both Black females and non-Black males prior to the law change but the change was not driven by changes in marijuana laws. Instead, it was driven by changes in crack cocaine laws.

For the second paper, which examines the effects of the Violent Crime Control and Law Enforcement Act of 1994, I employ two strategies. The first strategy is a difference-in-differences that employs variation across states in the timing of TIS laws. I also carry out an event study within states that compares the probability of college enrollment for Black men in each year after the passage of TIS laws in states that adopted these policies to the probability in the years prior. Overall, the results suggest that Black males had a decrease in the relative probability of college enrollment after the enactment of TIS policies, when compared to the probability of enrollment for non-Black men prior to the law change.

Together, these studies suggest there is an important link between the criminal justice system and educational attainment that is likely underestimated by this study.

## Introduction to the Dissertation

Incarceration rates in the United States have risen at an unprecedented pace over the past forty years. In 2015, 1.53 million persons were incarcerated. By comparison, in 1980, there were only 329,122 persons housed in federal and state prisons. Importantly, this increase in imprisonment did not happen proportionately across racial and ethnic and age groups. While Black men constitute approximately 6% of the population (U.S. Census Bureau, 2016), the Bureau of Justice (2014) reported that Black males constitute 37% of male state and federal prisoners. In contrast, White males are 32% of male inmates and 31% of the population (Carson, 2015). While Black men are more likely to be incarcerated than both White and Latino men, the most significant disparity in terms of imprisonment rates exists for Black men aged 18 and 19 years old, the age that young men would have been entering college. For this age group, in 2014, Black men were ten times more likely to be incarcerated than White men (Carson, 2015).

Part of the increase in incarceration has come from the adoption of drug laws and the strict enforcement of these laws in some communities. Travis, Western and Redburn (2014) find that between 1980 and 2010, the imprisonment rate for drugs rose over 350%, from 2 to 9 per 100 arrests. In contrast, prison commitment rates for the violent crime of aggravated assault rose at a lower rate of 250%. By 2015, 15.7% of the over 1.53 million persons in state and federal

prisons were imprisoned solely for drug offenses, with close to 50% of those in federal prison (185, 917 persons) serving time for drug offenses (Carson & Anderson, 2016).<sup>1</sup>

At the same time that we see the rapid increase in the number of young Black men incarcerated, we see a stagnation of the college enrollment of the group most affected by incarceration, young men, and in particular Black young men. In 1990, 26% of Black men aged 18 to 24 years old were enrolled in degree granting postsecondary institutions (US Department of Commerce, 2016). According to NCES (2016), ten years later in 2000, 25.1% of Black men were enrolled. By contrast, for White young men, it moved from 35.5% to 36.2% over the decade, and for Black women, from 24.8% to 35.2%. Further, recent trends in Black male college enrollment have been much more variable than that of Black women, White men, and White women, with gaps in enrollment between Black men and White men increasing during the 1990s and 2000s, after narrowing in the early 1980s. Additionally, some research suggests that statistics underestimate the Black-White college enrollment gap, as there are a higher proportion of Black adults than White adults incarcerated in every age group and particularly in the 18 - 24 year old range (Pettit, 2012).

---

<sup>1</sup> These statistics undercount the percentage of persons incarcerated for drug crimes as they use the most serious offense committed. There are likely a significant percentage of individuals who are imprisoned for a drug offense but also used a weapon in the commission of the offense, which would solicit a more serious charge. These persons would thus be counted in a different category, even though they might have been arrested for a drug crime.

One possible reason for the stagnation of the Black male college enrollment rate during the 1980s until the turn of the 21<sup>st</sup> century is the increase in arrests, convictions, and time imprisoned for drug crimes, due to changes in federal legislation through the Anti-Drug Abuse Act of 1986 and the Violent Crime Control and Law Enforcement Act of 1994 and the resulting changes in state drug laws. This dissertation measures the impact of changes in federal laws using variation in the severity and timing of state laws around penalties for drug infractions. To my knowledge, these are the first papers that attempts to quantify the effects of the Anti-Drug Abuse Act of 1986 and the Violent Crime Control and Law Enforcement Act of 1994 on Black male college enrollment.

I carry out this analysis during two discreet time periods. The first period is from 1984 to 1992 – after the passage of the anti-drug federal legislation that made penalties for drug crimes more severe than it had been for the prior two decades. The second period is from 1992 until 2007, a time when crime rates were decreasing and arrests were increasing. One reason I separated the analysis into these two periods was the political changes that occurred during the early 1990s. While there were two Republican presidents from 1980 to 1992, a Democrat became president in 1992. Prior research has shown that Republican administrations tend to increase penalties for crimes in line with their law and order platform – thus differences might have existed in the severity or enforcement of federal laws (Lowenthal, 1993). Another reason I separated the analysis into these time periods was that the reasons for arrest changed in the

1990s. According to the Bureau of Justice Statistics (2017), from 1987 to 1995, most drug arrests were for heroin or cocaine. This changed in 1996, when arrests for marijuana related crimes outnumbered those for other drugs (“Drug and Crime Facts, n.d.). While the arrests for heroin and cocaine began to decline in 1989 from a peak number of 732,600 arrests, arrests for marijuana began to rise in 1992 after ten years of decline and doubled between 1991 and 1995.

The analysis for the first time period, based on the enactment of the Anti-Drug Abuse Act of 1986 and modifications that states made to their laws following this federal change, shows that Black males in the United States had a decrease in the relative probability of college enrollment after the passage of the Anti-Drug Abuse Act of 1986 as compared to prior to the law change. The change in the probability of college enrollment was partly due to changes in state cocaine penalties and not state marijuana penalties. The analysis for the period from 1992 to 2002 shows that Black males in the United States had a decrease in the relative probability of college enrollment in states that had TIS laws as compared to prior to the law change.

## References

- Bureau of Justice Statistics. (2017). Drugs and Crime Facts. Retrieved from <https://www.bjs.gov/content/dcf/enforce.cfm>
- Carson, E. A. (2015). Prisoners in 2014 (NCJ 248955). Retrieved from *Bureau of Justice Statistics website*: <http://www.bjs.gov/content/pub/pdf/p14.pdf>.
- Carson, E. & Anderson, E. (2016). Prisoners in 2015 (NCJ 248955). Retrieved from *Bureau of Justice Statistics website*: <https://www.bjs.gov/index.cfm?ty=pbdetail&iid=5869>
- Drug and Crime Facts. (n.d.). Retrieved from <https://www.bjs.gov/content/dcf/tables/drugtype.cfm>
- Lowenthal, G. T. (1993). Mandatory sentencing laws: Undermining the effectiveness of determinate sentencing reform. *California Law Review*, 61-123.
- Pettit, B. (2012). *Invisible men: Mass incarceration and the myth of black progress*. Russell Sage Foundation.
- Travis, J., Western, B., & Redburn, F. S. (2014). The growth of incarceration in the United States: Exploring causes and consequences.
- US Census Bureau. (2017). Quick facts. *Data derived from Population Estimates, American Community Survey, Census of Population and Housing, County Business Patterns, Economic Census, Survey of Business Owners, Building Permits, Consolidated Federal Funds Report, Census of Governments*. Available: [quickfacts.census.gov](http://quickfacts.census.gov) (September 2017).
- U.S. Department of Commerce, Census Bureau, Current Population Survey (CPS), October, 1975 through 2012.
- U.S. Department of Education, National Center for Education Statistics. (2016). *Digest of Education Statistics, 2015* (NCES 2016-014), Chapter 3.



**Locked Up Means Locked Out: The Effects of the Anti-Drug Act of 1986 on Black Male Students' College Enrollment**

**Tolani Britton  
Harvard Graduate School of Education**

**January 2018**

## I. Introduction

Although college enrollment and completion rates have increased over the past thirty years, access to higher education has not been uniform across racial groups. In 2012, the respective rates of college enrollment for Black and White 18-24 year olds were 36.4% and 42.1% (NCES, 2014). Figure 1 shows that the growth in Black male college enrollment has been slower than that of Black women, White men, and White women, with gaps in enrollment between Black men and White men increasing during the 1990s and 2000s. Further, some research suggests that statistics underestimate the Black-White college enrollment gap, as there are a higher proportion of Black adults than White adults incarcerated in every age group and particularly in the 18 - 24 year old range (Pettit, 2012). Most datasets, such as the Current Population Survey, that provide data on college enrollment rates only take into account the non-institutionalized population.

In addition to racial gaps, differences in tertiary education outcomes exist by gender. National trends show that 55% of the college graduates in the United States were female in 2011. While females have outpaced males in college entry and completion across racial groups, gender gaps in college enrollment and graduation are larger in the Black community than in other racial or ethnic groups (Goldin, Katz, & Kuziemko, 2006; Fry & Parker, 2012). Among Black college graduates with a bachelor's degree, in 2011, 63% were women but only 37% were men. Further, the gender gap in college completion for Black college

aged adults over the past thirty years *tripled*, after remaining constant for thirty years prior to 1980 (Bailey & Dynarski, 2011).

One trend that may explain the lower levels of college enrollment for Black males is the passage of the Anti-Drug Abuse Act of 1986. This federal law criminalized possession of drugs, established mandatory minimum sentences for drug possession and trafficking offenses, differentiated penalties for crack cocaine as opposed to powder cocaine, allowed for seizure of assets, and increased funding for state and local drug control efforts. The Office of National Drug Control Policy (1999) reports that drug control funding increased from \$2.9 billion in 1986 to \$4.8 billion in 1987.

According to the U.S. Department of Justice, Black young men were disproportionately impacted by the increase in the aggressive pursuit and criminal conviction of those in possession of even small amounts of drugs (United States Sentencing Commission, 2011). The U.S. Department of Justice reported that arrest rates for Black adults doubled between 1980 and 1990, as seen in Figure 2. The Office of Juvenile Justice and Delinquency Prevention found that the incarceration rate of Black juvenile males increased 350% between 1980 and 1996 (and doubled between 1986 and 1989) (OJDP, 2014). In comparison, arrest rates for White juveniles remained relatively constant from 1980-1989 and then decreased from 1989 -1992.

Incarceration for drug offenses could change the educational trajectory of young adults in multiple ways. Firstly, time in the criminal justice system

impacts academic preparation. Access to quality secondary and tertiary education within jails and prisons is limited, although studies have demonstrated that higher levels of education are associated with lower rates of recidivism (Aos, Miller, and Drake, 2006; Parent, 1994). Secondly, incarceration renders persons ineligible for both federal Pell Grants and student loans while in prison, delaying, and thereby reducing the likelihood of college entry (Horn, Cataldi, & Secora, 2005). Thirdly, drug convictions can limit a young adult's ability to receive student aid from the government even after release (FAFSA, 2016).<sup>2</sup> Fourthly, involvement with the criminal justice system may have a dampening effect on the educational aspirations of youth. Some studies have provided evidence that simply being asked about imprisonment serves as a deterrent in applying for financial aid *and* college, given the existing discrimination against persons formerly incarcerated (Weissman, Rosenthal, Warth, Wolf, & Messina-Yauchzy, 2010). Finally, young adults who have served time in correctional institutions have a 12% lower likelihood of being employed after release when compared to youths who have not had contact with the criminal justice system due to stigma associated with conviction (Freeman, 1994). As approximately 41% of undergraduate college students worked to meet their educational expenses in 2011, the inability to work likely impedes the ability to pay for college for formerly incarcerated adults (NCES, 2014).

---

<sup>2</sup> After serving time for drug violations, eligibility for federal financial aid depends on if the offense occurred when they were receiving federal aid. To ascertain their eligibility, young adults must complete an additional worksheet. Behavioral economics suggests that complexity in the financial aid process reduces the likelihood of students attending college (Dynarski & Scott-Clayton, 2006).

Using variation in state marijuana possession and distribution laws, this paper examines whether the Anti-Drug Act of 1986, which led to the disproportionate incarceration of Black males for drug possession and manufacture, also led to differences in college enrollment and graduation rates by race and gender. I employ a quasi-experimental differences-in-differences (DD) strategy to compare college enrollment rates by race/gender group in the years immediately preceding and following the law changes to start to discern a possible effect. Next, I use a DD strategy that exploits the federal law change (i.e., a pre- versus post- comparison) and variations in state laws with regards to penalties for marijuana possession and distribution (i.e., state-level variation in the effects of the law change). To my knowledge, this is the first paper that attempts to quantify the effects of the Anti-Drug Abuse Act of 1986 on Black male college enrollment.

I find that, in the first difference-in-differences model, after the passage of the federal Anti-Drug Abuse Act of 1986, Black males had a marginal 2.2 percentage point *decrease* in the probability of college enrollment, which translates into a 10 percent reduction in college enrollment rates for Black men as compared to non-Black males prior to the law change. Relative to Black females, the college enrollment rate of Black males was 2.4 percentage points lower after the federal law change. From the difference-in-differences model using variation in state laws, I do not find a statistically significant decrease in the likelihood of college enrolment when a state increases their minimum marijuana possession

penalty by one month. However, there is some evidence that while marijuana laws do not have an impact on college enrollment, state cocaine laws and enforcement of cocaine laws led to a decrease in the likelihood of college enrollment.

This work links to existing empirical work on educational outcomes and incarceration (Aizer & Doyle, 2016; Hjalmarsson, 2008). This paper also contributes to the literature around racial inequality and incarceration in the United States (Pettit & Western, 2004). Finally, this paper provides another explanation for the gender gaps in college enrollment and completion, particularly for Black young adults (Bailey & Dynarski, 2011; Di Prete & Buchmann, 2006).

The paper is organized as follows. I first explore the literature around race and gender gaps in college enrollment, state drug policy changes, and previous work on the effects of incarceration on educational attainment in Section I. Next, I lay out the conceptual framework in Section II. I then lay out the data and empirical framework in Section III and Section IV. I present results and sensitivity analysis in Section V. I conclude in Section VI.

## **I. Educational Outcomes and Incarceration**

*Gender and racial gaps in college enrollment and completion*

Gender differences in college enrollment and graduation rates increased during the 1980s and 1990s, particularly for Black students, as seen in Figure 1. Using the March supplements of the Current Population Survey from 1968 through 1998, Sufi and Black (2002) calculate average college enrollment rates for high school graduates by SES category and time period. They find that in the late 1970s and early 1980s college enrollment for Black 18 and 19-year-old males and females rose to similar rates of enrollment for White persons of the same age before declining in the mid-1980s. Much of the increase in enrollment in the 1970s was driven by enrollment of low-income Black high school graduates, who were more likely to enroll in college than White students of similar income levels. However, by the 1990s, low-income Black students were less likely to enroll in college than their White peers at all income levels. Cameron and Heckman (2001) also find that when controlling for family background and income, Black male young adults were more likely to enroll in college than White persons in the same age group, based on analysis of data from the National Youth Longitudinal Survey of 1979. Although the 1970s saw increases in the probability of college enrollment for Black students, this pattern did not continue for Black males in the following decade, as Black male enrollment slowed.

Numerous theories seek to explain why gender gaps grew between males and females in college enrollment and completion in the 1980s. Buchanan and DiPrete (2006) find that superior female academic performance explains about

65% of the gender gap in college completion for Black students. Using National Educational Longitudinal Survey (NELS) of 1988 and a decomposition strategy, they posit that a small portion of the gender gap relates to the education of the father and paternal absence from the family but most of the gap comes from the superior performance of women in college. Academic achievement in high school also plays a role. Differences in high school graduation rates explained about half of the variation in college graduation rates between the genders (Bailey and Dynarski, 2011). Goldin, Katz, and Kuziemko (2006) posit that young women increased their high school achievement from the 1957 to the 1992 high school graduating cohorts, which accounted for between 30 and 60 percent of the gender gap in college enrollment. Other factors that contributed to the greater likelihood of female enrollment in college were women anticipating higher returns to college due to expanding opportunities to enter the work force over this time period. However, another possible explanation for the gender gaps in college enrollment comes from changes in drug laws and enforcement of controlled substances.

*Changes in federal and state policies during the 1980s led to more punitive drug laws*

Both federal and state laws around controlled substances, shifted in the period from the 1970s to the early 1990s, becoming harsher in terms of the penalties and fines imposed. This in turn led to higher arrest rates, particularly for Black males (Mustard, 2001). Initially, Democrats, and those on the left



politically, championed changes in sentencing policy for both controlled substances and other illegal activities in order to increase equity in sentencing. The influential book *Criminal Sentences: Law without Order*, written in 1973 by a federal judge named Marvin Frankel, led to heightened political advocacy around criminal sentencing (Frankel, 1973). This book discussed the disparities in sentences, particularly with respect to the race of the defendant and the penalties received in the federal system. These findings led Democratic Senator Ted Kennedy to push for legislation that set federal guidelines for sentencing that rendered punishment more just and equitable, moving from discretionary to determinate sentencing (Stith & Koh, 1993). These efforts became the Sentencing Reform Act of 1984 (SRA), which established the Sentencing Commission. This Commission established the maximum and minimum penalties in terms of months of prison for controlled substance, among other penalties, that went into effect in 1987. Although initially conceived as a means to make punishment more equitable, this law increased penalties for most controlled substances, more in line with Reagan era emphasis on crime and punishment, than equity (Lowenthal, 1993). However, due to court challenges, full implementation of the federal guidelines did not occur until 1989 (Nagel, 1990).

Sentencing reform for drug infractions, among other crimes, began with Democrats who argued for more equity in punishment. However, those on the right of the American political spectrum also argued for determinate sentencing, as compared to discretionary, though for a different reason. Republicans

advocated for reform in order to reduce judicial leniency. These pushes, from both the left and the right, ultimately led to harsher sentences and less discretion for judges (Lowenthal, 1993). In part due to these changes and the federal legislation around drugs in 1986, arrest rates for drug crimes increased and prison sentences were longer than those prior to the sentencing reform in the late 1970s and early 1980s (US Sentencing Commission, 1991). Between 1980 and 1989, the ratio of prison commitments to adult arrests increased from 196 per 1000 to 332 per 1000 (Cohen, 1991).

Some researchers have argued that incarceration rates and the state and federal policies that increase imprisonment are driven by increases in crime (Levitt, 1996; Vieraitis, Kovandzic, & Marvell, 2007). They estimate that the marginal cost of imprisoning is lower than the benefit derived from the reduction in crime, particularly during in the period from 1978 through 1990, but this marginal benefit decreases in the period from 1991 through 2004 (Johnson & Raphael, 2012). Smith (2004) demonstrates that while crime rates remained stable or decreased during the 1990s, incarceration rates continued to increase over the same time period. He posits that the political environment, in particular the political party in power, and racial and social differences within populations drive incarceration policies – not crime rates. States that have a larger fraction of Black persons have harsher penalties for crimes and more arrests. Also, states with Republican legislatures and governors tend to be more punitive (Western, 2006; Yates and Fording, 2005). This finding that laws, which lead to higher

incarceration rates, are based on the fraction of the population that is non- White mirrors the conclusions of Beckett and Western (2001).

*The growth of drug-related arrests and convictions*

The gap in Black male and female college enrollment rates grew at the same time that incarceration rates were increasing for Black males. Following the Anti-Drug Abuse Act of 1986, the reasons for federal, state, and local arrests changed dramatically, as did the makeup of the incarcerated population. The drug arrest rate for possession or use increased 89% from 1980 to 1989 and the arrest rate for sale or manufacture increased 210% over the same time period (Snyder, 2011). Changes in the racial make-up of people arrested for drug infractions changed as well. Between 1980 and 1989, arrest of Blacks for drug sales and manufacturing or use rose by 219% when compared to the increase in the arrest rate for Whites of 56%. This disparity in drug arrests by race is also reflected in the juvenile population (OJJDP, 2014). Drug offense arrests among Black juveniles increased from 1985 – 1989, remained stable from 1989 – 1992. Among White youth, rates decreased between 1985 and 1992.

The natural conclusion might be that Black drug use was more prevalent than White drug use. However, statistics prove this to be untrue. The Monitoring the Future (MTF) report finds that from 1975 to 2009, Black 8<sup>th</sup>, 10<sup>th</sup>, and 12<sup>th</sup> graders had consistently lower rates of drug taking than their White peers. In 8<sup>th</sup>, 10<sup>th</sup>, and 12<sup>th</sup> grade, Blacks students were less likely than Whites to report taking

marijuana, cocaine, and crack, and almost all other illicit and legal drugs (Johnston, O'malley, Bachman & Schulenberg, 2010).

Despite similar rates of drug use for adults and lower levels for juveniles, all Blacks, and young Black males, specifically, have been disproportionately impacted by controlled substance legislation and enforcement, both during the mid 1980s and in the decades following. As early as 1991, the United States Sentencing Commission (1991) reported that non-Whites were more likely than Whites to receive a mandatory minimum sentence for similar crimes and “the greatest expected impact [in the federal prison population] could be attributed to the Anti-Drug Abuse Act of 1986” (p. 66). Further, Mustard (2001) finds that the greatest federal sentencing disparities between Black and Whites occurred for drug trafficking offenses, after controlling for past criminal history. Ironically, much of this disparity was driven by departures from federal guidelines, whereby Black males were more likely than White males with similar criminal histories to receive punishments that were harsher than mandated federal penalties.

#### *Incarcerated Persons and Educational Outcomes*

Education levels are lower for incarcerated persons than for the general public, with high school completion rates of 59% for those serving time in prison as compared to 82% for non-incarcerated persons aged 18 and older in 1997 (Harlow, 2003). This is in part due to the fact that incarceration often disrupts the ability of young adults to attain more education. Based on analysis of data from

the National Longitudinal Youth Survey of 1997, Hjalmarsson (2008) finds that while convictions without incarceration are not associated with a lower likelihood of high school graduation, being incarcerated leads to a 19% decrease in the likelihood of graduation, when compared to those convicted but not imprisoned. However, a majority of those who are in jail and prison could be potential college students based on their academic attainment. Twenty two and a half percent of the incarcerated population had a high school diploma, 23.4% had a GED, and 12.7% had some post secondary education in 1997 (Harlow, 2003). Thus, more than half of the incarcerated population could be potential college students.

Perhaps in part due to the limited access of incarcerated persons to tertiary education, much of the causal research on the effect of incarceration on educational attainment has focused on the effect of arrest and incarceration at earlier points in the educational career of a student (Aizer & Doyle, 2015; Hjalmarsson, 2008). Using administrative data, public school records, and incarceration data from Chicago, Aizer & Doyle (2015) find that being incarcerated as a juvenile reduces the likelihood of high school graduation by approximately 13 percentage points when compared to young adults who have been charged with a crime but not incarcerated. Further, this study, in addition to work done by Hirschfield (2009), demonstrates that the timing of incarceration matters, as incarcerations earlier in the high school career reduce the likelihood

of high school graduation and increase the likelihood of adult imprisonment, as compared to the effects of imprisonment on educational outcomes at later ages.

While limited in number, more recent descriptive studies examine the effect of incarceration on college enrollment gaps by race and gender. Kirk and Sampson (2013) use survey and administrative data from Chicago and a propensity score approach. They find that young adults who have been arrested have a .16 lower probability of college enrollment when compared to young adults without an arrest record.<sup>3</sup> However, they have a relatively small sample size of 38 individuals. Another study explores the role of incarceration on Black male and female college graduation rates. McDaniel et al. (2011) used the National Education Longitudinal Survey of 1988 dataset and estimated that incarceration did not contribute significantly to the Black gender gap in college degree completion but likely led to differences at earlier points in the educational career of students. They computed the proportion of the sample of Black males that had graduated from college by age 26 as a function of their academic performance in 8<sup>th</sup> grade. They then substituted the female rate for the male rate in the bottom quartile to see how that would change the male college graduation rate. These researchers estimate that if Black male rates of incarceration were replaced with the much lower Black female rates of incarceration, it would only have changed the male college graduation rate by one percentage point.

---

<sup>3</sup> The authors include the type of crime – violent, property, or drug crimes – and the self reported frequency of committing crimes in the propensity score.

However, the authors state that incarceration likely had an effect on educational attainment but the effect was prior to college graduation.

This paper aims to address current gaps in the literature in several ways. Firstly, I use earlier cohorts of students than the McDaniel *et al.* study, as the NELS students were too young for us to see the impact of the 1986 law change. Therefore, I am able to shed light on the impact of the federal Anti-Drug Abuse Act of 1986 on Black male college enrollment. Secondly, I compare the educational outcomes of Black men to non-Black men, as well as Black women, given the disparities in college enrollment that exist by race *and* gender. Thirdly, I use a different source of variation to measure the impact of the drug laws. I use variation in changes to state drug policies following the passage of the Anti-Drug Abuse Act of 1986, which provides variation on the impact of drug laws on college enrollment. Finally, I explore college enrollment, as opposed to college completion, as many potential post secondary students are arrested at the age when they would have started college.

## **II. Conceptual Framework**

States have a wide variety of drug laws, from a state, such as Minnesota or Mississippi in which marijuana possession of small amounts (generally less than one ounce) will lead to no punishment to states such as Texas where a first offense marijuana possession could lead to a life sentence (NCJRS, 1988). Given this diversity of legal statutes concerning controlled substances, some states have

laws that closely follow the federal laws and other states greatly diverge, from the way in which they schedule controlled substances, including marijuana, to the minimum and maximum prescribed punishments. While the federal government schedules marijuana in the first of five schedules, defined as having no currently accepted medical use and a high potential for abuse, some states, such as North Carolina and Hawaii, schedule marijuana in a lower category that classify it as having a low potential for abuse (“Drug Scheduling”, 2018). I use this variation in state law in order to explore whether states that have more lenient marijuana laws also have a higher likelihood of college enrollment for Black men after the federal law change. My hypothesis is that states that were less punitive with regards to marijuana possession and distribution prior to the law change would also be less punitive after 1986. As a result, these states would have fewer drug arrests after 1986 and higher college enrollment rates for Black young men when compared to other demographic groups.

Given the increase in arrests and incarceration for young Black men after 1986 as seen in Figure 2 and the growth in college enrollment for Black men during the 1970s and early 1980s, I posit that an increased likelihood of incarceration impacted the educational attainment of the marginal college student, who would have attended college in the absence of arrest and incarceration. In light of the increase in arrests after 1986, students who would have been attending college were more likely to be arrested, which in turn reduced their ability to enroll in college.



Lochner (2007) posits that youth make a choice between pursuing education and not committing crime versus a life of street crime. However, an important factor not taken into account by this model is the way in which behavior that had not been criminalized or not enforced prior to the passage of the law, such as drug possession, leads to an increased likelihood of arrest after the law changes, particularly for young men in urban neighborhoods (Blumstein, 1995). Analysis of the 1980 NLSY cohort found that 34% of 20-23 year old men with 11 or 12 years of schooling reported earning income from illegal activities and 24% of young men with a high school degree reported earning income from illegal activities (Freeman, 1986). Although these individuals were close to or had completed high school, close to a third earned income from acts that could lead to incarceration. One possibility is that the number of young Black men involved in drug possession and drug distribution did not increase in each year from 1986 - 1992. Instead, the amount of funding for drug enforcement increased, which in turn led to increased police activity that increased the likelihood of arrest. As previously stated, between 1980 and 1989, the ratio of prison commitments to adult arrests increased from 196 per 1000 to 332 per 1000, which demonstrates how the likelihood of incarceration rose over this time period (Cohen, 1991). Freeman (1986) posits that the increase in incarceration did not lead to a decrease in crime level in the 1980s and that this implies that persons hitherto uninvolved in criminal activity were more likely to commit a crime due to the collapse of the job market for low skilled workers. An alternate explanation is that activity

deemed illegal that was not enforced, such as drug possession, became a priority after the passage of the federal law in 1986, which led to an increase in the likelihood of arrest and incarceration for young Black men who might have otherwise enrolled in college after the passage of the 1986 Anti-Drug Abuse Act.

Given that the Anti-Drug Abuse Act of 1986 led to a disproportionate number of arrests and convictions for non-violent drug offences among young Black men when compared to both Black women and White men, my research questions are:

RQ1: Did the passage of the Anti-Drug Abuse Act of 1986 cause a fall in relative college enrollment for Black male students?

RQ2: Did the passage of state laws that increased punishment for marijuana possession and distribution after the passage of the Anti-Drug Abuse Act of 1986 reduce college enrollment for Black males?

### **III. Data**

I use the October Current Population Survey (CPS) education supplements, for the years 1984-1992, to examine trends in enrollment by race and gender. Given the extensive use of this dataset, I provide only a brief description here. CPS collects data from approximately 56,000 households monthly, with additional information on educational enrollment and attainment

collected each October. This supplement differentiates between types and intensity of college enrollment for individuals. The CPS also provides information on racial and ethnic affiliation, family composition and financial characteristics, which allows for inclusion of family covariates, from family income to state of residence.

While CPS details state of current residence, it does not indicate the length of residence in the state or prior states of residence. Thus, persons who move into a state were subject to different drug laws in prior periods and I cannot account for their state of previous residence. State samples from CPS within the age group of 18-24 are also relatively small so standard errors with respect to college enrollment will be larger. Another weakness of the CPS October supplements is that it excludes persons who are incarcerated. In light of the much higher lifetime likelihood of going to prison for Black men when compared to White men, 28.5% versus 4.4% in 1991, CPS likely underestimates differences in educational attainment between Black men and non-Black men and Black women and Black men (Bonczar & Beck, 1997; Heckman & LaFontaine, 2010). As a result, my estimates for the probability of college enrollment for Black men will have downward bias.

My second source of data contains the state penalties for marijuana possession and distribution in three years: 1986, 1988, and 1990. For each state and year included, I report the minimum and maximum penalty in months of imprisonment for the first offence of both possession and distribution of 250

grams of marijuana.<sup>4</sup> I use 250 grams because this is the amount that corresponds to the first level of penalty in the federal government. Variations exist in the ways that states report penalties for marijuana possession and distribution. Many states report upper bounds for penalties in numbers of months of imprisonment but not lower bounds. For example, Arkansas in 1986 considers marijuana possession a misdemeanor. The penalty for a misdemeanor is up to one year of punishment. As a result, I report the minimum penalty in months as 0 months and the maximum penalty as 12 months. Forty out of the fifty-one states and districts have a minimum punishment of 0 months in 1986 for marijuana possession and 41 states have a minimum marijuana possession penalty of 0 in both 1988 and 1990 in my data because the minimum amount was not specified.<sup>5</sup> There is greater variation in the minimum penalty in months of imprisonment for marijuana distribution, as it is considered a more serious crime and thus has a harsher penalty. For distribution, 25 states have a minimum distribution penalty of 0 months in 1986 and 27 states have this minimum distribution penalty in 1988 and 1990. If no gram amount of marijuana is specified in the laws and the punishment pertains to any given amount of the controlled substance, I use the specified punishments as the minimum and maximum penalties for 250 grams. If no maximum penalty is provided or if life imprisonment is the penalty, I used 1000 months as the maximum penalty.

---

<sup>4</sup> Some states such as Alaska, Arizona, Colorado, Delaware, Michigan, Nebraska, Nevada, and Wyoming have penalties for use separately from the penalties for possession. Given the relatively small number of states that have these additional laws, I only use laws for possession and distribution in the data set.

<sup>5</sup> I include Washington, DC in the analysis.

Estimates for marijuana possession penalties are based on three USDOJ publications: *A Guide to State Controlled Substances Act* from 1988 and 1990, *Felony Laws of the 50 States and District of Columbia, 1986*, as well as state session laws on marijuana possession and distribution in the specified years from HeinOnline

### *Sample*

The sample is composed of a nationally representative set of young adults aged 18-24, though as previously stated it excludes persons who are currently imprisoned. I chose this age group because persons in this age range had the highest rates of school enrollment as compared to other age spans from 1984 - 1992.<sup>6</sup> I exclude observations with missing data on race, ethnicity, family income, and state of residence from the October CPS supplements, which reduced my sample by approximately 5%.<sup>7</sup> I begin by comparing the college enrollment of Black males to that of non-Black males. I make this comparison because male college enrollment has increased at slower rates than that of females since the 1980s. In Figure 3, we see the parallel trends in the fitted values of college enrollment for Black males and non-Black males prior to the law change in 1986. As a result of this similar trend, the post secondary outcomes of Black males can be compared to that of other males. I also compare the probability of college enrollment of Black males to that of Black females. I use Black females as a

---

<sup>6</sup> Rates of enrollment were 38.7% for 20-24 year olds, 13.3% for 25-29 year olds and 6.7% for 30-34 year olds (NCES, 2014).

<sup>7</sup> The only variable that had missing data was the family income variable, which is one of the limitations of the CPS.

comparison group because Black women had higher arrest rates than other women, though their rates were not as high as arrest rates for Black men. Figure 4 shows the similar trends in the fitted values of the probability of college enrollment for Black males and Black females prior to the federal drug law change. I carry out my analysis with CPS October supplement data using individual probability weights, given that they produce representative statistics (BLS, 2012).

Table 1 presents sample means for young adults aged 18 - 24 in the CPS October supplement sample prior to the federal law change and following the federal law change. Over the period from 1984 to 1986, approximately 28% of the sample is enrolled in college, with slightly lower enrollment for Black males at 21% and a slightly higher likelihood of enrollment for non-Black males at 30%. For the entire student sample, we see an increase in the likelihood of college enrollment of 4% points or 14% in the period after the law change from 1987 to 1992. For Black males, the increase is 1% point and for non-Black males, the increase is 3% points. Also, much of the increase in enrollment comes from four-year colleges, where enrollment increases from 21% to 24% of students in this age group enrolled, as compared to two-year colleges, which went from 7% to 8% enrollment for persons aged 18-24.

#### **IV. Empirical Methodology**

I first employ difference-in-differences (DD) as a quasi-experimental strategy to measure the effects of the Anti-Drug Act of 1986 on college enrollment and graduation rates for Black men. I compare outcomes for Black men to another demographic group before and after a policy change using DD. The primary assumption for the difference-in-difference model is that the trends in enrollment for the two groups being compared were parallel prior to the change (Wooldridge, 2010). Therefore, differences after a change, once accounting for the pre-change gap, are attributable to the law. In other words, the DD strategy accounts for existing time-invariant dissimilarities between the groups that may bias the estimates (Imbens & Wooldridge, 2007). For the first research question that explores changes in college enrollment after the passage of the federal law, I first have pre- and post- law change as the first difference and race as the second difference, when comparing the college enrollment of Black men to that of non-Black men. In the next set of analyses where I compare the outcomes of Black men to that of Black women, I have pre- and post- law change as the first difference and gender as the second difference. For the second research question that uses variation in state marijuana laws, I use geographic variation in changes in state laws for marijuana possession and distribution and race as the second difference for the all male sample and gender as the second difference in the all Black student sample. I estimate linear probability models and cluster my standard errors at the level of the state, in order to correct for

serial correlation in the error terms (Bertrand, Duflo, & Mullainathan, 2004). I also employ CPS October supplement final person weights in all analysis.

My source of variation for the second research question is the changes in severities of states' marijuana laws after the passage of Anti-Drug Abuse Act of 1986. I use state variation, as opposed to a smaller geographic unit for a number of reasons. First, most drug crimes were prosecuted under state law, as opposed to federal law, with 653,386 of the total 712,557 incarcerated persons being held in state prison in 1990 (Cohen, 1991; Kaeble, Glaze, Tsoutis, & Minton, 2016). Second, the CPS only covers large metropolitan statistical areas (MSA). Thus, I would not have coverage of all states if I used the MSA instead of the state. One potential limitation of using states as opposed to MSA is that enforcement of marijuana laws vary within states. For example, New York had the Rockefeller Drug Laws, enacted in 1973, whereby possessing four ounces of marijuana carried a sentence of fifteen years to life. Paradoxically, [NY CLS Penal § 221.05](#), passed four years later in 1977, stated that those in possession of less than an ounce of marijuana could be fined but not imprisoned, as long as the marijuana was not shown publicly. Although New York State decriminalized possession of small amounts of marijuana in 1977, law enforcement officers in New York City disproportionately stopped young Black and Latino men in urban areas and forced them to remove drugs from their pockets (Gelman, Fagan, & Kiss, 2007). Once the drugs were in public view, possession became a misdemeanor, and could be punished by imprisonment as compared to a fine for an infraction.



While there is extensive research on the policing in New York City (Golub, Johnson, & Dunlap, 2007; Harcourt & Ludwig, 2007), other states and cities could have a similar dynamic. However, I am ultimately interested in aggregate changes to college enrollment for Black males. Even if law enforcement varies within states, my analysis, which clusters individuals within states, will capture aggregate changes in college enrollment within states.

In equation 1, my sample is limited to young adult males aged 18 to 24, in order to compare the outcomes of Black males and non-Black males. I limit the analysis to males in this age group for three reasons. First, young males are the group most likely to be arrested, as shown earlier. Second, trends in college enrollment for White females differ from that of Black males, which would violate the primary assumption of the DD model. Third, this is the age of college entry for many young adults, as approximately 60% of enrolled students in 1987 were in this age range (NCES, 1997). Using ordinary least squares, the model can be expressed as follows:

$$(1) \text{ College} = \beta_0 + \beta_1 \text{After1986} + \beta_2 \text{Black} + \beta_3 (\text{After1986} * \text{Black}) + \beta_4 \mathbf{X} + \gamma_1 \mathbf{S} + \gamma_2 \mathbf{R} + \varepsilon$$

In equation (1), *College*, my outcome variable is a dichotomous variable indicating whether an individual who is between the ages of 18 and 24 is enrolled in college. The binary question predictor *After1986*, which equals 1 if the

year is 1987 or later and equals 0 otherwise, captures changes in college enrollment from pre- law change to post. Although the Anti-Drug Abuse Act passed October 27, 1986, I use 1987 as the first year in which it was applied because my data comes from CPS October supplements and the law had not yet passed in 1986 when the sample was taken. The second difference, captured by the binary variable *Black*, measures differences in college enrollment between Black and non-Black males prior to the law change. My variable of interest is the interaction term *After1986\*Black* because it measures the difference in the average change in the probability of college enrollment for Black males from pre- law to post- law after differencing out the average change in college enrollment for non-Black males over the same time period. In the DD model, the interaction term ( $\beta_3$ ) allows the two groups to have a different starting point, or initial enrollment rate, as well as a different rate of change in enrollment after the law. I also carry out the same analysis for only Black students in order to compare the difference in the probability of college enrollment of Black males and females. In this analysis, my second difference would be gender, which would capture pre- law change differences in the likelihood of college enrollment for Black young women and men.

A significant threat to the validity of my analysis comes from the fact that detected changes in enrollment might have occurred at the same time as the rise in incarceration for Black males but not because of the trend. For example, college enrollment for Black males could have changed due to economic

conditions, such as an increase in unemployment rates. However, unemployment rates for both Black males and White males decreased from January 1984 through January 1989, with a steeper decline in the unemployment rate for Black males than White males.

In order to address the aforementioned threats to validity of the analysis, I control for background characteristics associated with college enrollment ( $X$ ) such as age, age squared, ethnicity, family income, and the yearly seasonably adjusted annual state unemployment rate in all models (Rouse, 1994; Perna, 2000). As college enrollment rates differ regionally, I add state fixed effects to account for time invariant unmeasured differences in states that might effect college enrollment ( $S$ ). I also include year fixed effects ( $R$ ) in order to account for trends specific to a particular year that could impact college enrollment.

To refine my analysis and explore how the federal law might have had differential effects by state context, I employ an alternate second difference: variation in changes to state laws around marijuana possession and distribution. For example, while Kentucky had a maximum punishment of one year in prison for distribution of up to 225 grams of marijuana in 1986, Alabama had a maximum suggested penalty of fifteen years for distributing the same amount of marijuana in that year. I hypothesize that the magnitude of the effect of the law on college enrollment will vary with the magnitude of the state law change after the passage of the federal law. In order to capture the ways in which states responded to the unanticipated passage of the Anti-Drug Abuse Act of 1986, I

use the minimum penalty in months of imprisonment for marijuana possession as my second difference in equation 2. I also add a predictor for the maximum penalty in a state. I thus compare college enrollment rates in states that became more punitive with respect to marijuana possession and distribution, as compared to those that became less punitive or did not change their marijuana laws.

The first difference is the change in state marijuana possession and distribution penalties and the second difference is race as follows in equation 2.

(2) *College*=

$$\beta_0 + \beta_1 \text{ State marijuana penalty min (max)} + \beta_2 \text{ Black} + \beta_3 (\text{Black} * \text{ State marijuana penalty min (max)}) + \beta_4 \mathbf{X} + \gamma_1 \mathbf{S} + \gamma_2 \mathbf{R} + \varepsilon$$

The variable *State marijuana penalty min (max)* is a continuous variable that indicates the penalty in months of imprisonment for an amount of marijuana possessed or distributed in a given year. I include the interaction term *Black\* State marijuana penalty min (max)*, which is my variable of interest. This difference estimates the difference in average enrollment for Black males in states with more lenient penalties after the law change as compared to Black males in states with more lenient penalties prior to the law change by subtracting out (controlling for) two confounding trends: (1) changes in college enrollment for Black men across all other states (2) changes in college enrollment for young

men living in more lenient states. Thus, this term measures not only pre- and post- law differences in college enrollment differentiated by race, but it also allows for differences in college enrollment based on whether an individual lived in a state in which the law was more (or less) severe in terms of the marijuana possession and distribution laws after the passage of the 1986 Anti- Drug Act (Puhani, 2012).

I hypothesize that young Black men who reside in a state with less punitive marijuana possession and distribution laws will have a lower likelihood of being imprisoned for these infractions when compared to Black males living in more punitive states because these states would have been less likely to make draconian law changes after the passage of the federal law. As a result, they would have a higher likelihood of college enrollment after the law changes than Black males in states that were more punitive. If my coefficient of interest is negative and statistically significant, this indicates that the relative likelihood of enrollment for Black men decreases as a state becomes *more punitive* with respect to marijuana laws following the passage of the Anti-Drug Abuse Act of 1986 when compared with changes in the likelihood of enrollment rates prior to the law change, after controlling for general trends in the likelihood of postsecondary enrollment for male students in less punitive states and trends in college enrollment for Black males in other states.

## V. Results

*Difference-in-differences: Changes in college enrollment after the 1986 law change*

Table 2 provides the estimates for the first difference-in-difference analysis. This table indicates that Black males had a marginally lower probability of being enrolled in college after the law change. Overall, Black male students were less likely to be enrolled in college prior to the law change when compared to non-Black males, as seen by the consistently negative and statistically significant coefficient on *Black* in this male only sample. From Column (3) that includes all covariates and state fixed effects, the coefficient of interest, the interaction term *After1986\*Black*, is negative and marginally significant with a point estimate of -.0222. This can be interpreted as a 2.22% point, or  $2.22/21=10\%$ , decrease in the probability of a Black male enrolling in college after the passage of the Anti Drug Act of 1986 as compared to Black men prior to the law change.<sup>8</sup> Further, this estimate aligns with national data demonstrating that while the total numbers of Black males enrolling in higher education increased, their share of the undergraduate population fell slightly during this time period, from 4.2% in 1980 to 3.9% in 1990 (USDOE, 1995).<sup>9</sup> I also explore whether the findings vary by the type of institution, namely, four-year or two-year colleges in the fourth and fifth columns of Table 2. There are no statistically significant findings for enrollment in either two-year or four-year enrollment. However, the relatively small sample size of Black students means that I might not have

---

<sup>8</sup> The college enrollment rate for Black men prior to the passage of the federal law was .21 in my sample.

<sup>9</sup> The share for White men was 39.5% in 1980 and 35.6% in 1990.

enough power to detect small effects when I differentiate between two- year and four-year colleges.<sup>10</sup>

Next, I measure whether I see changes in the likelihood of college enrollment when comparing the enrollment of Black males to that of Black females in the DD model, as Black women also had increases in arrest rates during this time period though not at the same level as Black men (Hester, 1989; Jankowski, 1992).<sup>11</sup> Here, I find marginally significant decreases in the likelihood of college enrollment by Black men in the years following the passage of the Anti-Drug Abuse Act of 1986, when compared to Black male enrollment in the years prior in Table 3. The point estimate for the interaction term *After1986\*Male*, in Column (3) for the model with covariates and state and year fixed effects, has a similar direction and magnitude to the one from the all male sample. It shows a 2.24% point decrease in Black male enrollment when compared to Black men prior to the law change. I did not find significant differences in enrollment for Black males after the policy change when looking at the probability of enrollment in two-year or four-year colleges in Table 3 Columns (4) and (5). As previously mentioned, one reason that the analysis might not be detecting effects is the relatively small sample size of the Black population of 18-24 years olds in the CPS, particularly when I am looking at changes within states.

---

<sup>10</sup> In order to detect a mean difference of .01 in the probability of college enrollment with power of .8 and a confidence level of .95, I would need a sample size of approximately 28,500 persons. My sample size for Black students in the analysis is 15,147.

<sup>11</sup> In 1985, state prisons housed 9,791 Black women (204, 280 Black men) and 10,077 White women (224,647 White men). By 1990, there were 17,753 Black women (326,845 Black men) and 16,813 White women (306,897 White men) in state prisons (Hester, 1989; Jankowski, 1992).

*Difference-in-differences: Changes in college enrollment based on state law changes*

Table 4 presents the effects of marijuana laws on college enrollment for males based on their state of residence and the change in state law from 1986 to 1981. Overall, the results show that states that became more punitive, for their minimum marijuana possession penalties, had slight decreases in college enrollment for Black males.<sup>12</sup> From the coefficient on *Black\* State marijuana penalty* in Column (1) of table 4, Black males in a state that had a one month increase in their minimum marijuana possession penalty after the federal law change had a .22 % point, or 1%, decrease in the likelihood of college enrollment. However, this result is only marginally significant. Although a small point estimate, if I look at the effects of the change for a year (12 months) instead of one month, I find a decrease of 2.6% points in the Black male college enrollment rate when a state increases their minimum possession penalty by one year. When looking at the effects of the change in the maximum penalty from the coefficient *Black\* State marijuana penalty max 88-86*, I do not see a significant effect. When using Black women as a comparison group in Table 5, we see similar results to those for the analysis comparing the college enrollment of Black men to other men for the minimum penalty. However, the results are also not statistically

---

<sup>12</sup> Nine states changed their maximum distribution penalties from 1986 to 1988 and twelve states changed their minimum distribution penalties in this time period.



significant – possibly due to the smaller sample size for the sample with only Black students.

### *Sensitivity Analysis*

While there is some variability with regards to severity of penalties for marijuana laws, drugs such as cocaine and heroin receive the harshest penalty allowed in almost all states. In particular, there were severe penalties associated with cocaine in the federal law and much of the news coverage in the 1980s was around crack or freebase forms of cocaine and their evils (Orcutt & Turner, 1993). Fryer, Heaton, Levitt, and Murphy (2013) find that crack cocaine had a large impact on a number of social indicators for Black persons in the 1990s, from birth rates to death rates. According to the Bureau of Justice Statistics (2017), from 1987 to 1995, most drug arrests were for heroin or cocaine. This changed in 1996, when arrests for marijuana related crimes outnumbered those for other drugs.

In order to test whether marijuana might be the incorrect controlled substance to detect the changes in Black male college enrollment after the passage of the Anti-Drug Abuse Act of 1986, I take two approaches. First, I carry out the state level analysis using minimum and maximum penalties for cocaine possession and distribution. This first approach explores the effects of changes in the law. I also carry out the analysis using the state level crack index from Fryer, Heaton, Levitt, and Murphy (2013), and replace the state marijuana laws with the state crack index for each year. The crack index the authors created proxies for

the prevalence of crack in cities and states from 1980 to 2000 and adjusts for the racial makeup of a state. The state yearly crack measure includes percentage of arrests for either possession or distribution of cocaine or a derivative, per capita number of Drug Enforcement Agency arrests and seizures related to cocaine and derivatives, and per capita deaths related to cocaine. This second approach explores the effects of both the law and the enforcement of the law.

Using variation in changes of state laws for cocaine in Table 6 column 1, there is a small but significant decline in the relative probability of Black male college enrollment when the maximum cocaine penalty increases by a month within a state. We do not see enrollment changes when the minimum penalty increases.<sup>13</sup> In Table 7 that uses the Fryer et al crack index as the primary predictor, the coefficient on the interaction between the crack index and Black is significant and negative and indicates a 2.34% point decrease in the likelihood of college enrollment when the crack index increases by one unit. The point estimates for the change in the likelihood of college enrollment are of a similar magnitude and direction, though slightly smaller, when I use Black women as the comparison group in Table 8. While both approaches suggest that increases in the severity of crack laws led to significant decreases in the college enrollment of Black men when compared to their White peers, the crack index has a much larger point estimate. One reason that the crack index might have a larger effect

---

<sup>13</sup> I carry out the same analysis for Black male students using Black females as the control group but do not find effects, which could be due to the small sample size as previously stated.

is that the index not only measures arrests, it also includes a proxy for enforcement, namely how many DEA busts occurred, as well as the death toll related to cocaine.

Finally, I explored other possible explanations for changes in college enrollment such as changes in laws around financial aid and changes in college costs. If income constrained students had less access to government grants and loans during the 1980s, this could have changed the likelihood of college enrollment for Black students. While the Higher Education Act was reauthorized in 1986, there were no significant changes to the funding levels for programs from the prior reauthorization (Keppel, 1987). Providers of higher education might have also instituted policies that impacted the demand for higher education, such as lowering tuition or increasing the amount of aid disbursed. I verified that tuition prices had not decreased, as lower prices might lead to increased enrollment. According to National Center for Education Statistics (2000), average undergraduate tuition, fees, room and board for both two-year and four-year institutions increased at a relatively constant rate over this time period, although two-year prices increased at a slightly slower rate from 1984- 85 through 1986-87.

#### *Discussion of results*

This paper seeks to understand whether increases in marijuana possession and distribution penalties by states, following the passage of the federal Anti-Drug Abuse Act of 1986, decreased the likelihood of college enrollment for Black

males. While traditional college-aged Black males were disproportionately arrested for drug possession and distribution infractions following the introduction of the federal law (US Sentencing Commission, 1991), it was unclear if these laws also had an impact on the likelihood of college enrollment for Black young men.

The analysis for the first research question, based on the federal law change, shows that Black males in the United States had a marginally lower probability of college enrollment after the passage of the Anti-Drug Abuse Act of 1986 as compared to Black males prior to the law change, once I account for trends in college enrollment over the period. These results were robust to alternative specifications. For the second research question, increases in a state's minimum possession penalty following the federal law change did not change the likelihood of Black male college enrollment. We also do not see significant effects when looking at the effects of changes in the maximum possession penalty or the minimum or maximum distribution penalties. However, an increase in the maximum crack penalty led to decreases in the relative college enrollment of Black men.

*Types of Penalties Matter: Maximum versus Minimum Penalty for Marijuana Possession*

The results of this study are somewhat surprising. I anticipated that an increase in a state's maximum marijuana possession penalty would have a

negative effect on Black male college enrollment. After 1986, a number of states became more punitive by increasing the minimum and maximum penalty for marijuana possession and distribution (Holden, 1988). Other states imposed mandatory minimum penalties for drug possession. As a result, Black and Latino young men were more likely to be arrested and incarcerated for drug infractions when compared to their White peers (Meierhoefer, 1992). Further, Black and Latino individuals received longer prison sentences than White persons for similar crimes in both federal and state courts (Steffensmeier, Ulmer, J., & Kramer, 1998; Steffensmeier & Demuth, 2000; US Sentencing Commission, 1991). Given the increased likelihood of arrest for Black young men, I expected that an increase in both the minimum or maximum marijuana penalty would lead to decreases in Black male college enrollment. However, my results demonstrate that states that had increases in the minimum marijuana possession penalty had small and marginally significant decreases in college enrollment for Black men when compared to both Black women and non-Black men. In contrast, when looking at cocaine, increases in the maximum cocaine penalties led to decreases in relative college enrollment for Black men

The population of Black males arrested could explain why an increase in the minimum marijuana possession penalty was more deleterious to college outcomes than an increase in the maximum penalty. Perhaps, the young Black men who were arrested for marijuana possession crimes *and* students on the margin of attending college were more likely to be arrested for possession of

small amounts of drugs. The increases in state marijuana minimum imprisonment terms could be the difference between being arrested, convicted, but *not* incarcerated prior to 1986, as compared to being convicted and serving time in prison after 1986. Prior research demonstrates that while arrests have a negative impact on the likelihood of college enrollment, time served in prison is more detrimental to educational outcomes (Aizer and Doyle, 2015; Hjalmarsson, 2006). For example, Aizer and Doyle (2015) found that incarcerated individuals have a lower likelihood of high school graduation when compared to young adults who are arrested and convicted but do not serve time in jail or prison.

In order to explore the role of having a higher likelihood of serving *any* time in prison on college outcomes, I looked at the correlation between a state having a mandatory minimum drug penalty and the likelihood of college enrollment. A mandatory minimum penalty mandates that a state enforce a jail or prison term if a person is convicted of a drug offense. Thus, states that have mandatory minimum laws should be more likely to assign prison terms to those convicted of drug possession and distribution because the sentence is codified. Estimates suggest that mandatory minimum drug laws increased state prison populations by about 35% between 1985 and 1995 (Caulkins & Chandler, 2006). I find a negative and statistically significant correlation between a state having mandatory minimum drug laws in 1988 and the probability of college enrollment for Black students. As previously hypothesized, the mechanism might be the difference between serving time and not serving time in prison, as opposed to

the length of the jail sentence. This correlation might explain why the maximum sentence did not have a detectable effect on the probability of college enrollment. It is unlikely that the difference between serving a maximum marijuana possession sentence of 10 months, as opposed to 12 months, has an impact on the likelihood of college enrollment. But, serving one month in 1988 as compared to no prison in 1986 could have a large impact on the likelihood of college enrollment for the marginal student.

However, when looking at changes in cocaine laws, I find significant effects only for increases in the maximum penalty and not the minimum penalty. This is the opposite finding for marijuana. One possible explanation is that different populations were being arrested for marijuana possession and cocaine sales and both were marginal college students.

The point estimates were quite small for the decreases in the likelihood of college enrollment for Black men. Insufficient power could be another reason that I do not find changes, particularly for the *Male\* State marijuana penalty minimum* interaction. The sample is even smaller when looking at two-year and four-year enrollment. As the point estimate from the interaction term of interest *Black\* State marijuana penalty max* was less than 1% in a number of the models for overall college enrollment, I would anticipate difficulty in detecting an effect size with the sample size.

*College enrollment for Black students: An intersection of race and socioeconomic status*

Another possible reason for the lack of change in Black male college when the marijuana penalty increases might be related to class differences in college enrollment. Research suggests that college enrollment increased for upper income young adults at the same time that it was stagnating among low-income persons (Bailey & Dynarski, 2011). Thus, higher income Black students might have been more likely to attend school and offset losses in enrollment by lower-income Black. Kane (1994) finds that while college enrollment declined for Black young adults in the early years of the 1980s, there was a rebound in college enrollment rates in the latter part of the decade, in part due to higher parental education levels, which led to a greater likelihood of college enrollment for Black students with parents who had college degrees.

*Lags in legislation and effects of drug laws on arrests*

The timing of the drug legislation and enforcement also played a role in the likelihood of college enrollment for Black males. Black adult arrest rates for drug infractions increased sharply from 1986 to 1988, peaked in 1988, and then fell sharply from 1989 to 1992, as seen in Figure 2. For Black juveniles, arrest rates peaked after the passage of the Violent Crime Control and Law Enforcement Act of 1994. Thus, perhaps, the effects of the marijuana laws on Black male college enrollment actually had a longer lag time than can be captured in a study that ends in 1992.



There are numerous theories about this decline in drug arrests in the period from 1989 to 1992. This decrease in arrests was likely not due to declines in the crime rate. Data from the annual *FBI Uniform Crime Report* show that overall crime rate rose from 1988 to 1991, as did the violent crime rate. Crime rates did not begin to fall until 1991. Another potential explanation for the decline in arrests is political changes. In 1989, George H.W. Bush became president, which could have led to decreased spending on crime control due to increased uncertainty over future budgets. This scenario is not credible as the drug control budget passed in 1989 was larger than that of previous years (White House, 1998). Further, both the former president Ronald Reagan and the president in 1989 were Republicans. The Republican Party is generally associated with an increase in penalties for crime (Smith, 2004). An alternate explanation is that an economic slowdown occurred in 1989, which in turn became the economic recession of 1990 and 1991 (Blanchard, 1993). The economic slowdown could have led to priority changes within police departments – whereby they did not focus as much on drug crimes. It is hard to evaluate the role of the economic slowdown on the drug arrest rate. There is limited research on the relationship between drug arrests and the business cycle and a weak relationship between crime and economic downturns, more generally (Cook & Zarkin, 1985).

## VI. Conclusions

While numerous statistics demonstrate that increases in rates of Black male college enrollment and attainment rates have not mirrored those of their Black female or White male counterparts, the reasons for these gaps have not been fully explained. Based on the findings from this paper, the probability of college enrollment for Black males decreased after the federal law changed. However, it does not seem largely attributable to increases in state penalties for marijuana possession and distribution over the relatively short period from 1986 to 1992. There is some evidence that the more salient drug with respect to changes in Black male college enrollment is crack cocaine.

## References

- Aizer, A., & Doyle, J. J. (2015). Juvenile incarceration, human capital, and future crime: Evidence from randomly assigned judges. *The Quarterly Journal of Economics*, qjv003.
- Alexander, M. (2012). *The new Jim Crow: Mass incarceration in the age of colorblindness*. The New Press.
- Alschuler, A. W. (2005). Disparity: The Normative and Empirical Failure of the Federal Guidelines. *Stanford Law Review*, 85-117.
- Anti-Drug Abuse Act of 1986, Pub.L. 99-570.
- Aos, S., Miller, M., & Drake, E. (2006). Evidence-based public policy options to reduce future prison construction, criminal justice costs, and crime rates. *Fed. Sent. R.*, 19, 275.
- Bailey, M. J., & Dynarski, S. M. (2011). *Gains and gaps: Changing inequality in US college entry and completion* (No. w17633). National Bureau of Economic Research.
- Beattie, I. R. (2002). Are all "adolescent econometricians" created equal? Racial, class, and gender differences in college enrollment. *Sociology of Education*, 19-43.
- Becker, G. S. (1975). *Human capital: A theoretical and empirical analysis with special reference to education*. Chicago: University of Chicago Press.
- Becker, G. S. (1994). *Human Capital*. University of Chicago Press Economics Books.
- Beckett, K., & Western, B. (2001). Governing social marginality: Welfare, incarceration, and the transformation of state policy. *Punishment & Society*, 3(1), 43-59.
- Benson, B. L. (2009). Escalating the war on drugs: causes and unintended consequences. *Stan. L. & Pol'y Rev.*, 20, 293.
- Bernburg, J. G., & Krohn, M. D. (2003). Labeling, life chances and adult crime: The direct and indirect effects of official intervention in adolescence on crime in early adulthood. *Criminology*, 41(4), 1287-1318.
- Bettinger, E. (2004). How financial aid affects persistence. In *College choices: The economics of where to go, when to go, and how to pay for it* (pp. 207-238). University of Chicago Press.
- Bettinger, E. (2010). Need-based aid and student outcomes: The effects of the Ohio College Opportunity Grant.
- Bettinger, E. P., Long, B. T., Oreopoulos, P., & Sanbonmatsu, L. (2012). The Role of Application Assistance and Information in College Decisions: Results from the H&R Block Fafsa Experiment\*. *The Quarterly Journal of Economics*, 127(3), 1205-1242.
- Black, S. E., & Sufi, A. (2002). *Who goes to college? Differential enrollment by race and family background* (No. w9310). National Bureau of Economic Research.

- Blanchard, O. (1993). Consumption and the Recession of 1990-1991. *The American Economic Review*, 83(2), 270-274.
- Blumstein, A. (1995). Youth violence, guns, and the illicit-drug industry. *The Journal of Criminal Law and Criminology (1973-)*, 86(1), 10-36.
- Bonczar, T. P., & Beck, A. J. (1997). Lifetime likelihood of going to state or federal prison. *Birth*, 5(4.4), 28-5.
- Brazzell, D., Crayton, A., Mukamal, D. A., Solomon, A. L., & Lindahl, N. (2009). From the Classroom to the community: Exploring the role of education during incarceration and reentry. *Urban Institute (NJ1)*.
- Buchmann, C., & DiPrete, T. A. (2006). The growing female advantage in college completion: The role of family background and academic achievement. *American sociological review*, 71(4), 515-541.
- Bureau of Justice Statistics. (2017). Drug and Crime Facts. Retrieved from <https://www.bjs.gov/content/dcf/tables/drugtype.cfm>.
- Cameron, S. V., & Heckman, J. J. (2001). The dynamics of educational attainment for black, hispanic, and white males. *Journal of political Economy*, 109(3), 455-499.
- Caulkins, J. P., Rydell, C. P., Schwabe, W., & Chiesa, J. (1997). *Mandatory minimum drug sentences*. Rand Corporation.
- Caulkins, J. P., Reuter, P. H., Iguchi, M. Y., & Chiesa, J. (2005). *How goes the "War on Drugs"?*. Rand Corporation.
- Caulkins, J. P., & Chandler, S. (2006). Long-run trends in incarceration of drug offenders in the United States. *Crime & Delinquency*, 52(4), 619-641.
- Cavendish, W. (2013). Academic attainment during commitment and post release education-related outcomes of juvenile justice-involved youth with and without disabilities. *Journal of emotional and behavioral disorders*, 1063426612470516.
- Choy, S. P. (2002). Access & persistence: Findings from 10 years of longitudinal research on students. ERIC Digest.
- Clotfelter, C. T., Ehrenberg, R. G., Getz, M., & Siegfried, J. J. (2008). *Economic challenges in higher education*. University of Chicago Press.
- Cohany, S. R. (1986). What happened to the high school class of 1985?. *Monthly Labor Review*, 28-30.
- Cohen, R. L. (1991). *Prisoners in 1990*. US Department of Justice, Bureau of Justice Statistics.
- Conger, D., & Long, M. C. (2013). Gender gaps in college enrollment: The role of gender sorting across public high schools. *Educational Researcher*, 42(7), 371-380.
- Cook, P. J., & Zarkin, G. A. (1985). Crime and the business cycle. *The Journal of Legal Studies*, 14(1), 115-128.

- Crayton, A., & Neusteter, S. R. (2008). The current state of correctional education.
- Dale, S. B., & Krueger, A. B. (1999). *Estimating the payoff to attending a more selective college: An application of selection on observables and unobservables* (No. w7322). National Bureau of Economic Research.
- Davis, L., Steele, J., Bozick, R., Williams, M., Turner, S., Miles, J., Saunders, J. and Steinberg, P. (2014). How effective is correctional education, and where do I go from here? The results of a comprehensive evaluation. Santa Monica, CA: RAND Corporation. Retrieved from [http://www.rand.org/pubs/research\\_reports/RR564.html](http://www.rand.org/pubs/research_reports/RR564.html).
- Dee, T. S. (2005). A teacher like me: Does race, ethnicity, or gender matter?. *American Economic Review*, 158-165.
- Deming, D., & Dynarski, S. (2010). College aid. In *Targeting investments in children: Fighting poverty when resources are limited* (pp. 283-302). University of Chicago Press.
- DiPrete, T. A., & Buchmann, C. (2006). Gender-specific trends in the value of education and the emerging gender gap in college completion. *Demography*, 43(1), 1-24.
- Dobkin, C., & Nicosia, N. (2009). The war on drugs: methamphetamine, public health, and crime. *The American economic review*, 99(1), 324.
- Drug Scheduling. (2018). Retrieved from <https://www.dea.gov/druginfo/ds.shtml>
- Dunworth, T., Haynes, P., & Saiger, A. J. (1997). *National assessment of the Byrne formula grant program* (Vol. 5). US Department of Justice, Office of Justice Programs, National Institute of Justice.
- Dynarski, S. M. (1999). *Does aid matter? Measuring the effect of student aid on college attendance and completion* (No. w7422). National bureau of economic research.
- Dynarski, S. (2000). *Hope for whom? Financial aid for the middle class and its impact on college attendance* (No. w7756). National Bureau of Economic Research.
- Dynarski, S. M., & Scott-Clayton, J. E. (2006). *The cost of complexity in federal student aid: Lessons from optimal tax theory and behavioral economics* (No. w12227). National Bureau of Economic Research.
- Ewert, S., Sykes, B. L., & Pettit, B. (2014). The degree of disadvantage: Incarceration and inequality in education. *The ANNALS of the American Academy of Political and Social Science*, 651(1), 24-43.
- Freeman, R. B. (1994). *Crime and the job market* (No. w4910). National Bureau of Economic Research.
- Frankel, M. E. (1973). Criminal sentences: Law without order.
- Fry, R., & Parker, K. (2012). Record shares of young adults have finished both high school and college.

- Fryer, R. G., Heaton, P. S., Levitt, S. D., & Murphy, K. M. (2013). Measuring crack cocaine and its impact. *Economic Inquiry*, 51(3), 1651-1681.
- Gelman, A., Fagan, J., & Kiss, A. (2007). An analysis of the New York City police department's "stop-and-frisk" policy in the context of claims of racial bias. *Journal of the American Statistical Association*, 102(479).
- Goldin, C., Katz, L. F., & Kuziemko, I. (2006). *The homecoming of American college women: The reversal of the college gender gap* (No. w12139). National Bureau of Economic Research.
- Golub, A., Johnson, B. D., & Dunlap, E. (2007). The race/ethnicity disparity in misdemeanor marijuana arrests in New York City. *Criminology & public policy*, 6(1), 131-164.
- Greenberg, D. F., & West, V. (2001). State prison populations and their growth, 1971-1991. *Criminology*, 39(3), 615-654.
- Greenberg, E., Dunleavy, E., & Kutner, M. (2007). Literacy behind bars: Results from the 2003 National Assessment of Adult Literacy Prison Survey. NCES 2007-473. *National Center for Education Statistics*.
- Greenfield, L.A. & Minor-Harper, S. (1991). *Women in prison*. Washington, DC: Bureau of Justice Statistics.
- Harlow, C (2003). *Education and Correctional Populations*. US Department of Justice, Office of Justice Programs, Bureau of Justice Statistics.
- Harper, S. R. (2006). *Black male students at public universities in the U.S.: Status, trends and implications for policy and practice*. Washington, DC: Joint Center for Political and Economic Studies.
- Harry, B., & Klingner, J. (2014). *Why are so many minority students in special education?.* Teachers College Press.
- Heckman, J. J., & LaFontaine, P. A. (2010). The American high school graduation rate: Trends and levels. *The review of economics and statistics*, 92(2), 244-262.
- Hemelt, S. W., & Marcotte, D. E. (2011). The impact of tuition increases on enrollment at public colleges and universities. *Educational Evaluation and Policy Analysis*, 33(4), 435-457.
- Hester, T., Bureau of Justice Statistics (BJS), US Dept of Justice, & Office of Justice Programs. (1989). *Correctional Populations in the United States, 1986*. Washington, DC: Bureau of Justice Statistics.
- Hirschfield, P. (2009). Another way out: The impact of juvenile arrests on high school dropout. *Sociology of Education*, 82(4), 368-393.
- Holden, G. A. (1988). *A guide to state controlled substances acts*. National Criminal Justice Association.
- Horn, L., Cataldi, E. F., & Sikora, A. (2005). *Waiting to attend college. Undergraduates who delay their postsecondary enrollment. Postsecondary education descriptive analysis report. NCES 2005? 152*. National Center for Education Statistics.

- Horn, L., Nevill, S., & Griffith, J. (2006). Profile of Undergraduates in US Postsecondary Education Institutions, 2003-04: With a Special Analysis of Community College Students. Statistical Analysis Report. NCES 2006-184. *National Center for Education Statistics*.
- Imbens, G., & Wooldridge, J. M. (2007). *What's new in econometrics?* NBER.
- Jacob, B. A. (2002). Where the boys aren't: Non-cognitive skills, returns to school and the gender gap in higher education. *Economics of Education review*, 21(6), 589-598.
- Jankowski, L. W. (1992). Correctional populations in the United States, 1990. *Bureau of Justice Statistics*, 86.
- Johnson, R., & Raphael, S. (2012). How much crime reduction does the marginal prisoner buy?. *The Journal of Law and Economics*, 55(2), 275-310.
- Johnston, L. D., O'malley, P. M., Bachman, J. G., & Schulenberg, J. E. (2010). Monitoring the future: National survey results on drug use, 1975-2009. Volume I: Secondary School Students. NIH Publication No. 10-7584. *National Institute on Drug Abuse (NIDA)*.
- Kaeble, D. Glaze, L. Tsoutis, A. & Minton, T. (2016). Correctional populations in the United States, 2014.
- Kane, T. J. (1994). College entry by blacks since 1970: The role of college costs, family background, and the returns to education. *Journal of political Economy*, 102(5), 878-911.
- Kane, T. J. (2003). *A quasi-experimental estimate of the impact of financial aid on college-going* (No. w9703). National Bureau of Economic Research.
- Kearney, M. S., Harris, B. H., Jácome, E., & Parker, L. (2014). Ten economic facts about crime and incarceration in the United States. *Policy Memo of the Hamilton Project* <http://www.brookings.edu/research/reports>, 5.
- Kennedy, J. E. (2009). The Jena Six, mass incarceration, and the remoralization of Civil Rights. *Harvard Civil Rights-Civil Liberties Law Review (CR-CL)*, 44.
- Keppel, F. (1987). The Higher Education Acts Contrasted, 1965-1986: Has Federal Policy Come of Age?. *Harvard Educational Review*, 57(1), 49-68.
- Kirk, D. S., & Sampson, R. J. (2013). Juvenile arrest and collateral educational damage in the transition to adulthood. *Sociology of education*, 86(1), 36-62.
- Krezmien, M. P., Mulcahy, C. A., & Leone, P. E. (2008). Detained and committed youth: Examining differences in achievement, mental health needs, and special education status. *Education and Treatment of Children*, 31(4), 445-464.
- Leone, P. E., & Weinberg, L. A. (2010). *Addressing the unmet educational needs of children and youth in the juvenile justice and child welfare systems*. Georgetown University, Center for Juvenile Justice Reform.

- Levitt, S. D. (1996). The effect of prison population size on crime rates: Evidence from prison overcrowding litigation. *The quarterly journal of economics*, 111(2), 319-351.
- Lochner, L., & Moretti, E. (2004). The effect of education on crime: Evidence from prison inmates, arrests, and self-reports. *American Economic Review*, 94(1), 155-189.
- Logan, W., Stellwagen, L. S., & Langan, P. A. (1987). *Felony Laws of the 50 States and the District of Columbia, 1986*. US Department of Justice, Bureau of Justice Statistics.
- Long, B. T. (2004). How have college decisions changed overtime? An application of the conditional logistic choice model. *Journal of Econometrics*, 121(1-2), 271-296.
- Lowenthal, G. T. (1993). Mandatory sentencing laws: Undermining the effectiveness of determinate sentencing reform. *California Law Review*, 61-123.
- McDaniel, A., DiPrete, T. A., Buchmann, C., & Shwed, U. (2011). The Black gender gap in educational attainment: Historical trends and racial comparisons. *Demography*, 48(3), 889-914.
- McKinnon, J. (2001). The black population: 2000.
- Meierhoefer, B. S. (1992). The general effect of mandatory minimum prison terms. *Washington, DC: Federal Judicial Centre*.
- Mitchell, O., & Caudy, M. S. (2015). Examining racial disparities in drug arrests. *Justice Quarterly*, 32(2), 288-313.
- Mustard, D. B. (2001). Racial, ethnic, and gender disparities in sentencing: Evidence from the US federal courts. *The Journal of Law and Economics*, 44(1), 285-314.
- Nagel, I. H. (1990). Foreword: structuring sentencing discretion: the new Federal Sentencing Guidelines. *The Journal of Criminal Law and Criminology (1973-)*, 80(4), 883-943.
- Nagin, D. S. (1998). Criminal deterrence research at the outset of the twenty-first century. *Crime and justice*, 23, 1-42.
- National Center for Education Statistics. (2009). Condition of Education 2009.
- National Criminal Justice Reference Service. (1994). Violent crime control and law enforcement act of 1994 fact sheet. Retrieved from: <https://www.ncjrs.gov/txtfiles/billfs.txt>.
- Office of Juvenile Justice and Delinquency Prevention. (2014). *Statistical Briefing Book*.
- Office of National Drug Control Policy. (1997). National drug control strategy.
- Office of National Drug Control Policy. (1999). National drug control strategy.
- Office of National Drug Control Policy. (2005). National drug control strategy.



- Orcutt, J. D., & Turner, J. B. (1993). Shocking numbers and graphic accounts: Quantified images of drug problems in the print media. *Social problems*, 40(2), 190-206.
- Oreopoulos, P., & Salvanes, K. G. (2011). Priceless: The nonpecuniary benefits of schooling. *The journal of economic perspectives*, 25(1), 159-184.
- Pager, D., Western, B., & Sugie, N. (2009). Sequencing disadvantage: Barriers to employment facing young black and white men with criminal records. *The ANNALS of the American Academy of Political and Social Science*, 623(1), 195-213.
- Parent, D. (1993). Conditions of confinement. *Juvenile Justice* 1, 1(1993), 2-7.
- Paulsen, M. B. (1990). *College choice: Understanding student enrollment behavior*. ASHE-ERIC Higher Education Report No. 6. ASHE-ERIC Higher Education Reports, The George Washington University, One Dupont Circle, Suite 630, Dept. RC, Washington, DC 20036-1183.
- Pelavin, S. H., & Kane, M. (1990). *Changing the odds: Factors increasing access to college*. College Board Publications, Box 886, New York, NY 10101-0886.
- Perna, L. W. (2000). Differences in the decision to attend college among African Americans, Hispanics, and Whites. *The Journal of Higher Education*, 71(2), 117-141.
- Pettit, B. (2012). *Invisible men: Mass incarceration and the myth of black progress*. Russell Sage Foundation.
- Pettit, B., & Western, B. (2004). Mass imprisonment and the life course: Race and class inequality in US incarceration. *American Sociological Review*, 69(2), 151-169.
- Reardon, S. F., & Robinson, J. P. (2008). Patterns and trends in racial/ethnic and socioeconomic academic achievement gaps. *Handbook of research in education finance and policy*, 497-516.
- Reardon, S. F. (2011). The widening academic achievement gap between the rich and the poor: New evidence and possible explanations. *Whither opportunity*, 91-116.
- Rosenthal, A., NaPier, E., Warth, P., & Weissman, M. (2015). *Boxed out: Criminal history screening and college application attrition*. New York: Center for Community Alternatives. Retrieved from [http://www.communityalternatives.org/pdf/publications/BoxedOut\\_FullReport.pdf](http://www.communityalternatives.org/pdf/publications/BoxedOut_FullReport.pdf)
- Rouse, C. E. (1994). What to do after high school: The two-year versus four-year college enrollment decision. *Choices and consequences: Contemporary policy issues in education*, 59-88.

- Russell-Einhorn, M., Ward, S., & Seeherman, A. (2004). Federal-local law enforcement collaboration in investigating and prosecuting urban crime, 1982-1999: Drugs, weapons and gangs. *Washington, DC: National Institute of Justice.*
- Stith, K., & Koh, S. Y. (1993). The politics of sentencing reform: The legislative history of the federal sentencing guidelines. *Wake Forest L. Rev.*, 28, 223.
- Smith, K. B. (2004). The politics of punishment: Evaluating political explanations of incarceration rates. *Journal of Politics*, 66(3), 925-938.
- Snyder, H. N. (2011). *Arrest in the United States, 1980-2009*. US Department of Justice, Office of Justice Programs, Bureau of Justice Statistics.
- Southern Education Foundation. (2014). Just learning the imperative to transform juvenile justice systems into effective educational systems: A study of juvenile justice schools in the south and the nation. Retrieved from <http://www.southerneducation.org/getattachment/cf39e156-5992-4050-bd03-fb34cc5bf7e3/Just-Learning.aspx>
- Steffensmeier, D., Ulmer, J., & Kramer, J. (1998). The interaction of race, gender, and age in criminal sentencing: The punishment cost of being young, black, and male. *Criminology*, 36(4), 763-798.
- Steffensmeier, D., & Demuth, S. (2000). Ethnicity and sentencing outcomes in US federal courts: Who is punished more harshly?. *American sociological review*, 705-729.
- Stemen, D. (2007). Impact of state sentencing policies on incarceration rates in the United States, 1975-2002. *New York, NY: Vera Institute of Justice [producer]*.
- Stemen, D. (2007). Impact of state sentencing policies on incarceration rates in the United States, 1975-2002. *New York, NY: Vera Institute of Justice [producer]*. ICPSR04456-v1. New York, NY: Vera Institute of Justice [producer], 2000. Ann Arbor, MI: Inter-university Consortium for Political and Social Research [distributor], 2007-09-27. <http://doi.org/10.3886/ICPSR04456.v1>
- The White House, Office of the Press Secretary. (2015). Remarks by the president at the NAACP conference. Retrieved from <https://www.whitehouse.gov/the-press-office/2015/07/14/remarks-president-naacp-conference>
- The White House, Office of the Press Secretary. (2015). Remarks by the president after visit at El Reno federal correctional institution. Retrieved from <https://www.whitehouse.gov/the-press-office/2015/07/16/remarks-president-after-visit-el-reno-federal-correctional-institution>
- US Bureau of the Census. (1978). Current Population Survey Technical Paper 40: Design and Methodology. Available at: [https://archive.org/stream/currentpopulatio00unit/currentpopulatio00unit\\_djvu.txt](https://archive.org/stream/currentpopulatio00unit/currentpopulatio00unit_djvu.txt)
- U.S. Department of Commerce, Census Bureau, Current Population Survey (CPS), October, 1975 through 2012.

- U.S. Department of Commerce, Bureau of the Census, Current Population Survey, unpublished tabulations; and U.S. Department of Education, National Center for Education Statistics, Dropout Rates in the United States. (This table was prepared May 1995.)  
<https://nces.ed.gov/programs/digest/d95/dtab101.asp>
- U.S. Department of Commerce, Census Bureau, *U.S. Census of Population: 1960*, Vol. I, Part 1; J.K. Folger and C.B. Nam, *Education of the American Population* (1960 Census Monograph); Current Population Reports, Series P-20, various years; and Current Population Survey (CPS), Annual Social and Economic Supplement, 1970 through 2015. (This table was prepared October 2015.)
- U.S. Department of Education, National Center for Education Statistics. (1997). *Projection of Education Statistics to 2007*. DIANE Publishing.
- U.S. Department of Education, National Center for Education Statistics, Higher Education General Information System (HEGIS). (2000). "Institutional Characteristics of Colleges and Universities;" and Integrated Postsecondary Education Data System (IPEDS), "Fall Enrollment" survey. (This table was prepared September 2000.)
- U.S. Department of Education, National Center for Education Statistics. (1995). "Fall Enrollment in Colleges and Universities;" and Integrated Postsecondary Education Data System (IPEDS), "Fall Enrollment" survey. (This table was prepared March 1995.)
- U.S. Department of Education, National Center for Education Statistics. (2015). *The Condition of Education 2015* (NCES 2015-144), [Annual Earnings of Young Adults](#).
- U.S. Department of Education, National Center for Education Statistics. (2015). *Digest of Education Statistics, 2013* (NCES 2015-011), [Chapter 3](#).
- U.S. Department of Education, National Center for Education Statistics, 2011–12 National Postsecondary Student Aid Study (NPSAS:12).
- U.S. Department of Education, National Center for Education Statistics. (2015). *The Condition of Education 2015* (NCES 2015-144), [Annual Earnings of Young Adults](#).
- U.S. Department of Education. (2015). 12,000 incarcerated persons to enroll in postsecondary educational and training programs through Education Department's New Second Chance Pell pilot program. Retrieved from: <https://www.ed.gov/news/press-releases/12000-incarcerated-students-enroll-postsecondary-educational-and-training-programs-through-education-departments-new-second-chance-pell-pilot-program>
- U.S. Drug Enforcement Agency. (2017). *Drug Scheduling*. Retrieved from: <https://www.dea.gov/druginfo/ds.shtml>.

- United States Sentencing Commission. (1991). *The Federal sentencing guidelines: A report on the operation of the guidelines system and short-term impacts on disparity in sentencing, use of incarceration, and prosecutorial discretion and plea bargaining* (Vol. 2). The Commission.
- United States Sentencing Commission. (2011). Special report to the Congress: Mandatory minimum penalties in the federal criminal justice system. *Washington, DC: US Sentencing Commission.*
- Vanneman, A., Hamilton, L., Anderson, J. B., & Rahman, T. (2009). Achievement gaps: How black and white Students in public schools perform in mathematics and reading on the National Assessment of Educational Progress. Statistical Analysis Report. NCES 2009-455. *National Center for Education Statistics*
- Vieraitis, L. M., Kovandzic, T. V., & Marvell, T. B. (2007). The criminogenic effects of imprisonment: Evidence from state panel data, 1974–2002. *Criminology & Public Policy*, 6(3), 589-622.
- Ward, T., & Maruna, S. (2007). *Rehabilitation*. Routledge.
- Weissman, M., Rosenthal, A., Warth, P., Wolf, E., & Messina-Yauchzy, M. (2010). The use of criminal history records in college admissions reconsidered. *The Center for Community Alternatives.*
- Western, B. (2006). *Punishment and inequality in America*. Russell Sage Foundation.
- Western, B., Kling, J. R., & Weiman, D. F. (2001). The labor market consequences of incarceration. *Crime & delinquency*, 47(3), 410-427.
- House, W. (1998). Office of National Drug Control Policy. *National Drug Control Strategy, 1998-2007.*
- Wooldridge, J. M. (2010). *Econometric analysis of cross section and panel data*. MIT press.
- Wyner, J. S., Bridgeland, J. M., & DiIulio Jr, J. J. (2007). Achievement trap: How America is Failing Millions of High-Achieving Students from Lower-Income Families. *Civic Enterprises.*
- Yates, J., & Fording, R. (2005). Politics and state punitiveness in black and white. *The Journal of Politics*, 67(4), 1099-1121.

**Table 1: Sample Means of Population Aged 18- 24 Years Old from 1984-1992**

	Pre - Law	Post - Law
--	-----------	------------

<i>Variable</i>	<b>1984-86</b> <i>Mean</i>	<b>1987-92</b> <i>Mean</i>
Male	0.48	0.48
Black	0.12	0.12
HS Graduate	0.83	0.84
Enrolled in college	0.28	0.32
Enrolled in college (Black males)	0.21	0.22
Enrolled in college (Non-Black males)	0.30	0.33
Enrolled in 2-yr coll.	0.07	0.08
Enrolled in 4-yr coll.	0.21	0.24
Minimum penalty marijuana possession (months)	1.19	0.23
Maximum penalty marijuana possession (months)	11.61	66.19
Minimum penalty marijuana distribution (months)	7.62	8.71
Maximum penalty marijuana distribution (months)	119.95	138.76
<i>N</i>	47,596	80,669

Source: Authors calculations from CPS October supplements 1984 - 1992 and author's dataset on recommended marijuana penalties by state for the years 1986, 1998, and 1990

Notes: The sample is composed of persons aged 18-24 at the time of the survey. Sample means are calculated based on averages of the variable values over the years before the law change and after the law change. The period prior to the law change includes the years 1984, 1985, and 1986. The years following the law change include 1987 - 1992. Minimum (maximum) marijuana penalty shows the recommended minimum (maximum) months of imprisonment for a first offense marijuana possession or distribution charge without aggravating factors.

**Table 2: Changes in College Enrollment for Male Persons Aged 18-24 Years Old after the Passage of the Anti-Drug Abuse Act of 1986 (1984-1992)***Control Group: Non- Black males*

	(1) Attend Any College	(2) Attend Any College	(3) Attend Any College	(4) Attend 4 Yr College	(5) Attend 2 Yr College
After1986 * Black	-0.0181 (0.0121)	-0.0237** (0.0117)	-0.0222* (0.0116)	-0.0184 (0.0125)	-0.0037 (0.0077)
After1986	0.0284*** (0.00684)	0.0157** (0.00595)	0.0157*** (0.00584)	0.0180*** (0.00473)	0.0060** (0.0028)
Black	-0.0966*** (0.0111)	-0.0699*** (0.00873)	-0.0637*** (0.00943)	-0.0527*** (0.00927)	-0.0127* (0.0070)
Constant	0.3010*** (0.00879)	-3.3910*** (0.324)	-3.3660*** (0.313)	-4.2280*** (0.280)	0.8160*** (0.2560)
<i>Dem. included</i>		X	X	X	X
<i>State and year FE</i>			X	X	X
Observations	61,562	61,562	61,562	61,204	61,204
R-squared	0.007	0.093	0.099	0.072	0.045

\*\*\* p&lt;0.01, \*\* p&lt;0.05, \* p&lt;0.1

Source: Authors calculations from CPS October supplements 1984 - 1992

Notes: Sample is composed of male persons aged 18-24 at the time of the survey. All models are linear probability models. The outcome for the first three columns is any college enrollment. The outcome for column 4 is enrollment in a four-year college and the outcome for column 5 is enrollment in a two-year college. The demographic factors in columns 2 – 5 include age, age squared, Latino ethnicity, state average unemployment rates for each year, and a binned variable for family income that is topcoded at \$75,000. Robust standard errors in parentheses are based on clustering persons within states. Regressions weighted using CPS October supplement weights for persons over the age of 16.



**Table 3: Changes in College Enrollment for Black Persons Aged 18-24 Years Old after the Passage of the Anti-Drug Abuse Act of 1986 (1984-1992)***Control Group: Black females*

	(1)	(2)	(3)	(4)	(5)
	Attend Any college	Attend Any College	Attend Any College	Attend 4 Yr College	Attend 2 Yr College
After1986 * Male	-0.0201 (0.0123)	-0.0231* (0.0128)	-0.0224* (0.0132)	-0.0171 (0.0123)	-0.0094 (0.0091)
After1986	0.0304** (0.0117)	0.0132 (0.0104)	0.0128 (0.0105)	0.0138 (0.0103)	0.0113* (0.00565)
Male	-0.0159 (0.0101)	-0.0344*** (0.0104)	-0.0356*** (0.0105)	-0.0108 (0.00994)	-0.0213*** (0.00751)
Constant	0.2200*** (0.0125)	-2.0540*** (0.4580)	0.9160* (0.5010)	0.5240 (0.4200)	0.3360 (0.3240)
<i>Dem. included</i>		X	X	X	X
<i>State and year FE</i>			X	X	X
Observations	15,147	15,147	15,147	15,035	15,035
R-squared	0.002	0.063	0.072	0.056	0.033

\*\*\* p&lt;0.01, \*\* p&lt;0.05, \* p&lt;0.1

Source: Authors calculations from CPS October supplements 1984 - 1992

Notes: Sample is composed of Black persons aged 18-24 at the time of the survey. All models are linear probability models. The outcome for the first three columns is any college enrollment. The outcome for column 4 is enrollment in a four-year college and the outcome for column 5 is enrollment in a two-year college. The demographic factors in columns 2 – 5 include age, age squared, Latino ethnicity, state average unemployment rates for each year, and a binned variable for family income that is topcoded at \$75,000. Robust standard errors in parentheses are based on clustering persons within states. Regressions weighted using CPS October supplement weights for persons over the age of 16.





**Table 4: Changes in College Enrollment for Black Persons Aged 18-24 Years Old in States Based on the Severity of Marijuana Possession Penalties from 1986 to 1991***Control Group: Black females*

	Change from 1986 to 1991		
	(1) Attend Any College	(2) Attend 4 Yr. College	(3) Attend 2 Yr. College
Male* Minimum penalty (months)	-0.0008 (0.0021)	-0.0018 (0.0011)	0.0009 (0.0020)
Male*Maximum penalty (months)	-0.0002 (0.0004)	0.0005 (0.0004)	-0.0003 (0.0003)
Male	-0.0397*** (0.0134)	-0.0118 (0.0195)	-0.0262* (0.0146)
Minimum penalty (months)	-0.0015 (0.0033)	0.0012 (0.0013)	-0.0023 (0.0025)
Maximum penalty (months)	0.0011 (0.0007)	0.0011 (0.0010)	-9.02e-05 (0.0008)
Constant	-0.7380 (0.8480)	-1.1840 (0.7590)	0.3570 (0.5030)
<i>Dem. included</i>	X	X	X
<i>State and year FE</i>	X	X	X
Observations	4,914	4,884	4,884
R-squared	0.084	0.068	0.033

\*\*\* p&lt;0.01, \*\* p&lt;0.05, \* p&lt;0.1

Source: Authors calculations from CPS October supplements 1986 - 1991 and author's dataset on recommended marijuana penalties by state for the years 1986, 1988, and 1990.

Notes: Sample is composed of Black persons aged 18-24 at the time of the survey. All models are linear probability models. The outcome for the first three columns is any college enrollment, enrollment in a four-year college and any enrollment in a two-year college in the period from 1984 to 1991. The outcome for the last three columns is any college enrollment, enrollment in a four-year college and any enrollment in a two-year college in the period from 1986 to 1990. The variable Minimum penalty is the minimum recommended state penalty for possession in the year in question. The demographic factors in all columns include age, age squared, Latino ethnicity, state average unemployment rates for each year, and a binned variable for family income that is topcoded at \$75,000. All models contain state fixed effects and year fixed effects. Robust standard errors in parentheses are based on clustering persons within states. Regressions weighted using CPS October supplement weights for persons over the age of 16.

**Table 5: Changes in College Enrollment for Male Persons Aged 18-24 Years Old in States Based on the Severity of Marijuana Possession Penalties from 1986 to 1991***Control Group: Non-Black Males*

	Change from 1986 to 1991		
	(1) Attend Any College	(2) Attend 4 Yr. College	(3) Attend 2 Yr. College
Black* Minimum penalty (months)	-0.0022* (0.0013)	-0.0012 (0.0015)	-0.0009 (0.0008)
Black* Maximum penalty (months)	-0.0003 (0.0003)	-0.0004 (0.0004)	0.0002 (0.0002)
Black	-0.0397*** (0.0134)	-0.0118 (0.0195)	-0.0262* (0.0146)
Minimum penalty (months)	0.0010* (0.0006)	0.0014** (0.0006)	-0.0007** (0.0003)
Maximum penalty (months)	0.0006 (0.0005)	0.0006 (0.0005)	9.70e-05 (0.0003)
Constant	-3.5060*** (0.505)	-4.3440*** (0.404)	0.7950** (0.314)
<i>Dem. included</i>	X	X	X
<i>State and year FE</i>	X	X	X
Observations	20,030	19,934	19,934
R-squared	0.104	0.075	0.047

\*\*\* p&lt;0.01, \*\* p&lt;0.05, \* p&lt;0.1

Source: Authors calculations from CPS October supplements 1986 - 1991 and author's dataset on recommended marijuana penalties by state for the years 1986, 1988, and 1990.

Notes: Sample is composed of male persons aged 18-24 at the time of the survey. All models are linear probability models. The outcome for the first three columns is any college enrollment, enrollment in a four-year college and any enrollment in a two-year college in the period from 1984 to 1991. The outcome for the last three columns is any college enrollment, enrollment in a four-year college and any enrollment in a two-year college in the period from 1986 to 1990. The variable Minimum penalty is the minimum recommended state penalty for possession in the year in question. The demographic factors in all columns include age, age squared, Latino ethnicity, state average unemployment rates for each year, and a binned variable for family income that is topcoded at \$75,000. All models contain state fixed effects and year fixed effects. Robust standard errors in parentheses are based on clustering persons within states. Regressions weighted using CPS October supplement weights for persons over the age of 16.

**Table 6: Changes in College Enrollment for Male Persons Aged 18-24 Years Old in States Based on the Severity of Cocaine Possession Penalties from 1986 to 1991***Control Group: Non-Black Males*

	Change from 1986 to 1991		
	(1) Attend Any College	(2) Attend 4 Yr. College	(3) Attend 2 Yr. College
Black* Minimum penalty (months)	0.0004 (0.0003)	-0.0001 (0.0002)	0.0005 (0.0003)
Black* Maximum penalty (months)	-6.49e-05** (3.06e-05)	1.78e-06 (1.03e-05)	-6.13e-05 (3.69e-05)
Black	-0.0618*** (0.0142)	-0.0207** (0.0081)	-0.0427** (0.0173)
Minimum penalty (months)	0.0002 (0.0002)	0.0002 (0.0002)	-7.40e-05 (0.0001)
Maximum penalty (months)	8.25e-05 (0.0001)	-6.45e-05 (4.16e-05)	0.0002* (9.23e-05)
Constant	-3.4720*** (0.5080)	0.7880** (0.3130)	-4.2990*** (0.4050)
<i>Dem. included</i>	X	X	X
<i>State and year FE</i>	X	X	X
Observations	20,030	19,934	19,934
R-squared	0.104	0.047	0.075

\*\*\* p&lt;0.01, \*\* p&lt;0.05, \* p&lt;0.1

Source: Authors calculations from CPS October supplements 1986 - 1991 and author's dataset on recommended marijuana penalties by state for the years 1986, 1988, and 1990.

Notes: Sample is composed of male persons aged 18-24 at the time of the survey. All models are linear probability models. The outcome for the first three columns is any college enrollment, enrollment in a four-year college and any enrollment in a two-year college in the period from 1984 to 1991. The outcome for the last three columns is any college enrollment, enrollment in a four-year college and any enrollment in a two-year college in the period from 1986 to 1990. The variable Minimum penalty is the minimum recommended state penalty for possession in the year in question. The demographic factors in all columns include age, age squared, Latino ethnicity, state average unemployment rates for each year, and a binned variable for family income that is topcoded at \$75,000. All models contain state fixed effects and year fixed effects. Robust standard errors in parentheses are based on clustering persons within states. Regressions weighted using CPS October supplement weights for persons over the age of 16.

**Table 7: Changes in College Enrollment for Male Persons Aged 18-24 Years Old in States Based on the Severity of Crack Epidemic from 1986 to 1991***Control Group: Non-Black Males*

	(1) Attend Any College	(2) Attend 4 Yr. College	(3) Attend 2 Yr. College
Black* Crack_index	-0.0234*** (0.0081)	-0.0231*** (0.0079)	0.0001 (0.0035)
Crack_index	-0.0028 (0.0045)	0.0009 (0.0039)	-0.0019 (0.0021)
Black	-0.0473*** (0.0148)	-0.0302* (0.0153)	-0.0190*** (0.0062)
Constant	-5.355*** (0.486)	-5.253*** (0.510)	-0.128 (0.492)
<i>Dem. included</i>	X	X	X
<i>State and year FE</i>	X	X	X
Observations	46,742	46,426	46,426
R-squared	0.096	0.072	0.045

\*\*\* p&lt;0.01, \*\* p&lt;0.05, \* p&lt;0.1

Source: Authors calculations from CPS October supplements 1986 - 1991 and Fryer (2013) crack index from <https://scholar.harvard.edu/fryer/publications/measuring-crack-cocaine-and-its-impact..>

Notes: Sample is composed of male persons aged 18-24 at the time of the survey. All models are linear probability models. The outcome for the first three columns is any college enrollment, enrollment in a four-year college and any enrollment in a two-year college in the period from 1984 to 1991. The outcome for the last three columns is any college enrollment, enrollment in a four-year college and any enrollment in a two-year college in the period from 1986 to 1990. The state yearly crack measure includes percentage of arrests for either possession or distribution of cocaine or a derivative, per capita number of Drug Enforcement Agency arrests and seizures related to cocaine and derivatives, and per capita deaths related to cocaine. The crack index also adjusts for racial composition of states. The demographic factors in all columns include age, age squared, Latino ethnicity, state average unemployment rates for each year, and a binned variable for family income that is topcoded at \$75,000. All models contain state fixed effects and year fixed effects. Robust standard errors in parentheses are based on clustering persons within states. Regressions weighted using CPS October supplement weights for persons over the age of 16.

**Table 8: Changes in College Enrollment for Black Persons Aged 18-24 Years Old in States Based on the Severity of Crack Epidemic from 1986 to 1991***Control Group: Black females*

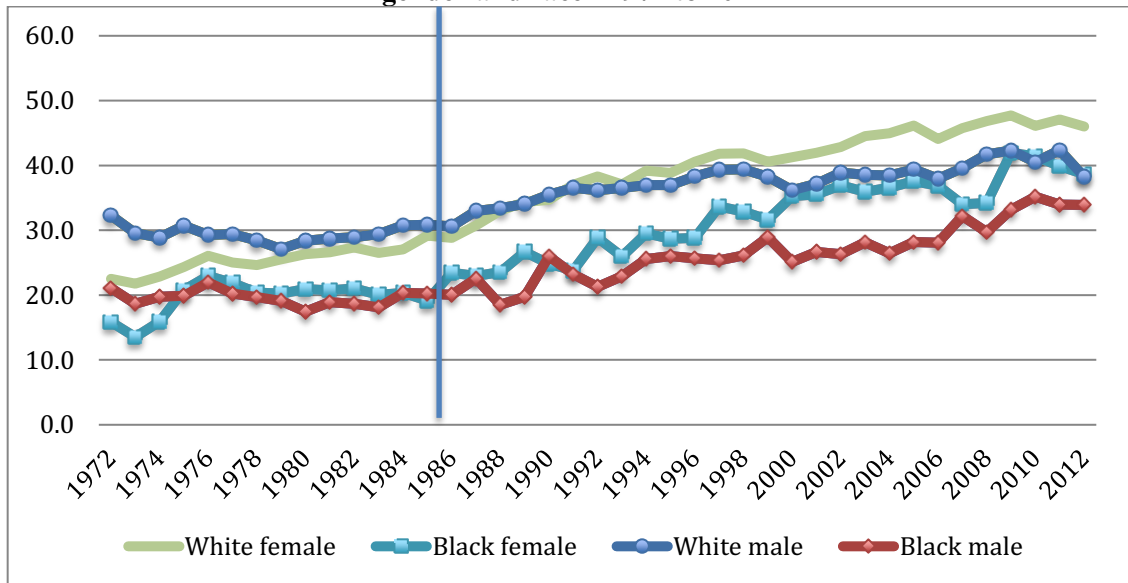
	(1) Attend Any College	(2) Attend 4 Yr. College	(3) Attend 2 Yr. College
Male* Crack_index	-0.0166** (0.0067)	-0.0114 (0.0069)	-0.00641 (0.0046)
Crack_index	-0.0073 (0.0074)	-0.0118 (0.0079)	0.0074* (0.0042)
Male	-0.0284* (0.0149)	-0.0056 (0.0142)	-0.0198** (0.0078)
Constant	-3.046*** (0.862)	-3.048*** (0.648)	-0.0187 (0.542)
<i>Dem. included</i>	X	X	X
<i>State and year FE</i>	X	X	X
Observations	11,060	10,971	10,971
R-squared	0.073	0.057	0.038

\*\*\* p&lt;0.01, \*\* p&lt;0.05, \* p&lt;0.1

Source: Authors calculations from CPS October supplements 1986 - 1991 and Fryer (2013) crack index from <https://scholar.harvard.edu/fryer/publications/measuring-crack-cocaine-and-its-impact>.

Notes: Sample is composed of Black persons aged 18-24 at the time of the survey. All models are linear probability models. The outcome for the first three columns is any college enrollment, enrollment in a four-year college and any enrollment in a two-year college in the period from 1984 to 1991. The outcome for the last three columns is any college enrollment, enrollment in a four-year college and any enrollment in a two-year college in the period from 1986 to 1990. The state yearly crack measure includes percentage of arrests for either possession or distribution of cocaine or a derivative, per capita number of Drug Enforcement Agency arrests and seizures related to cocaine and derivatives, and per capita deaths related to cocaine. The crack index also adjusts for racial composition of states. The demographic factors in all columns include age, age squared, Latino ethnicity, state average unemployment rates for each year, and a binned variable for family income that is topcoded at \$75,000. All models contain state fixed effects and year fixed effects. Robust standard errors in parentheses are based on clustering persons within states. Regressions weighted using CPS October supplement weights for persons over the age of 16.

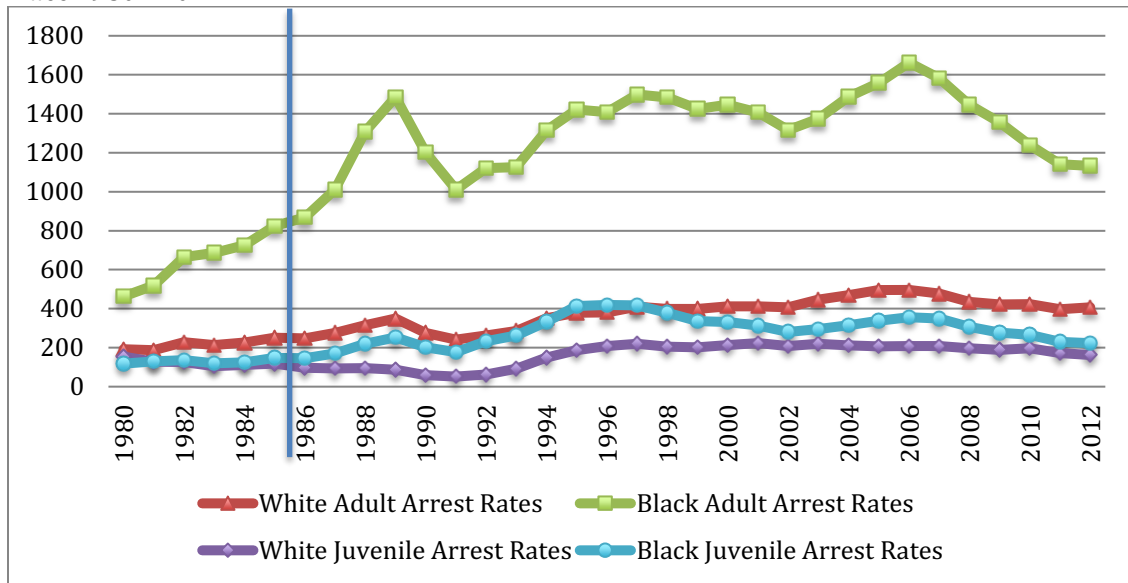
**Figure 1: Percentage of 18- to 24-year-olds enrolled in degree-granting institutions by gender and race - 1972 to 2012**



Source: U.S. Department of Commerce, Census Bureau, Current Population Survey (CPS), October, 1972 through 2012. (This table was prepared May 2013.)

Notes: The red diamond markers denote the probability of college enrollment for Black men. The turquoise square line is the trend line for Black women. The blue circular markers denote the probability of college enrollment for White men. The solid green line is the linear trend line for White women.

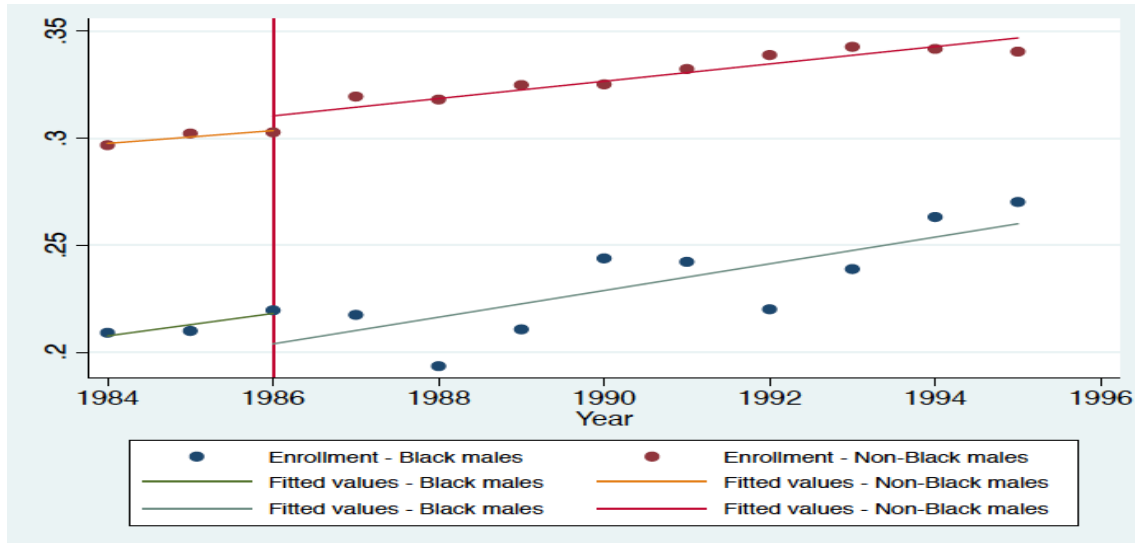
**Figure 2: Drug Possession Arrest Rate (per 100,000 individuals) for Adults and Juveniles by Race 1980 - 2012**



Source: Snyder, H. and Mulako-Wangota, J., Arrest Data Analysis Tool (07-Mar-17) at [www.bjs.gov](http://www.bjs.gov)  
 Notes: Data on arrests come from the FBI Uniform Crime Reporting (UCR) program. Arrest rate defined as arrests per 100,000 persons. The green line with the boxed markers is the Black adult arrest rate. The red line with the triangular markers is the White adult arrest rate. The blue line with the circular markers is the Black juvenile arrest rate. The purple line with the diamond markers is the White juvenile arrest rate. A juvenile is defined as a person who is less than 18 years of age at the time of arrest.



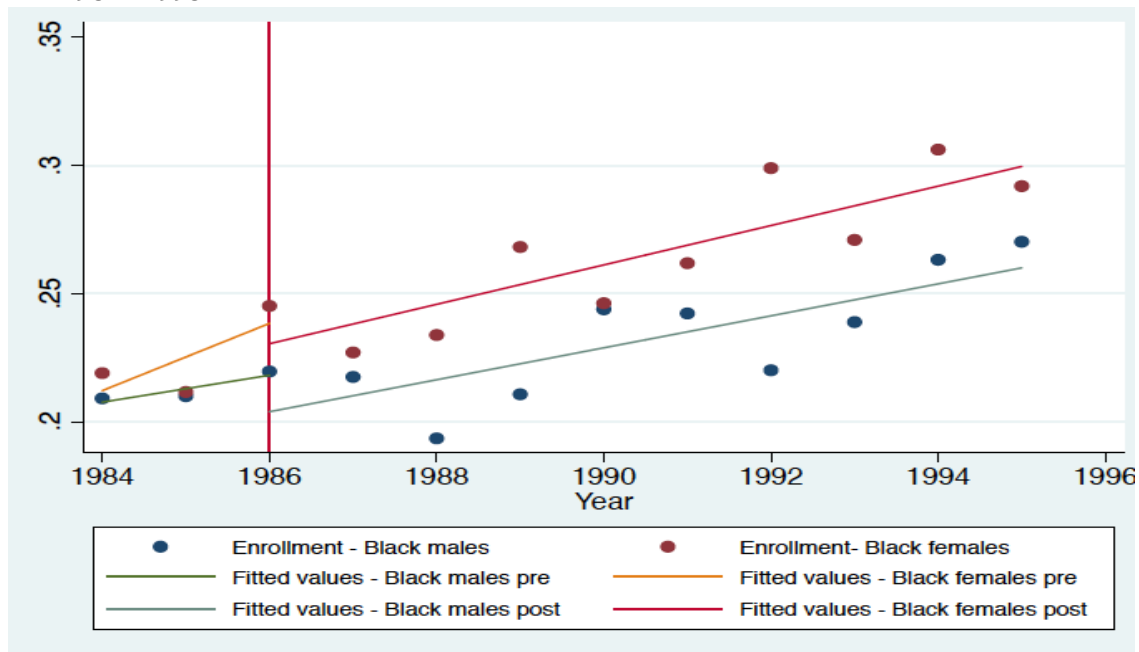
**Figure 3: Probability of College Enrollment and Fitted Values for Males Aged 18-24 - 1984 - 1995**



Source: 1984 – 1995 CPS October supplements

Notes: The red markers denote the probability of college enrollment for White men. The orange solid line is the linear trend line for White men prior to the law change. The red line is the linear trend for White men after the law change. The blue circular markers denote the probability of college enrollment for Black men. The solid green line is the linear trend line for Black men prior to the law change. The solid light blue line is the linear trend line for Black men after the law change.

**Figure 4: Probability of College Enrollment and Fitted Values for Black Persons Aged 18-24 - 1984 - 1995**



Source: 1984 – 1995 CPS October supplements

Notes: The red markers denote the probability of college enrollment for Black women. The orange line is the linear trend line for Black women prior to the law change. The red line is the linear trend line for Black women after the law change. The blue circular markers denote the probability of college enrollment for Black men. The solid green line is the linear trend line for Black men prior to the law change. The solid light blue line is the linear trend line for Black men after the law change.





**Educational Opportunity and the Criminal Justice System:  
The Effects of the Violent Crime Control and Law Enforcement Act of 1994 on  
Black Male Students' College Enrollment**

**Tolani Britton**

**Harvard Graduate School of Education**

**January 2018**

## I. Introduction

Increasingly, American scholars have noted that the country's laws, many of which were passed in the 1980s and 1990s, are tied to the mass incarceration of Americans (Alexander, 2012; Moore & Elkavich, 2008; Petit & Western, 2004; Pfaff, 2017). The crisis in incarceration has particularly affected Black and Latino communities and more specifically Black and Latino males when compared to their White counterparts. While Black men constitute approximately 6% of the population in the United States, the Bureau of Justice (2014) reported that Black males constitute 37% of male state and federal prisoners. In contrast, White males are 32% of male inmates and 31% of the population (Carson, 2015; U.S. Census Bureaus, 2016). Not only were Black men more likely to be incarcerated than White men, they were also more likely to serve longer sentences – even when accounting for the alleged crime and past convictions (Mustard, 2001). Nearly 3 percent of Black males had sentences of a year or longer, as compared to 1.1% of Latino men and .5% of White men.

Some of the current trends in more punitive laws are a result of the passage of the Violent Crime Control and Law Enforcement Act of 1994, Public Law 103-322. This law provided \$9.7 billion in funding for prisons and \$6.1 billion in funding for prevention programs as well as \$2.6 billion in additional funding for other agencies (NCJRS, 1994). The funding to construct prisons was available to states contingent on enforcement of truth in sentencing (TIS) laws that reduced prisoners' eligibility for parole by requiring that they serve at least

85% of the sentence. The law also expanded the use of the death penalty, mandated harsher penalties for crimes committed by gang members, allowed for adult prosecution of juveniles 13 years of age and over who committed violent crimes, and instituted the three strikes law in the federal court system. Finally, this bill removed Pell Grant eligibility for incarcerated persons.

One of the tenets of the 1994 law change was the TIS grant program. Designed to increase punishment for violent crimes, this law punished not only those who committed crimes such as murder and assault, it also increased the punishment for those who were caught selling drugs if they were suspected or proven members of street gangs (US Congress, 1994). Further, TIS laws specified that the majority of a prison sentence must be served, as opposed to being suspended or allowing sentencing under an alternate punishment. One reason that TIS laws increased the likelihood of prison was that every state had mandatory minimum sentencing and 18 states had moved to a determinate sentencing model, whereby the judge could impose prison within a preset range of months or years by 1994 (Austin, Jones, Kramer & Renninger, 2004). The mandatory minimum sentence made it more likely that persons convicted of offenses would go to prison, and the TIS law ensured that they would serve the majority of the sentence. The TIS program thus both served as a barometer of the trend in more punitive laws for crimes in the United States in the early 1990s and also served as an encouragement to states to adopt these policies through the use of federal grants (Delahunt, Stupak, Fazio, & Etheridge, 1998). Sabol et al.

(2002) found that between 1995 and 1999, twenty-one states made changes to their TIS laws – with nine states enacting TIS for the first time and the other twelve states modifying existing laws to increase the percentage of time served for crimes, as seen in Figure 1.

TIS legislation in 1994 increased the arrest rate within states (Shepherd, 2002). The increase came partially from an increase in drug arrests and convictions. Travis, Western and Redburn (2014), find that between 1980 and 2010, the imprisonment rate for drugs rose over 350%, from 2 to 9 per 100 arrests. By comparison, prison commitment rates for the violent crime of aggravated assault rose 250%. Caulkins and Chandler (2006) also demonstrate that an increasing number of persons held in state and federal prisons were incarcerated for drug crimes. In 1986, 2.9% of persons in state prisons were there for drug possession and 5.4% were in for drug trafficking. By 1997, of the persons housed in state prisons, 8.8% were there for drug possession crimes and 13.3% were there for drug trafficking.

More broadly, the aforementioned statistics suggest that there was an increased likelihood of incarceration following an arrest after the passage of the 1994 law as compared to prior (Western & Wildeman, 2009). In 1990, there were 292 persons incarcerated for every 100,000 residents. By 2000, the rate had increased to 478 for every 100,000 residents – which amounted to an average annual increase of 5.3% (Beck & Harrison, 2001). This increase in overall arrest rates continued until 2007, when rates peaked at 1 person incarcerated per 100

residents for males and 506 per 100,00 residents (Gelb, 2017; West & Sabol, 2008). Extensive research demonstrates that Black and Latino persons are disproportionately arrested and convicted of crimes, even after controlling for offense rates- thus much of the increase in incarceration is concentrated in these communities (Beckett, Nyrop, and Pfingst, 2006; Mitchell & Caudy, 2015; Tonry, 2004; US Sentencing Commission, 1991). For Black juvenile and adults, as shown in Figure 2, the rate of arrest for drug infractions climbed steadily from 1992 through 2002 for adults, and for juveniles, it increased from 1992 through 1999 before dipping and then rising again. When we compare these trends to the trends of White males for drug possession and manufacture, arrest rates for both adults and juveniles rise at a slower rate than that of their Black peers from 1992 through 1998 and then level off.

At the same time that incarceration rates were increasing, we see a stagnation of the college enrollment of the group most affected by incarceration, young men, and in particular Black young men. In 1990, 26% of Black men aged 18 to 24 years old were enrolled in degree granting postsecondary institutions (US Department of Commerce, 2016). According to NCES (2016), ten years later in 2000, 25.1% of Black men were enrolled. By contrast, for White young men, it moved from 35.5% to 36.2% over the decade, and for Black women, from 24.8% to 35.2%. The inertia in the black male college enrollment rate occurred at the same time as the increase in incarceration of not simply Black men, but young Black men at the age that they would most likely have entered college. While



Black men were more likely to be incarcerated than both White and Latino men, the most significant disparity in terms of imprisonment rates existed for Black men aged 18 and 19 years old, the age that young men would have been entering college (Carson, 2015). For this age group in 2014, Black men were ten times more likely to be incarcerated than White men.

In light of the rise in incarceration at the same time as we see a stagnant college enrollment rate for Black men, I hypothesize that higher rates of incarceration might have led to a stagnation in college enrollment for Black men after the law change in 1994 while other demographic groups continued to realize relative gains in educational attainment. Using variation in the year of TIS law adoption in states, this paper examines whether the Violent Crime Control and Law Enforcement Act of 1994 led to differences in college enrollment rates for Black men as a result of disproportionate incarceration of Black men. I first compare college enrollment rates by race group in the years immediately preceding and following the law changes to start to discern a possible effect using a differences-in-differences-in differences (DDD) strategy that exploits the federal law change (i.e., a pre- versus post- comparison) and variations in state laws with regards to adoption of TIS laws (i.e., state-level variation in the effects of the law change). To my knowledge, this is the first paper that attempts to quantify the effects of the 1994 Violent Crime Control and Law Enforcement Act on Black male college enrollment. I find that, in the DDD model, after the reception of federal funding in states for TIS enactment, Black males had a 29%

decrease in the probability of college enrollment as compared to Black males prior to the institution of TIS laws and non-Black males.

The paper is organized as follows. I first explore the literature around changes in sentencing, truth-in-sentencing laws, and existing explanations for the college enrollment and attainment gap. Next, I outline the research design and empirical framework. I then present results and sensitivity analysis.

## **II. Background and Literature Review**

### **Sentencing Reform**

#### *More punitive drug laws through determinate sentencing*

Commencing in the 1970s with the Rockefeller Drug Laws in the state of New York, individual states and the federal government began to move towards determinate sentencing for crimes (Semen & Wilson, 2006). Determinate sentencing meant that rather than permitting judges to set sentences for crimes committed based on the mitigating or aggravating factors, state legislatures determined the possible range of a sentence. Judges, in turn, would only be able to prescribe punishments within the determined range. Prior to the move towards determinate sentencing, judges had extensive discretion with respect to punishments under an indeterminate sentencing structure (Deiss, 1994). Given that the determinate sentences were on average more punitive than the sentences imposed by judges *and* the sentences longer, arrest rates and incarceration rates, particularly for Black males, increased (Mustard, 2001).

The movement towards determinate sentencing occurred in part due to support from the left and right of the political spectrum (Lowenthal, 1993). Democrats supported changes in sentencing policy for crimes in order to increase equity in sentencing. Democratic Senator Ted Kennedy helped establish the United States Sentencing Commission – the federal body that regulated sentences (Stith & Koh, 1993). This Commission determined the maximum and minimum penalties for federal crimes thereby reducing judicial discretion. On the other hand, Republicans sought reform in order to increase punishments for crimes – in line with their “tough on crime” stance following the unrest due to the social and political movements of the 1960s and 70s (Beckett & Western, 2001).

The increase in the penalties for crimes and incarceration were not related to a decrease in the rates of crime – one of the stated goals of these policies (Smith, 2004). Crime rates peaked in 1991 and decreased throughout the 1990s while the number of persons incarcerated and the length of sentences continued to increase (Blumstein, 1998). While some researchers have suggested that the decline in crime was partially due to the increase in incarceration, more recent evidence has suggested that this is not the case as both crime rates and incarceration rates have declined since 2007 (Kovandzic & Vieraitis, 2006; Levitt, 2004).

*Federal and State Truth in Sentencing Laws*

States established truth in sentencing (TIS) laws, or laws that required that sentences not be diminished through parole or early release, as part of the movement towards determinate sentencing, reduced discretion for judges, and the eradication of parole (Sabol et al, 2002). While five states, including Pennsylvania, Washington, Oregon, and Kansas had laws that required those imprisoned to serve a certain percentage of their sentences prior to the passage of the federal law in September 1994, numerous states changed their laws to include TIS laws after the passage of the federal legislation as seen in Figure 1. The federal government furnished grant money to states that brought their laws into compliance with federal TIS laws. The TIS laws, as originally written in the Violent Crime Control and Law Enforcement Act of 1994, provided funding to states to increase their prison and jail capacity in order to ensure that persons who committed violent offenses would serve out at least 85% of their sentence (Delahunt, Stupak, Fazio, & Etheridge, 1998; US Congress, 1994). These conditions were amended by the Appropriations Act of 1996 and eligibility for the grants expanded. Sabol et al. (2002) recount that a major change to the provisions of the TIS grant program in 1996 was permitting states with either determinate or indeterminate sentencing to receive grants, whereas in 1994, only states with determinate sentencing were eligible. Another change involved expanding truth in sentencing to include states that had persons committing violent offenses serving *on average* 85% of their sentence even if 85% was not

codified in their laws– which allowed states with indeterminate sentencing to apply for grants.

In 1996 and 1997, the two years in which the TIS grant disbursed funds, twenty-seven states, out of 30 applicant states, received funding based on their state laws that required incarcerated persons to serve at least 85% of their sentence for violent crimes (Delahunt, Stupak, Fazio, & Etheridge, 1998).<sup>14</sup> Of those 27 states, 20 states changed their laws to either include TIS after the passage of the federal law in 1994 or to increase the percentage of the sentence that persons were required to serve. Further, the state trend in passing TIS laws continued even after the end of the grant disbursement in 1997. By 1999, 41 states and Washington DC had codified TIS laws, with varying requirements for the percentage of the sentence to be served – from 50% in Massachusetts to 100% in Wisconsin (Ditton & Wilson, 1999; Sabol et al, 2002).

States varied in the types of infractions that were subject to truth in sentencing laws. Though initially conceived to apply to individuals who committed violent crimes, some states applied TIS laws to all crimes. For example, Oregon, which passed sentencing reform laws in 1989, put in place TIS laws for all crimes. They set up a grid, which took into account past infractions and the severity of the crime to determine the range of punishments (Merritt, Fain, & Turner, 2006). The judge could then use that grid to determine

---

<sup>14</sup> In 1996, 25 of the 30 applicant states received grants and 27 states received grants in the following year (Delahunt, Stupak, Fazio, & Etheridge, 1998).

punishment. States also used other means of ensuring that TIS laws were followed. By 1999, a number of states, including Arizona, Delaware, Illinois, Mississippi and 13 others, abolished parole boards (Sabol et al, 2002). These changes in state sentencing policy ultimately meant that most persons found guilty of a crime were subject to truth-in-sentencing policy - not simply those convicted of committing violent offenses. Given the rise in imprisonment rates in both federal and state prisons, TIS laws likely had an impact on the increase in incarceration for crimes other than violent crimes (Beckett et al., 2011; Sabol et al., 2002; Shepherd, 2002).

Although amounts for TIS grants were relatively small, the TIS grants had an impact due to the changes that they engendered in state laws, both for states that received grants and for states that did not receive grants. In 1996, the Department of Justice disbursed \$195.8 million to states with amounts ranging from \$45.8 million in California in 1996 to \$76,022 in North Dakota for construction or maintenance of prisons (Delahunt, Stupak, Fazio, & Etheridge, 1998).

The directionality of the influence of federal TIS law on state laws has been debated. Some scholars have argued that federal TIS laws reflected the direction of existing state laws, as a number of states had TIS laws prior to the passage of the federal law (Delahunt, Stupak, Fazio, & Etheridge, 2008; Sabol et al., 2002). Further, 12 states that received federal grants for TIS laws stated that the grants did not provide incentive to change their laws based on a federal

government survey on the impact of these laws. On the other hand, a report by a researcher commissioned to study the impact of TIS found that federal TIS grants were an important factor for state law change in 4 states and somewhat important in 11 other states (Delahunt, Stupak, Fazio, & Etheridge, 1998). Because the federal government incentivized some states to change their laws in order to qualify for funding, it can be argued that federal TIS laws led to states becoming more punitive.

Although the influence of the federal government law change in 1994 on state legislation around harsher penalties and longer sentences for infractions can be debated, evidence suggests these policies increased the likelihood of serving a sentence in prison (Olson, 2009; Shepherd, 2002; Stemen et al., 2005). The BJS found that between 1990 and 1997, drug infractions accounted for 19% of the growth in persons incarcerated in state prisons (US Bureau of Justice Statistics, 1999). These trends meant an increased likelihood of incarceration for young men and particularly, young college-aged Black men, as the increase in rates of incarceration disproportionately affected men of color aged eighteen through thirty-four (Petit, 2012; US Sentencing Commission, 2001).

Given that the Violent Crime Control Act and Law Enforcement Act of 1994 led to a disproportionate number of arrests and convictions for many offenses, among young Black men when compared to all other demographic groups, my research question is: Did the passage of the Violent Crime Control Act and Law Enforcement Act of 1994, and in particular the enactment of Truth-

in-Sentencing laws, cause a fall in relative college enrollment for Black male students?

### **III. Research Design**

#### **Data Set**

My ideal data set would cover 1992 through 2007 and provide individual details on students' college enrollment status, college graduation dates, and demographics, as well as data about the institutions that they attended. It would also include information about their arrests and incarcerations and local and state spending on the criminal justice system. The reason that I end the analysis in 2007 is that the Great Recession changed the willingness and ability of the majority of states to invest in incarceration in the same way that they invested prior to the Great Recession (Brown, 2013; Gottschalk, 2010). As a result, states began modifying laws and decreasing budgets for policing. In order to avoid some of the potentially confounding effects of this reversal in policy, I end the analysis at 2007. Given that this data set does not exist for the time period in question, I use two data sets, the Current Population Survey and a state level dataset that denotes whether or not a state had Truth-in-Sentencing laws and the year that they adopted this policy.

I use the October Current Population Survey (CPS) supplements, for the years 1992-2007, to examine trends in enrollment by race and gender. CPS collects data from approximately 56,000 households each October. This



supplement provides information on school enrollment and educational attainment, differentiating between types and intensity of college enrollment for individuals. The CPS also provides information on racial and ethnic affiliation, family composition and financial characteristics, which allows for inclusion of covariates, from family income to employment status. I also account for the state in which an individual lives. Although the CPS is a nationally representative dataset, it does not survey the institutionalized population, which is one limitation of this data source. Young Black men are overrepresented in the institutionalized population but the educational outcomes of individuals currently involved with the criminal justice system are not captured in this dataset. The CPS October supplement also surveys state of current residence which means that I do not capture persons who recently moved and were subject to another state's laws prior to the data collection period.

My second source of data contains the state laws on Truth-in-Sentencing. I have compiled data on the date the law was enacted, the percentage of the sentence that must be served, how important a factor the federal law was on the passage of state laws, and whether a state abolished their parole board. The data on TIS laws came from two government funded publications on TIS: *Truth-in-Sentencing: Availability of Federal Grants* and *The Influences of Truth-in-Sentencing Reforms on Changes in State Practices and Prison Populations*.

## **Sample**

The sample in Table 1 is composed of a nationally representative sample of young adults aged 18-24. I chose this age group because persons in this age range have higher rates of college enrollment as compared to older persons during the time period in question. I exclude observations with missing data on age, race, ethnicity, family income, and state of residence from the October CPS supplements.<sup>15</sup> For TIS states, I have a sample of approximately 63,000 persons from 1992 - 2007. For non-TIS states, I have a sample of approximately 122,500 over the same time period. When looking at the population differences between the TIS and non-TIS states over the period from 1992 - 1995 in Table 1, TIS states have a larger Black population than non-TIS states - 16% in TIS states versus 11% in non-TIS states. College enrollment rates are also slightly higher in TIS states at 35% of the population aged 18 - 24 as compared to 32% of the population in non-TIS states. These lower levels of enrollment in non-TIS states are also true for all males (.30 vs. .34) prior to the federal law change. In contrast, college enrollment rates for Black males are similar between TIS (25%) and non-TIS states (24%). Although non-TIS states do have a higher initial level of college enrollment for all students and male students, this is not problematic for this analysis because the pre-trends in college enrollment growth are parallel for Black men in TIS states and non-TIS states as seen in Figure 3. There are also parallel trends in college enrollment in the period from 1992 - 1994 for Black men and non-Black men. Using data from the Bureau of Economic Analysis (BEA) on personal per-

---

<sup>15</sup> Rates of enrollment were 38.7% for 20-24 year olds, 13.3% for 25-29 year olds and 6.7% for 30-34 year olds (NCES, 2014).

capita income, income in TIS states is slightly higher both before and after the law change, which is also seen in the higher percentage of persons in TIS states with income over \$75, 000, 11% as compared to 8% in non-TIS states.

Unemployment is also slightly higher in TIS states, 6.59 verses 6.07 in non-TIS states. Non-TIS states also have slightly lower educational attainment prior to the law change with 21.6% of the population holding a Bachelors degree or higher, as compared to 22.8% in TIS states.

For the analysis, I compare the college enrollment of Black males in TIS states to that of Black males in non-TIS states. I make this comparison because male college enrollment has increased at slower rates than that of females since the 1980s. As a result of this similar trend, the post-secondary outcomes of Black males can be compared. I also compare the enrollment of Black men in TIS states to the enrollment of White men in TIS states, given some of the population differences in states with TIS laws and those without.

### **Empirical Framework 1: Adjacent state comparison using difference-in-differences (DD)**

I employ difference-in-differences (DD) as a quasi-experimental identification strategy to measure the effects of the Violent Crime Control and Law Enforcement Act of 1994 on college enrollment and graduation rates for Black men. I compare the college outcomes for Black men living in states with

federally funded TIS laws to the college enrollment of Black men living in states without these laws before and after the law change using DD. The primary assumption for the difference-in-difference model is that the trends in enrollment for the two groups being compared were parallel prior to the change (Wooldridge, 2010). As seen in Figure 3, the pre-law change trends are approximately parallel for Black males in states with TIS laws and those without TIS laws prior to 1995, though states with TIS laws had higher levels of college enrollment for Black men than states that did not have TIS laws. I also test whether there were systematic differences between states that adopted TIS and those that did not by estimating the relationship between state characteristics prior to 1995 and the timing of the passage of TIS laws in Table 2. I have three outcomes. The first outcome is whether or not a state received a TIS grant in either 1996 or 1997 in column 1. The outcome in Column 2 is whether a state enacted TIS laws in 1994 or prior years and the third outcome in Column 3 is whether a state adopted TIS laws in 1995. For the outcome of whether or not a state received a grant in either 1996 or 1997 or both, there is no association between college enrollment for 18-24 year olds and whether a state received a TIS grant. States that received TIS grants had a larger Black population, a smaller Latino population, and a higher proportion of the population with family incomes above \$75,000 when compared to states that did not receive grants. Also states with TIS grants had higher unemployment rates, higher violent crime rates and a larger proportion of persons with at least a BA degree than non-TIS states.

Although differences exist between TIS and non-TIS states, some of the differences would lead to a higher likelihood of college enrollment for the state population and some would lead to a lower likelihood of college enrollment. For example, while higher rates of income are associated with an increased likelihood of college, higher rates of violent crime could be associated with lower college enrollment rates (Bailey & Dynarski, 2011; Lochner, 2004). Given these opposing factors, it is unclear that TIS states are systematically different in ways that would impact college enrollment. Therefore, differences after the TIS law change, once accounting for the pre-change gap, are attributable to the law. In other words, the DD strategy accounts for existing time-invariant dissimilarities between the groups that may bias the estimates (Imbens & Wooldridge, 2007).

I commence with a simple linear probability model (LPM) as it accurately predicts probabilities with a binary dependent variable (Hellevik, 2007). Some econometricians argue for the use of a logistic model rather than an LPM for a binary dependent variable because the LPM does not estimate the structural parameters of a non-linear model (Horace and Oaxaca, 2006) and the LPM does not address measurement bias in the outcome (Olsen, 1980). However, Pischke (2012) demonstrates that even if the conditional expectation function (CEF) is non-linear the linear regression function provides the best approximation to the CEF and exactly provides the CEF when the CEF is linear. Essentially, this means that the LPM performs as well as logistic models unless the probabilities are close to 0 or 1. As the probabilities of college enrollment within states are not

close to either full college enrollment or no college enrollment, the LPM provides relatively consistent and unbiased estimators and also ease of interpretability for this study. I thus use linear probability models in this paper and include robust standard errors to correct for possible bias in the estimator that might arise from the use of LPM. Further, I cluster standard errors by state to address heteroskedastic variance across states and serial correlation in the standard errors over the years covered that could cause bias (Bertrand, Duflo, & Mullainathan, 2004). I employ CPS October supplement final person weights in all analysis to make the sample representative of the population in the United States (Solon, Haider, & Wooldridge, 2015).

I measure the effect of adopting TIS laws on college enrollment by comparing college enrollment after the adoption of the policy to that of persons aged 18- 24 prior to the policy change in states that adopted TIS laws and received federal government funding. The variable  $TIS_{sit}$  is binary and equals 1 if the individual lives in a state that both has TIS laws and has received a federal grant to fund their TIS laws and the year is any year after the state has enacted the policy.  $TIS_{sit}$  equals 0 if an individual lives in a state has not yet enacted the TIS policy or if it never enacted the policy. This variable shows the association between living in a federally funded TIS state and the probability of college enrollment - using variation in not only the adoption of TIS laws but the timing of the adoption. If  $TIS_{sit}$  is negative and statistically significant, then persons in states that have TIS laws have a lower probability of college enrollment than

persons in states without these laws. I carry out this analysis first for all students ages 18-24, then all male students aged 18-24, and finally for Black men aged 18-24. I would anticipate that there would not be an association between living in a TIS state and college enrollment for young adults in general or for White males because the mechanism by which TIS impacts college enrollment is through a rapid increase in young adult arrests. This increase in young adult arrests during the 1990s occurred most dramatically for young Black persons. I thus expect a negative association between living in a federally funded TIS state and college enrollment, which would mean that Black students who live in a TIS state have a lower likelihood of college enrollment than those who live in a state that did not receive federal funding for TIS.

$$(1) \text{College}_{sit} = \beta_0 + \beta_1 \text{TIS}_{sit} + \gamma_1 \mathbf{S}_{sit} + \gamma_2 \mathbf{R}_{sit} + \varepsilon_{sit}$$

I include state fixed effects ( $\mathbf{S}_{sit}$ ) and year fixed effects ( $\mathbf{R}_{sit}$ ) in my model. State fixed effects, or adding a dummy variable for each state, account for characteristics of a state that do not change over time but might bias the results due to correlation with the independent variable,  $\text{TIS}_{sit}$ . Year fixed effects control for aggregate trends that occur over time. Although adding fixed effects uses up degrees of freedom, fixed effects allows for more consistent estimation. After adding state and year fixed effects, my variation comes from within state variation in the effects of TIS laws on college enrollment over time (Hoynes,

Page, & Stevens, 2011). I will get unbiased estimates of the effect of the law on Black male college enrollment if there are no state characteristics that are correlated with college enrollment and TIS laws. In order to control for possible correlates with TIS laws and college enrollment, in addition to adding state fixed effects, I include the average annual unemployment rate of states, as well as the average tuition, board, and fees of public colleges nationally in each year.

Previous work has shown that young adults and particularly traditional college aged persons are more likely to enroll in college during economic downturns when unemployment rises (Long, 2004). I thus account for the potentially confounding effect of state unemployment on the probability of college enrollment. Similarly, when the overall cost of college increases, enrollment decreases (Heller, 1997; Hemelt & Marcotte, 2011). In order to account for this relationship between cost and the probability of enrollment, I control for the annual price of public colleges in my analysis.

Next, I add a second predictor  $TIS\_Neighbor_{sit}$  to equation (1).  $TIS\_Neighbor_{sit}$  is a binary variable where 1 indicates that a person resides in a state where any of the geographically adjacent states also has a TIS law *and* received federal funding in either 1996 or 1997 or both years and 0 indicates that none of the adjacent states have a TIS law. This variable measures the effects on college enrollment of living in a state when the adjacent state also has adopted TIS laws following the convention of Ross (2012). The model is:



$$(2) \text{College}_{sit} = \beta_0 + \beta_1 \text{TIS}_{sit} + \beta_2 \text{TIS\_Neighbor}_{sit} + \gamma_1 \mathbf{S}_{sit} + \gamma_2 \mathbf{R}_{sit} + \varepsilon_{sit}$$

The variable  $\text{TIS}_{sit}$  shows the relationship between an individual living in state having a TIS grant and the probability of college enrollment for the individual. The coefficient on  $\text{TIS\_Neighbor}$  shows whether residing in a state adjacent to a state with a TIS grant has an effect on college enrollment for the individual. Prior work has shown that there are increases in arrest rates for violent crimes, and potentially other crimes, when TIS is enacted in a state (Ross, 2012). Given that arrest and conviction rates are higher for Black men, I would expect a decrease in the likelihood of college enrollment for Black men in states that implement and receive federal funding for TIS laws. In terms of the effect of  $\text{TIS\_Neighbor}$  on college enrollment in a state, the effect is less clear. Ross (2012) finds that if an adjacent state implements TIS and the state of residence does not have this law, then crime rates increase in the adjacent state without TIS, as the crime migrates to the geographical area with more lenient laws. In response to these increases in crime in non-TIS states, states and municipalities provide additional resources for policing. The author also finds that if both states have TIS, then crime rates fall in both states. In this context, having a neighboring state with TIS laws when a state does not have this policy could either lead to lower arrest rates for males in the non-TIS state *or* higher arrest and imprisonment rates in both states as a response to increases in crime in the non-TIS law state. When both the state and

its neighboring states have TIS, I would expect a decrease in the probability of college enrollment due to higher arrest and conviction rates of young Black men.

$$(3) \text{College}_{sit} = \beta_0 + \beta_1 \text{TIS}_{sit} + \beta_2 \text{TIS\_Neighbor}_{sit} + \beta_3 (\text{TIS}_{sit} * \text{TIS\_Neighbor}_{sit}) + \gamma_1 \mathbf{S}_{sit} + \gamma_2 \mathbf{R}_{sit} + \varepsilon_{sit}$$

After adding the variable *TIS\_Neighbor*, I follow the approach of Ross (2012) and add an interaction between the *TIS<sub>sit</sub>* and the *TIS\_Neighbor* variable in equation 3. This interaction demonstrates whether having an adjacent state with the same sentencing law has an additional effect on college enrollment within a given state. If the interaction is negative and statistically significant, then Black men are less likely to enroll in college if both the state of residence and the adjacent state adopt TIS policies, when compared to the probability of college enrollment when only one state has TIS laws. Equation 3 will produce unbiased results of the effect of having TIS laws in the state of residence and neighbor states on Black male college enrollment if Black men in TIS and non-TIS states had parallel enrollment trends prior to the enactment of TIS, as seen in Figure 3, and if enactment of TIS laws in a state was not initially determined by college enrollment, which I demonstrate in Table 2.

## **Empirical Framework 2: Difference-in-difference-in-differences**

I next employ a difference-in-difference-in-differences. My first difference

is whether or not a state adopted Truth in Sentencing Laws (TIS) after 1994 and received a federal grant. The second difference is gender and the third difference is race. In equation 2, my sample is limited to young adults aged 18 to 24, in order to compare the post secondary outcomes of other students to that of Black males, as this is the age of college entry for many young adults. Using ordinary least squares, the model can be expressed as follows:

(4)  $College_{sit} =$

$$\beta_0 + \beta_1 Black_{sit} + \beta_2 TIS_{sit} + \beta_3 Male_{sit} + \beta_4 Black_{sit} * TIS_{sit} + \beta_5 Black_{sit} * Male_{sit} + \beta_6 TIS_{sit} * Male_{sit} + \beta_7 (Black_{sit} * TIS_{sit} * Male_{sit}) + \beta_8 X_{sit} + \gamma_1 S_s + \gamma_2 R_t + \varepsilon_{sit}$$

The variable  $TIS_{sit}$  is a binary variable that indicates if a state received federal funding in either 1996 or 1997 or both years for enactment of TIS laws and 0 if a state never received federal funding for TIS laws.<sup>16</sup>  $Black_{sit}$  and  $Male_{sit}$  are binary variables that indicate gender and race. The interaction term  $Black_{sit} * TIS_{sit} * Male_{sit}$  is my variable of interest. This coefficient estimates the difference in average enrollment for Black males in states that adopted TIS after the law change as compared to Black males in states without this policy by subtracting out (controlling for) three confounding trends: (1) changes in college enrollment for

---

<sup>16</sup> I also carry out the DDD analysis with a variable that accounts for the timing of the TIS laws, not just whether or not states had TIS laws and received funding, and the point estimates are similar in both size and magnitude. I thus only discuss the results for this model.

Black persons (2) changes in college enrollment for young men (3) changes in enrollment for young adults in TIS states. Thus, this term measures not only pre- and post- law differences in college enrollment differentiated by race, but it also allows for differences in college enrollment based on whether an individual lived in a state in which the law changed after the passage of the Violent Crime Control and Law Enforcement Act of 1994 and a state received federal government funding (Puhani, 2012).

I hypothesize that young Black men who reside in a state with TIS laws will have a higher likelihood of being imprisoned when compared to Black males living in other states because states with TIS received funding to build more prisons and increased the likelihood of serving more time in prison after the passage of the federal law (Shepherd, 2002). As a result, Black young men in states with TIS laws would have a lower likelihood of college enrollment after the law changes than Black males in states without TIS laws. If my coefficient of interest is negative and statistically significant, this indicates that the change in the likelihood of enrollment for Black students would be *lower* following the law change in states that had adopted TIS laws and received federal funding when compared with changes in the likelihood of enrollment rates prior to the law change, after controlling for general trends in the likelihood of postsecondary enrollment for male students and trends in college enrollment for Black students.

### **Empirical Framework 3: An event study**

As a third empirical approach, I carry out an event study because persons in the study are treated at different times and this method allows for the dynamic nature of the law changing across states, as compared to the pre and post averages obtained from the DD analysis carried out earlier in this paper (Sandler & Sandler, 2014). An event study differentiates the effects of adoption of TIS laws and government funding on Black male college enrollment by the timing of the law change across states. Event studies have been used in labor economics to explore the effects of an introduction of social programs such as the Supplemental Program for Woman Infants and Children (WIC) on infant health outcomes and in business economics for the calculation of stock returns after shocks such as a merger or acquisition (Hoynes, Page & Stevens, 2011; Shleifer, 1986).

McWilliams and Seigel (1997) state that four assumptions underlie the use of an event study –markets are efficient, the event was unanticipated, there were no confounding events, and that the distribution of the error terms prior to the event and during the event are normal. In the context of measuring the effects of the increase in incarceration following the 1994 law change on college enrollment for the group most affected by the policy change, the corollary to a market efficiency hypothesis, whereby all actors in a market possess the same information, is that knowledge of the passage of the law and its provisions are the same for all state lawmakers. Given that all state lawmakers, in theory, have equal access to information on laws enacted by Congress, I argue that the belief

in the likelihood of the bill passing was on average, the same for state lawmakers. In terms of the second condition, the passage of the law was arguably a surprise given that it was introduced in October of 1993 but not passed until September of 1994. In comparison, another major bill around crime and enforcement, the 1986 Anti-Drug Abuse Act, was introduced in September of 1986 and passed in October of 1986. The long duration between introduction and passage of the 1994 Act, and the legislative proceedings, suggest that the passage of the bill was a complex endeavor and thus not a guaranteed, or perhaps even expected, outcome (Congress, 1994). While Democrats generally supported the bill, some Republicans felt that the bill was too lenient on crime (Palmiotto, 1998). The political discord indicated that rapid passage of the bill was not an expected outcome. Finally, I will address the possibility of confounding events by controlling for factors relevant to college enrollment – including yearly state unemployment rates and changes in average yearly tuition and room and board for public colleges. I have also included both state and year fixed effects in order to account for invariant state characteristics and year specific trends.

### *Estimating Annual Impact of TIS on College Enrollment*

In equation (5), *College*, my outcome variable is a dichotomous variable indicating whether a young Black male who is between the ages of 18 and 24 is enrolled in college. The treatment variable is an indicator of the number of years before, during, and after the event occurs in a particular state. In equation 5,  $\omega_0$

equals 1 in the year that TIS laws are voted into law and 0 in any other year and  $\omega_3$  equals one in the third year after the law is enacted and 0 in any other year (Hoynes, Page & Stevens, 2011). I omit the year prior to the law change as my base year. Thus, the coefficient for each indicator measures the impact of a state TIS law on college enrollment in a given year after the passage of the law. The years prior to and following the event will vary depending on the year of the enactment of the state TIS law. While eleven states changed their laws to include TIS in 1995, others states enacted TIS laws in 1996 and 1997, as seen in Figure 1. The year that TIS was enacted is the event year. The period following the event will be the five years following the law change. I control for characteristics associated with college enrollment ( $X$ ) such as age, family income, the seasonably adjusted annual state unemployment rate and average annual public tuition, room, and board fees (Rouse, 1994; Perna, 2000). As college enrollment rates differ regionally, I add state fixed effects to account for time invariant unmeasured differences in states that might effect college enrollment ( $S$ ). I include year fixed effects ( $R$ ) in order to account for trends specific to a particular year that could impact college enrollment. Standard errors are clustered at the level of the state. I also carry out this analysis for young White males residing in TIS states in order to compare changes in the likelihood of college enrollment over time to that of Black males.

$$(5) \text{College}_{ist} = \sum_{-5}^5 \delta_i 1(\omega_{ist} = i) + \beta_1 X + \gamma_1 S + \gamma_2 R + \varepsilon_{ist}$$

Rather than days, which are the units of analysis used in business event studies, I employ years as the unit of time, following the convention of the use of event studies to study the introduction of WIC on county birth weights and the effects of job displacement on fertility (Hoynes, Page & Stevens, 2011; Lindo, 2008). I use years, as opposed to days for two reasons. One, college enrollment is calculated on a yearly basis. Thus, a change from one day to the next would not be measured. Secondly, using years allows me to account for lags in the time between the passage of a law and the time that it would take for a state government to change their laws and for police departments and corrections departments to respond to new directives and increases in funding. While five years is a relatively long period of time for an event study, particularly since some event studies have been used within relatively shorter time periods, laws which increase both the number of persons imprisoned and the amount of time served will have a greater impact over a period of years as opposed to days or even months because the time from arrest to sentencing varies widely (Reaves, 2013). Further, a lag time exists between the time that laws are enacted and when they are implemented. For example, although federal TIS laws were enacted in 1994, the government did not disburse grants to states for prison construction until 1996 and 1997.

I hypothesize that laws that lead to the disproportionate arrest and increased incarceration of young Black men, as happened after the passage of the



1994 Violent Crime Act, will lead to a negative shock in the supply of young Black men who are able to enroll in college. This could occur through their physical removal from communities by imprisonment and reduced access to secondary and tertiary education, an increased likelihood of imprisonment as opposed to other sanctions after the passage of the law, or by removing their eligibility through the denial of access to federal and state financial aid and jobs with which to finance employment and education (Hjamarlsson, 2006). It is beyond the scope of this study to explain which of the aforementioned mechanisms had the greatest effect on changes in college enrollment for Black men. From my analysis, the coefficients for the indicator variables that denote years prior to the event should be zero. If there are statistically significant associations between the indicator variables for the years prior to TIS, this suggests that changes in college enrollment are due to other factors outside of the law change. If I have negative coefficients for the year indicators after TIS is enacted that are statistically significant, then it suggests that Black males were less likely to enroll in college after the implementation of TIS laws in a given state.

#### **IV. Results**

*Difference-in-difference: Changes in college enrollment based on TIS adoption of neighbors*

Table 3 shows the effect of a state and its adjacent states passing TIS laws and receiving federal TIS grants on Black male college enrollment. Columns (1) -

(3) include all students aged 18 to 24 years old in the period from 1992 through 2000. Columns (4) – (6) are for the results for the sample of White males aged 18 – 24 and Columns (7) – (9) are results for Black males in this age group.

For the linear probability model that measures the effect of having a TIS law and receiving federal grant funding on college enrollment, there is no change in college enrollment after enacting a TIS law for the population of all persons aged 18-24 in Column (1) or for White males in Column (4). However, for Black males from the model in Column (7), living in a state with TIS laws that received federal funding is associated with a decrease of 5 percentage points in the likelihood of enrolling in college, which is a  $.05 / .25 = 20\%$  decrease in college enrollment.<sup>17</sup>

Next, I add in a variable that takes into account the effects of having TIS in a geographically adjacent neighboring state. As previously mentioned, the effect of having a neighbor with TIS on college enrollment in a state is not immediately apparent. Ross (2012) demonstrated that if both states have TIS, then crime rates fall in both states due to higher arrest rates. Higher arrest rates in turn could lead to lower college enrollment rates for Black male young adults. In the sample of all students from Column (2) and the sample of White male students is Column (5), having a TIS law in the state of residence has no effect on college enrollment but having TIS in an adjacent state is associated with a 7 to 8 percentage point decrease in the likelihood of college enrollment. Interestingly, the results are

---

<sup>17</sup> From Table 1, the college enrollment rate for Black men in TIS states is .25 prior to the law change.

different for young Black males in Column (8). Here, having a TIS law in the state of residence is associated with a 5% point reduction in the probability of college enrollment and having an adjacent neighbor state with TIS laws is associated with a 100-percentage point increase in the college enrollment rate. It is important to note that Black young adults, and Black male young adults, are much more likely than their White peers to live in a state with TIS *and* a state that neighbors a state with TIS. While 65% of Black males aged 18-24 in my sample lived in a state with TIS laws, only 56% of White males in this age cohort lived in a state with TIS. Further, while 72% of Black male young adults lived in a state where one of the adjacent states had TIS, 64% of young White males lived in a state that had an adjacent state with TIS laws. This is in part due to geographic differences. As seen in the map in Figure 1, states with TIS laws tended to be in the South and Midwest. According to 2010 Census data, 55% of Black people in the United States lived in the South and 18% in the Midwest (Rastogi, 2011). Further, almost all of the states with large Black populations of over 1 million Black persons (New York, California, Georgia, North Carolina, Ohio, Virginia) had TIS laws. Due to this geographic concentration of Black people in the United States, in my specification checks, I explore whether the results change if I limit the analysis to the South. The results are robust to this geographic limitation.

Columns (3), (6), and (9) in Table 3 add the interaction between TIS laws in the state and the adjacent state for all students. This is the difference-in-difference (DD) estimate that measures the additional effect on college

enrollment of having an adjacent state with a TIS law. For the sample of all students aged 18-24 in Column (3), having TIS in the state of residence is associated with a 2.89% point increase in college enrollment, having TIS in a neighboring state is associated with a 6.85% point decrease in the probability of college enrollment and the interaction term for having TIS in the state of residence and the adjacent state is associated with a decrease of 4.45% point in the probability of college enrollment. The results for young White males in Column (6) are the same direction and larger magnitude.

However, for the Black male sample, from Column (9), having TIS in the state of residence is associated with a 13.5% point decreases in the likelihood of college enrollment for Black men. In contrast, the all student sample and the male sample had a higher likelihood of enrollment following when their state had TIS laws. For the effect of having a neighbor with TIS laws, there is an associated increase of 100 percentage points. For the interaction term, there is an 8.5% point, or 33% increase in the probability of college enrollment. Thus, the results for Black males in Columns (8) and (9) differ from the results for the whole student sample and the male only sample. In Table 4, I explore whether the effects of TIS laws on Black male college enrollment vary by the type of enrollment- two-year or four-year college - or enrollment intensity - full-time or part-time. The results are of similar magnitude and direction as the results for any college enrollment for Black men from Table 3 for four-year college

enrollment and full-time college enrollment. However, the association between having a neighbor with TIS on two-year college enrollment is negative.

One possible reason that having TIS laws in both the state of residence and a neighboring state could lead to a decline in college enrollment for the larger population aged 18-24 is the redirection of resources towards incarceration as opposed to higher education (Schiraldi & Ziedenberg, 2002). As previously mentioned, the amounts of the federal grants to states to implement TIS were relatively small, about 1% of states' annual correctional costs, and constructing prisons is a costly endeavor. Given the small federal contribution, much of the funding came from state resources - which likely meant reductions in spending or spending freezes in other areas and possibly in higher education. Kane (2003) shows that state appropriations for higher education had been declining since the 1980s - an increase in prison construction would put additional pressure on limited state budgets. Schiraldi and Ziedenberg (2002) provide evidence that over the period from 1985 to 2002, state spending on corrections increased at a rate six times that of spending on higher education, using data from State Budget Officers annual reports. There is also evidence that states shouldered much of the burden for corrections expenditures. Delahunt, Stupak, Fazio, & Etheridge (1998) find that of the 24 states that did not have TIS laws meeting federal requirements in 1996 and 1997, 16 states stated that they did not have the law due to the high cost and relatively small federal contribution.

Why might having a neighboring state and the state of residence have TIS laws lead to a higher likelihood of enrollment for Black men when it led to a lower likelihood of enrollment for other students? It is an interesting, and puzzling finding, that having a neighbor with the same law leads to increases in college enrollment for Black males. While I anticipate that having TIS in a state would lead to lower likelihood of college enrollment for Black males in light of the greater likelihood of arrest and incarceration, I would also expect that having TIS in an adjacent state would lead to lower enrollment for Black men, when compared to Black men who do not live in states with TIS. One potential explanation comes from the demographic distribution of persons in TIS states. Table 1 shows that there was a smaller percentage of Black persons living in states without TIS laws - 11% in non- TIS versus 16% in TIS states. In part due to these geographic differences, there is a negative association between having TIS laws and the size of the Black population. Also, the pre-1994 law change level of college enrollment was slightly lower for the population aged 18-24- 32% in non-TIS states versus 35% in TIS states. Perhaps the increase in enrollment was greater in TIS states because the pre-law levels were lower for all students and Black students. If initial levels of college enrollment are relatively low than it is possibly easier to increase college enrollment, as compared to increasing college enrollment in places where the levels of enrollment are higher.

Another explanation for the positive interaction effect of living in a state with TIS laws and having a neighbor with TIS laws on Black male college

enrollment comes from the effects of TIS on crime and police expenditure. Ross (2012) finds that when a state implements TIS laws, local police enforcement tends to decrease as resources are allocated towards punishment (through a longer sentence) and resources are allocated away from local policing. As most arrests come from local policing, reductions in enforcement would lead to a decline in arrests. This decline in arrests, particularly in urban areas, would in turn mean that more young Black males are not involved with the criminal justice system. If young Black men are less likely to be arrested, perhaps they are more likely to have access to college and more likely to enroll in college. This finding aligns with the theory that reductions in arrest for the college-aged population lead to higher college enrollment.

A final theory is that TIS laws did not lead to large increases in the likelihood of arrest for Black young men. Instead, the increase was not much larger than in the previous decade. Instead, it was a continuation of an upward trend in the incarceration of young Black men (Pfaff, 2017).

*Difference-in-difference-in-differences: Changes in college enrollment based on state TIS*

Given some of the population differences between TIS states and those without these laws as seen in Table 2, I also compare the college enrollment of Black young men to that of non-Black men in TIS states in Table 5. In Column (2), while the variable *Black* is not statistically significant, the interaction term *TIS \* Black* is negative and statistically significant. Interpreting the coefficient on the

variable, for Black males in TIS states, there is a 30% point decrease in the likelihood of college enrollment when compared to non-Black male students in TIS states. Similarly to the results of the adjacent state analysis in Table 3 and Table 4, the interaction term for the triple difference  $TIS * TIS\ neighbor * Black$  is positive and statistically significant. Thus, Black students are more likely to enroll in college when both their state of residence and an adjacent state has TIS laws, as compared to Black students in non- TIS states, after controlling for three confounding trends - (1) trends in college enrollment impacting young adults residing in TIS states and (2) trends in enrollment impacting students who live in states that adjoin states with TIS laws and (3) trends in enrollment for Black students.

In Table 6, I present the effects of the Violent Crime Control and Law Enforcement Act of 1994 on the probability of college enrollment for Black males, using a DDD specification. This analysis uses the entire sample aged 18-24 with complete demographic data. The results demonstrate that Black males who lived in states that enacted TIS laws and received federal funding to construct prisons and enforce 85% of the sentence served did not have statistically significant differences in college enrollment. Although not significant, the point estimates are similar in magnitude to those in Table 3 for the effects on college enrollment of being a black male young adult in a state with TIS. Also the predictors, *Black* and *Male* are negative and statistically significant in Table 6 Column (1). When looking at the level of institution in Column (2) and (3), I find that the results are



not statistically significant. From Column (5), full time college attendance decreases for Black males in TIS states by 6.69% points, when compared to the enrollment of Black males in non-TIS states but there are no significant effects for part-time attendance.

*Event Study: Effects of TIS Laws by Year*

In Table 7, I report the results from the event study, which measures the impact of the TIS laws on college enrollment in each individual year. This approach explores the variation in the effects of TIS laws on college enrollment by year after the passage of the law. Here, I measure whether the laws had more of a deleterious effect in latter years as compared to earlier years of the policy. I would expect a greater effect of TIS laws on Black male college enrollment after year 1 because laws take time to enact and finance. As previously mentioned, while the federal law passed in 1994, it was not until 1996 that federal funding was disbursed. Years prior to the law change should not have any systematic patterns in college enrollment. For the sample of young Black men in Column (1), in the year that the TIS law was passed, and in the first, second, fourth, and fifth year after the law passed, we see significant decreases in the likelihood of college enrollment for Black males. The point estimates of the decrease in enrollment increase after Year 1 - from 4.6% points in year 1 to 9.7% points in the fourth year after the passage of the law. This suggests that the effects of the law increased as time went on. For the sample of young White males, we do not see significant

changes in the probability of college enrollment after the law changes. I explore these changes graphically in Figure 4. In this figure, we see a downward trend in enrollment and more variable enrollment for Black males after the passage of TIS laws.

#### *Robustness checks*

I verified that the results were robust to different geographic specifications. As the majority of Black persons in the United States reside in the Southern geographic region, I carried out the analysis for both the effects of TIS law and the effects of having a state of residence and neighboring state with TIS laws with a sample limited to the South. My results were robust to both of these specifications – with similar point estimates and direction.

## **V. Conclusion**

We would expect states that adopted TIS sentencing to have increases in the state prison population because the law led to longer sentences and an increased likelihood of prison as opposed to another form of punishment for all crimes (Shepherd, 2002; Stemen et al., 2005). Both the DD analysis using adjacent states and the DDD analysis provide some evidence that having a state implement TIS and receive federal funding leads to a decreased likelihood of college enrollment for Black males. The point estimate for the decrease in the likelihood of college enrollment from both of these analyses are close and demonstrate an approximately 30% decrease in the probability of enrollment for

Black males after a state adopts Truth-in-Sentencing laws. Given that 20% of the increase in state incarceration can be attributed to the increase in imprisonment for drug infractions, and the increase in incarceration was concentrated among young men and particularly young Black men aged 18-24, these laws likely had a deleterious effect on the ability of young men to enroll in college. As previously mentioned, Western et al (2010), show that some of the rise in incarceration over the time period from 1980 to 2010, came from drug crimes, although TIS laws purportedly targeted violent crime. The Black young men in the age group most likely to attend college were also most likely to be arrested and imprisoned (Carson, 2015). Being imprisoned and having a greater likelihood of being sentenced to prison for young Black males decreased the likelihood of college attendance in light of the difficulties in accessing secondary education to complete high school degrees while incarcerated and an inability to receive federal financial aid to pursue postsecondary studies while incarcerated (FAFSA, 2017; Kirk & Sampson, 2013; US Congress, 1994). Further, many colleges and potential employers asked questions about felonies and incarceration that decreased the likelihood of acceptance for persons formerly incarcerated (Bernberg & Krohn, 2003).

Prior research and statistics clearly demonstrate that Black men have been disproportionately arrested, convicted, and served longer sentences for the same crimes when compared to their White peers (Mustard, 2001; Tonry, 1994; US Sentencing Commission, 2001; US Sentencing Commission, 2001). This increasing

incarceration has had many effects on the lives of Black men (Western, 2006). However, to date, less evidence has existed on the effects of this incarceration on Black male college enrollment (DiPrete & Buchmann, 2006; Kirk & Sampson, 2013). This paper provides evidence that the Violent Crime Control and Law Enforcement Act of 1994 led to a decrease in the likelihood of college enrollment using variation in timing and adoption of state Truth-in-Sentencing Laws. Given that these results suggest that Black males have decreased access to higher education, at a time when the difference between earnings between a high school graduate and a college graduate are increasing, this study has implications for policy makers looking to increase access to and retention in higher education.

Further work should investigate the mechanisms by which increased arrests lead to adverse educational outcomes and the links between the drug laws and college enrollment for Latino males.

## References

- Aizer, A., & Doyle, J. J. (2015). Juvenile incarceration, human capital, and future crime: Evidence from randomly assigned judges. *The Quarterly Journal of Economics*, qjv003.
- Alexander, M. (2012). *The new Jim Crow: Mass incarceration in the age of colorblindness*. The New Press.
- Angrist, J. D., & Pischke, J. S. (2008). *Mostly harmless econometrics: An empiricist's companion*. Princeton university press.
- Angrist, J. D., & Pischke, J. S. (2012). Probit better than LPM. [Blog post] Retrieved from <http://www.mostlyharmlesseconometrics.com/2012/07/probit-better-than-lpm/>.
- Anti-Drug Abuse Act of 1986, Pub.L. 99-570.
- Austin, J., Jones, C., Kramer, J., & Renninger, P. (2004). *National assessment of structured sentencing*. DIANE Publishing.
- Bailey, M. J., & Dynarski, S. M. (2011). *Gains and gaps: Changing inequality in US college entry and completion* (No. w17633). National Bureau of Economic Research.
- Beck, A. J., & Harrison, P. M. (2001). Prisoners in 2000 (NCJ 188207). Washington, DC: Bureau of Justice Statistics. *Prisoner counts in this report reflect persons under federal or state jurisdiction*.
- Beckett, K., & Western, B. (2001). Governing social marginality: Welfare, incarceration, and the transformation of state policy. *Punishment & Society*, 3(1), 43-59.
- Beckett, K., Nyrop, K., & Pfingst, L. (2006). Race, drugs, and policing: Understanding disparities in drug delivery arrests. *Criminology*, 44(1), 105-137.
- Bernburg, J. G., & Krohn, M. D. (2003). Labeling, life chances, and adult crime: The direct and indirect effects of official intervention in adolescence on crime in early adulthood. *Criminology*, 41(4), 1287-1318.
- Bertrand, M., Duflo, E., & Mullainathan, S. (2004). How much should we trust differences-in-differences estimates?. *The Quarterly journal of economics*, 119(1), 249-275.
- Blumstein, A. (1998). US criminal justice conundrum: rising prison populations and stable crime rates. *NCCD news*, 44(1), 127-135.
- Brown, E. K. (2013). Foreclosing on incarceration? State correctional policy enactments and the great recession. *Criminal Justice Policy Review*, 24(3), 317-337.
- Buchmann, C., & DiPrete, T. A. (2006). The growing female advantage in college completion: The role of family background and academic achievement. *American sociological review*, 71(4), 515-541.
- Bureau of Justice Statistics. (2017). Drugs and Crime Facts. Retrieved from <https://www.bjs.gov/content/dcf/enforce.cfm>

- Caulkins, J. P., & Chandler, S. (2006). Long-run trends in incarceration of drug offenders in the United States. *Crime & Delinquency*, 52(4), 619-641.
- Carson, E. A. (2015). Prisoners in 2014 (NCJ 248955). Retrieved from Bureau of Justice Statistics website: <http://www.bjs.gov/content/pub/pdf/p14.pdf>.
- Carson, E. & Anderson, E. (2016). Prisoners in 2015 (NCJ 248955). Retrieved from Bureau of Justice Statistics website: <https://www.bjs.gov/index.cfm?ty=pbdetail&iid=5869>
- Conger, D., & Long, M. C. (2013). Gender gaps in college enrollment: The role of gender sorting across public high schools. *Educational Researcher*, 42(7), 371-380.
- Congress, U. S. (1994). Violent Crime Control and Law Enforcement Act of 1994 (Pub. L. 103-322/HR 3355).
- Corcoran, S. P., & Jennings, J. (2016). The gender gap in charter school enrollment. *Educational Policy*, 0895904816673737.
- Davis, T., & Otto, B. (2016). Juxtaposing the Black and White Gender Gap: Race and Gender Differentiation in College Enrollment Predictors. *Social Science Quarterly*, 97(5), 1245-1266.
- Deiss, A. G. (1994). Making the Crime Fit the Punishment: Pre-Arrest Sentence Manipulation by Investigators Under the Sentencing Guidelines. *U. Chi. Legal F.*, 419.
- Delahunt, K., Stupak, S., Fazio, S., & Etheridge, W. (1998). Truth in Sentencing: Availability of Federal Grants Influenced Laws in Some States. *FEDERAL SENTENCING REPORTER*, 11(3).
- DiPrete, T. A., & Buchmann, C. (2006). Gender-specific trends in the value of education and the emerging gender gap in college completion. *Demography*, 43(1), 1-24.
- Ditton, P. M., & Wilson, D. J. (1999). *Truth in sentencing in state prisons* (p. 3). US Department of Justice, Office of Justice Programs, Bureau of Justice Statistics.
- FAFSA Process- Applying for Aid. (n.d.). Retrieved August 1, 2017 from <https://studentaid.ed.gov/sa/sites/default/files/aid-info-for-incarcerated-individuals.pdf>
- Gelb, A. & Stevenson, P. (January 12, 2017). U.S adult incarceration rate declines 13% in 8 months. Retrieved from : <http://www.pewtrusts.org/en/research-and-analysis/analysis/2017/01/12/us-adult-incarceration-rate-declines-13-percent-in-8-years>
- General Accounting Office. Truth in Sentencing: Availability of Federal Grants Influenced Laws in Some States. Washington, D.C.: U.S. Congress, GAO/GGD-98-42, February 1998.
- Goldin, C., Katz, L. F., & Kuziemko, I. (2006). *The homecoming of American college women: The reversal of the college gender gap* (No. w12139). National Bureau of Economic Research.

- Gottschalk, M. (2010). Cell blocks & red ink: mass incarceration, the great recession & penal reform. *Daedalus*, 139(3), 62-73.
- Heller, D. E. (1997). Student price response in higher education: An update to Leslie and Brinkman. *The Journal of Higher Education*, 68(6), 624-659.
- Hemelt, S. W., & Marcotte, D. E. (2011). The impact of tuition increases on enrollment at public colleges and universities. *Educational Evaluation and Policy Analysis*, 33(4), 435-457.
- Hjalmarsson, R. (2008). Criminal justice involvement and high school completion. *Journal of Urban Economics*, 63(2), 613-630.
- Horrace, W. C., & Oaxaca, R. L. (2006). Results on the bias and inconsistency of ordinary least squares for the linear probability model. *Economics Letters*, 90(3), 321-327.
- Hoynes, H., Page, M., & Stevens, A. H. (2011). Can targeted transfers improve birth outcomes?: Evidence from the introduction of the WIC program. *Journal of Public Economics*, 95(7), 813-827.
- Imbens, G., & Wooldridge, J. (2007). Difference-in-differences estimation. *National Bureau of Economics Research Working Paper*.
- Jacob, B. A. (2002). Where the boys aren't: Non-cognitive skills, returns to school and the gender gap in higher education. *Economics of Education review*, 21(6), 589-598.
- Kaeble, D., Glaze, L., Tsoutis, A., & Minton, T. (2015). Correctional populations in the United States, 2014. *Washington, DC*.
- Kane, T. J. (2003). State fiscal constraints and higher education spending: The role of Medicaid and the business cycle.
- Kirk, D. S., & Sampson, R. J. (2013). Juvenile arrest and collateral educational damage in the transition to adulthood. *Sociology of education*, 86(1), 36-62.
- Kothari, S., & Warner, J. B. (2008). Econometrics of Event Studies. *Handbook of Empirical Corporate Finance SET*, 2, 1.
- Kovandzic, T. V., & Vieraitis, L. M. (2006). The effect of county-level prison population growth on crime rates. *Criminology & Public Policy*, 5(2), 213-244.
- Leiter, R. A. (1993). *National survey of state laws*. Gale Research.
- Levitt, S. D. (2004). Understanding why crime fell in the 1990s: Four factors that explain the decline and six that do not. *The Journal of Economic Perspectives*, 18(1), 163-190.
- Lleras, C. (2008). Do skills and behaviors in high school matter? The contribution of noncognitive factors in explaining differences in educational attainment and earnings. *Social Science Research*, 37(3), 888-902.
- Lochner, L. (2004). Education, work, and crime: A human capital approach. *International Economic Review*, 45(3), 811-843.
- Lochner, L., & Moretti, E. (2004). The effect of education on crime: Evidence from prison inmates, arrests, and self-reports. *American Economic Review*, 94(1), 155-189.

- Long, B. T. (2004). How have college decisions changed over time? An application of the conditional logistic choice model. *Journal of econometrics*, 121(1), 271-296.
- Lowenthal, G. T. (1993). Mandatory sentencing laws: Undermining the effectiveness of determinate sentencing reform. *California Law Review*, 61-123.
- Merritt, N., Fain, T., & Turner, S. (2006). Oregon's get tough sentencing reform: A lesson in justice system adaptation. *Criminology & public policy*, 5(1), 5-36.
- McDaniel, A., DiPrete, T. A., Buchmann, C., & Shwed, U. (2011). The Black gender gap in educational attainment: Historical trends and racial comparisons. *Demography*, 48(3), 889-914.
- McWilliams, A., & Siegel, D. (1997). Event studies in management research: Theoretical and empirical issues. *Academy of management journal*, 40(3), 626-657.
- Mitchell, O., & Caudy, M. S. (2015). Examining racial disparities in drug arrests. *Justice Quarterly*, 32(2), 288-313.
- Moore, Lisa D., and Amy Elkavich. "Who's using and who's doing time: incarceration, the war on drugs, and public health." *American Journal of Public Health* 98.5 (2008): 782-786.
- Mustard, D. B. (2001). Racial, ethnic, and gender disparities in sentencing: Evidence from the US federal courts. *The Journal of Law and Economics*, 44(1), 285-314.
- National Criminal Justice Reference Service. (1994). Violent crime control and law enforcement act of 1994 fact sheet. Retrieved from: <https://www.ncjrs.gov/txtfiles/billfs.txt>.
- Olsen, R. J. (1980). A least squares correction for selectivity bias. *Econometrica: Journal of the Econometric Society*, 1815-1820.
- Palmiotto, M. J. (1998). The 1994 violent crime control and law enforcement act: An evaluation. *Criminal Justice Studies*, 10(4), 407-414.
- Pettit, B. (2012). *Invisible men: Mass incarceration and the myth of black progress*. Russell Sage Foundation.
- Pfaff, J. (2017). *Locked In: The True Causes of Mass Incarceration – and How to Achieve Real Reform*. Basic Books.
- Rastogi, S. (2011). *The black population: 2010*. US Department of Commerce, Economics and Statistics Administration, US Census Bureau.
- Reaves, B. A. (2013). Felony defendants in large urban counties, 2009-statistical tables. *Washington, DC: US Department of Justice*.
- Sabol, W. J. (2002). The influences of truth-in-sentencing reforms on changes in states' sentencing practices and prison populations.
- Sandler, D. H., & Sandler, R. (2014). Multiple event studies in public finance and labor economics: A simulation study with applications. *Journal of Economic and Social Measurement*, 39(1, 2), 31-57.



- Schiraldi, V., & Ziedenberg, J. (2002). Cellblocks or Classrooms?: The Funding of Higher Education and Corrections and Its Impact on African American Men.
- Shepherd, J. M. (2002). Police, prosecutors, criminals, and determinate sentencing: The truth about truth-in-sentencing laws. *The Journal of Law and Economics*, 45(2), 509-533.
- Shleifer, A. (1986). Do demand curves for stocks slope down?. *The Journal of Finance*, 41(3), 579-590.
- Solon, G., Haider, S. J., & Wooldridge, J. M. (2015). What are we weighting for?. *Journal of Human resources*, 50(2), 301-316.
- Stemen, D., Rengifo, A., & Wilson, J., (2006, February). Of fragmentation and ferment: The impact of state sentencing policies on incarceration rates, 1975-2002. Vera Institute of Justice, [NIJ Grant #2002-IJ-CX-0027].
- Tonry, M. (1994). Racial politics, racial disparities, and the war on crime. *NCCD news*, 40(4), 475-494.
- Travis, J., Western, B., & Redburn, F. S. (2014). The growth of incarceration in the United States: Exploring causes and consequences.
- US Census Bureau. (2017). Quick facts. *Data derived from Population Estimates, American Community Survey, Census of Population and Housing, County Business Patterns, Economic Census, Survey of Business Owners, Building Permits, Consolidated Federal Funds Report, Census of Governments*. Available: [quickfacts.census.gov](http://quickfacts.census.gov) (September 2017).
- U.S. Department of Commerce, Census Bureau, Current Population Survey (CPS), October, 1975 through 2012.
- U.S. Department of Education, National Center for Education Statistics. (2016). *Digest of Education Statistics, 2015* (NCES 2016-014), [Chapter 3](#).
- United States Department of Justice. Office of Justice Programs. Bureau of Justice Statistics (1999, Jan.). Special report: truth in sentencing in state prisons. Wilson, J. & Wilson, D.J. [Rep. No NCJ 170032].
- United States Sentencing Commission. (1991). *The Federal sentencing guidelines: A report on the operation of the guidelines system and short-term impacts on disparity in sentencing, use of incarceration, and prosecutorial discretion and plea bargaining* (Vol. 2). The Commission.
- United States Sentencing Commission. (2011). Special report to the Congress: Mandatory minimum penalties in the federal criminal justice system. *Washington, DC: US Sentencing Commission*.
- Weissman, M., Rosenthal, A., Warth, P., Wolf, E., & Messina-Yauchzy, M. (2010). The use of criminal history records in college admissions reconsidered. *The Center for Community Alternatives*.
- Western, B. (2006). *Punishment and inequality in America*. Russell Sage Foundation.

- Western, B., & Wildeman, C. (2009). The black family and mass incarceration. *The ANNALS of the American Academy of Political and Social Science*, 621(1), 221-242.
- West, H. C., & Sabol, W. (2008). Prisoners in 2007. *Washington, DC*.
- The White House, Office of the Press Secretary. (2015). Remarks by the president at the NAACP conference. Retrieved from <https://www.whitehouse.gov/the-press-office/2015/07/14/remarks-president-naacp-conference>
- The White House, Office of the Press Secretary. (2015). Remarks by the president after visit at El Reno federal correctional institution. Retrieved from <https://www.whitehouse.gov/the-press-office/2015/07/16/remarks-president-after-visit-el-reno-federal-correctional-institution>
- Wooldridge, J. M. (2010). *Econometric analysis of cross section and panel data*. MIT press.

**Table 1: Sample Means of CPS Population Aged 18- 24 by TIS Grant Status 1992 - 2007**

	Time Period: 1992 to 1995		Time Period: 1996 to 2000	
	Non-TIS States	Received TIS Grants in 1996 or 1997	Non-TIS States	Received TIS Grants in 1996 or 1997
Black	0.11	0.16	0.12	0.16
Male	0.50	0.50	0.50	0.50
Latino	0.16	0.14	0.17	0.14
Asian/Pacific Islander	0.02	0.03	0.03	0.05
American Indian	0.01	0.01	0.02	0.01
Enrolled in college	0.32	0.35	0.34	0.37
Enrolled in college (Black male)	0.26	0.25	0.27	0.26
Enrolled in college (male)	0.30	0.34	0.33	0.35
18 – 20 years old	0.42	0.42	0.44	0.44
21 – 24 years old	0.58	0.59	0.56	0.56
Less than \$20,000	0.36	0.33	0.31	0.28
\$20,000 – \$40,000	0.27	0.26	0.26	0.25
\$40,000 – \$60,000	0.15	0.14	0.15	0.15
\$60,000 – \$75,000	0.06	0.06	0.06	0.07
More than \$75,000	0.08	0.11	0.12	0.14
% Bachelor degree or more	21.6	22.8	23.4	24.4
Per capita personal income (\$)	21,096	22,605	29,942	31,388
Unemployment rate	6.07	6.59	4.65	4.98
N	15,655	34,004	47,401	88,449

Source: Authors calculations from CPS October supplements 1992 – 2007, author’s dataset on Truth-in Sentencing (TIS) status by state, the Bureau of Economic Analysis data on annual per-capita personal income by state, and Bureau of Census data on educational attainment for persons aged 25 and over.

Notes: The sample is composed of persons aged 18-24 at the time of the survey. Sample means are calculated based on averages of the variable values over the years before the federal law change and after the law change. I weighted averages using CPS October supplement weights for persons over the age of 16.

**Table 2: Determinants of TIS Laws**

	Received TIS grant	TIS passed in 1994 or Prior	TIS Passed in 1995
	(1)	(2)	(3)
<i>1992- 1995 Demographic Characteristics</i>			
College enrollment	0.0063 (0.0059)	-0.0152** (0.0064)	0.0156*** (0.0057)
Male	-0.0036 (0.0053)	-0.0026 (0.0058)	-0.0040 (0.0051)
Black	0.0516*** (0.0079)	-0.0088 (0.0086)	0.0413*** (0.0076)
Latino	-0.1090*** (0.0085)	0.0104 (0.0092)	-0.0731*** (0.0081)
Asian or Pacific Islander	-0.0382** (0.0160)	0.0407** (0.0174)	-0.0494*** (0.0154)
American Indian	-0.0902*** (0.0322)	-0.0662* (0.0350)	-0.0930*** (0.0310)
Age 21 to 24	-0.0077 (0.0055)	0.0137** (0.0060)	-0.0197*** (0.0053)
Income of less than \$20,000	-0.0089 (0.0110)	0.0131 (0.0119)	-0.0091 (0.0106)
Income of \$20,000 - \$39,999	-0.0129 (0.0112)	0.0182 (0.0122)	-0.0265** (0.0108)
Income of \$40,000 - \$59,999	-0.0148 (0.0122)	0.0444*** (0.0132)	-0.0541*** (0.0117)
Income of \$60,000 - \$75,000	-0.0179 (0.0148)	0.0537*** (0.0161)	-0.0597*** (0.0142)
Income of more than \$75,000	0.0294** (0.0133)	0.0592*** (0.0144)	-0.0487*** (0.0127)
State Unemployment Rate	0.0232*** (0.0026)	0.104*** (0.0028)	-0.0889*** (0.0025)
State Per capita Income	1.65e-05*** (1.44e-06)	-5.15e-05*** (1.56e-06)	6.01e-05*** (1.38e-06)
State % Persons with BA or more	0.0071*** (0.0010)	0.0446*** (0.0011)	-0.0376*** (0.0010)
State Violent Crime Rate	0.0003*** (1.23e-05)	-0.0003*** (1.33e-05)	0.0007*** (1.18e-05)
National Public Tuition and Charges	3.30e-05 (0.000165)	0.0006*** (0.000179)	-0.0005*** (0.000158)
Constant	-0.456 (1.545)	-5.436*** (1.677)	4.919*** (1.484)
<i>State and year FE</i>	X	X	X
Observations	24,845	24,845	24,845
R-squared	0.110	0.118	0.208

Source: Authors calculations from CPS October supplements 1992 – 1995 and author's dataset on Truth-in Sentencing (TIS) status by state for the years following the federal law change in 1994.

Notes: Sample is composed of persons aged 18-24 at the time of the survey. All models are linear probability models. Robust standard errors in parentheses are based on clustering persons within states. Regressions weighted using CPS October supplement weights for persons over the age of 16.

**Table 3: Impact of Truth-in-Sentencing in Residence State and Adjacent State on the Probability of Attending College for 18-24 Year Olds (1992 - 2000)**

Sample	All students			White males			Black males		
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
TIS	-0.0150 (0.0114)	-0.0150 (0.0114)	0.0289*** (0.00548)	-0.0142 (0.0202)	-0.0142 (0.0202)	0.1120*** (0.0088)	-0.0542* (0.0273)	-0.0542* (0.0273)	-0.1350*** (0.0192)
TIS neighbor state		-0.0689*** (0.0092)	-0.0685*** (0.0093)		-0.0880*** (0.0191)	-0.0876*** (0.0192)		1.007*** (0.0415)	1.004*** (0.0415)
TIS * TIS neighbor			-0.0445*** (0.0122)			-0.1270*** (0.0190)			0.0850** (0.0319)
Constant	0.7402*** (0.0909)	0.7730*** (0.0936)	0.7730*** (0.0934)	0.6349*** (0.1349)	0.7230*** (0.148)	0.7240*** (0.148)	1.1482*** (0.3892)	0.1410 (0.404)	0.1370 (0.406)
<i>Dem. included</i>	X	X	X	X	X	X	X	X	X
<i>State and year FE</i>	X	X	X	X	X	X	X	X	X
Observations	101,169	101,169	101,169	40,912	40,912	40,912	5,330	5,330	5,330
R-squared	0.105	0.105	0.105	0.106	0.106	0.106	0.088	0.088	0.088

\*\*\* p<0.01, \*\* p<0.05, \* p<0.1

Source: Authors calculations from CPS October supplements 1992 - 2000 and author's dataset on Truth-in Sentencing (TIS) status by state for the years following the federal law change in 1994.

Notes: Sample is composed of persons aged 18-24 at the time of the survey. All models are linear probability models. The outcome is any college enrollment in the period from 1992 to 2000. The variable TIS is binary and equals 1 after a state had enacted an 85% TIS law and received grant money in either 1996, 1997, or both years and 0 otherwise. The variable TIS neighbor is whether any adjacent neighboring state had enacted an 85% TIS law by 1999 and received grant money in either 1996, 1997, or both years. The demographic factors in all columns include age, Latino ethnicity, dummies for family income that is topcoded at \$75,000, state average yearly unemployment, and average public university tuition, room, and board. Where noted, models contain state fixed effects and year fixed effects. Robust standard errors in parentheses are based on clustering persons within states. Regressions weighted using CPS October supplement weights for persons over the age of 16.



**Table 4: Impact of Truth-in-Sentencing in State of Residence and Adjacent State on the Probability of Attending College for Black Males Aged 18-24 Years Old (1992 - 2000)**

	Attend Any College (1)	Attend 4 Yr College (2)	Attend 2 Yr College (3)	Attend College PT (4)	Attend College FT (5)
TIS	-0.1350*** (0.0192)	-0.1340*** (0.0201)	6.60e-05 (0.0118)	-0.0267** (0.0102)	-0.1080*** (0.0237)
TIS neighbor state	1.0040*** (0.0415)	1.0430*** (0.0438)	-0.0524* (0.0288)	-0.0184 (0.0144)	1.0090*** (0.0391)
TIS * TIS neighbor	0.0850** (0.0319)	0.0725*** (0.0220)	0.0052 (0.0212)	0.0385*** (0.0122)	0.0392 (0.0240)
Constant	0.1370 (0.406)	0.2110 (0.308)	0.0218 (0.200)	0.2140 (0.155)	0.0186 (0.329)
<i>Dem. included</i>	X	X	X	X	X
<i>State and year FE</i>	X	X	X	X	X
Observations	5, 330	5,324	5,324	5,324	5,324
R-squared	0.088	0.078	0.050	0.025	0.088

\*\*\* p<0.01, \*\* p<0.05, \* p<0.1

Source: Authors calculations from CPS October supplements 1992 - 2000 and author's dataset on Truth-in Sentencing (TIS) status by state for the years following the federal law change in 1994.

Notes: Sample is composed of Black male persons aged 18-24 at the time of the survey. All models are linear probability models. The outcome for the first column is any college enrollment for Black male students, the second column is college enrollment in a 4-year college in the period from 1992 to 2000 for all students. The outcome for the third column is any college enrollment for Black male students in a 2- year college in the period from 1992 to 2007. The outcome for the fourth and fifth column is any part-time or full-time college enrollment for Black male students. The variable TIS is binary and equals 1 after a state had enacted an 85% TIS law and received grant money in either 1996, 1997, or both years and 0 otherwise. The variable TIS neighbor is whether any adjacent neighboring state had enacted an 85% TIS law by 1999 and received grant money in either 1996, 1997, or both years. The demographic factors in all columns include age, Latino ethnicity, dummies for family income that is topcoded at \$75,000, state average yearly unemployment, and average public university tuition, room, and board. Where noted, models contain state fixed effects and year fixed effects. Robust standard errors in parentheses are based on clustering persons within states. Regressions weighted using CPS October supplement weights for persons over the age of 16.

**Table 5: Impact of Truth-in-Sentencing in Residence State and Adjacent State on the Probability of Attending College for Male 18-24 Year Olds (1992 - 2000)**

Sample	All male students	
	(1)	(2)
TIS	-0.0083 (0.0078)	0.1562*** (0.0199)
TIS neighbor state	-0.0612*** (0.0148)	-0.0710*** (0.0169)
Black	-0.0628*** (0.0118)	-0.0385 (0.0256)
TIS * TIS neighbor	-0.0112 (0.0149)	-0.1744*** (0.0255)
TIS * Black		-0.3020*** (0.0255)
TIS Neighbor * Black		-0.0252 (0.0306)
TIS * TIS neighbor * Black		0.2952*** (0.0330)
Constant	0.7402*** (0.0909)	0.7489*** (0.1416)
<i>Dem. included</i>	X	X
<i>State and year FE</i>	X	X
Observations	49,711	49,711
R-squared	0.082	0.10

\*\*\* p<0.01, \*\* p<0.05, \* p<0.1

Source: Authors calculations from CPS October supplements 1992 - 2000 and author's dataset on Truth-in Sentencing (TIS) status by state for the years following the federal law change in 1994.

Notes: Sample is composed of male persons aged 18-24 at the time of the survey. All models are linear probability models. The outcome is any college enrollment in the period from 1992 to 2000. The variable TIS is binary and equals 1 after a state had enacted an 85% TIS law and received grant money in either 1996, 1997, or both years and 0 otherwise. The variable TIS neighbor is whether any adjacent neighboring state had enacted an 85% TIS law by 1999 and received grant money in either 1996, 1997, or both years. The demographic factors in all columns include age, Latino ethnicity, dummies for family income that is topcoded at \$75,000, state average yearly unemployment, and average public university tuition, room, and board. Where noted, models contain state fixed effects and year fixed effects. Robust standard errors in parentheses are based on clustering persons within states. Regressions weighted using CPS October supplement weights for persons over the age of 16.



**Table 6: Impact of Truth-in-Sentencing on Probability of Attending College for 18-24 Year Olds (1992 - 2000)**

	Attend Any College (1)	Attend 4 Yr. College (2)	Attend 2 Yr. College (3)	Attend College PT (4)	Attend College FT (5)
TIS grant state	0.0258** (0.0107)	0.0935*** (0.0101)	-0.0596*** (0.0061)	-0.0384*** (0.0043)	0.0724*** (0.0129)
Black	-0.0767** (0.0335)	-0.0528** (0.0233)	-0.0218 (0.0135)	-0.0076 (0.0077)	-0.0671** (0.0311)
Male	-0.0467*** (0.0089)	-0.0246** (0.0107)	-0.0201*** (0.0049)	-0.0173*** (0.0030)	-0.0274*** (0.0089)
TIS * Black	0.0340 (0.0344)	0.0113 (0.0247)	0.0207 (0.0152)	0.0018 (0.0080)	0.0302 (0.0324)
TIS * Male	0.0125 (0.0105)	0.000251 (0.0113)	0.0110* (0.00613)	0.0053 (0.0037)	0.0060 (0.0110)
Black * Male	0.0220 (0.0390)	0.0068 (0.0300)	0.0148 (0.0187)	-0.0105* (0.0058)	0.0321 (0.0353)
TIS* Black * Male	-0.0624 (0.0408)	-0.0285 (0.0327)	-0.0290 (0.0216)	0.0094 (0.0079)	-0.0669* (0.0379)
Constant	0.633*** (0.0776)	0.414*** (0.1020)	0.200* (0.1030)	0.161** (0.0701)	0.453*** (0.0799)
<i>Dem. included</i>	X	X	X	X	X
<i>State and year FE</i>	X	X	X	X	X
Observations	101,584	101,556	101,556	101,556	101,556
R-squared	0.107	0.087	0.041	0.010	0.113

\*\*\* p<0.01, \*\* p<0.05, \* p<0.1

Source: Authors calculations from CPS October supplements 1992 - 2000 and author's dataset on Truth-in Sentencing (TIS) status by state for the years following the federal law change in 1994.

Notes: Sample is composed of persons aged 18-24 at the time of the survey. All models are linear probability models. The outcome for the first column is any college enrollment in the period from 1992 to 2000. The outcome for the second column is college enrollment in a 4-year college in the period from 1992 to 2007 for all

students. The outcome for the third column is college enrollment in a 2- year college in the period from 1992 to 2007. The outcome for the fourth and fifth column is any part-time or full-time college enrollment. The variable TIS is whether a state had enacted an 85% TIS law by 1999 and received grant money in either 1996, 1997, or both years. The demographic factors in all columns include age, Latino ethnicity, dummies for family income that is topcoded at \$75,000, state average yearly unemployment, and average public university tuition, room, and board. All models contain state fixed effects and year fixed effects. Robust standard errors in parentheses are based on clustering persons within states. Regressions weighted using CPS October supplement weights for persons over the age of 16.

**Table 7: Yearly Impact of Truth-In-Sentencing on Probability of College Enrollment for 18-24 Year Old Males**

Sample	Black Males	White Males
	(1)	(2)
TIS Year - 5	0.0215 (0.111)	0.0129 (0.0281)
TIS Year - 4	0.0980 (0.0603)	-0.0240 (0.0258)
TIS Year - 3	0.0257 (0.0864)	-0.0594*** (0.0203)
TIS Year - 2	0.0822** (0.0397)	0.0024 (0.0153)
TIS Year Enacted	-0.0584** (0.0246)	0.0069 (0.0223)
TIS Year + 1	-0.0459* (0.0231)	-0.0072 (0.0207)
TIS Year + 2	-0.0671* (0.0363)	0.0031 (0.0213)
TIS Year + 3	-0.0733 (0.0465)	-0.0026 (0.0215)
TIS Year + 4	-0.0966*** (0.0346)	0.0083 (0.0244)
TIS Year + 5	-0.0659 (0.0436)	-0.0064 (0.0267)
Constant	1.180*** (0.416)	0.677*** (0.129)
<i>Dem. included</i>	X	X
<i>State and year FE</i>	X	X
Observations	9,482	74,910
R-squared	0.090	0.106

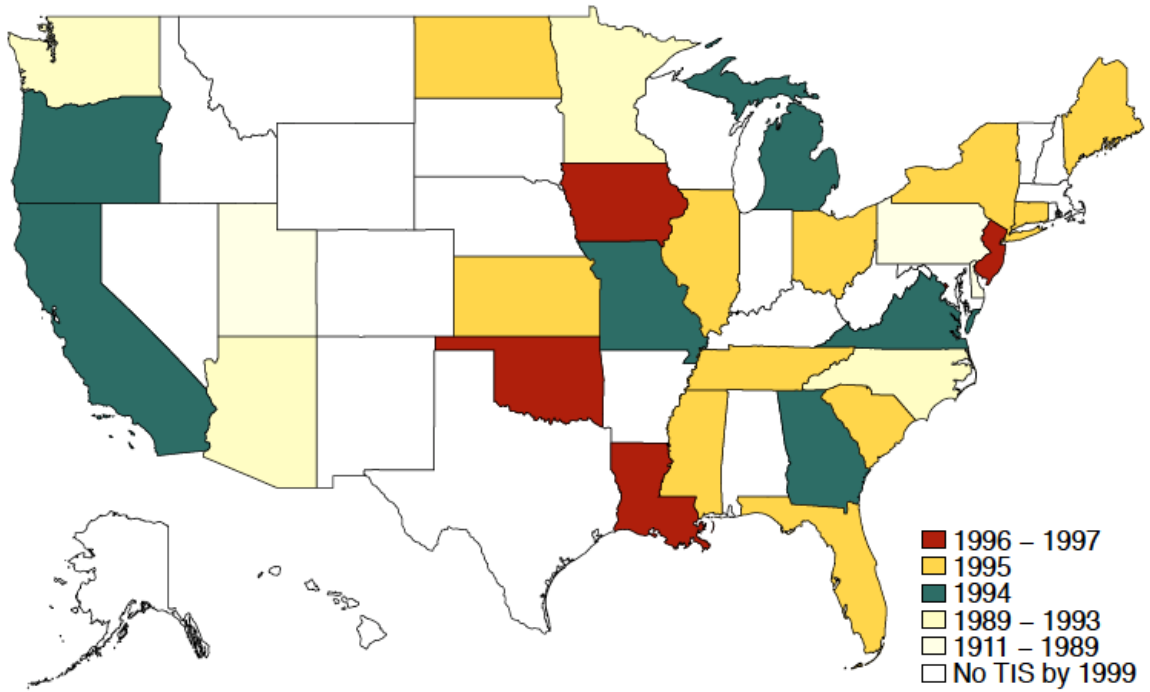
\*\*\* p<0.01, \*\* p<0.05, \* p<0.1

Source: Authors calculations from CPS October supplements 1992 - 2002 and author's dataset on Truth-in Sentencing (TIS) status by state for the years following the federal law change in 1994.

Notes: Sample is composed of males aged 18-24 at the time of the survey. All models are linear probability models.

The outcome is any college enrollment in the period from five years prior to the enactment of a state's TIS laws to five years after the enactment- bounded by the time period from 1992 - 2002. The omitted category is one year prior to the passage of a TIS law. All models contain state fixed effects and year fixed effects. Robust standard errors in parentheses are based on clustering persons within states. Regressions weighted using CPS October supplement weights for persons over the age of 16.

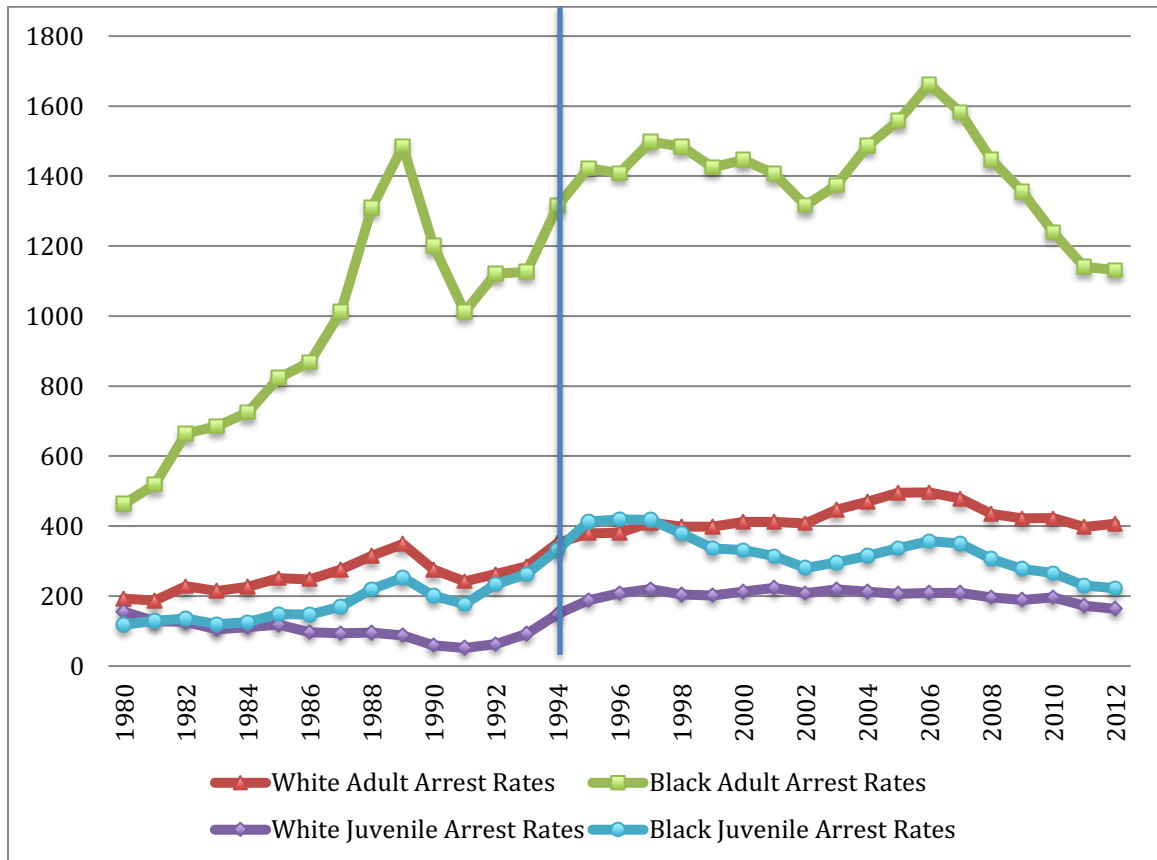
**Figure 1: States with Truth in Sentencing Laws (85%) by the Year Law was Enacted**



Source: Authors dataset on Truth in Sentencing (TIS) Laws by year that states enacted the laws based on Sabol (2002).

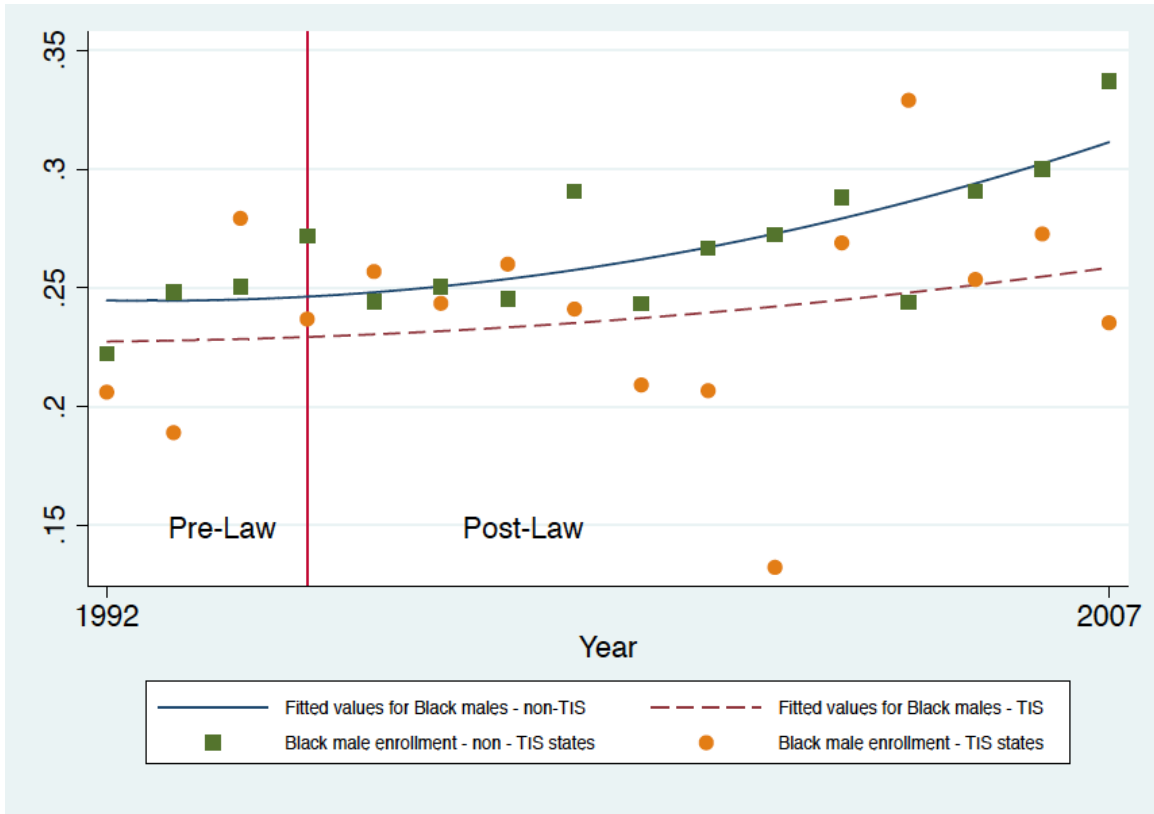
Notes: States in White had not adopted TIS laws by 1999. Other states are coded by year of adoption of law.

**Figure 2: Drug Possession Arrest Rate (per 100,000 individuals) for Adults and Juveniles by Race 1980 - 2012**



Source: Snyder, H. and Mulako-Wangota, J., Arrest Data Analysis Tool (07-Mar-17) at [www.bjs.gov](http://www.bjs.gov)  
 Notes: Data on arrests come from the FBI Uniform Crime Reporting (UCR) program. Arrest rate defined as arrests per 100,000 persons. The green line with the boxed markers is the Black adult arrest rate. The red line with the triangular markers is the White adult arrest rate. The blue line with the circular markers is the Black juvenile arrest rate. The purple line with the diamond markers is the White juvenile arrest rate. A juvenile is defined as a person who is less than 18 years of age at the time of arrest.

**Figure 3: Probability of College Enrollment and Fitted Values for Black Males Aged 18-24 - 1992 - 2007**



Source: 1992 – 2007 CPS October supplements

Notes: The green square markers denote the probability of college enrollment for Black men in non-TIS states. The blue solid line is the quadratic trend line for all Black men in non-TIS states. The red dashed line is the quadratic trend for Black men in TIS states. The orange circular markers denote the probability of college enrollment for Black men in TIS states.

**Conclusion to the Dissertation***Summary of findings*

This dissertation measured the effects of the drug laws of the 1980s and 1990s on Black male college enrollment over two time periods – from 1986 to 1992 and from 1992 through 2007. To my knowledge, this is the first work that attempts to measure the effects of the federal drug laws on Black male college enrollment, although some work has been done on the links between incarceration and college completion (Kirk & Sampson, 2013; McDaniel, DiPrete, Buchman, & Shwed, 2011). While traditional college-aged Black males were disproportionately arrested for drug possession and distribution infractions following the introduction of the federal law (US Sentencing Commission, 1991), it was unclear if these laws also had an impact on the likelihood of college enrollment for Black young men. To answer these questions, I measured the effects of the drug laws on Black male college enrollment in two separate time periods, using variation in state laws. The first source of variation was changes in the severity of state marijuana legislation over the period from 1986 – 1992 and the second source of variation was whether or not a state adopted Truth - in - Sentencing (TIS) laws from 1992 through 2007.

The analysis for the first time period, based on the enactment of the Anti-Drug Abuse Act of 1986 and modifications that states made to their laws following this federal change, shows that Black males in the United States had a 2.2% point decrease in the relative probability of college enrollment after the

passage of the Anti-Drug Abuse Act of 1986 as compared to prior to the law change. However, the change in the probability of college enrollment for this demographic was likely not due to the changes in the severity of marijuana laws in the period from 1986 to 1992, as states with larger increases in penalties did not have larger decreases in college enrollment for Black men when compared to states that did not change their penalty. However, there were significant decreases in relative college enrollment after changes in state cocaine penalties.

In the second period, after the passage of the Violent Crime Control and Law Enforcement Act of 1994, I find some evidence that the passage of state TIS laws led to a relatively lower probability of college enrollment for Black young men after the passage of these laws as compared to the college enrollment of Black men prior to the passage of TIS laws in states. The results have implications for understanding educational gaps by race and gender as the country looks to increase access to and retention in higher education and reform the criminal justice system, and in particular the educational and employment outcomes of persons formerly incarcerated for drug possession and distribution.

#### *Policy Implications*

Given the rise in arrests for drug infractions of young Black men and the deleterious effect of this criminal justice contact on college enrollment, we need to further explore not only the impact of these policies on educational outcomes but also how to redress these outcomes. Extensive research demonstrates education reduces the likelihood of recidivism for incarcerated and formerly



incarcerated persons (Gerber & Fritsch, 1995; Saylor & Gaes, 1997; Wilson, 1994). This training can take many forms – from vocational programs to college degrees though some types of education appear to be more effective than others. When separating effects for different types of educational programs based on a survey of the existing literature, Batiuk et al. (2005) find that completion of college programs reduce recidivism when compared to no education program using data from Ohio. When comparing college programs to other educational interventions, college had the largest effect on reducing recidivism. Other studies also suggest that a college education provides the greatest reduction in reoffending. A 2013 Rand meta analysis of existing studies found a 13% point decrease, or 43 percent lower odds, in the likelihood of recidivating after participating in an educational program, when compared to those in prison who had not completed any education programs, although these studies did not account for selection bias (Davis, Bozick, Steele, Saunders, & Miles, 2013). For high school and GED programs, the reduction amounted to 30 percent lower odds. The cost saving of this decrease in recidivism for 100 inmates was close to a million dollars, as compared to the cost of education, which was about \$1400 to \$1700 per person.

*Policy Implication: Increase Access to Federal Financial Aid for Incarcerated Persons*

One possible way to increase the educational attainment of incarcerated persons is for the federal government to continue to expand access to Pell grants

for incarcerated individuals. There are three decades of positive findings on the effects of access to college education on reducing recidivism and increasing the likelihood of future employment for formerly incarcerated persons. While the 1994 Violent Crime Control and Law Enforcement Act removed eligibility of Pell for incarcerated persons, in 2015, the Obama administration created the second chance experimental program, which allowed 67 colleges to offer Pell grants to 12,000 incarcerated persons. Expanding this program will allow even more individuals to gain the skills needed to find jobs and not return to prison.

#### *States Must Invest in Financial Aid for Incarcerated Persons*

States could also invest in providing access to financial aid for incarcerated persons. The cost of recidivism is likely higher than the cost of providing aid that will then allow persons to find jobs. Numerous studies find that more educated persons not only contribute economically, but also derive other benefits from additional education such as higher levels of happiness and job satisfaction (Hillygus, 2005; Oreopoulos & Salvanes, 2011).

#### *Further Research*

While this dissertation provides some evidence that there is a link between the educational outcomes of young Black men and federal and state drug laws, there is a paucity of research on this subject. Many questions remain. My future work will address whether the drug laws of the 1980s and 1990s had

an effect on the probability of college enrollment for young Latino men, given the disproportionate arrest rates in this community. Also, given the increases in marijuana possession arrests and resulting incarceration, it is worthwhile to measure the effects of severity in marijuana laws on Black and Latino college enrollment after 1990. Additionally, arrest rates for women have risen for drug related crimes over the period from 1980 to 2007, though not as dramatically as male rates (Chesney-Lind & Pasko, 2013). This trend will likely continue to rise in the face of the current opioid epidemic. Although generally women have higher rates of college enrollment and completion than their male peers across demographic groups, a higher likelihood of criminal justice interaction decreases the likelihood of post-secondary education. The effect of these arrests and resulting incarceration on educational attainment merit study. My future research will also explore the extent to which the benefits of a college degree accrue to formerly incarcerated persons who receive college degrees, given some of the challenges such as disenfranchisement and stigma faced by formerly incarcerated persons (Alexander, 2012).

## References

- Alexander, M. (2012). *The new Jim Crow: Mass incarceration in the age of colorblindness*. The New Press.
- Batiuk, M. E., Lahm, K. F., McKeever, M., Wilcox, N., & Wilcox, P. (2005). Disentangling the effects of correctional education: Are current policies misguided? An event history analysis. *Criminal Justice, 5*(1), 55-74.
- Chesney-Lind, M., & Pasko, L. (2013). *The female offender: Girls, women, and crime*. Sage.
- Davis, L. M., Bozick, R., Steele, J. L., Saunders, J., & Miles, J. N. (2013). *Evaluating the effectiveness of correctional education: A meta-analysis of programs that provide education to incarcerated adults*. Rand Corporation.
- Gerber, J., & Fritsch, E. J. (1995). Adult academic and vocational correctional education programs: A review of recent research. *Journal of Offender Rehabilitation, 22*(1-2), 119-142.
- Hillygus, D. S. (2005). The missing link: Exploring the relationship between higher education and political engagement. *Political behavior, 27*(1), 25-47.
- Kirk, D. S., & Sampson, R. J. (2013). Juvenile arrest and collateral educational damage in the transition to adulthood. *Sociology of education, 86*(1), 36-62.
- McDaniel, A., DiPrete, T. A., Buchmann, C., & Shwed, U. (2011). The black gender gap in educational attainment: Historical trends and racial comparisons. *Demography, 48*(3), 889-914.
- Oreopoulos, P., & Salvanes, K. G. (2011). Priceless: The nonpecuniary benefits of schooling. *The journal of economic perspectives, 25*(1), 159-184.
- Saylor, W. G., & Gaes, G. G. (1997). Training inmates through industrial work participation and vocational and apprenticeship instruction. *Corrections Management Quarterly, 1*(2), 32-43.
- US Sentencing Commission. (1991). Special report to the Congress: Mandatory minimum penalties in the federal criminal justice system. *Washington, DC: US Sentencing Commission*.
- Wilson, P. R. (1994). Recidivism and vocational education. *Journal of Correctional Education, 158*-163.