



Early Photosynthetic Eukaryotes Inhabited Low-Salinity Habitats

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Early photosynthetic eukaryotes inhabited low salinity habitats

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The early evolutionary history of the chloroplast lineage remains an open question. It is widely accepted that the endosymbiosis which established the chloroplast lineage in eukaryotes can be traced back to a single event in which a cyanobacterium was incorporated into a protistan host. It is still unclear, however, which cyanobacteria are most closely related to the chloroplast, when the plastid lineage first evolved, and in what habitats this endosymbiotic event occurred. We present phylogenomic and molecular clock analyses, including data from cyanobacterial and chloroplast genomes using a Bayesian approach, with the aim of estimating the age for the primary endosymbiotic event, the ages of crown groups for photosynthetic eukaryotes and the independent incorporation of a cyanobacterial endosymbiont by *Paulinella*. Our analyses include both broad taxon-sampling (119 taxa) and eighteen fossil calibrations across all cyanobacteria and photosynthetic eukaryotes. Phylogenomic analyses support the hypothesis that the chloroplast lineage diverged from its closest relative, *Gloeomargarita*, a basal cyanobacterial lineage, ~2.1 billion years ago (Bya). Our analyses suggest that the Archaeplastida, consisting of glaucophytes, red algae, green algae and land plants, share a common ancestor that lived ~1.9 Bya. Whereas crown group Rhodophyta evolved in the Mesoproterozoic Era (1600-1000 million years ago, Mya), crown group Chlorophyta and Streptophyta began to radiate early in the Neoproterozoic (1000-542 Mya). Stochastic mapping analyses indicate that the first endosymbiotic event occurred in low salinity environments. Both red and green algae colonized marine environments early in their histories, with prasinophyte green phytoplankton diversifying 850-650 Mya.

Photosynthetic eukaryotes | chloroplast | cyanobacteria | phylogenomics | relaxed molecular clock

Introduction

Life as we know it would not be possible without oxygenic photosynthesis. Cyanobacteria were the only prokaryotes to evolve this metabolism, fundamentally changing redox chemistry early in Earth history (1, 2). Cyanobacteria also had a huge impact on the biological diversity of Earth's ecosystems, partly due to their ability to establish symbiotic relationships with a number of different hosts (3-6). Photosynthesis in eukaryotic organisms stems from two primary endosymbiotic events involving a cyanobacterium engulfed by a protistan host. The older of these events gave rise to the Archaeplastida, a monophyletic group that includes the Glaucocystophyta (glaucophytes), Rhodophyta (red algae) and Viridiplantae (green algae and land plants). In turn, secondary endosymbioses involving archaeplastid lineages (red or green algae) spread photosynthesis to the haptophytes, cryptophytes, euglenids, chlorarachniophyte rhizarians, dinoflagellates, chromerans, and stramenopiles. A second primary endosymbiotic event established photosynthesis within the rhizarian genus *Paulinella*. As primary producers, photosynthetic eukaryotes now dominate most terrestrial (e.g., embryophytes and green algae) and marine (e.g., diatoms, mixotrophic dinoflagellates and coccolithophores) environments. The timing of the first endosymbiotic event and ensuing divergence dates for the three major archaeplastidan lineages are still debated, with molecular clock estimates for the origin of plastids ranging over 800 million years (7). At the

same time, the ecological setting in which this endosymbiotic event occurred has not been fully explored (8), partly due to phylogenetic uncertainties and preservational biases of the fossil record. Phylogenomics and trait evolution analysis have pointed to a freshwater origin for cyanobacteria (9-11), providing a novel approach to address the early diversification of terrestrial biota for which the fossil record is poor or uncertain.

The earliest widely accepted fossil evidence of photosynthetic eukaryotes is *Bangiomorpha*, a red alga deposited ~1.1 Bya (12). However, recent reports of multicellular photosynthetic eukaryotes at ~1.6 Bya provide evidence for an earlier establishment of photosynthesis within the eukaryotes (13). Currently, the oldest reliable evidence for eukaryotes as a whole is found in ~1.7 Bya rocks (14). These cyst-like microfossils occur in low diversity assemblages that potentially include stem group eukaryotes or stem representatives of extant major taxa (14,17). Sterane biomarkers originally viewed as evidence for 2.7 Ga eukaryotes have now been reinterpreted as younger contaminants (15, 16). Only around 750-800 Mya do fossils show a major increase in eukaryotic diversity that includes recognizable green algae (e.g., Cladophorales) (14, 17, 18), radiations possibly related to the evolution of eukaryovores – eukaryotes that eat other eukaryotes (19).

Reconstructing and dating the evolutionary history of early photosynthetic eukaryotes has proven challenging. Most phylogenetic studies place the divergence of the chloroplast lineage near the root of cyanobacteria (20-23), although a few studies insert chloroplasts higher in the tree (8) or nest them within derived clades (e.g., Nostocales (24)). Piecing together

Significance

While it is widely accepted that the chloroplasts in photosynthetic eukaryotes can be traced back to a single cyanobacterial ancestor, the nature of that ancestor remains debated. Chloroplasts have been proposed to derive from either early- or late-branching cyanobacterial lineages, and similarly the timing and ecological setting of this event remains uncertain. Phylogenomic and Bayesian relaxed molecular clock analyses show that the chloroplast lineage branched deep within the cyanobacterial tree of life ~2.1 billion years ago (Bya), and ancestral trait reconstruction places this event in low salinity environments. The chloroplast took another 200 million years to become established, with most extant groups originating much later. Our analyses help to illuminate the little known evolutionary history of early life on land.

Reserved for Publication Footnotes

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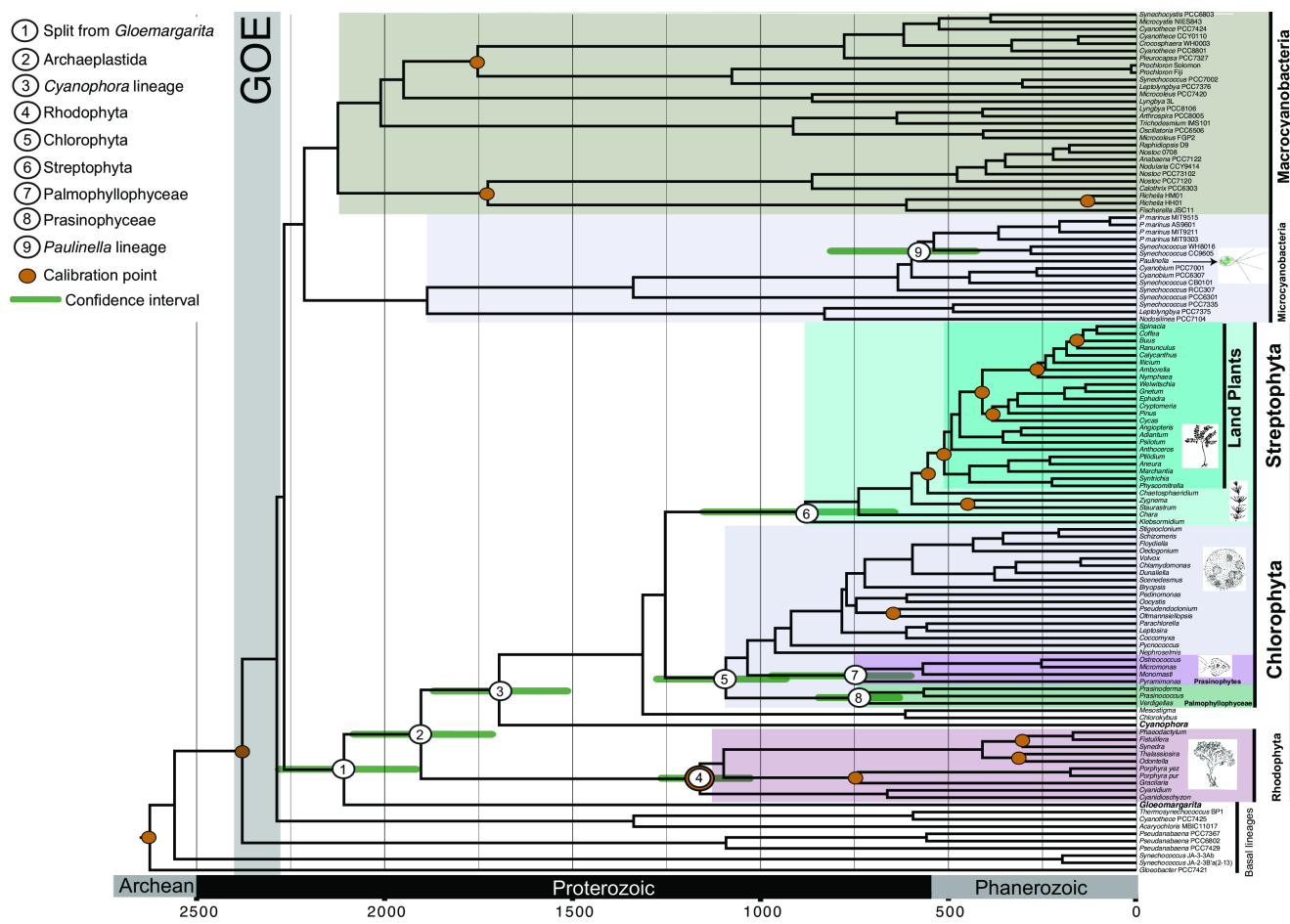


Fig. 1. The origin and diversification of photosynthetic eukaryotes and cyanobacteria as inferred from geologic time. The phylogenetic tree shown was estimated based on twenty-six genes from 117 taxa implementing Phylobayes 1.7a (96). Bayesian relaxed molecular clock analyses were carried out in Phylobayes 4.1 (39) implementing the UGAM (42) and the CAT-GTR substitution model (Table 2). Five calibration points for cyanobacteria and 13 calibrations points for photosynthetic eukaryotes (brown circles) were used (Table 1) for the tree shown and were treated as soft bounds. The root of the tree was set with a maximum age of 2.7 Bya (97) and a minimum age of 2.32 Bya (2). Age estimates for the numbered nodes (1–9) indicated are given in Table 1, which includes the corresponding values for the posterior 95% confidence intervals.

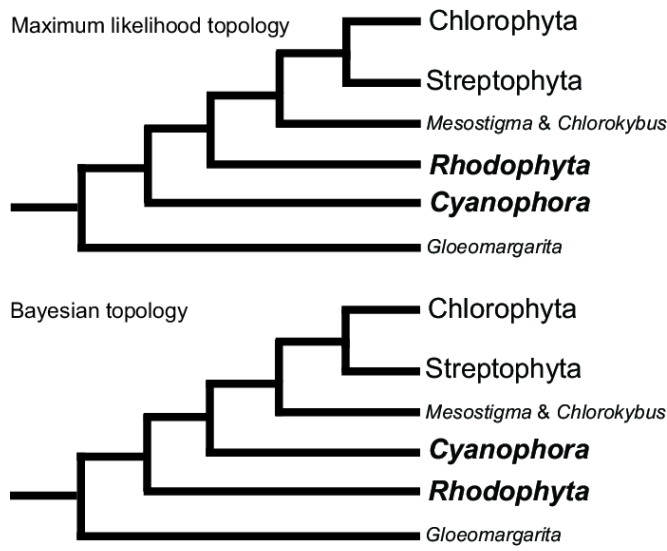


Fig. 2. Alternative hypotheses illustrating the deep-branching relationships within the Archaeplastida: Maximum Likelihood and Bayesian topologies.

the evolution of the chloroplast lineage is challenging because

chloroplast genomes have undergone a dramatic reduction in size compared to their cyanobacterial relatives (25, 26). Here, we have implemented a phylogenomic approach to study the early evolutionary history of photosynthetic eukaryotes in the context of cyanobacterial evolution. Genomic data were used to carry out large-scale multi-gene analyses of cyanobacteria and photosynthetic eukaryotes. Molecular clock analyses provide new evidence indicating when the chloroplast lineage and *Paulinella* diverged from their closest cyanobacterial relatives. A Bayesian approach offers insights into the habitat in which the first endosymbiotic event took place during the Proterozoic Eon.

Results

Phylogenomic analyses

Two data sets were analyzed: a genomic dataset including 135 highly conserved proteins (9) compiled from a total of 49 cyanobacterial genomes, and a second dataset including twenty-six genes comprising 119 taxa that include both cyanobacteria and photosynthetic eukaryotes. The first dataset was analysed using Maximum Likelihood (ML) in a two-step process: (1) the 49 cyanobacterial genomes dataset was used to determine the deep-branching relationships of cyanobacteria, and (2) the topology generated in step one, here referred as “genome constraint” (See Supporting Information, including Fig. S1), was used as a backbone constraint for a second ML analysis that used twenty-six

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Table 1. Calibration constraints for dating the photosynthetic eukaryotic and cyanobacteria tree of life.

Node/clade	Age constrains Myr	Eon	Reference
Cyanobacteria root	2320 (Min) 2700 (Max) 3000 (Max)	Archean	(2) (97) (98)
Simple filamentous; Oscillatoria-like filamentous fossils – Belcher supergroup	1900 (Min)	Proterozoic	(52, 105)
Nostocales	1600 (Min) 1900 (Max)	Proterozoic	(99) (105, 106)
Pleurocapsales	1700 (Min) 1900 (Max)	Proterozoic	(100) (105, 106)
Richelia-Hemiaulus symbiont	110 (Min)	Phanerozoic	(102)
Rhodophyta: Bangiophyceae	1050 (Min)	Proterozoic	(12, 108)
Chlorophyta: Ulvophyceae	635 (Min)	Phanerozoic	(109)
Rhodophyta: Floridiophyceae	600 (Min)	Phanerozoic	(110)
Streptophyta: Zygnemataceae	345 (Min)	Phanerozoic	(111)
Streptophyta: embryophytes or Land Plants	475 (Max) 501	Phanerozoic	(86) (17)
Vascular Plants	446 (Min)	Phanerozoic	(112)
Angiosperms-Gymnosperms	385 (Min)	Phanerozoic	(113)
Gymnosperms	385 (Min)	Phanerozoic	(114)
Angiosperms	130 (Min)	Phanerozoic	(115)
Diatoms-Coscinodiscophytina	190 (Min) 250	Phanerozoic	(107) (107)
Diatoms-Bacillariophytina	110 (Min)	Phanerozoic	(107)
Dicots	125 (Min)	Phanerozoic	(115)

Table 2. Posterior age estimates in Myr using a Bayesian approach

Node	Clade	UGAM	CIR	Log normal
1	Gloeomargarita + Archaeplastida	2,108 (2,311–1,907)	2,150 (2,321–1,997)	2,102 (2,273–1,938)
2	Archaeplastida	1,903 (2,117–1,694)	1,939 (2,091–1,808)	1,900 (2,059–1,745)
3	Cyanophora	1,695 (1,931–1,417)	1,781 (1,934–1,646)	1,739 (1,886–1,594)
4	Rhodophyta	1,161 (1,393–990)	1,060 (1,192–969)	1,062 (1,194–950)
5	Chlorophyta	1,092 (1,329–903)	1,086 (1,286–956)	1,037 (1,172–893)
6	Streptophyta	880 (1,180–663)	999 (1,135–862)	984 (1,119–845)
7	Palmophyllophyceae	735 (1,031–455)	885 (1,068–746)	841 (1,004–662)
8	Prasinophytes	745 (1,022–490)	887 (1,047–746)	841 (977–678)
9	Paulinella + Marine SynPro	634 (888–424)	431 (633–304)	350 (479–235)

Node ID corresponds to those shown in Fig. 1. Age estimates are given for analyses under UGAM, CIR and log normal clock models for the topology generated in Phylobayes. The CAT-GTR replacement model was implemented and the root was set with a maximum age of 2.7 Bya (97) and a minimum age of 2.32 Bya (2).

genes but included an extra set of 70 photosynthetic eukaryotes, for a total of 119 taxa (as previously implemented; see also (9, 10, 27)). The 119 taxa dataset was also analysed using a Bayesian approach without the backbone “genome constraint”. Results from the two ML analyses and the Bayesian analysis returned results that are mostly congruent (See Supporting Information, including Fig. S2, S3). All analyses recovered well-supported monophyletic groups consistent with recent phylogenomic studies of cyanobacteria (9, 10, 20, 28, 29). Trees were rooted using *Gloeobacter*, as this taxon has been previously shown to be the deepest branch within cyanobacteria (9, 10, 29), even when including the group’s recently discovered non-photosynthetic closest relatives: the Melainabacteria (30, 31), a group of heterotrophic soil and gut bacteria (32).

Previous studies have shown that the chloroplast lineage diverged amongst early branching cyanobacteria lineages (20, 22, 29, 30, 33–36). All analyses strongly support the monophyly of plastids in photosynthetic eukaryotes, with the exclusion of the independently acquired *Paulinella* plastid (See Supporting Information, including Fig. S2, S3). All our analyses also support *Gloeomargarita* as the most closely related cyanobacterium to the

Archaeplastida (Fig. 1 and Fig. 2; See also Supporting Information, including Fig. S2, S3), consistent with recent phylogenomic studies (23). *Gloeomargarita* and the Archaeplastida diverged from early branching cyanobacteria prior to the diversification of the Micro- and Macrocyano bacteria (Fig. 1).

Our Maximum Likelihood analyses show *Cyanophora* (Glaucocestophyta) as the sister of all the other Archaeplastida (Fig. 1; see Supporting Information, including Fig. S2), with the Rhodophyta (red algae) and the Viridiplantae (green algae and land plants) as monophyletic sister groups. In contrast, our Bayesian analyses show *Cyanophora* diverging after the Rhodophyta and prior to the emergence of crown group Chlorophyta and Streptophyta (Fig. 1; See Supporting Information, including Fig. S3). Simplified topologies in Figure 2 illustrate the two alternative hypotheses with regard to deep-branching relationships within the Archaeplastida. In our analyses, long branches such as *Chlorokybus* and *Mesostigma* do not emerge as the monophyletic sister group of the Streptophyta (a division including several orders of non-marine green algae and embryophytes), congruent with recent plastid-based phylogenies using a large sampling of cyanobacteria taxa (20), but contrary to

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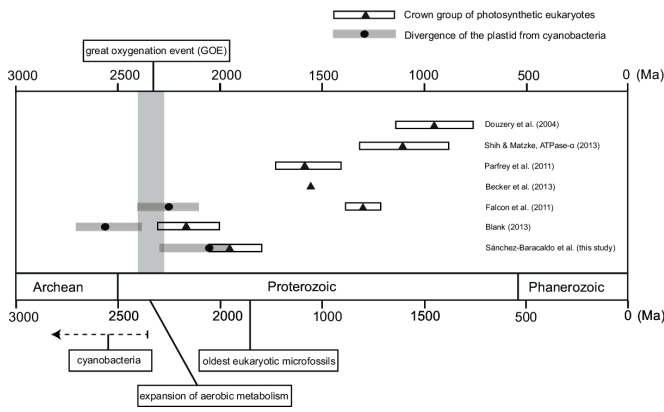


Fig. 3. Comparison of molecular clock estimates for the studies for the divergences of the crown group of photosynthetic eukaryotes and the split of the plastid lineages from their cyanobacteria ancestors. Age divergences for this study correspond to median ages in analyses shown in Figure 1. Circle and triangles are the mean ages for the origin of crown group of photosynthetic eukaryotes, and the common ancestor with cyanobacteria, and the rectangles represent the confidence intervals, respectively.

other previous studies in which these two lineages appear as basal and monophyletic within the Streptophyta (8, 37, 38). Furthermore, our Maximum Likelihood analyses including only eukaryotes indicate that out-group taxon selection does not influence the position of *Chlorokybus* and *Mesostigma* (See Supporting Information, including Fig. S4). By including only *Gloeomargarita*, the position of *Chlorokybus* and *Mesostigma* is also independent of taxon selection, but the placement of *Cyanophora* changes (See Supporting Information, including Fig. S5) – see also Figure 2.

Bayesian relaxed molecular clock and trait evolution analyses

Divergence times were estimated by applying a Bayesian approach in Phylobayes 4.1 (39) to the two alternative tree topologies generated under Maximum Likelihood (See Supporting Information, including Fig. S2) and Bayesian analyses (See Supporting Information, including Fig. S2) - see previous section and methods for details on how these trees were inferred. All analyses applied eighteen calibration points across cyanobacteria and photosynthetic eukaryotes (Table 1). Five calibrations were used for cyanobacteria and eleven for photosynthetic eukaryotes. Divergence times were estimated under three different relaxed molecular clock models: Log-normal (40), CIR (41), and uncorrelated gamma multipliers (UGAM) (42). Results were consistent across all models and trees (Table 2; see also Supporting Information, including Tables S3 and S4). Sensitivity experiments were performed to evaluate the effect of different root ages on our results (i.e., origin of oxygenic photosynthesis at 3 Bya; See Supporting Information, including Table S3). Overall, using an older maximum age root (3 Bya) pushes divergence times further back but does not significantly change our conclusions.

All our analyses indicate that the chloroplast lineage is the sister group of the recently discovered cyanobacterium *Gloeomargarita* (node 1; Fig. 1) from which it diverged ~ 2.1 Bya, with age estimates, including 95% credibility intervals, ranging from 2.3–1.9 Bya. Similar molecular divergence times have been previously reported based on slow evolving SSU rRNA and *rbcL* (35) (Fig 3). The chloroplast lineage diverged from its cyanobacterial relatives at a time when they exhibited only small cell diameters (10, 11) and likely inhabited low salinity environments characteristic of fresh waters (11) (Fig. 4). The common ancestor of archaeplastids (node 2; Fig. 1) likely evolved during the Paleoproterozoic Era – with 95% credibility interval for this node ranging from 2.1–1.6 Bya; these results agree with other recent studies (8, 35, 43). Previous molecular clock analyses placed the

first endosymbiotic event within the late Paleoproterozoic (43), Mesoproterozoic (37, 44), or even Neoproterozoic Era (45); these studies, however, either did not include cyanobacterial lineages or, if they did, relied on only a few cyanobacterial strains (See Fig. 3 for a comparison of previous molecular clock estimates). Bayesian stochastic character mapping analyses reveal that the ancestor of photosynthetic eukaryotes first evolved in low salinity environments (Fig. 4; this conclusion is robust to the phylogenetic placement of *Chlorokybus* and *Mesostigma*).

While the common ancestor the Archaeplastida (node 2) evolved in the Paleoproterozoic Era, crown groups such as the Rhodophyta (node 4), Chlorophyta (node 5), and Streptophyta (node 6) originated later, most likely between 1200 and 900 Mya (Table 2). The *Cyanophora* lineage diverged from other photosynthetic eukaryotes (node 3) more than 1500 Mya. The 95% credibility interval for the ancestors of the Rhodophyta (node 4) range between 1.3–0.9 Bya; these estimates overlap with recent studies including a large number of taxa, encompassing nuclear and plastid genes, from the Rhodophyta (43), and are younger than estimates from studies including nuclear genes estimating their origin during the early Mesoproterozoic (8). Well-supported marine lineages within the Rhodophyta can be paleontologically traced to marginal marine habitats at ~1.1 Bya (Figs. 1 and 3; Table 2; see Supporting Information, including Table S3, S4). In this study, the age divergences of the Chlorophyta (node 5) and Streptophyta (node 6), excluding *Chlorokybus* and *Mesostigma*, are similar, with 95% credibility intervals ranging between 1.3–0.9 Bya and 1.1–0.67 Bya, respectively; these estimates are younger than previous studies placing the origin of these two major groups in the early Mesoproterozoic Era (8), but overlap with previous studies that propose Neoproterozoic origins (37, 44). Chlorophyte fossils in ca. 800 Mya rocks are consistent with these estimates (18).

Palmophyllophyceae (node 8) is the deepest branch within the Chlorophyta, which is consistent with recent studies; this lineage contains species found in a wide range of marine habitats, including planktonic unicells and loose colonies, as well benthic macroscopic thalli. The 95% credibility interval for the origin of the Palmophyllophyceae (node 8) ranges between 1.0–0.45 Bya. Furthermore, another early branching group of chlorophytes, labeled “prasinophytes” in Fig. 1, consists mainly of marine phytoplankton. Whilst the species included in this study are monophyletic (Fig. 1), phylogenomic studies including a broader taxonomic sampling of the Chlorophyta show the traditionally recognized Prasinophyceae to be paraphyletic (46). The clade included in our analyses is both ecologically important and paleontologically documented; again, our preferred divergence age of ca. 750 Mya for this group (node 8; 1.0–0.49 Bya, including the 95% credibility interval, is consistent with the fossil record (14). Our analyses indicate that relatives of the Palmophyllophyceae and the prasinophytes would have been some of the first Chlorophyta (green algae) to radiate into marine habitats in Neoproterozoic oceans (Fig. 4). The lineage now represented by the plastid of *Paulinella* diverged, as well, from marine *SynPro* clades during the Neoproterozoic Era.

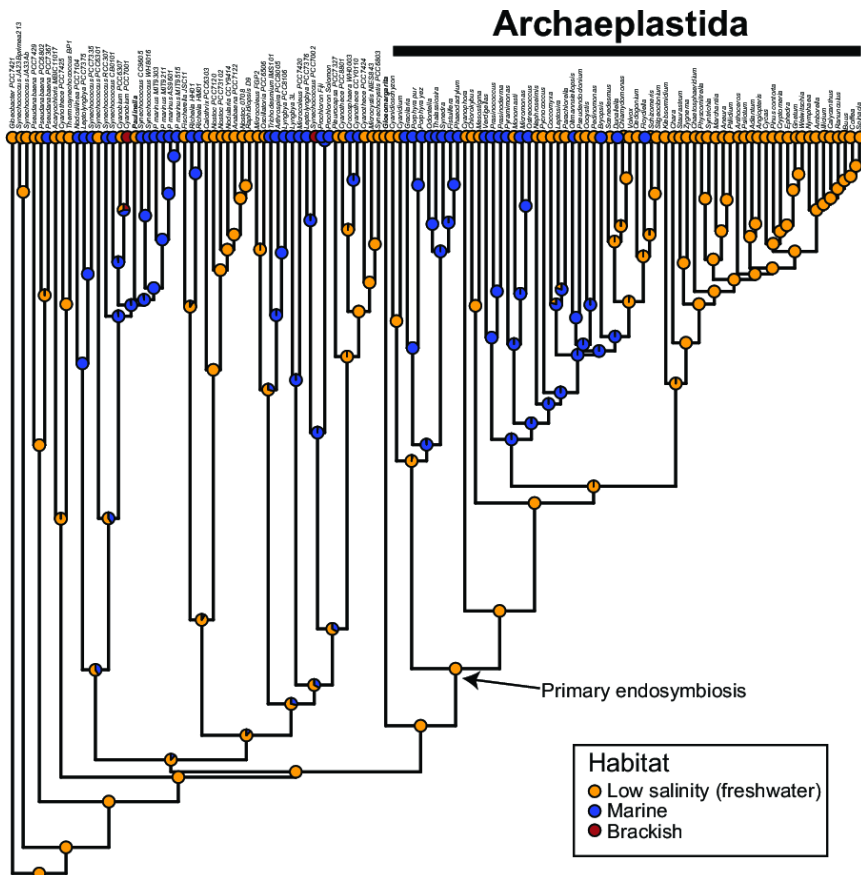
Discussion

Deep branching relationships and the early evolution of photosynthetic eukaryotes

The lack of a terrestrial fossil record has contributed to our lack of understanding about life in early non-marine habitats. However, novel hypotheses about life in early terrestrial environments have emerged based on phylogenomic and trait evolution analyses. Recently sequenced cyanobacterial genomes have improved the resolution of phylogenomic analyses and the deep branching relationships within cyanobacteria (11, 20, 29, 47). Our phylogenomic analyses, including a wide range of plastids from

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Fig. 4. Stochastic mapping analyses of the evolution of habitat. Phylogenetic tree including 119 taxa was estimated in Phylobayes 1.7a (96). A pie chart at each node indicates the Likelihoods for three character states as follows: blue circle = marine; yellow circle = freshwater, and red = brackish. Character states and full scientific names for abbreviated taxon names, shown here, are listed in Supplementary Table S2.

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photosynthetic eukaryotes, are in agreement with some of the most recent large-scale multi-gene phylogenies (11, 20, 22, 29). All of our analyses agree with previous studies showing that the cyanobacteria lineage that led to the chloroplast diverged early in cyanobacterial history (Fig. 1) (20, 22, 30, 33, 48).

Molecular phylogenetics, trait evolution and relaxed molecular clock analyses have recently helped with the interpretation of the early fossil (9, 10, 47) and geochemical records (27). Broad taxonomic studies of prokaryotes imply a terrestrial/freshwater ancestry for cyanobacteria (49, 50) and their early diverging lineages (9, 11, 51). The plastid lineage, at its time of divergence, likely exhibited small cell diameters (1-2 μm), since the radiation leading to the Macrocyanoacteria (a group of cyanobacteria exhibiting cell diameter $> 3 \mu\text{m}$ and observable in the fossil record by 1.9 Bya ((52) Fig. 1) had yet to occur (11). Our Bayesian stochastic mapping analyses provide strong support for the hypothesis that the common ancestor of cyanobacteria and the plastid lineage (node 1; Fig 1) inhabited low salinity environments (Fig. 3). Interestingly, most deeply diverging cyanobacterial lineages use sucrose to cope with changing environmental conditions (e.g., mild changes in osmotic pressure, desiccation tolerance) (53, 54). A similar mechanism was likely inherited by the plastid lineage at the time of the first endosymbiotic event (Fig. 1). Compatible solute genes (i.e., glucosylglycerol, glucosylglycerate and glycine-betaine) involved in regulating osmotic stress in high salinity environments (53, 54) evolved in marine lineages (11, 55) after the divergence of the chloroplast lineage.

All of our analyses strongly support the monophyly of plastids (except for that of *Paulinella*) in photosynthetic eukaryotes (See Supporting Information, including Fig. S2, S3), consistent with previous studies reporting a single endosymbiotic event in the ancestor of the Archaeplastida (34, 37, 44, 56-58). Our analyses pro-

vide two alternative hypotheses for deep branching relationships amongst the Archaeplastida (Fig. 2). Like most previous studies, our Maximum Likelihood analyses suggest that *Cyanophora* (Glaucocystophyta) is the sister of all other plastids (Fig. S2), followed by the divergence of the Rhodophyta and the Viridiplantae (green algae and land plants). In contrast, our Bayesian analyses show *Cyanophora* branching after the Rhodophyta (Fig. S3). It is worth highlighting that while most previous phylogenetic studies place *Cyanophora* as the deepest branch with the Archaeplastida (20, 23, 37, 46), other studies have postulated alternative phylogenetic placements for this lineage (8, 35, 36).

Our Maximum Likelihood hypothesis shown in Fig. 2 is consistent with ancestral traits (e.g., peptidoglycan) being retained by the Glaucophytes and later lost by all other algae and land plants (37). Furthermore, this topology supports an evolutionary scenario in which phycobilisomes, the light harvesting antennae anchored in the thylakoid, are an ancestral trait present in cyanobacteria that has been retained in the Glaucophytes and red algae, but lost in green algae and land plants. Given this hypothesis another likely ancestral feature is the turgor-resistant peptidoglycan wall round the 'cyanelle' in the Glaucocystophytes (59). This feature helps minimize energy cost for active water efflux involved in volume regulation by creating low osmolarity in the cytosol (60), and higher osmolarity in the cyanelle related to the higher concentrations of HCO_3^- required for functioning carboxysomes in an inorganic carbon concentrating mechanism (CCM). While the glaucocystophyte *Cyanophora paradoxa* has a CCM (61), its complete genome sequence shows no carboxysome-related genes (62). This is consistent with the hypothesis proposed by Raven (59) for the function of the peptidoglycan wall of the cyanelle, requiring the Rubisco-containing a central body of the cyanelle with high HCO_3^- concentrations for its CCM function

681 despite being a pyrenoid rather than a carboxysome. However, 682 the Bayesian archaeplastid phylogeny in Fig.2 shows glaucocystophytes branching after the rhodophytes, so if the implicit 683 assumption is correct (57) that the glaucocystophyte CCM is 684 ancestral within the archaeplastids, this trait must have been lost 685 independently in ancestral red and green algae. A further problem 686 with the ancestral CCM suggestion (57) is the absence of any 687 molecular genetic markers for the glaucocystophyte 'pyrenoid' 688 (63), of knowledge of the enzymes kinetics of their glaucocystophyte 689 Form IB Rubisco (ribulose-1.5-bisphosphate carboxylase-oxygenase), or 690 uncertainties CO₂ and O₂ availability at the time of chloroplast origin 691 (64). In any event, our inferences about the environmental setting of 692 archaeplastid origins are robust to the placement of *Cyanophora*. 693 694

695 Within the Viridiplantae, our analyses show that *Chlorokybus* 696 and *Mesostigma* branched before the Streptophyta and Chlorophyta, 697 as previously found by Shih et al. (20); however, other studies based 698 on plastid genes show relatively low support for this node (8, 36). For our 699 plastid data set, it seems that the position of *Chlorokybus* and 700 *Mesostigma* is independent of out-group taxon selection (See Supporting 701 Information, including Fig. S4). In contrast, nuclear gene-based 702 phylogenies provide high statistical support for the inclusion of 703 *Chlorokybus* and *Mesostigma* within the Streptophyta (38, 65). 704 *Chlorokybus* and *Mesostigma* are long branched taxa and are 705 therefore likely prone to long-branch attraction, and this might explain 706 incongruence in their branching relationships. More genomic studies 707 are needed to fully resolved the deep branching relationships of the 708 charophyte green algae (66). 709 710

711 Timing of evolutionary events

712 It is worth emphasizing the distinction between the moment 713 in which the plastid ancestor diverged from other lineages of 714 cyanobacteria (node 1) and the last common ancestor of extant 715 plastids (node 2). Our Bayesian relaxed molecular clock analyses 716 suggest that the plastid lineage diverged from other cyanobacteria 717 as early as ~2.1 Bya (node 1, Fig. 1; Table 2); all analyses 718 including clock models converge to similar age estimates. This 719 date is broadly similar to previous studies, including values in 720 the order of ~2.4–2.7 Bya based on conserved encoded proteins 721 (8) and ~2.1 or 2.4 Bya based on SSU RNA data (35) (Fig. 2). 722 In contrast, the median age for the primary endosymbiotic event 723 that led to the origin of the archaeplastid chloroplast is in the 724 order of ~1.9 Bya (node 2; Figs. 1 and 2). Assuming that no 725 plastid-bearing archaeplastid lineages have gone extinct, i.e. that 726 the last common archaeplastid ancestor was also the first 727 photosynthetic archaeplastid, our analyses suggest a time interval 728 of ~0.2 Byr between the split of the plastid lineage from 729 *Gloeomargarita* (node 1) and the origin of the first photosynthetic 730 eukaryote (node 2). Alternatively, photosynthesis may first have 731 become established in now extinct stem group archaeplastids.

732 Molecular clock studies have generated a large range of age 733 estimates, spanning over a billion years, for the origin of the 734 Archaeplastida (Fig. 3). Our analyses, which include five cyanobacteria 735 calibration points, suggest that photosynthetic eukaryotes 736 originated relatively early, perhaps ~1.9 Bya (with 95% credibility 737 interval for this node ranging from 2.1–1.6 Bya). Molecular clock 738 studies vary widely in terms of types of data (e.g., nuclear or 739 plastid genes, rRNA, proteins) and taxa selection. Some estimates 740 based on protein data suggest divergence at ~0.9 Bya (56); others 741 based on the α and β subunits of ATP synthase and the elongation 742 factor thermo unstable (45, 67) propose ~1.1 Bya; and by 743 implementing the SSU rRNA and *rbcL*, still others predict ~1.3 744 or 1.2 Bya (35) (Fig 2). Some of the oldest ages are in the order of 745 ~2.1 Bya, using a set of conserved proteins and RNA genes (8). It 746 is difficult, if not impossible to compare molecular clock studies 747 because discrepancies might be due to taxon sampling, types of 748 substitution and clock models used, fossil calibrations, and ac-

749 curacy in the implementation of molecular clock methodologies. 750 However, extensive taxon sampling is a significant determinant of 751 accurate phylogenetic estimation (68); here, by including *Gloeomargarita*, 752 we have more accurately constrained the age of the Archaeplastida. 753 In general, studies that include cyanobacterial relatives provide 754 older ages for photosynthetic eukaryotes (Fig. 2) (8, 35). 755

756 Within photosynthetic eukaryotes, there is a deep divergence 757 between Viridiplantae and the Rhodophyta (node 3), this split 758 has been estimated to have occurred ~1.9 Bya. Other estimates 759 for this node have been on the order of ~1.4 Bya based on 760 DNA and 1.67 Bya for protein (58). There is a significant lag 761 between the common ancestor of the Archaeplastida (node 2) 762 and the origin of major clades of photosynthetic eukaryotes such 763 as the Rhodophyta (node 4), the Chlorophyta (node 5), and the 764 Streptophyta (node 6; excluding *Chlorokybus* and *Mesostigma*). 765 Although basal lineages of extant red algae are non-marine, 766 both fossils and our molecular clocks indicate that reds had 767 colonized marine environments by ~1.1 Bya (Fig. 1). Moreover 768 previous studies have further tested that inclusion and exclusion 769 of *Bangiomorpha* as a calibration point has little effect on age 770 estimates for the rhodophytes (43, 44). Our molecular clock 771 analyses and fossils further agree that chlorophyte green algae 772 colonized the marine realm during the Neoproterozoic Era, with 773 several lineages subsequently regaining terrestrial and freshwater 774 aquatic environments. More specifically, fossils and molecular 775 clock analyses indicate that prasinophytes emerged as ecologically 776 important constituents of the marine phytoplankton late in the 777 Neoproterozoic Era.

778 Broadly, then, our study suggests that while the plastid lineage 779 diverged from other cyanobacteria during the Paleoproterozoic 780 Era, it took nearly a billion years for major extant clades to 781 diversify and gain ecological prominence. The younger divergence 782 age of *Paulinella* ~0.5 Bya from its closest relatives is consistent 783 with suggestions that its significantly greater genome retention 784 indicates a much earlier stage of endosymbiosis (69). 785

786 Early evolution of the eukaryote lineage

787 Recent years have seen significant improvements in our 788 understanding of eukaryotic origins. It is now evident that 789 eukaryotes do not constitute a third primary lineage of life, at 790 least not as originally proposed by Woese and Fox (70). Instead, 791 phylogenomic analyses minimally agree that the eukaryotic lineage 792 evolved from within the Archaea (71-74). Further, it is uncontroversial 793 that the establishment of the crown eukaryotes involved a stable 794 endosymbiosis between an archaeon (related to the recently 795 discovered Lokiarchaeota (75) – the cellular host), and an alpha 796 proteobacterium (the ancestor of the mitochondrion (72-74, 76)). 797 A topic of current debate in eukaryotic evolution is whether an 798 amitochondriate proto-eukaryotic lineage ever existed. Bioenergetic 799 arguments have been marshalled to disfavor this view (77), 800 suggesting that only a mitochondriate cell can acquire the 801 complexity observed in living eukaryotes, but these arguments 802 are not universally accepted (78, 79). A recent genomic study 803 (80) suggested that the mitochondrion might have entered the 804 proto-eukaryotic lineage late, when the stem eukaryotic cell 805 was already cytologically rather complex (80). However, these 806 results have been shown to represent a methodological artifact 807 (81). Irrespective of how eukaryote-like the stem eukaryotes 808 were, all crown eukaryotes are mitochondriate and so their last 809 common ancestor must also have contained mitochondria (82). 810 It is not yet clear when the protomitochondrial endosymbiosis 811 was established (76). However, as the free-living ancestor of 812 the mitochondrion was an alpha-proteobacterium, at the very 813 least, this event must have postdated the separation of the alpha- 814 Proteobacteria from their sister lineage their sister lineage (the 815 group including the beta- and gamma-Proteobacteria and possibly 816 other less well known lineages like the Acidithiobacillia and

Zetaproteobacteria (72). Given the capacity of mitochondria for aerobic respiration, this might well have happened after the GOE.

Our analyses help to constrain the origin of eukaryotes in so far as they suggest that the endosymbiotic event between a crown eukaryote and a cyanobacterium resulted in the origin of the Archaeplastida by ~1.9 Bya. This is consistent with currently available fossil evidence, and with the view that eukaryotes, minimally, must postdate the diversification of Archaea and the node separating the alpha-Proteobacteria from their sister lineage. How much older the base of the eukaryotes might be from the base of the Archaeplastida is unknown, and will in part depend on the topology of the eukaryotic tree, which is still not fully resolved (83).

The early land and marine record

Little is known about early life on land. Non-marine environments are generally sites of erosion rather than deposition, and so are relatively uncommon in all but the youngest sedimentary basins. Moreover, non-marine sedimentary rocks are not easily differentiated in the absence of environmentally diagnostic plant or animal fossils, and those facies most reliably recognized as non-marine on the basis of physical features (alluvial fans, braided stream deposits) tend to be poorly fossiliferous (84). Lacustrine and floodplain environments are known from Archean successions, and stromatolites and ¹²C-depleted organic matter in these rocks documents early microbial ecosystems driven by photosynthesis (e.g., (85)). Significantly, shales associated with alluvial and fluvial sandstones in late Mesoproterozoic successions from Scotland and mid-continent North America (86) contain abundant and modestly diverse microfossils reasonably interpreted as eukaryotic (87). The taxonomic affinities of these fossils are unclear, and photosynthetic microorganisms may or may not be present in the assemblage. And at least for the Scotland example, the non-marine origin of fossiliferous shales remains to be demonstrated. Nonetheless, it is reasonable to conclude that eukaryotic organisms had gained a firm foothold in non-marine environments by 1000 million years ago. Thus, the meager fossil record of non-marine environments is consistent with phylogenomic inferences, without adding independent evidence for non-marine algal origins. Paleoenvironmental studies may help more, suggesting as they do that eukaryotes in the terrestrial realm may have been shielded, at least in part, from the persistent subsurface anoxia (especially in shallow lakes and streams, but see (88)), episodic euxinia, and trace metal limitation (89) that potentially limited eukaryotic diversification in Mesoproterozoic oceans. Our analyses are consistent with paleontological data suggesting that while archaeplastids originated in non-marine environments, both red (node 6) and green (node 7) algae radiated in the oceans during the late Mesoproterozoic and early Neoproterozoic intervals, respectively (Fig. 1).

Concluding remarks

Our molecular clock analyses, calibrated by both Phanerozoic and Proterozoic fossils, and stochastic mapping analyses suggest that the common ancestor of the chloroplast lineage and its closest relative *Gloeomargarita* (an early branching lineage) can be traced back to the early Paleoproterozoic Era, ~ 2.1 Bya, in freshwater environments. While photosynthetic eukaryotes appear to have originated ~1.9 Bya, age estimates based on molecular clock studies show a lag between the earliest photosynthetic eukaryotes and the origin of modern crown groups such as the Rhodophyta, Chlorophyta, and Streptophyta. Median ages for the plastid associated with *Paulinella* suggest that this lineage diverged during the Neoproterozoic Era. Phylogenomic and molecular clock analyses are consistent with the modest fossil diversity of eukaryotic clades in earlier Proterozoic oceans and provide an additional line of evidence for interpreting the early evolution of photosynthetic eukaryotes.

Materials and Methods

Alignment and taxon sampling

All sequence data were obtained from GenBank (<http://www.ncbi.nlm.nih.gov>). Sequence data come from 49 cyanobacterial genomes, as previously described in Blank and Sánchez-Baracaldo (9) and Sánchez-Baracaldo (11). To establish the deep-branching relationships of cyanobacteria, our data set comprised of 135 proteins and two ribosomal RNAs (SSU and LSU); molecular markers included are evolutionarily conserved, had a minimum number of gene duplications and were present in all cyanobacterial taxa (10, 90). A second alignment with a broader taxonomic sampling photosynthetic eukaryotes (70 taxa) and cyanobacteria (49 taxa) were used to infer the evolutionary relationships of cyanobacteria and photosynthetic eukaryotes; this data set included twenty-six genes. Table S1 contains a list of genes shared across all taxonomic groups included in this study. Each gene was aligned independently using SATé 2.2.3 (91), a multiple sequence alignment and phylogenetic reconstruction program. Single gene alignments generated in SATé were imported into Mesquite v. 2.75 (92) to obtain 'nexus' and 'phylip' format files for subsequent analyses. Single alignments were later concatenated into a single nexus format file using Sequence Matrix v 100.0 (93). With the concatenated matrices generated here, we performed two sets of analyses describe below.

Phylogenetic analyses

Maximum Likelihood and Bayesian analyses were implemented to determine the phylogenetic relationships between cyanobacteria and photosynthetic eukaryotes. For the first set of analyses, we performed Maximum Likelihood analyses in two stages. First, we generated genome analyses from 49 cyanobacteria genomes to determine the deep-branching relationships of cyanobacteria in RAXML GUI v.1.1 (94). The topology generated at this stage was later implemented as genome constraint in RAXML GUI v.1.1 (94) for the second data set assembled including twenty-six genes and a total of 70 photosynthetic eukaryotes. A total of 135 protein-coding genes (with 56,251 aa) and two ribosomal RNAs (with a total of 4,555 bp) were used to establish phylogenetic relationships of cyanobacteria. ProTest v.2.4 (95) was used to estimate the best model of evolution for the protein set. To analyse the protein sequences we implemented the LG +G model (gamma-distribution with 4 rate categories).

A second data set was analyzed under the CAT-GTR+G model in Phylobayes MPI 1.7a (96). This data set consisted of twenty-six genes shared across 49 cyanobacteria and 70 photosynthetic eukaryotes. Convergence of Bayesian analyses was tested using the software *Tracecomp* (in Phylobayes) to estimate effective sample sizes and relative differences of key parameters, and *Bpcomp* to calculate mean distance between in trees from independent chains. To test whether taxon selection of the cyanobacteria out-groups had any effect on the branching relationships of the Archaeplastida, we performed Maximum Likelihood analyses including only eukaryotes and the closest known cyanobacteria (*Gloeomargarita*).

Fossil constraints

We used eighteen fossil calibrations across all cyanobacteria and photosynthetic eukaryotes (Table 1). Cyanobacteria arguably have the best fossil record of any bacterial group (47). In this study we applied cyanobacterial fossils that have been previously implemented (9, 11, 33). For the origin of cyanobacteria, two maximum ages were implemented: 2.7 Byr (97) and 3 Byr (98). The rise in atmospheric oxygen at 2.32 Byr was set as the minimum age for the cyanobacterial root (2). The first simple filamentous fossils of cyanobacteria, comparable to *Oscillatoria*, at 1.9 Byr (52); placement of this occurrence was implemented based on previous phylogenomic and trait evolution (i.e., filamentous vs unicellular) studies including *Pseudanabaena* genomes (11, 29). Furthermore by the fossil record recorded larger cyanobacterial microfossils described from 1.9 Bya cherts (52), which is consistent with other evolutionary studies of cyanobacteria showing that 1.9 Byr the macrocyanobacteria, sensu Sánchez-Baracaldo (11), had diverged by this time. Akinetes (99) and multiple fission (100) were assigned to distinct groups such as the Nostocales and the Pleurocapsales, respectively. Larger phylogenomic studies of cyanobacteria including two *Pleurocapsa* genomes (PCC 7319 and PCC 7327) have established that whilst these two strains do not form a monophyletic group, they belong to a well-supported monophyletic group (11); this broader clade was calibrated here using preserved microfossils. Fossil calibrations across photosynthetic eukaryotes (Table 1) are relatively well characterised, adding an important further constraint to molecular clocks of cyanobacterial evolution.

Bayesian divergence time estimation

Ages were estimated for the topologies generated by the Maximum Likelihood and Bayesian analyses as described above using a subset of genes from the twenty-six genes as follows: AtpA, AtpB, PetB, PsaC, PsaB, PsaD, RbcL, S12. Divergence times were estimated implementing three different relaxed molecular clock models: Log-normal (40), CIR (41) and uncorrelated gamma multiplier model (39) in Phylobayes 4.1 (39). As in the Bayesian analyses substitutions were modelled using the CAT-GTR+G replacement model. For all non-calibrated nodes, we used a birth-death prior on divergence times, and soft bounded calibrations – allowing 0.05% of the prior density to fall outside the minimum-maximum interval of each calibration. Analyses were performed using two different root priors. The first was a Gamma distributed prior allowing the 95% of the prior distribution to fall between 2.32 – 2.7 Bya, the second had the 95% of the prior distribution falling between 2.32 – 3 Bya. The second analysis was performed as a sensitivity test

(see Supporting Information, including Table S4). Convergence of molecular clock analyses was tested using the software Tracecomp. All analyses were also run without data to visualize the marginal priors on node ages implied by our set of fossil calibrations.

Bayesian inference of character evolution

To infer the evolution of habitat, we used Bayesian stochastic character mapping (101). Character states were obtained from the Bergey's Manual of Systematic Bacteriology (102), AlgaeBase (www.algaebase.org), taxa description of genome taxa from the Joint Genome Institute (http://www.jgi.doe.gov), previous studies of trait evolution of cyanobacteria (11), and other cyanobacteria studies Habitat was coded using multistate discrete character states as follows 0 = freshwater (0-0.5 ppt parts per thousand), 1 = marine (from 30 up to 50 ppt parts per thousand), and 2 = brackish (0.5 – 30 ppt parts per thousand). Table S2 contains the characters states used in this study. Analyses were implemented in SIMMAP v1.5 (103) and used time calibrated trees generated in Phylobayes 4 (39) for both Maximum Likelihood and Bayesian analyses (Fig. 4). Sensitivity tests were performed treating *Parachlorella* and *Pedinomonas* as marine or freshwater within the chlorophyta (see Supporting Information, including Fig. S6, S7). Branch lengths were used a direct estimate of rate of evolution, rather than a prior on the rate parameter. For multi-state characters the bias parameter

between states is specified as simply $1/k$, where k is the number of states. The overall rate of substitution for both of these classes is a branch length multiplier drawn from a prior gamma distribution. Analyses performed in SIMMAP v1.5 (103) were summarised in R using phyltools 0.1.2 (104).

Data availability

Data associated with this paper are available to download from Dryad <http://dx.doi.org/10.1111/gbi.12200>. The uploaded data includes aligned protein sequences for phylogenetic and molecular clock estimates, calibration files, phylogenetic trees and Phylobayes scripts.

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