## Citation

## Published Version
http://www.dovepress.com/biologics-targets--therapy-journal

## Accessed
September 13, 2017 1:17:52 PM EDT

## Citable Link
http://nrs.harvard.edu/urn-3:HUL.InstRepos:4621127

## Terms of Use
This article was downloaded from Harvard University's DASH repository, and is made available under the terms and conditions applicable to Other Posted Material, as set forth at http://nrs.harvard.edu/urn-3:HUL.InstRepos:dash.current.terms-of-use#LAA

(Article begins on next page)
Advances in the treatment of monoclonal gammopathies: The emerging role of targeted therapy in plasma cell dyscrasias

Aldo M Roccaro¹
Irene M Ghobrial¹
Simona Blotta¹
Steven P Treon¹
Michele Malagola²
Kenneth C Anderson¹
Paul G Richardson¹
Domenico Russo²

¹Department of Medical Oncology, Dana-Farber Cancer Institute and Harvard Medical School, Boston, MA, USA; ²Unit of Blood Diseases and Cell Therapies, University of Brescia Medical School, Brescia, Italy

Abstract: The paradigm for the treatment of monoclonal gammopathies has dramatically changed: therapeutic options in multiple myeloma (MM) have evolved from the introduction of melphalan and prednisone in the 1960s, high-dose chemotherapy and stem cell transplantation in the late 1980s and 1990s, to the rapid introduction of small novel molecules within the last seven years. Based on the understanding of the complex interaction of the MM cells with the bone marrow microenvironment and the signaling pathways that are dysregulated in this process, a number of novel therapeutic agents are now available. Specifically, three novel agents with a specific-targeted anti-MM activity, have been FDA-approved for the treatment of this disease, namely Bortezomib, thalidomide, and lenalidomide which are now all playing a key role in the treatment of MM. The success of targeted therapy in MM has since led to the development and investigation of more than 30 new compounds in this disease and in other plasma cell dyscrasias such as Waldenström’s macroglobulinemia and primary amyloidosis, both in the preclinical settings and as part of clinical trials.

Keywords: monoclonal gammopathies, targeted therapies

Introduction

Monoclonal gammopathies represent a clinically heterogeneous group of diseases generally considered plasma cell dyscrasias and characterized by abnormal production of monoclonal (M) immunoglobulin, also called M-protein or M-component, produced by a clone that developed from a common progenitors in the B lymphocyte lineage. The M-component may be detected by electrophoresis as a band of restricted migration in the serum or urine. They include monoclonal gammopathy of undetermined significance (MGUS), multiple myeloma (MM), Waldenström’s macroglobulinemia (WM), primary (AL) amyloidosis, heavy chain diseases, cryoglobulinemia type I and type II, and other lymphoproliferative disorders.

In this review, the role of new targeted therapies available for monoclonal gammopathies will be discussed, focusing on MM, WM, and amyloidosis.

After almost forty years, the paradigm for the treatment of monoclonal gammopathies has dramatically changed: for example, therapeutic options in MM have evolved from the introduction of melphalan and prednisone in the 1960s, high-dose chemotherapy and stem cell transplantation in the late 1980s and 1990s, to the rapid introduction of small novel molecules within the last seven years. Based on the understanding of the complex interaction of the MM cells with the bone marrow (BM) microenvironment and the signaling pathways that are dysregulated in this process, a number of novel therapeutic agents are now available. Specifically, three novel agents with a specific-targeted anti-MM activity, have been US Food and Drug Administration
demonstrated a RR of phase II clinical trials in newly diagnosed MM patients, and mide in combination with dexamethasone entered several Kumar et al 2006). After these encouraging results, thalido-
high as 65% (Rajkumar et al 2000, 2002; Weber et al 2003; Mitsiades et al 2002) (Figure 1). Several studies then
25% (Singhal et al 1999). The main activity and efficacy of thalidomide in MM was then elucidated. It has been shown
that thalidomide induces in vitro growth arrest, blocks the increased secretion of tumor necrosis factor alpha (TNF-α), and affects the interaction between myeloma cells and BM microenvironment by decreasing the expression of adhesion molecules (E-selectin, L-selectin, ICAM-1, VCAM-1) or inhibiting the paracrine loops of cytokine secretion, such as vascular endothelial growth factor (VEGF) and interleukin (IL)-6; inhibits angiogenesis; and enhances host immune response against MM; interferes with intracellular growth signalling by inhibiting the constitutive activity of nuclear factor kappa B (NFkB) (Hideshima et al 2000; Davies et al 2001; Mitsiades et al 2002) (Figure 1). Several studies then tested the combination of thalidomide with other agents such as dexamethasone and chemotherapeutic drugs in patients with relapsed/refractory MM, and this led to response rates as high as 65% (Rajkumar et al 2000, 2002; Weber et al 2003; Kumar et al 2006). After these encouraging results, thalido-
mide in combination with dexamethasone entered several phase II clinical trials in newly diagnosed MM patients, and demonstrated a RR of ~ 65% (Rajkumar et al 2002; Weber et al 2003a; Kumar and Rajkumar 2006). Subsequently, a large phase III clinical trial was performed using thalidomide with dexamethasone versus high-dose dexamethasone alone for newly diagnosed MM patients, resulting in a 63% RR in the thalidomide/dexamethasone arm versus 41% in the dexamethasone arm, although no survival advantage was observed between the two groups (Rajkumar et al 2006).

Other phase III trials in elderly patients who were not candidates for autologous stem cells transplant included a randomized study compared melphalan prednisone and thalidomide (MPT) versus melphalan and prednisone (MP), which showed that patients treated with MPT had higher RR (76% versus 48%) and longer event-free survival (EFS) than patients treated with MP alone (54% versus 27%) (Palumbo et al 2006). Facon and colleagues (2006) conducted a large phase III trial of MPT compared to MP or high dose chemotherapy and stem cell transplantation in elderly patients between 65 to 75 years of age and showed that patients treated with MPT had a longer overall survival of 54 months compared to 32 months for MP and 39 months for transplant.

A randomized study has recently investigated the activity of thalidomide in combination with VAD and doxil, com-
pared to VAD-doxil and it resulted in a higher RR in the arm with thalidomide versus the arm without thalidomide (81% versus 66%) (Zervas et al 2006). The toxicities of thalidomide correlate both with dose and length of treatment and include neuropathy and deep vein thrombosis. Other important toxicities include fatigue, somnolence, constipation, rash (including Stevens-Johnson syndrome), and hepatic dysfunction (Ghobrial and Rajkumar 2003).

In view of its success in the treatment of patients with MM, thalidomide has been tested alone in WM patients, demonstrating partial response in 25% of patients treated with single-agent thalidomide. Adverse effects were common and prevented dose escalation of thalidomide in 75% of patients. In addition, thalidomide in combination with dexamethasone and clarithromycin induced partial response in 10 of 12 (83%) previously treated patients (Dimopoulos et al 2003). However, a follow up study of 10 patients with higher doses of thalidomide (200 mg daily) showed only 20% overall response rate (Treon et al 2006a). Several clinical trials using thalidomide in combination with a wide variety of other compounds are ongoing in patients with MM and WM (Table 1).

High-dose chemotherapy has increased the response rate in patients with MM, but this therapeutic option is not curative and an effective consolidation-maintenance could extend the duration of response. Several studies have evaluated the role of maintenance therapy with thalidomide in MM patients after autologous stem-cell transplantation, which shows that thalidomide improves survival and represents a valid and effective strategy as a maintenance therapy option (Attal et al 2006; Abdelkefi et al 2007; Spencer et al 2007).

Finally, thalidomide has been evaluated also in AL amyloidosis patients where it induced response rates up to

Immuno
mmodulatory drugs (IMiDs):
thalidomide and lenalidomide

Thalidomide

Thalidomide was first used as a sedative and hypnotic drug in the 1950’s. It was withdrawn from the market because of its teratogenic effects. In 1999 a phase II study showed that thalidomide, used as a single agent in patients with relapsed MM, resulted in an overall response rate (ORR) of 25% (Singhal et al 1999). The main activity and efficacy of thalidomide in MM was then elucidated. It has been shown that thalidomide induces in vitro growth arrest, blocks the increased secretion of tumor necrosis factor alpha (TNF-α), and affects the interaction between myeloma cells and BM microenvironment by decreasing the expression of adhesion molecules (E-selectin, L-selectin, ICAM-1, VCAM-1) or inhibiting the paracrine loops of cytokine secretion, such as vascular endothelial growth factor (VEGF) and interleukin (IL)-6; inhibits angiogenesis; and enhances host immune response against MM; interferes with intracellular growth signalling by inhibiting the constitutive activity of nuclear factor kappa B (NFkB) (Hideshima et al 2000; Davies et al 2001; Mitsiades et al 2002) (Figure 1). Several studies then tested the combination of thalidomide with other agents such as dexamethasone and chemotherapeutic drugs in patients with relapsed/refractory MM, and this led to response rates as high as 65% (Rajkumar et al 2000, 2002; Weber et al 2003; Kumar et al 2006). After these encouraging results, thalido-
mide in combination with dexamethasone entered several phase II clinical trials in newly diagnosed MM patients, and demonstrated a RR of ~ 65% (Rajkumar et al 2002; Weber et al 2003a; Kumar and Rajkumar 2006). Subsequently, a large phase III clinical trial was performed using thalidomide with dexamethasone versus high-dose dexamethasone alone for newly diagnosed MM patients, resulting in a 63% RR in the thalidomide/dexamethasone arm versus 41% in the dexamethasone arm, although no survival advantage was observed between the two groups (Rajkumar et al 2006). Other phase III trials in elderly patients who were not candidates for autologous stem cells transplant included
50% when combined with dexamethasone. Unfortunately, the regimen is poorly tolerated, with 50%–65% of patients experiencing grade 3 or 4 toxicities (Palladini et al 2005).

**Lenalidomide**

Based on the success of thalidomide, lenalidomide (CC-5013; IMiD-3, Celgene Corp), a more potent immunomodulatory derivative of thalidomide was developed. Lenalidomide overcomes growth and survival advantage conferred by the BM-milieu, downregulates VEGF, and exerts antiangiogenic activities. In addition, lenalidomide co-stimulates T cells, enhances antitumor immunity mediated by interferon (IFN)γ and IL-2, and augments natural killer (NK) cell cytotoxicity (Hideshima et al 2001; Mitsiades et al 2002; Dredge et al 2002) (Figure 1).

**Table 1** Ongoing clinical trials using thalidomide-based regimens in MM and WM (www.clinicaltrials.gov)

<table>
<thead>
<tr>
<th>Treatment regimen</th>
<th>Disease</th>
<th>Phase</th>
<th>Endpoints</th>
</tr>
</thead>
<tbody>
<tr>
<td>Thal+Bort</td>
<td>MM/refractory</td>
<td>I</td>
<td>Toxicities</td>
</tr>
<tr>
<td>Thal+Dex vs Doxil+Thal+Dex</td>
<td>MM/newly diagnosed</td>
<td>III</td>
<td>ORR, OS</td>
</tr>
<tr>
<td>Thal+Clarithromycin+Len+ Dex</td>
<td>MM/relapsed refractory</td>
<td>II</td>
<td>Safety, efficacy</td>
</tr>
<tr>
<td>Thal+Doxorubicin+Dex</td>
<td>MM/untreated stage II/III</td>
<td>II</td>
<td>ORR, safety, toxicity</td>
</tr>
<tr>
<td>Thal+/Lenal+Dex</td>
<td>MM</td>
<td>III</td>
<td>ORR, toxicity</td>
</tr>
<tr>
<td>Thal+Bort</td>
<td>MM/newly diagnosed stage II/III</td>
<td>II</td>
<td>Tumor response, mobilization/collection stem cells, incidence/severity of neuropathy</td>
</tr>
<tr>
<td>Thal+Doxil+Bort</td>
<td>MM/untreated stage II/III</td>
<td>II</td>
<td>ORR, TTP</td>
</tr>
<tr>
<td>Thal+AsO₃+ascorbic acid+ Dex</td>
<td>MM/relapsed, refractory</td>
<td>I</td>
<td>Toxicity, safety, tolerability</td>
</tr>
<tr>
<td>Thal+Oblimersen+Dex</td>
<td>MM/relapsed, refractory</td>
<td>II</td>
<td>ORR</td>
</tr>
<tr>
<td>Thal+Bort+CTX+Dex</td>
<td>MM/untreated</td>
<td>II</td>
<td>ORR, safety, tolerability</td>
</tr>
<tr>
<td>Thal+Riuximab</td>
<td>WM</td>
<td>II</td>
<td>ORR, TTP; toxicity</td>
</tr>
</tbody>
</table>

**Abbreviations**: Thal, thalidomide; Bort, Bortezomib; Doxil, liposomal doxorubicine; Len, lenalidomide; Dex, dexamethasone; CTX, cyclophosphamide; AsO₃, arsenic trioxide; Mel, melphalan; Pdn, prednisone; ORR, overall response rate; OS, overall response; TTP, time to progression; PFS, progression-free survival; TTF, time to treatment failure.

---

**Figure 1** Mechanisms of action of novel agents. Novel molecules can: I) directly inhibit clonal cells; II) inhibit angiogenesis; III) inhibit tumor cell adhesion to bone marrow stromal cells (BMSCs); IV) decrease cytokine production from BMSCs; V) increase host anti-tumor immunity.
Phase I clinical trials using lenalidomide in patients with relapsed and refractory MM, established a dose of 25 mg, and demonstrated a promising RR of 35% (Richardson et al 2006a). Phase II studies followed and established the optimal schedule of 3 weeks on and 1 week off with once daily dosing (Richardson et al 2001, 2006b).

Then, two large randomized phase III studies (MM-009, MM-010) compared lenalidomide and dexamethasone to dexamethasone and placebo for patients with relapsed or relapsed and refractory MM. They both showed comparably favorable results, with RR and time to progression with the lenalidomide/dexamethasone combination significantly greater and more than twice the RR seen with dexamethasone alone (Dimopoulos 2005a; Weber et al 2006).

Based upon the success of these studies, lenalidomide received FDA-approval for the treatment of relapsed MM in June 2006. A phase II study of the combination of lenalidomide and dexamethasone was performed in 32 newly diagnosed patients with MM and showed an ORR of 91% (Rajkumar et al 2005). A recent study demonstrated the efficacy of lenalidomide in combination with melphalan and prednisone which was associated with a RR of 86% (Palumbo et al 2006). Similarly, the combination of lenalidomide with other drugs such as adriamycin and dexamethasone showed a RR of 84% (Knop et al 2006).

A Phase III clinical trial using lenalidomide in combination with dexamethasone in newly diagnosed MM patients has been recently completed and showed that lenalidomide plus low-dose dexamethasone is associated with superior OS compared to lenalidomide plus high-dose dexamethasone (Rajkumar et al 2007). The main side effects of lenalidomide include myelosuppression, particularly neutropenia and thrombocytopenia, and deep venous thrombosis especially when it used in combination with high-dose dexamethasone (Rajkumar and Blood 2006).

Based on the potent activity of lenalidomide in MM and considered the lack of neuropathy with this agent, a phase II study of lenalidomide 25 mg daily in combination with rituximab is ongoing in patients with relapsed or relapsed/refractory WM.

More than forty clinical trials using lenalidomide in combination with several other compound are actually on going in patients with MM and WM (Table 2).

Lenalidomide has also entered a phase II clinical trials for patients with AL amyloidosis. When combined with dexamethasone, lenalidomide induced response rates of nearly 67%, with 29% of hematologic complete response (Sanhorawala et al 2007).

**Proteasome inhibitors: Bortezomib and second-generation (NPI-0052; PR171)**

**Bortezomib**

Bortezomib (PS-341, Millennium Pharmaceuticals, Inc) represents the first in class proteasome inhibitor to have progressed into widespread clinical use in MM patients, based on preclinical data showing its *in vitro* and *in vivo* anti-tumor activity in MM cells, by inhibiting proliferation, inducing apoptosis and by targeting the BM microenvironment through its antiangiogenic activity and by inhibiting the binding of MM cells to the BM stromal cells (Figure 1). Bortezomib as single agent has been evaluated in patients with advanced, heavily pretreated MM in the SUMMIT study (Study of Uncontrolled Multiple Myeloma managed...)

---

**Table 2** Ongoing clinical trials using lenalidomide-based regimens in MM and WM (www.clinicaltrials.gov)

<table>
<thead>
<tr>
<th>Treatment regimen</th>
<th>Disease</th>
<th>Phase</th>
<th>Endpoints</th>
</tr>
</thead>
<tbody>
<tr>
<td>Len+Doxorubicine+Dex</td>
<td>MM/relapsed, refractory</td>
<td>I/II</td>
<td>Safety, efficacy, ORR</td>
</tr>
<tr>
<td>Len+Mel+Pdn vs high-dose Mel</td>
<td>MM/newly diagnosed</td>
<td>III</td>
<td>PFS, ORR, OS</td>
</tr>
<tr>
<td>Len+Bort+Dex vs Len+Bort+Dex+CTX</td>
<td>MM/unreated</td>
<td>I/II</td>
<td>ORR</td>
</tr>
<tr>
<td>Len+Dex+Thal</td>
<td>MM/Dex previously treated</td>
<td>III</td>
<td>PFS, ORR, OS</td>
</tr>
<tr>
<td>Len+Dex+Thal</td>
<td>MM/relapsed, refractory</td>
<td>II</td>
<td>Efficacy, safety</td>
</tr>
<tr>
<td>Len+Perifosine+Dex</td>
<td>MM</td>
<td>I</td>
<td>Safety, adverse events</td>
</tr>
<tr>
<td>Len+SGN-40+Dex</td>
<td>MM</td>
<td>I</td>
<td>safety</td>
</tr>
<tr>
<td>Len+Dex vs DEX</td>
<td>MM/previously treated</td>
<td>III</td>
<td>TTP OS</td>
</tr>
<tr>
<td>Len+Mel+Pdn</td>
<td>MM/unreated patients</td>
<td>I/II</td>
<td>Toxicity, TTP, OS, ORR</td>
</tr>
<tr>
<td>Len+Mel</td>
<td>MM/unreated patients</td>
<td>II</td>
<td>Toxicity, tumor response, TTP OS, DFS</td>
</tr>
<tr>
<td>Len+Rituximab</td>
<td>WM</td>
<td>II</td>
<td>ORR, TTP, safety</td>
</tr>
</tbody>
</table>

**Abbreviations:** Len, lenalidomide; Dex, dexamethasone; Mel, melphalan; Pdn, prednisone; Bort, Bortezomib; CTX, cyclophosphamide; Thal, thalidomide; ORR, overall response rate; PFS, progression-free survival; OS, overall survival; TTP, time to progression; DFS, disease-free survival.
with proteasome Inhibition Therapy) (Richardson et al 2003) which showed an ORR of 35% in 202 patients with relapsed and refractory MM. The CREST (Clinical Response and Efficacy Study of Bortezomib in the Treatment of myeloma) trial, a phase II study randomizing patients to higher (1.3 mg/m²) or lower (1.0 mg/m²) doses of Bortezomib in combination with dexamethasone, revealed positive response rates (33% with low-dose Bortezomib alone, 44% with low-dose Bortezomib/dexamethasone, 50% with high-dose Bortezomib, and 62% with high-dose Bortezomib/dexamethasone) (Jagannath et al 2004). Subsequently, the APEX study (Assessment of Proteasome Inhibition for Extending Remission) compared Bortezomib with high-dose dexamethasone in patients with relapsed/refractory MM, and showed an ORR of 38% in the Bortezomib arm, versus 18% obtained in the high-dose dexamethasone. Moreover, Bortezomib demonstrated superiority over dexamethasone in terms of time to progression and survival (Richardson et al 2005a). Based on these encouraging data, Bortezomib was FDA-approved in 2003 with full approval in 2005 and numerous trials using Bortezomib in combination with other agents were built.

Other combinations included chemotherapies and novel agents (Richardson et al 2006c). The combination of Bortezomib, thalidomide and dexamethasone (VTD) in patients with relapsed MM showed an overall response rate of 70% including near complete responses in 16%. High responses were also observed in studies of patients with previously untreated MM. Single agent Bortezomib showed an overall response rate of 40% with 10% complete responses in a phase II study of 66 patients with MM.

The combination of Bortezomib and dexamethasone led to an overall response rate of 66% to 88% in another phase II trial of newly diagnosed MM (Jagannath et al 2006; Harousseau et al 2006).

In addition, the combination of Bortezomib (V), melphalan (M) and prednisone (P) (MPV) in nontransplant candidates resulted in an overall response rate of 89% (Mateos et al 2007). Interestingly, a phase III trial randomizing newly diagnosed MM patients to either VMP or MP, has been recently completed and showed that VMP significantly prolongs survival and is superior for all efficacy endpoints: specifically VMP induced rapid and durable responses with unprecedented complete response rate (35%); prolonged time to progression (~52% reduced risk of progression), time to next therapy/treatment free interval; and overall survival (~40% reduced risk of death) (San Miguel et al 2007).

Also the combination of Bortezomib, dexamethasone, and cyclophosphamide was shown to be more effective than Bortezomib either used as single agent or with dexamethasone (Davies et al 2006). These encouraging results were subsequently confirmed by a multicenter randomized phase 3 study comparing the combination of doxil and Bortezomib versus Bortezomib alone (Orlowski et al 2006). Similarly it has been recently demonstrated that liposomal doxorubicin+Bortezomib significantly improves TTP compared to Bortezomib alone, regardless of the number of prior lines of therapy, or anthracycline exposure (Blade et al 2007).

Based on its activity in MM, single agent Bortezomib was tested in WM in phase II trials and achieved 40%–80% responses (Dimopoulos et al 2005b). The combination of Bortezomib, dexamethasone and rituximab was recently evaluated in untreated patients with WM. Each cycle of therapy consisted of IV Bortezomib at 1.3 mg/m² and IV dexamethasone 40 mg on days (1, 4, 8, and 11), and rituximab at 375 mg/m² (day 11). Patients received four consecutive cycles, followed by a three-month pause, and then 4 more cycles, each given three months apart. The interim analysis of the first 10 patients who received the first 4 cycles of therapy showed partial response in 50% and minor response in the other 50%, with 2 patients (20%) achieving an unconfirmed complete response (Treon et al 2006b).

There are actually several clinical trials ongoing using Bortezomib either alone or in combination with other agents in MM and WM patients (Table 3).

Recently the role of proteasome inhibition has been studied in AL amyloidosis, characterized by the overproduction of a destabilized light chain which tends to aggregate and deposit in several tissues (Sitia et al 2007; Kastritis et al 2007). The process of amyloid deposition induces tissue damage and subsequently organ failure, leading to high mortality. The combination of Bortezomib and dexamethasone has been successfully evaluated in patients with AL amyloidosis who were relapsed or progressed after previous thalidomide-based treatments, and who were ineligible for high-dose melphalan supported by autologous stem cell transplantation: 94% hematologic responses were observed, including 44% complete responses.

**New proteasome inhibitor, NPI-0052**

Based on the significant anti-MM activity of Bortezomib, a new proteasome inhibitor (NPI-0052; Nereus Pharmaceuticals, CA) with a different structure and different mechanism of action has been developed. NPI-0052 is an oral proteasome inhibitor that has shown significant anti-neoplastic activity in MM and WM (Chauhan et al 2005). Importantly,
the combination of NPI-0052 and Bortezomib induced significant inhibition of proliferation compared to each agent alone (Chauhan et al 2007; Roccaro et al 2008). A phase I clinical trial of NPI-0052 in relapsed MM has recently been initiated.

**PR-171**

PR-171 is a novel irreversible proteasome inhibitor under investigation for the treatment of hematological malignancies. Two phase I dose-escalation studies have been initiated, aimed at determining the safety, tolerability, and clinical response to PR-17 (O’Connor et al 2006). Patients with multiple myeloma, non-Hodgkin lymphoma, Hodgkin disease, or Waldenström macroglobulinemia who received two or more prior treatments were eligible. Two different dose-intensive schedules were employed in these phase I studies. PR-171 was well-tolerated, and several subjects have achieved long-lasting SD, reduction in paraprotein levels, or symptomatic improvement (O’Connor et al 2006).

**Signaling pathway inhibitors**

Preclinical data have been demonstrated that monoclonal gammapathies are characterized by dysregulation of several signalling pathways, as compared to normal plasma cells (Hideshima et al 2004a; Hatjiharissi et al 2007; Leleu et al 2007). Moreover there is strong evidence that BM-milieu supports the growth of the clonal cell population. Therefore, this important knowledge has led to the development of several agents that specifically target the neoplastic clone by acting through those upregulated signaling pathways, the

---

**Table 3 Ongoing clinical trials using Bortezomib-based regimens in MM and WM (www.clinicaltrials.gov)**

<table>
<thead>
<tr>
<th>Treatment regimen</th>
<th>Disease</th>
<th>Phase</th>
<th>Endpoints</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bort + Perifosine +/- Dex</td>
<td>MM/relapsed, refractory, previously treated with Bortezomib</td>
<td>I/II</td>
<td>Toxicity, ORR</td>
</tr>
<tr>
<td>Bort + CCI-779</td>
<td>MM/relapsed, refractory</td>
<td>I/II</td>
<td>Safety, MTD, TTP, PFS, OS</td>
</tr>
<tr>
<td>Bort+Doxil+Dex followed by Thal+Dex +/- Bort</td>
<td>MM</td>
<td>II</td>
<td>Toxicity, disease response</td>
</tr>
<tr>
<td>Bort+Sorafenib</td>
<td>MM/relapsed, refractory</td>
<td>I/II</td>
<td>ORR, PFS</td>
</tr>
<tr>
<td>Bort+Doxil+Mel</td>
<td>MM/relapsed, refractory</td>
<td>I/II</td>
<td>Safety, tolerability, MTD</td>
</tr>
<tr>
<td>Bort+Doxil</td>
<td>MM</td>
<td>II</td>
<td>ORR, toxicity, OS, PFS, TTP</td>
</tr>
<tr>
<td>Bort+AsO3+Vitamin C</td>
<td>MM</td>
<td>I/II</td>
<td>Safety, toxicity,</td>
</tr>
<tr>
<td>Bort+Samarium153</td>
<td>MM/relapsed, refractory</td>
<td>I</td>
<td>Toxicity, MTD, TTP, PFS, OS</td>
</tr>
<tr>
<td>Bort+Vorinostat (SAHA)</td>
<td>MM/relapsed, refractory</td>
<td>I</td>
<td>MTD, toxicity</td>
</tr>
<tr>
<td>Bort+Ascorbic Acid+Mel</td>
<td>MM/newly diagnosed</td>
<td>II</td>
<td>Safety, tolerability, ORR, TTP, TTR, PFS, OS</td>
</tr>
<tr>
<td>Bort+Dex+Doxil followed by CTX</td>
<td>MM/post first line therapy; relapsed/refractory Bortezomib naive</td>
<td>II</td>
<td>Efficacy, safety</td>
</tr>
<tr>
<td>Bort+Thal+Dex</td>
<td>MM/relapsed, refractory</td>
<td>II</td>
<td>ORR, OS, PFS, toxicity</td>
</tr>
<tr>
<td>Bort+Dex+Thal vs Bort+Thal+CTX</td>
<td>MM/newly diagnosed candidate for high-dose therapy and stem cell transplantation</td>
<td>II</td>
<td>ORR, TTP, PFS</td>
</tr>
<tr>
<td>Bort</td>
<td>WM</td>
<td>II</td>
<td>ORR, safety, tolerability</td>
</tr>
<tr>
<td>Bort+Rituximab</td>
<td>WM/relapsed, refractory</td>
<td>II</td>
<td>ORR, safety, TTP</td>
</tr>
<tr>
<td>Bort+Rituximab</td>
<td>WM/newly diagnosed</td>
<td>II</td>
<td>ORR, ability to collect stem cells, ORR, TTP toxicity</td>
</tr>
<tr>
<td>Bort+Thal+Dex vs Bort+Dex vs Bort+Mel+Pdn</td>
<td>MM/untreated</td>
<td>III</td>
<td>PFS</td>
</tr>
<tr>
<td>Bort+Thal+Dex +/- Adriamycin</td>
<td>MM/relapsed, refractory</td>
<td>III</td>
<td>Efficacy, toxicity</td>
</tr>
<tr>
<td>Bort+Dex+Rituximab</td>
<td>WM</td>
<td>II</td>
<td>Safety, tolerability, ORR, TTP</td>
</tr>
<tr>
<td>Bort</td>
<td>WM/untreated or relapsed</td>
<td>II</td>
<td>Toxicity, ORR, TTP, stable disease duration, response duration</td>
</tr>
</tbody>
</table>

**Abbreviations:** Bort, Bortezomib; Dex, dexamethasone; Doxil, liposomal doxorubicine; Thal, thalidomide; CTX, cyclophosphamide; Mel, melphalan; AsO3, arsenic trioxide; ORR, overall response rate; MTD, maximum tolerated dose; TTP, time to progression; PFS, progression-free survival; OS, overall response.
BM microenvironment, are able to affect both the clonal cells and the BM-milieu (Hideshima et al 2006).

**Signaling pathway inhibitors active in both MM and WM**

**Akt inhibitor: perifosine**

Perifosine (NCS-639966; Keryx Biopharmaceuticals, Inc) is an orally-active alkyl-phosphocholine molecule that affects membrane permeability; phospholipid metabolism; as well as mitogenic signaling transduction induced by the PI3/Akt pathway (Hideshima et al 2006). It has been recently demonstrated that perifosine has *in vitro* and *in vivo* activity against MM and WM cell lines and patient primary tumor cells, even in presence of BM stromal cells which are known to support tumor cell growth and induce resistance to apoptosis. In addition, perifosine showed synergistic activity when used in combination with other agents widely used in MM and WM such as dexamethasone, Bortezomib, doxorubicin, melphalan, and rituximab, specifically for MM and WM, respectively (Hideshima et al 2006; Leleu et al 2007). A phase II clinical trial of perifosine with or without dexamethasone in patients with relapsed and refractory MM has recently reported and showed activity, with 69% of patients achieving response and/or stabilization of disease (Richardson et al 2006d).

Another phase II trial of the combination of perifosine with Bortezomib ± dexamethasone is currently underway in MM patients. Similarly, a phase II trial of single agent perifosine in patients with relapsed and refractory WM has been initiated using 150 mg oral daily dosing. The preliminary data of 13 patients enrolled on the study, with a median follow up time of 3 months, demonstrated promising activity of this agent. The treatment was well tolerated with minimal side effects. Seven patients were evaluable at the time of analysis and all showed evidence of IgM reduction, with a median IgM reduction of 14% (0%–25%). One patient whose IgM rose in the first month had a 50% reduction from the peak of IgM level at 3 months, indicating a delayed response. These preliminary results indicate that perifosine is a promising agent to be used in combination in future studies both in MM and WM.

**Protein kinase C inhibitor: enzastaurin**

Enzastaurin[H-Pyrrole-2,5-dione,3-(1-methyl-1H-indol-3-yl)-4-[1-(2pyridinylmethyl)-4-piperidinyl]-1H-indol-3-yl], LY 317615; Eli Lilly and company, (Indianapolis, IN) is an oral PKC inhibitor, with downstream inhibition of Akt (Podar et al 2006). In MM, enzastaurin has demonstrated specific inhibition of PKC isoforms and Akt activation along with inducing cytotoxicity and apoptosis in MM and WM cells *in vitro* and *in vivo* (Podar et al 2006; Moreau et al 2007). Synergism has been demonstrated when enzastaurin was used in combination with Bortezomib. In addition, enzastaurin inhibited MM and WM cell growth in an *in vivo* xenograft model of these diseases. Based on these exciting preclinical data, enzastaurin alone and in combination with Bortezomib entered clinical trials in MM, and phase II trial are planned in WM as single agent.

**Mammalian target of rapamycin inhibitors: CCI-779, RAD001**

mTOR inhibitors such as rapamycin and rapamycin analogues including CCI-779 and RAD001 have demonstrated in vitro and *in vivo* activity in MM cell lines and animal model (Shi et al 2002; Mitsiades et al 2004). The combination of rapamycin with active agents in MM such as lenalidomide, Bortezomib and 17-AAG have demonstrated synergistic activity in vitro (Raje et al 2004; Francis et al 2006). In addition, rapamycin appears to target the BM microenvironment by inhibiting angiogenesis and osteoclast formation in MM *in vitro* (Francis et al 2006). Similarly, Preclinical data have demonstrated increased activity of the PI3K/mTOR pathway in WM, and subsequently rapamycin (mTOR inhibitor) has been studied in vitro in WM and showed significant cytotoxicity in WM cells lines, specifically when combined with Bortezomib (unpublished data).

These findings have led to the design of studies using these agents in combination with other active agents in MM and WM. A phase II trial of RAD-001 in combination with lenalidomide, and a phase I/II clinical trial of CCI-779 in combination with Bortezomib are underway in patients with relapsed/refractory MM. In addition, a phase II trial of single agent RAD001 was initiated in aggressive, low grade lymphomas, and rare lymphomas including WM.

**Signaling pathway inhibitors active in MM**

**MEK/ERK inhibitor: AZD-6244**

AZD6244 (AstraZeneca, Los Angeles, CA) has been tested in preclinical models in MM and induced inhibition of growth and cytotoxicity in MM cells even in the presence of cytokines/growth factors such as IL-6, IGF-1 that induce MEK/ERK activation (Hu et al 2003; Hideshima et al 2004a). A phase II trial of single agent AZD6244 is planned in 2007 for patients with relapsed/refractory MM.
SCIO-469 (Scios, Inc, Mountain View, CA) was first studied in clinical trials in rheumatoid arthritis and has shown in vitro activity in MM cells when co-cultured with BM stromal cells. The combination of SCIO-469 and Bortezomib demonstrated synergistic activity in vitro and in vivo (Hideshima et al 2004b). A phase II trial of SCIO-469 alone or in combination with Bortezomib in patients with relapsed MM showed stable disease in 24% with single agent SCIO-469, with its combination with Bortezomib resulting in a response rate of 32%, including response in patients in whom Bortezomib had failed (Siegel et al 2006).

**Inhibitors of heat-shock protein 90: 17-AAG, KOS-953, IPI-504**

Heat shock protein 90 (HSP90) inhibitors such as geldanamycin and 17-allylamino-17-demethoxygeldanamycin (17-AAG) bind to the N-terminal ATP-binding pocket of Hsp90 and inhibit the stress induced anti-apoptotic response in MM cells and have demonstrated in vitro and in vivo cytotoxic activity alone and in combination with other agents active in MM, specifically Bortezomib (Mitsiades CS et al 2006). Phase I clinical trials of KOS 953—a 17AAG derivative—in MM have shown good tolerability with disease stabilization and minor response in patients with relapsed and refractory MM. Other HSP90 inhibitors include IPI-504, which is also being tested in a phase I clinical trial in MM and has excellent tolerability but no responses at doses tested to date (Richardson et al 2005b). Excitingly, KOS-953 combined with Bortezomib has demonstrated responses even in Bortezomib-resistant patients in an ongoing phase I/II trial in patients with relapsed and refractory MM (Chanan-Khan et al 2005). Phase III trials of this combination are planned.

**Monoclonal antibodies mainly active in WM**

**Monoclonal anti-CD20 antibody: rituximab**

Rituximab has become one of the main treatment options of patients with WM. Standard rituximab (4 weekly infusions of 375 mg/m²) has demonstrated at least a minor response in 52% of patients (Gertz et al 2004). Four weekly rituximab treatments repeated at 3 months triggered response rates of 44%–48% (Dimopoulos et al 2003; Treon et al 2005a). Polymorphisms in the FcγRIIIA (CD16) receptor gene may affect response to rituximab in WM. The response to rituximab is delayed in most patients with a median time to partial response of 4 months and a median time to best response of 17 months (Treon et al 2005b). In addition, the IgM level may initially increase in response to rituximab, a phenomenon termed IgM flare that occurs in about 54% of patients (Ghobrial et al 2004; Treon et al 2004a). These levels may persist for up to 4 months and do not indicate treatment failure, but may necessitate plasmapheresis to reduce hyperviscosity. Some patients receive maintenance therapy with rituximab. Although the impact of this regimen on the time to progression has not been determined specifically in WM, it has prolonged time to progression in patients in patients with other low-grade lymphomas who received rituximab maintenance compared to those who did not (van Oers et al 2006). Rituximab may also be useful in treating patients with IgM autoantibody-related neuropathies (Renaud et al 2006). The use of radioimmunotherapy such as iodine ¹³¹I-tonitumomab radioimmunotherapy in WM has been limited since the high level of BM involvement precludes their use. However, case reports have shown that these therapies may be effective in patients with WM who have <25% BM involvement (Tsai et al 2004).

**Combinations of alkylating agents, nucleoside analogs, and rituximab**

The addition of alkylating agents to nucleoside analogs is active against WM. For example, the combination of oral cyclophosphamide with subcutaneous cladribine in 37 newly diagnosed patients achieved 84% PR or more, with a median duration of response of 36 months (Weber et al 2003b). The combination of fludarabine and intravenous cyclophosphamide in 11 previously treated patients resulted in 55% overall response. In another study of 49 patients, the combination of fludarabine plus cyclophosphamide induced 78% overall response, with median time to treatment failure was 27 months (Tamburini et al 2005). Hematologic toxicity was commonly observed, and 3 patients died of treatment-related toxicities. A phase II clinical trial of 60 patients with WM treated with fludarabine plus cyclophosphamide demonstrated an overall response rate of 70%, with 7% complete remission (Dimopoulos et al 2006). Treatment was well tolerated and the main toxicity observed was grade 3-4 neutropenia in 20% of the patients. The combination of rituximab, cladribine, and cyclophosphamide was tested in 17 previously untreated patients with WM and achieved at least a partial response in 94% of the patients, with complete response in 18% (Weber et al 2003b). The combination of rituximab and fludarabine was evaluated.
in 43 WM patients, with an overall response rate of 91% and CR of 7% (Treon et al 2004b). In another study, the combination of fludarabine, cyclophosphamide and rituximab (FCR) was tested in 21 patients with WM who had at least 1–2 prior regimens of therapy; overall response rate was 52%, with 5% complete remissions (Treon et al 2006c). In MM, rituximab is being tested as a single agent or in combination with chemotherapeutic agents with some modest results of ~64% minor responses and stable disease in one study (Moreau et al 2006).

Other monoclonal antibodies specifically active in WM

Anti-CD52 alemtuzumab-1H (Campath)
CD52 is highly expressed on WM cells in the BM, and alemtuzumab induces cytotoxicity of WM cells in vitro. A phase II study of alemtuzumab in 25 patients with relapsed WM or newly diagnosed untreated WM showed an overall response rate of 76%, including 8 (32%) partial responses and 11 (44%) minor responses. Hematological toxicities were common among previously treated (but not untreated) patients and included G3/4 neutropenia (39%); thrombocytopenia (18%); anemia (7%). G3/4 nonhematological toxicity for all patients included dermatitis (11%); fatigue (7%); and infection (7%). CMV reactivation and infection was commonly seen among previously treated patients. Three patients died due to therapy-related complications (Hunter et al 2006).

Anti-CD70 antibody: SGN-70
Lymphoplasmacytic cells stimulate cell surface expression of TNF-family ligands through release of sCD27, which induces CD70 on mast cells. WM cells and cell lines highly express CD70 (Hatjiharissi et al 2006). Therefore, directly targeting CD70 using the fully humanized monoclonal antibody SGN-70 (Seattle Genetics, Inc., Bothell, WA) may represent a therapeutic option in WM. SGN-70 mediated significant dose-dependent ADCC against WM cell and mast cells at concentrations of 0.1-20μg/ml. SCID-hu mice bearing WM cells were treated with SGN-70 (1 mg/kg, i.p., qOD), and serum IgM and sCD27 levels were measured to monitor for disease progression. SGN-70 initiated 6 weeks following tumor engraftment blocked tumor growth in 12/12 treated mice, whereas all 5 untreated mice demonstrated disease progression (Hatjiharissi et al 2006). The results of these studies provide the framework for clinical trials to examine the therapeutic potential of the SGN-70 monoclonal antibody in WM.

Other monoclonal antibodies specifically active in MM

SGN-40
SGN-40 (Seattle Genetics, Inc.) is a humanized anti-CD40 ligand. It has been shown that CD40 induces proliferation of MM cells by activating the PI3/Akt pathway and by inducing secretion of IL-6 and VEGF from BM stromal cells (Tai et al 2005). Phase I study has been initiated demonstrating safety of this agent in MM with promising responses, and phase II trials are ongoing (Hussein et al 2006).

mAb antiCD40 receptor: HCD122
HCD122 is a a fully human, IgG1, antagonistic mAb targeting the CD40 receptor. A phase I trial has been conducted in MM patients and demonstrated that the Ab was safe, showing promising clinical activity in MM (Bensinger et al 2006).

Oligonucleotide antisense, Bcl-2 antisense
Bcl-2 inhibitor, G3139 (Oblimersen sodium)
Bcl-2 (Genasense, Genta Inc, Berkeley Heights, NJ) regulates apoptosis and resistance to chemotherapeutic agents; it has therefore become an attractive target for anticancer therapy in a number of malignancies including MM and WM (Chanan-Khan et al 2003). In vitro studies have shown that Bcl-2 is expressed in several B cell malignancies cells, and that downregulation of Bcl-2 and increased cytotoxicity in MM and WM cells may be achieved with G3139 (Badros et al 2005). A Phase I/II clinical trial of G3139 was conducted in patients with relapsed or relapsed/refractory WM showed favorable tolerability but little activity (Gertz et al 2005). Similarly, a phase II study of G3139 in combination with dexamethasone and thalidomide has been initiated in relapsed MM patients: the combination is well tolerated, and the responses are promising (Badros et al 2005).

Other agents

Agents with preclinical activity in WM

AMD3100
Waldenström’s macroglobulinemia is characterized by widespread involvement of the BM, and lymphadenopathy in 20% of the patients, implying continuous trafficking of WM cells into and out of the BM and lymph nodes. The normal process of B-cell homing is regulated by cytokines, chemokines, and adhesion molecules (Lapidot et al 2005). One of the most extensively studied chemokines in migration is stromal...
derived factor SDF-1 and its receptor CXCR4. We recently demonstrated that WM cells and patient samples highly express CXCR4, and that SDF-1 induced migration of WM cells, with rapid activation of signaling pathways downstream of CXCR4 including pERK1/2, pAKT, and pPKC. The CXCR4 inhibitor AMD3100 (Genzyme, MA) inhibited migration of WM cells, as well as their adhesion to fibronectin. Adhesion of WM cells to stromal cells confers resistance to apoptosis and induces proliferation. The combination of AMD3100 with Bortezomib significantly enhances the cytotoxic effect of Bortezomib in the presence of stromal cells, possibly by interfering with adhesion of WM to stromal cells and thereby overcoming their protective effect (Ngo et al 2006). These studies provide the preclinical framework to study CXCR4 inhibitors in the regulation of homing and adhesion in WM.

Triterpenoids, CDDO, and CDDO-Im
2-cyano-3,12-dioxoolean-1,9-dien-28-oic acid (CDDO) and its methyl ester derivative (CDDO-Me) and imidazolide derivative (CDDO-Im) are synthetic triterpenoids derived from oleanolic acid. In vitro studies in primary WM samples showed that CDDO-Im inhibited cell proliferation and induced apoptosis in WM cells compared to normal B cells. There was evidence of PARP cleavage in a dose-dependent manner, suggesting that CDDO-Im induced malignant cell death occurs through a caspase-dependent mechanism, and may have potential efficacy in WM patients (Elsawa et al 2006).

Simvastatin and resveratrol
The antineoplastic activity of simvastatin and resveratrol in WM has been reported (Moreau et al 2007; Roccaro et al 2008). The two compounds exert antiproliferative activity and induce apoptosis in WM. Interestingly, they target WM cells even in the presence of bone marrow microenvironment and cytokines that are known to promote WM cell growth. Moreover, they both showed synergism when used in combination with other agents widely used in WM, such as dexamethasone and Bortezomib. Those preclinical in vitro data provide the framework for clinical trials of simvastatin or resveratrol in WM.

Sildenafil citrate
Based on the clinical observation that patients receiving sildenafil citrate had a decrease in their IgM (Treon et al 2004c), a phase II trial of single agent sildenafil citrate in patients with slowly progressing WM, who did not meet consensus eligibility for active therapy, was initiated. The purpose of the study was to delay time to progression in these patients. Thirty patients were treated on this study, and disease progression was suppressed in more than 50% of the patients. After 3 months of therapy, 63% showed a decrease in IgM levels and 17% showed a minor response. However, disease progression at 6 months of follow occurred in almost all the patients (Patterson et al 2006).

Imatinib mesylate
Imatinib mesylate (Gleevec) targets the microenvironment of WM through inhibition of stem cell factor signaling through CD117, which is expressed on WM and mast cells. A phase II trial of single agent imatinib is ongoing in patients with relapsed or refractory WM. Imatinib is given at 400 mg daily, with dose escalation to 600 mg after one month of therapy. After 3 months of therapy, 6/13 (46.2%) of patients achieved MR. The main toxicities observed included cytopenias, edema, and hyperglycemia, leading to dose reductions in 31% patients and cessation of therapy in 23% patients (Treon et al 2006d).

TACI-Ig, Atacicept
Atacicept (TACI-Ig; ZymoGenetics, Seattle, WI) contains the soluble TACI receptor that binds to the cytokines BLyS and APRIL, members of the tumor necrosis factor family that promote B-cell survival. An open-label, dose-escalation Phase 1b study enrolled 16 patients with refractory or relapsed MM or active progressive WM. Sequential cohorts received one cycle of 5 weekly subcutaneous injections of atacicept at 2, 4, 7, or 10 mg/kg. Treatment with atacicept was well tolerated, and no dose limiting toxicity was observed. A biological response was observed in this heavily treated refractory population, with disease stabilization in 75% of the patients with WM (Rossi et al 2006).

Conclusions
In summary, the last decade has marked a new era in the treatment of diseases characterized by monoclonal gammopathies. Indeed, a new paradigm shift has evolved utilizing novel therapeutic agents targeting the malignant clone and its bone marrow microenvironment. The combination of novel agents with chemotherapeutic drugs and/or glucocorticoids has demonstrated high response rates with complete remission rates comparable to those achieved in the stem cell transplant setting. This has been supported by in vitro and in vivo evidence showing the antitumor activity of those novel agents in MM, WM, as well as in other B cell malignancies. The future holds many more challenges for the treatment of MM and WM. These include combination of agents that achieve higher responses and longer survival, individualized therapies that are based on genetic and molecular abnormalities present in each patient, and clinical trials to test the benefit of novel
agents in comparison and in addition to autologous stem cell transplantation, as well as other conventional approaches. Together, these therapies should lead to higher response rates, more durable duration of response, less toxicity and prolonged survival for patients, making plasma cell dyscrasias an increasingly chronic and treatable diseases.

**Disclosure**
Supported in part by Berlucchi Foundation (AMR) and Fondi 60% 2006–2007.

**References**


