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# Haploinsufficiency of Flap endonuclease (*Fen1*) leads to rapid tumor progression

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Contributed by Richard D. Kolodner, May 29, 2002

**Flap endonuclease (*Fen1*) is required for DNA replication and repair, and defects in the gene encoding *Fen1* cause increased accumulation of mutations and genome rearrangements. Because mutations in some genes involved in these processes cause cancer predisposition, we investigated the possibility that *Fen1* may function in tumorigenesis of the gastrointestinal tract. Using gene knockout approaches, we introduced a null mutation into murine *Fen1*. Mice homozygous for the *Fen1* mutation were not obtained, suggesting absence of *Fen1* expression leads to embryonic lethality. Most *Fen1* heterozygous animals appear normal. However, when combined with a mutation in the adenomatous polyposis coli (*Apc*) gene, double heterozygous animals have increased numbers of adenocarcinomas and decreased survival. The tumors from these mice show microsatellite instability. Because one copy of the *Fen1* gene remained intact in tumors, *Fen1* haploinsufficiency appears to lead to rapid progression of cancer.**

There are several forms of human colorectal cancer, the most frequent being the sporadic form. Germ line mutations in the *Apc* gene are responsible for the rare cancer predisposition syndrome, familial adenomatous polyposis (FAP) (1–7), although additional genetic changes are required for tumor formation. Mutations in *Apc* and other genes involved in tumor progression are a prerequisite for a majority of sporadic colorectal tumors. Hereditary nonpolyposis colorectal cancer (HNPCC) is another colorectal cancer predisposition syndrome. Germ line mutations in the DNA mismatch repair (MMR) genes *Msh2* and *Mlh1* are the major cause of HNPCC (refs. 8–12; <http://www.nfdht.nl/database/mdbchoice.htm>). Most HNPCC cases can be accounted for by missense, nonsense, frameshift, and splice mutations in these two genes or deletion mutations, primarily in *Msh2* (9, 13–16). A small proportion of HNPCC cases appear to be caused by germ line mutations in two other MMR genes, *Msh6* and *Pms2*, and mutations in *Msh6* are also found in familial non-HNPCC cases (17–21). Tumors from HNPCC patients often exhibit microsatellite instability (MSI) (22–26). MSI results from expansion or contraction of mono- or multinucleotide repeats due to failure to repair insertion/deletion mismatches in DNA. Although a large number of HNPCC kindreds can be accounted for by mutations in *Msh2*, *Mlh1*, and other MMR genes, there are reports of suspected HNPCC cases that do not have mutations in any of these genes but have tumors with MSI, raising the possibility that mutations in other genes involved in MMR might be implicated in these cases (27–30).

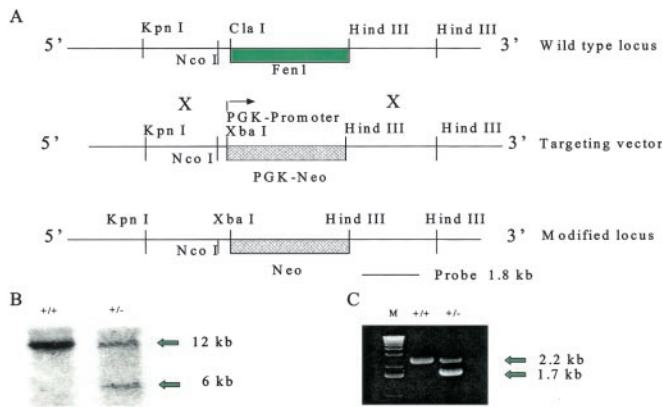
A proportion of sporadic tumors in the intestinal tract as well as of tumors from other sites exhibit MSI (16, 23, 24, 31), suggesting that sporadic defects in MMR genes play a role in either the initiation or progression of a number of tumor types. Indeed, mutations in *Msh2* and *Mlh1* or silencing of *Mlh1* were detected in some but not all of these tumor types (8–12). This observation also suggests that other DNA repair genes could be involved in these cases. One such gene is Flap Endonuclease 1 (*Fen1*).

*FEN1* functions in the processing of the 5' ends of Okazaki fragments in lagging strand synthesis and long patch-base excision type repair (32–37). *FEN1* protein binds to proliferating nuclear cell antigen (38–39) and potentially competes with p21, xeroderma pigmentosum gene product, 5' methyl cytosine methyl transferase, and other proteins for a specific binding motif, implying roles for *FEN1* in DNA replication, repair, epigenetic inheritance, and cell cycle control. Null mutations in this gene (also referred to as *rad27*) in *Saccharomyces cerevisiae* cause temperature-sensitive viability, increased sensitivity to UV light, mutagen sensitivity, genomic instability, plasmid loss, and destabilization of telomeric repeats and are nonviable in combination with mutations in genes involved in homologous recombination (40–46). *rad27* mutants exhibit a complex mutator phenotype. They have increased frequencies of accumulating frame-shift mutations and because of this, it has been suggested that *rad27* mutations might cause a partial MMR defect (41–42, 47). *rad27* mutants accumulate insertion mutations that result from duplication of sequences flanked by repeated sequences as well as deletion mutations that result from deletion of sequences flanked by repeated sequences (42), and these are thought to arise from improper processing of Okazaki fragments (42, 48). Finally, *rad27* mutants accumulate extensive genome rearrangements that have been suggested to result from errors during DNA replication (43). The phenotypes caused by *rad27* mutations make *Fen1* a potential candidate cancer susceptibility gene.

To examine the role of *FEN1* in tumor initiation and progression, we generated mice that carry a null mutation in the *Fen1* gene. We found that homozygosity of the *Fen1* mutation leads to early embryonic lethality. Mice that are heterozygous for the mutation are viable and show a mild tumor predisposition phenotype. A proportion of the mice (17%) develop non-Hodgkin's lymphoma of the B cell type, and some mice show premature thymus involution. To assess the role of *Fen1* in GI tumor progression, we generated mice that are double heterozygotes for mutations in the *Apc* and *Fen1* genes. Mice that are heterozygous for the *Apc* mutation (*Apc*<sup>1638N</sup>) have a tumor predisposition phenotype. They develop colonic polyps and adenomas of the small intestine that do not show MSI, and they have a median survival of 13 mo. When *Apc*<sup>1638N</sup> and *Fen1*<sup>null</sup> are combined, the median survival of the resulting double heterozygous mice is reduced to 9 mo, the tumors are more advanced, and all tumors show MSI. The wild-type (WT) copy of the *Fen1* allele remains intact in these tumors. These results suggest that haploinsufficiency of *Fen1* is an important contributor to gastrointestinal (GI) tumor progression in our mouse models.

Abbreviations: FAP, familial adenomatous polyposis; HNPCC, hereditary nonpolyposis colorectal cancer; MMR, mismatch repair; MSI, microsatellite instability; GI, gastrointestinal; ES, embryonic stem; IVTT, *in vitro* transcription and translation; WT, wild type.

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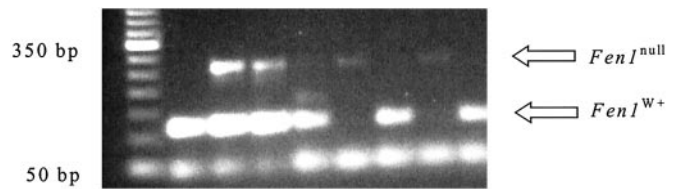
**Fig. 1.** Strategy for the production of *Fen1*<sup>null</sup> mutant mice. (A) Gene-targeting strategy. (B) Southern blot of liver DNA from *Fen1* WT (12-kb fragment) and *Fen1*<sup>null</sup> mutant mouse (6-kb fragment). DNA was digested with *Hind*III and hybridized with an 1,800-bp probe from the 3' flanking region of the *Fen1* gene. (C) PCR analysis of tail DNA from *Fen1* WT (WT, 2.2-kb fragment) and *Fen1*<sup>null</sup> mutant mouse (1.7-kb fragment).

## Materials and Methods

***Fen1* Gene Targeting.** A 9-kb fragment of mouse genomic DNA was isolated from BAC 325J22 (RP-22 BAC library) and cloned into pUC19. The fragment contained the *Fen1* coding region, as shown by DNA sequencing. A 1.2-kb *Kpn*I fragment located on the 5' end of the flanking region was deleted to facilitate identification of target vector insertion in embryonic stem (ES) cells. *Cla*I and *Hind*III sites were found to encompass the coding region and 826 bp of 3' flanking sequence. The *Cla*I site was filled in and converted to an *Xba*I site. The *Fen1* coding region was then deleted by digestion with *Xba*I and *Hind*III and replaced with the neomycin gene under control of the phosphoglycerol kinase promoter. The 826 bp of 3' flanking region previously deleted was reinserted at the *Hind*III site by using a PCR product containing *Hind*III sites at each end. Proper orientation and sequence was confirmed. The targeting vector (Fig. 1A) was linearized with *Kpn*I for transfecting ES cells. G418-resistant clones were screened by PCR by using primers within the targeting vector and 3' flanking region. Of 165 ES cell clones screened, 8 contained the correctly targeted mutant allele (*Fen1*<sup>null</sup>). Chimeric mice were generated by standard techniques with C57/BL6 blastocysts and germ line transmission monitored by using coat color markers. Chimeric males were bred to C57/BL6 females and offspring genotyped by PCR (Fig. 1C) or Southern hybridization (Fig. 1B). Offspring were intercrossed as required.

**Generation of *Fen1*<sup>null</sup>/*Apc*<sup>1638N</sup> Animals.** Mice heterozygous for the *Fen1*<sup>null</sup> allele were mated with *Apc*<sup>1638N</sup> animals that spontaneously develop intestinal and colonic polyps and colon cancer. The *Apc*<sup>1638N</sup> allele was in the C57/BL6 background. The *Fen1*<sup>null</sup> mice were of a mixed genetic background estimated to be 60% C57/BL6, 37.5% 129/SV, and 2.5% SJL/J. All animals were maintained in an Association for Assessment and Accreditation of Laboratory Animal Care-approved facility under barrier conditions.

**Analysis of Tumors.** After mice were killed, the GI tract was removed, opened longitudinally, and fixed in 10% neutral-buffered formalin. The gross specimens were examined under a dissecting microscope for tumors, and the number and location of tumors were recorded. Representative tumors were chosen for histological and molecular analysis. A histological diagnosis was made on all tissue sections after hematoxylin and eosin staining. Early invasive adenocarcinomas were those that had invaded into the submucosa, and invasive adenocarcinomas were those that had invaded into the muscularis and beyond. Microadenomas were counted in five serial



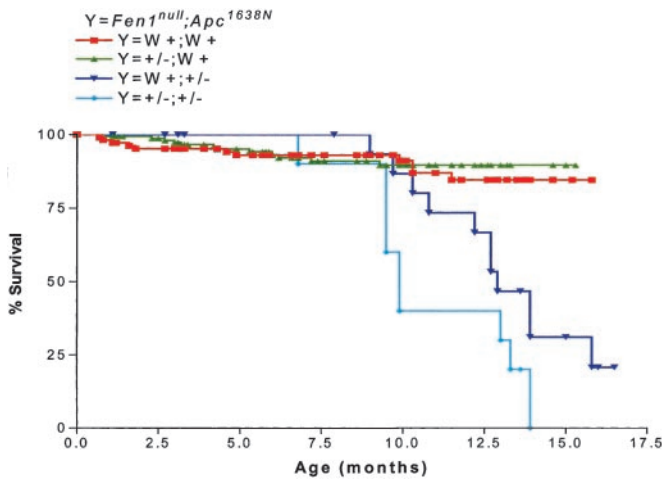
**Fig. 2.** Genotyping of embryonic day 3.5 blastocysts by PCR. Blastocysts were placed into distilled H<sub>2</sub>O, boiled for 10 min, and used directly as substrate for PCR reactions. A 260-bp fragment was amplified from the Neomycin gene in the *Fen1*<sup>null</sup> locus, and a 120-bp fragment amplified from the *Fen1* WT locus.

sections of flat mucosa adjacent to tumors. Statistical analyses were performed by using the Fisher exact probability and  $\chi^2$  tests for analysis of tumor incidence and the Mann-Whitney test and binomial exact calculation for tumor multiplicity.

**MSI Analysis.** DNA was extracted from tumor tissue and used for PCR amplification (49) under limiting dilution conditions. Approximately 25–30 reactions were performed on each tumor DNA sample after dilution to 10<sup>-5</sup>. The D7Mit91 dinucleotide repeat locus (left: TCTTGCTTGCATACACTCACG and right: GAGACAAACCGCAGTCTCCT) was amplified by using end-labeled left primer. Amplified products were separated on a denaturing polyacrylamide gel and autoradiographed for analysis. Instability was judged by determining the proportion of PCR reactions that contained a microsatellite allele that differed from the WT allele.

**Sequencing of Remaining *Fen1* Allele in Tumors.** Primer pairs were constructed that spanned the *Fen1* gene and the four mouse/human homology blocks implicated in transcriptional control (50). PCR products were sequenced.

**Analysis of *Apc* Truncation Mutations.** Codons 677–1674 of the mouse *Apc* gene were analyzed for protein truncating mutations by PCR and *in vitro* transcription and translation (IVTT) (51–52). PCR amplification of the WT *Apc* allele was performed in two stages to eliminate coamplification of the inactivated *Apc*<sup>1638N</sup> allele. Ten nanograms of genomic DNA was amplified in 10- $\mu$ l reactions containing Pfu (*Pyrococcus furiosus*) DNA polymerase reaction buffer [20 mM Tris-HCl (pH 8.8)/2 mM MgSO<sub>4</sub>/10 mM KCl/10 mM (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub>/0.1% Triton X-100/0.1 mg/ml of nuclease-free BSA] and 0.05 units/ $\mu$ l of Pfu turbo (Stratagene). Cycling conditions were one cycle of 94°C for 5 min, followed by 20 cycles of 94°C for 1 min, 60°C for 1 min, and 72°C for 5 min, with one final extension cycle at 72°C for 5 min. This procedure resulted in amplification products of  $\approx$ 3.2 kb. Two overlapping segments of the *Apc* gene covering codons 677–1223 and 1100–1674 were subsequently amplified from aliquots of the first reactions using two pairs of PCR primers specific for IVTT. For the amplification of 677–1223 fragment, forward primer 5'-CGGGATCCTAATACGACTCACTAT-AGGGAGACCACCATGGATGCATGTGGAACCTTTGTGG and reverse primer: 5'-CGAACAGCTAGCATTAGATG-GAGGTACAGC were used. For amplification of the 1100–1674 fragment, forward primer: 5'-GCGGATCCTAATACGACTCACTATAGGGAGACCACCATGGGTATGATGATGT-ATAGGTCAAGGGGAACCAGT and reverse primer: 5'-CAACTTGCTAGCTCTGACCCCATCTCCAG were used. PCR was performed in 20- $\mu$ l reactions containing 1- $\mu$ l aliquots of the first-stage reactions. Cycling conditions for both segments were as above, except that 25 cycles of PCR were performed and the annealing temperature was 57°C. One microliter of the resulting PCR products was directly used as template in 6- $\mu$ l IVTT reactions (TNT T7 Quick for PCR DNA, Promega) containing 2.5  $\mu$ Ci of [<sup>35</sup>S]-methionine (Amersham Pharmacia).



**Fig. 3.** Kaplan–Meier survival plot of *Fen1* *+/+* *Apc* *+/+*; *Fen1* *+/-* *Apc* *+/+*; *Fen1* *+/+* *Apc* *+/-*; *Fen1* *+/-* *Apc* *+/-* mice. Time of death or when mice became moribund was recorded. The colors for the different genotypes and the number of mice are as follows: green, (106) *Fen1* *+/+* *Apc* *+/+*; red, (161) *Fen1* *+/-* *Apc* *+/+*; dark blue, (33) *Fen1* *+/+* *Apc* *+/-*; light blue, (25) *Fen1* *+/-* *Apc* *+/-*.

The reactions were incubated at 30°C for 1 h. Aliquots of the IVTT reactions were then analyzed by 12% SDS/PAGE and fluorography. For further characterization of tumor-specific mutations, the PCR products were cloned into a vector, individual clones screened by IVTT to identify mutations, and their DNA sequence was determined.

## Results

**Homozygous *Fen1*<sup>null</sup> Animals Are Not Viable.** We generated mouse ES cells in which one copy of the *Fen1* gene was replaced by a null allele in which the entire gene was completely deleted (Fig. 1A). Correct gene targeting events were identified by Southern hybridization (Fig. 1B) and PCR (Fig. 1C). We obtained eight genotyped cell lines. Four, designated 126, 111, 102, and 146, were used for further experiments. ES cells were injected into mouse blastocysts to generate chimeric mice. Chimeric mice from cell lines 126 and 111 transmitted the mutant allele (*Fen1*<sup>null</sup>) through their germ line. The resulting *Fen1*<sup>null</sup> heterozygotes were viable and fertile. They were intercrossed and their offspring genotyped by PCR. We examined 219 mice from 34 matings. Of these, 71 were wild type and 148 were *Fen1*<sup>null</sup> heterozygotes. *Fen1*<sup>null</sup> homozygotes were not detected. These results suggest that expression of *Fen1* is necessary for normal embryogenesis, and lack of both functional copies of the *Fen1* gene leads to embryonic lethality.

To determine whether the lack of gene expression leads to early lethality, we examined 37 blastocysts from two *Fen1*<sup>null</sup> intercrosses. Although we detected four blastocysts that were homozygous (*Fen1* *+/+*: *Fen1* *-/+*: *Fen1* *-/-* = 23:10:4), such blastocysts were

**Table 2. Multiplicity of GI tumors of *Fen1*<sup>null</sup> mice**

	Overall	Stomach	Small intestine	Large intestine
WT	0.00 ± 0.00	0.00 ± 0.00	0.00 ± 0.00	0.00 ± 0.00
<i>Fen1</i> <sup>null</sup>	0.00 ± 0.00	0.00 ± 0.00	0.00 ± 0.00	0.00 ± 0.00
<i>Fen1</i> <sup>null</sup> / <i>Apc</i> <sup>1638N</sup>	8.44 ± 7.13*	0.56 ± 1.13 <sup>†</sup>	7.32 ± 6.20*	0.56 ± 1.01 <sup>†</sup>
<i>Apc</i> <sup>1638N</sup>	7.13 ± 2.85	1.88 ± 2.10	5.00 ± 1.85	0.25 ± 0.71

Statistical results were from the comparison with binomial exact calculation: compared to *Fen1*<sup>null</sup>: \*,  $P < 0.001$ ; <sup>†</sup>,  $P < 0.02$ . No significant difference was found between *Fen1*<sup>null</sup>/*Apc*<sup>1638N</sup> double heterozygous and *Apc*<sup>1638N</sup> mice with Mann-Whitney test. Mean ± SD.

severely underrepresented ( $X^2 = 26.9$ ,  $P < 0.05$ ) (Fig. 2). We attempted to establish cell lines from 60 blastocysts obtained from several intercrosses; we were able to establish 14 (23%). Six of these were wild type and eight were heterozygotes, whereas none were homozygous for the *Fen1*<sup>null</sup> allele. These results suggest that *Fen1* expression may be necessary for continued cell viability.

**Properties of *Fen1*<sup>null</sup> Heterozygotes.** Mice that are heterozygous for the *Fen1*<sup>null</sup> mutation are viable, fertile, and have a life span that is indistinguishable from their WT littermates. Among two small groups of mice from *Fen1*<sup>null</sup> heterozygotes, some mice became moribund. In one group of seven mice, there were a total of three heterozygotes and four WT animals; two of the three heterozygotes were found moribund at 11 mo of age, and one was found moribund at 5 mo of age. All three of these mice had thymuses that were four to five times smaller than those of their four WT littermates or healthy animals of comparable age. Histological examination revealed severe atrophy of the thymus, both in the medulla and cortex, with an overall reduction in the density and uniformity of the lymphocyte population. The overall observations favor an interpretation of premature thymus involution by a mechanism of accelerated loss of individual lymphocytes. A second cohort contained 36 mice, of which 12 were *Fen1*<sup>null</sup> heterozygotes. When they were examined at an average age of 12.3 mo, two of the heterozygotes had non-Hodgkins lymphoma of the B cell type, and one also had a Paneth cell hyperplasia of the small intestine.

**Effect of *Fen1* Haploinsufficiency in *Apc*<sup>1638N</sup> Mice.** We then generated mice heterozygous for a mutation in the *Apc* gene (*Apc*<sup>1638N</sup>) (53) and heterozygous for *Fen1*<sup>null</sup>. Kaplan–Meier survival curves for the different groups of mice are shown in Fig. 3. *Fen1*<sup>null</sup>/*Apc*<sup>1638N</sup> mice have survival curves that are indistinguishable from their WT littermates. *Apc*<sup>1638N</sup> mice have a median survival of 13 mo. The addition of the *Fen1*<sup>null</sup> allele into these mice further reduces their median survival to 9 mo ( $P < 0.07$ ).

Mice with different genetic compositions were killed and examined at approximately 1 yr of age. The tumor incidence and types of tumors observed in the different types of mice are summarized in Table 1. The WT and *Fen1*<sup>null</sup> heterozygous mice had no tumors

**Table 1. Tumor incidence of *Fen1* mice**

Genotype (n)	Sex (M/F)	Age, mo	No., % of mice with tumors		
			Overall	GI	Extra-GI
WT (7)	1:6	12.6 ± 1.1	0 (0%)	0 (0%)	0 (0%)
<i>Fen1</i> <sup>null</sup> (12)	1:2	12.3 ± 2.5	2 (17%)	0 (0%)	2 (17%)
<i>Fen1</i> <sup>null</sup> / <i>Apc</i> <sup>1638N</sup> (9)	1:0.5	11.1 ± 1.7	9 (100%)	9 (100%)*	0 (0%)
<i>Apc</i> <sup>1638N</sup> (8)	1:0.6	13.0 ± 1.9	8 (100%)	8 (100%)	0 (0%)

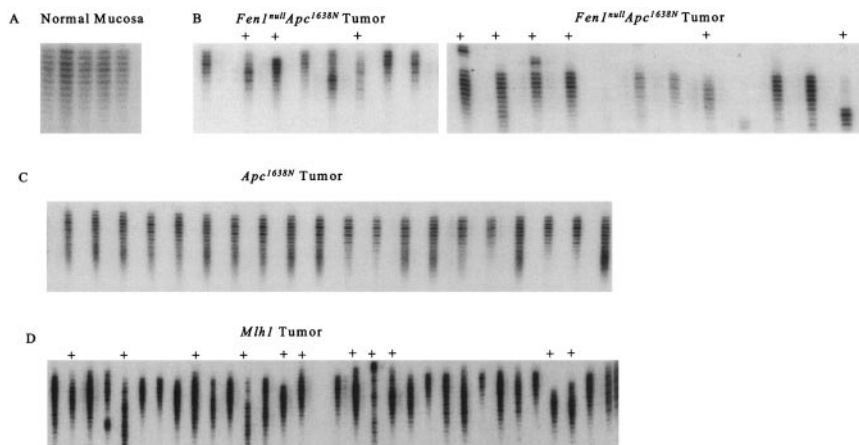
n, number of mice studied. Statistical results from comparison with Fisher exact probability test: compared to *Fen1*<sup>null</sup>: \*,  $P < 0.001$ . No significant difference found between *Fen1*<sup>null</sup>/*Apc*<sup>1638N</sup> double heterozygous and *Apc*<sup>1638N</sup> mice. Mean ± SD.

**Table 3. Histologic types of tumors in *Fen1*<sup>null</sup> mice**

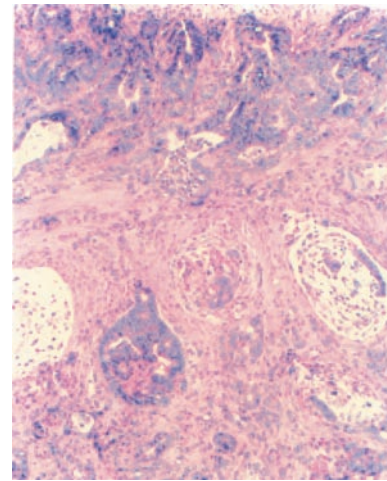
	<i>Apc</i> <sup>1638N</sup>	<i>Fen1</i> <sup>null</sup> / <i>Apc</i> <sup>1638N</sup>
Total no. of tumors found	57	76
No. (%) of tumors with histological examination	36 (63%)	48 (63%)
Histologic types of tumors:		
Total	36 (100%)	48 (100%)
Malignant	1 (2.8%)	12 (25%)
Early invasive carcinoma	0 (0%)	2 (4.2%)
Adenocarcinoma	1 (2.8%)	10 (20.8%)
Benign		
Villous-tubular adenoma	4 (11.1%)	7 (14.6%)
Tubular adenoma	25 (69.4%)	23 (47.9%)
Microadenoma	6 (16.7%)	6 (12.5%)

in the GI tract. Both *Apc*<sup>1638N</sup> and the double heterozygotes had a substantial tumor burden. Tumor multiplicity was slightly higher in *Fen1*<sup>null</sup>/*Apc*<sup>1638N</sup> animals than in *Apc*<sup>1638N</sup> mice (Table 2). The range of tumor multiplicity was considerably greater for *Fen1*<sup>null</sup>/*Apc*<sup>1638N</sup> mice than for *Apc*<sup>1638N</sup> mice alone. The range of tumors per animal in *Apc*<sup>1638N</sup> mice was 4–13 and in *Fen1*<sup>null</sup>/*Apc*<sup>1638N</sup> double heterozygotes, the range was 3–20. A definitive difference between the two strains of mice was obtained from the histological typing of tumors (Table 3; Fig. 5). The *Fen1*<sup>null</sup>/*Apc*<sup>1638N</sup> double heterozygous mice had a 9-fold greater incidence of malignant tumors (early invasive and invasive adenocarcinomas) compared with *Apc*<sup>1638N</sup> heterozygotes ( $P < 0.0053$ ). *Apc*<sup>1638N</sup> animals had more benign tumors (tubular adenomas) ( $3.13 \pm 1.89$ ) than *Fen1*<sup>null</sup>/*Apc*<sup>1638N</sup> double heterozygotes ( $2.22 \pm 1.48$ ,  $P < 0.05$ ). An increase in the number of adenomas in the large intestine was also observed in double heterozygous mice ( $0.56 \pm 1.01$ ) compared with *Apc*<sup>1638N</sup> ( $0.25 \pm 0.71$ ,  $P < 0.05$ , Table 2).

**Tumors from *Fen1*<sup>null</sup>/*Apc*<sup>1638N</sup> Double Heterozygous Animals Have MSI.** We determined whether the tumors from the double heterozygotes exhibited MSI by using limiting dilution PCR. DNA from the normal intestinal mucosa of two healthy C57/BL6 mice (0 of 60 PCR reactions positive), and three tumors from *Apc*<sup>1638N</sup> mice (7 of 91 PCR reactions positive) showed no instability (Fig. 4 A and C). DNA from *Mlh1* tumors (42 of 62 PCR reactions positive) and all four tumors from *Fen1*<sup>null</sup>/*Apc*<sup>1638N</sup> heterozygotes (93 of 123 PCR reactions positive) had extensive MSI (Fig. 4 B and D). Normal liver tissue from an *Apc*<sup>1638N</sup> mouse that had adenomas showed no MSI (0 of 31 PCR reactions positive; data not shown). Normal liver tissue from a *Fen1*<sup>null</sup>/*Apc*<sup>1638N</sup> mouse that had adenocarcinomas did not show MSI (0 of 30 PCR reactions).



**Fig. 4.** MSI in *Fen1*<sup>null</sup>/*Apc*<sup>1638N</sup> tumor DNA. Primers for the D7Mit91 locus were used to amplify a 262-bp fragment under limiting dilution conditions ( $10^{-5}$ ). (A) Five PCR amplifications (normal intestine) show no MSI. (B) Twenty-one PCR amplifications from two *Fen1*<sup>null</sup>/*Apc*<sup>1638N</sup> tumor DNAs show MSI as indicated. (C) Twenty PCR amplifications from the same *Apc*<sup>1638N</sup> tumor DNA show no MSI. (D) Thirty-one PCR amplifications from an *Mlh1* tumor show MSI.



**Fig. 5.** Invasive adenocarcinoma of the small intestine of a *Fen1*<sup>null</sup>/*Apc*<sup>1638N</sup> of a double mutant mouse. Tumor tissue was composed of neoplastic glands with irregular shape and size infiltrating the muscularis. Mucinous pools formed. Ulceration was shown on the surface of tumor. Desmoplastic response was observed near neoplastic glands in the invasive area. Hematoxylin/eosin  $\times 100$ .

**The Remaining *Fen1* Allele in Tumors Is Intact.** We examined the status of the WT *Fen1* allele in DNA from tumors derived from *Apc*<sup>1638N</sup> allele and *Fen1*<sup>null</sup> heterozygous mice. DNA from 15 *Fen1*<sup>null</sup>/*Apc*<sup>1638N</sup> tumors and from 5 *Apc*<sup>1638N</sup> tumors was examined. The entire coding region of the *Fen1* gene and an additional 820 bp in the 5' untranslated region that contains four homology blocks conserved between mouse and human *Fen1* genes were analyzed by DNA sequencing. In all cases, the *Fen1* gene was intact and had no detectable mutations (data not shown).

***Apc* Mutations in Tumor DNA.** We then examined the fate of the WT *Apc* allele in tumors from the two groups of mice. A total of 41 tumors were analyzed from *Fen1*<sup>null</sup>/*Apc*<sup>1638N</sup> mice, and the results were compared with those obtained from the analysis of 15 tumors from *Apc*<sup>1638N</sup> mice (51). IVTT analysis indicated that 15 (37%) of the tumors from the double heterozygotes had *Apc* truncation mutations in the region encoding codons 876–1274, and the sequence alterations are shown in Table 4. In general, the *Apc* truncation mutations detected in both groups of tumors were point mutations. Insertion/deletion mutations were identified in 2 of 15 tumors from double heterozygous mice (Fig. 6). We also detected two insertion/deletions in tumors from *Apc*<sup>1638N</sup> animals.

**Discussion**

We generated mice that have a loss of function mutation in the *Fen1* gene and examined the phenotype caused by this mutation. Mice

**Table 4. Sequence of *Apc* mutations in *Apc*<sup>1638N</sup> and *Fen1*<sup>null</sup>/*Apc*<sup>1638N</sup> tumors**

Codon	Mutation	Consequence	WT sequence*	<i>Apc</i> <sup>1638N</sup>	<i>Fen1</i> <sup>null</sup> / <i>Apc</i> <sup>1638N</sup>
874	C-T	Arg-Stop	TCA AAA CGA GGT CTG	–	1
921	C-T	Arg-Stop	GCG GCA CGA AGA AGC	1	1
934	ins <sup>†</sup> TACA	Frameshift	AAC ACA <b>TAC</b> AAC TTC	1	–
939	G-T	Glu-Stop	AAG TCG GAA AAT TCA	1 <sup>§</sup>	–
941	C-A	Ser-Stop	GAA AAT TCA AAT AGG	–	1
956	C-T	Arg-Stop	TAT AAA CGA TCT TCA	–	4
982	G-T	Glu-Stop	TCA GTT <b>GAA</b> TCC TAT	–	1
992	del <sup>‡</sup> 8 bp + A	Frameshift	AAA <b>TTTTGCAGTTAT</b> GGT	1 <sup>§</sup>	–
995	del <sup>‡</sup> 10 bp	Frameshift	AGT <b>TATGGTCAGTAT</b> CCA	–	1
1047	G-A	Trp-Stop	GAA AGG TGG GCA AGA	1 <sup>§</sup>	–
1112	C-T	Arg-Stop	ACA AAT CGA ATG GGT	–	1
1154	G-T	Glu-Stop	GAA GAA <b>GAA</b> GAA GAG	–	1
1202	ins <sup>†</sup> ATCA	Frameshift	AAT TCA <b>TCA</b> GCA CAA	–	1
1227	C-T	Gln-Stop	AAA AGG <b>CAG</b> AAT CAG	–	1
1242	C-T	Gln-Stop	CAG ACT CAA AAA GGC	–	1
1274	C-A	Ser-Stop	TGC AGT TCA TTA TCA	–	1
Total				5	15

\*The WT sequence surrounding each mutation is shown and the site of mutation is shown in bold.

<sup>†</sup>ins, insertion.

<sup>‡</sup>del, deletion.

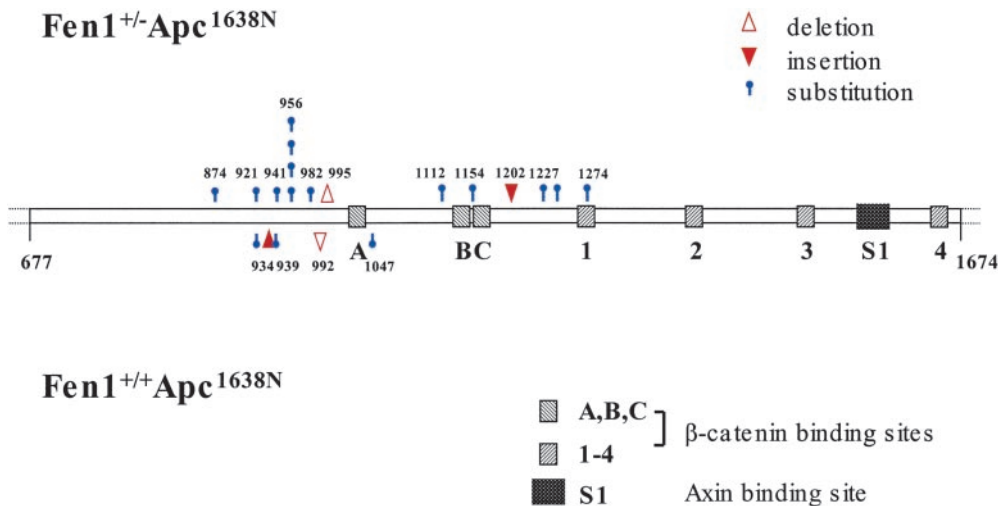
<sup>§</sup>Data from Kuraguchi *et al.* (51).

lacking two copies of the *Fen1* gene die early in embryogenesis, indicating that the *Fen1* gene product is essential for development. The relative paucity of homozygous blastocysts, together with our failure to establish *Fen1*<sup>null</sup> homozygous ES cell lines, suggests that *Fen1* expression might be necessary for cell survival or proliferation. The role of *FEN1* in DNA replication and repair might explain its importance in cell survival. The *Fen1* heterozygotes have a normal life span but do exhibit some phenotypes. Several of these mice showed features of premature thymus involution that may be the result of early T cell depletion. The proposed function of *Fen1* in nonhomologous end joining (54) and in V(D)J recombination might explain the observed result. A proportion of *Fen1* heterozygotes also had B cell lymphomas. The precise mechanism by which *Fen1* hemizyosity leads to B cell lymphomas needs further investigation.

We have obtained strong evidence for a role of *Fen1* in tumor progression. When the *Fen1*<sup>null</sup> mutation was combined with the *Apc*<sup>1638N</sup> mutation, the double heterozygous mice exhibited significant differences from those that are heterozygous for the *Apc*<sup>1638N</sup> mutation alone. The double heterozygotes had a lower median survival. Although the average tumor burden in both groups of

mice is similar, there appears to be a significant increase in the rate of progression of tumors in the double mutant mice. The latter had nine times as many adenocarcinomas as those carrying the *Apc*<sup>1638N</sup> mutation alone. These results suggest that reduction of *Fen1* does not alter the tumor initiation process but has a profound effect on tumor progression. None of the tumors we examined showed mutation or loss of the WT *Fen1* allele. This observation is consistent with the view that complete absence of *Fen1* function might lead to impaired proliferation. A clue to the mechanism by which *Fen1* heterozygosity results in rapid tumor progression came from the observation that the tumors from the double heterozygotes exhibit MSI at the same level as seen in tumors from *Mlh1* mutant mice; this suggests that a reduction in *Fen1* expression results in impairment of DNA repair.

That *Fen1* heterozygotes show some tumor susceptibility and that reduction in copy number is sufficient to result in advanced tumors in the *Apc*<sup>1638N</sup> background suggest that under some conditions, haploinsufficiency of the *Fen1* gene causes a mutator phenotype in mammalian cells. A total of 41 tumors were analyzed from *Fen1*<sup>null</sup>/*Apc*<sup>1638N</sup> mice. Fifteen (37%) of these had *Apc* truncation mutations. Several of these mutations were novel. They include one 4-bp



**Fig. 6.** Distribution of *Apc* mutations found in *Fen1*<sup>null</sup> *Apc*<sup>1638N</sup> tumor DNA and in tumor DNA from *Apc*<sup>1638N</sup> mice. Diagram of *Apc* between codons 677 and 1674 showing positions and characteristics of mutations detected in *Fen1*<sup>null</sup>/*Apc*<sup>1638N</sup> (Upper) and *Apc*<sup>1638N</sup> (Lower) intestinal tumors. △, deletion; ▼, insertion; †, substitution. Each symbol represents an independent mutation. The three 15-aa (A–C) and four 20-aa (1–4) β-catenin-binding repeats and one SAMP (Ser, Ala, Met, Pro sequence) repeat (S1) in this segment of *Apc* are indicated.

insertion and one 10-bp deletion. Because most of the truncation mutations detected in these tumors were similar to alterations previously identified in the remaining *Apc* allele in tumors of *Apc*<sup>1638N</sup> mice, and because *Fen1* heterozygous mice do not have appreciable tumor burden, we suggest that *Fen1* does not play a significant role in GI tumor initiation. The more advanced nature of tumors in the double heterozygotes suggests a greater role for *Fen1* in tumor progression.

The maintenance of genomic stability is of prime importance to the cell, and it is not surprising that genes involved in replication and repair contribute to the process. We observed MSI in *Fen1*<sup>null</sup>/*Apc*<sup>1638N</sup> haploinsufficient tumors that was consistent with the increased accumulation of frameshift mutations observed in *S. cerevisiae rad27* mutants. That the *Fen1* WT allele is intact in these tumors suggests that the quantity of the product plays an important role in tumor progression. Eukaryotic replication initiates at  $2 \times 10^4$  to  $1 \times 10^5$  sites in the human haploid genome. The formation of competent replication complexes on the leading and lagging strands of DNA must depend on the concentration and availability of the

individual components that make up the complexes. Haploinsufficiency of a component may not make a difference to a cell undergoing normal replication; however, if the cell cycle is perturbed by mutations in oncogenes or tumor suppressor genes (e.g., *Apc*), additional levels of at least some gene products might be necessary to accommodate the change in rates of cell division. If one or more critical product necessary for replication and repair is not there in sufficient quantity, the result may be detrimental to genomic stability. Replication or repair factors are involved in the maintenance of genomic stability, and results described here imply that a quantitative measure of the expression of some of these gene products may be useful as a prognostic indicator, particularly genes controlling cell cycle concomitantly with genes involved in replication and repair.

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