Niemann-Pick C1 Affects the Gene Delivery Efficacy of Degradable Polymeric Nanoparticles

Ahmed A. Eltoukhy,†,‡ Gaurav Sahay,†,‡ James M. Cunningham,‡ and Daniel G. Anderson†,§,•,∗

†Koch Institute for Integrative Cancer Research, Massachusetts Institute of Technology, Cambridge, Massachusetts 02139, United States, ‡Department of Medicine, Brigham and Women’s Hospital, Harvard Medical School, Boston, Massachusetts 02115, United States, and §Department of Chemical Engineering, †Harvard-MIT Division of Health Sciences and Technology, and ‡Institute for Medical Engineering and Science, Massachusetts Institute of Technology, Cambridge, Massachusetts 02139, United States. †A.A.E. and G.S. contributed equally.

ABSTRACT Despite intensive research effort, the rational design of improved nanoparticulate drug carriers remains challenging, in part due to a limited understanding of the determinants of nanoparticle entry and transport in target cells. Recent studies have shown that Niemann-Pick C1 (NPC1), the lysosome membrane protein that mediates trafficking of cholesterol in cells, is involved in the endosomal escape and subsequent infection caused by filoviruses, and that its absence promotes the retention and efficacy of lipid nanoparticles encapsulating siRNA. Here, we report that NPC1 deficiency results in dramatic reduction in internalization and transfection efficiency mediated by degradable cationic gene delivery polymers, poly(β-amino ester)s (PBAs). PBAs utilized cholesterol and dynamin-dependent endocytosis pathways, and these were found to be heavily compromised in NPC1-deficient cells. In contrast, the absence of NPC1 had minor effects on DNA uptake mediated by polyethylenimine or Lipofectamine 2000. Strikingly, stable overexpression of human NPC1 in chinese hamster ovary cells was associated with enhanced gene uptake (3-fold) and transfection (10-fold) by PBAs. These findings reveal a role of NPC1 in the regulation of endocytic mechanisms affecting nanoparticle trafficking. We hypothesize that in-depth understanding sites of entry and endosomal escape may lead to highly efficient nanotechnologies for drug delivery.

KEYWORDS: nanoparticles · endocytosis · NPC1 · PBAE · polymer · intracellular trafficking gene delivery

The tremendous medical promise of gene therapy remains unmet due to the lack of safe and effective delivery vehicles.1 Though regarded as efficient gene carriers, viral vectors are associated with safety risks including insertional mutagenesis and adverse immune responses.2 Nonviral gene vectors offer the possibility of improved safety but have generally failed to attain satisfactory delivery efficacy in clinical testing.3,4 One challenge to the rational design of synthetic nanocarriers is the limited understanding of the relationship between nanoparticle structure and function, as well as a lack of insight into the rate-limiting steps and key cellular regulators affecting delivery efficacy.5 Although several groups are working toward characterization of different physicochemical parameters of nanomedicines on cellular uptake, the endosomal machinery involved in the internalization, transport, and escape of nanoparticles to the cytosol remains elusive.6,7 Cellular uptake of nanoparticles is critical for intracellular delivery and has been the subject of various studies.8 Typical endocytic mechanisms include macropinocytosis, clathrin-dependent endocytosis, caveolae-mediated endocytosis, and a number of clathrin- and caveolae-independent pathways such as RhoA-dependent, Arf6-dependent, Cdc42-dependent, and flotillin-dependent endocytosis.9 A handful of nanocarriers appear to rely predominantly on a single pathway. Certain kinds of poly(lactic-co-glycolic acid) (PLGA) nanoparticles, for instance, were reported to be internalized by vascular smooth muscle cells via clathrin-dependent endocytosis,10 whereas DOXIL and Abraxane nanoparticles enter tumor cells via caveolae-mediated endocytosis.13,14 Macropinocytosis, meanwhile, appeared to be the major pathway used for entry in Hela cells by certain siRNA-containing nanoparticles composed of lipid-like materials (LNPs).15 However, for many nanoparticles used for nonviral gene delivery,
including poly-L-lysine (PLL) and polyethylenimine (PEI)-based polyplexes, as well as various lipoplexes and liposomes, evidence exists for cellular entry via multiple endocytic mechanisms. 16/23 Poly(β-amino ester)s (PBAEs) are biodegradable cationic polymers that mediate gene delivery in a variety of in vitro and in vivo contexts, including suicide gene therapy of several animal models of cancer 24/26 as well as genetic modification of stem cells for treatment of ischemia.27 These polymers have demonstrated superior performance and less toxicity in several difficult-to-transfect cell types28–30 compared to commercially available transfection reagents such as Lipofectamine 2000 (LF 2000). The versatility of the polymerization chemistry31 has allowed a broad set of structures to be synthesized and screened in a high-throughput manner,32 permitting systematic investigation of key parameters affecting gene delivery potency such as polymer molecular weight distribution,37 hydrophobicity of the side chains,38 and amine end-group structure.39–42 The endocytic mechanisms used by PBAEs for internalization remain unreported.

Here, we show that while PBAE/DNA nanoparticles appear to use multiple pathways to enter cells, efficient cellular uptake and gene transfection depend on the cholesterol transport function of Niemann-Pick C1 (NPC1). We have identified a unique trafficking pathway selective to PBAEs that, upon being misregulated, has a drastic effect on the ability of the polymer to deliver DNA inside cells. This study indicates that NPC1 plays an important role in regulating endocytic mechanisms affecting internalization and efficacy of certain nanoparticles.

RESULTS

Identification of U18666A as an Inhibitor of PBAE-Mediated Gene Transfection. To assess the mechanisms of cellular entry used by poly(β-amino ester)s, we screened a set of inhibitors for their potential to reduce cellular internalization of fluorescently labeled DNA by C32–122, one of the top-performing amine end-modified PBAEs. Immortalized mouse embryonic fibroblast (MEF) cells were pretreated with various inhibitors for 1 h, then transfected with C32–122 polyplexes containing Cy5-labeled DNA in the presence of these inhibitors. Based on optimization experiments, the inhibitors were used at concentrations below the threshold of inducing notable cytotoxicity (data not shown). After 3 h, the cells were washed multiple times, fixed, stained with DAPI, and analyzed by high-throughput confocal microscopy to quantify relative DNA uptake (mean ± SD, n = 3); * indicates p < 0.05, ** indicates p < 0.01, compared to no drug control. Figure 1. U18666A inhibits C32–122-mediated uptake of DNA in MEFs. (a) MEFs were transfected with C32–122 polyplexes containing Cy3-labeled DNA in the presence of various endocytic pathway inhibitors (5 μM U18666A, 50 μM dynasore, 1 mM methyl-β-cyclodextrin, 10 μM genistein, 5 μM filipin, 10 μM chlortromazine, 10 μM EIPA). MEFs were pretreated with the inhibitors 1 h prior to transfection. After 3 h, the cells were washed, fixed, treated with a nuclear stain, and analyzed by high-throughput confocal microscopy to quantify relative DNA uptake (mean ± SD, n = 3); * indicates p < 0.05, *** indicates p < 0.001, compared to no drug control. (b) Relative DNA uptake efficiencies in MEFs (mean ± SD, n = 3) as quantified by FACS 3 h following transfection of C32–122/Cy5-labeled DNA in the presence of the indicated concentration of U18666A; * indicates p < 0.05, ** indicates p < 0.01, compared to no drug control. (c) Overall MEF transfection efficiency (mean ± SD, n = 4) as quantified by FACS 24 h following transfection of C32–122/GFP-encoding DNA in the presence of 5 μM U18666A; ** indicates p < 0.01, *** indicates p < 0.001 for the comparisons indicated.
endocytosis (chlorpromazine), macropinocytosis (EIPA), and caveolae-mediated endocytosis (genistein, filipin, and methyl-β-cyclodextrin) had no significant effect or caused increased uptake, but treatment with dynasore, an inhibitor of dynamin-dependent pathways including clathrin-mediated and caveolae-mediated endocytosis, significantly reduced relative DNA uptake mediated by PBAEs. The significant increases in uptake observed for some inhibitors such as EIPA and chlorpromazine suggest that the cells may compensate for incomplete suppression by promoting or upregulating alternate endocytic pathways. However, DNA uptake was inhibited to the greatest extent in the presence of the small molecule U18666A, an inhibitor of cholesterol trafficking.

Using fluorescence-activated cell sorting (FACS) analysis, we quantified relative DNA uptake in these cells following PBAE-based transfection in the presence of various doses of U18666A. U18666A treatment was associated with dose-dependent reductions in DNA internalization by C32–122/MEFs relative to the wild-type cells (Figure 2a). When FACS analysis was used to compare the C32–122/DNA dose–response profiles of the two cell lines, we observed that the ED50 concentration was approximately 3-fold greater for the NPC1−/− cells than that for the wild-type cells (Figure 2b). Even more strikingly, GFP expression levels of positively transfected cells were reduced over 10-fold in NPC1 knockout cells (Figure 2c).

To determine whether NPC1 knockout reduces DNA uptake by C32–122, high-throughput confocal microscopy was used to examine the fluorescent intensity levels of NPC1+/+ and NPC1−/− MEFs treated with polyplexes incorporating Cy3-labeled DNA (Figure 3a). We observed that NPC1 deficiency was associated with significantly (3-fold) decreased uptake across a range of DNA doses tested (Figure 3b).

Characterization of Cy5-labeled DNA internalization by FACS analysis confirmed that NPC1 knockout significantly reduced both the efficiency and the fluorescent intensity of MEFs transfected using C32–122 (Figure 4). To determine whether NPC1 deficiency also affected DNA internalization mediated by other transfection reagents, we conducted analogous experiments with PEI and LF 2000. NPC1 knockout had no significant impact on labeled DNA uptake efficiency or the overall uptake level by LF 2000, while NPC1 knockout was associated with a modest reduction in overall uptake, but had little effect on internalization efficiency by PEI (Figure 4).

Studies with Markers of Endocytosis. We next assessed the relative uptake of various known markers of endocytic pathways in NPC1+/+ and NPC1−/− MEFs. These markers included fluorescently labeled transferrin, cholera toxin B, and 10 000 MW dextran, which have been shown to undergo internalization via clathrin-dependent endocytosis, caveolin-mediated endocytosis, and macropinocytosis, respectively. After a 3 h incubation with the cell lines and multiple washes,
we observed dramatically reduced uptake of cholera toxin B in the NPC1-deficient cells (Figure 5a). Furthermore, transferrin uptake appeared to be slightly decreased and dextran uptake slightly increased. Quantification of these experiments by FACS analysis corroborated these trends (Figure 5b). When we compared NPC1-deficient cells to wild-type cells, cholera toxin B internalization was reduced ~20–30-fold; transferrin uptake was reduced about 2-fold; and dextran uptake was increased by ~50%.

To determine whether PBAE polyplexes share common uptake pathways with these markers during endocytosis, we incubated the C32–122 polyplexes containing labeled DNA in the presence of each one of these markers and used confocal microscopy to characterize the extent of colocalization in wild-type MEFs at various time points. Although there was evidence of DNA colocalization with all three markers, the greatest extent of colocalization occurred with cholera toxin B (Figure 6). These results suggest that PBAE polyplexes and cholera toxin B rely on common uptake pathways for internalization.

Studies with CHO Cell Lines Varying in NPC1 Expression. To further elucidate the effects of NPC1, we examined C32–122/DNA uptake and transfection in Chinese hamster ovary (CHO) epithelial cell lines with varying NPC1 expression (Figure 7). These cell lines included wild-type CHO cells, NPC1-deficient CHO cells (null), CHO cells expressing NPC1 with a mis-sense mutation in the sterol-sensing domain resulting in defective

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cholesterol trafficking$^{44}$ (P692S) and wild-type CHO cells stably overexpressing human NPC1$^{45}$ (hNPC1).

As was observed with the immortalized MEFs, NPC1 deficiency in CHO cells inhibited both DNA uptake and transfection mediated by C32/C0$^{122}$. In the P692S CHO cells, uptake and transfection were inhibited to a nearly identical extent as the null cells, confirming the specific dependence on NPC1’s cholesterol-trafficking function for PBAE-mediated gene delivery. Surprisingly, we observed increased C32–122-mediated DNA uptake and transfection in the CHO cells stably overexpressing human NPC1 (Figure 7). These cells have been reported to have a 1.5-fold increase in total cellular cholesterol and a 2.9-fold increase in cholesterol at the plasma membrane.$^{45}$ It is possible that increased cholesterol localization at the plasma membrane may enhance the activities of certain endocytic mechanisms used by PBAE/DNA nanoparticles. These observations raise the possibility of modulating key cellular factors to improve nonviral gene delivery.

**DISCUSSION**

Although research within the nonviral gene delivery field continues to focus on materials engineering, the rational design of more advanced nanocarriers will
likely benefit from a deeper understanding of the cellular mechanisms involved in nanoparticle internalization and transfection. Toward this end, we examined the uptake of a promising class of degradable cationic polymers, PBAEs, using a variety of tools including pharmacological pathway inhibitors, labeled uptake markers, and gene knockout cell lines. The inhibitor experiments identified dynasore and U18666A as suppressors of polyplex internalization in a mouse embryonic fibroblast cell line (Figure 1). Dynasore inhibits a broad set of dynamin-dependent pathways including clathrin-mediated and caveola-mediated endocytosis, suggesting that PBAEs may use more than one pathway to enter these cells. U18666A is an amphipathic steroid with multiple actions, inhibiting both the synthesis of cholesterol and its trafficking from late endosomes and lysosomes to the plasma membrane and the endoplasmic reticulum. It has also been widely studied as a means of inducing a model of Niemann-Pick type C1 disease, and may directly interact with and inhibit the sterol-sensing site of the NPC1 protein.

NPC1 is a 13 transmembrane protein present on the late endosomes responsible for the egress of cholesterol from cells. NPC1 has recently been identified as an essential factor involved in endosomal escape of certain filoviruses, yet its absence improves the retention and efficacy of lipid/siRNA nanoparticles. Examination of NPC1-deficient MEFs indicates that NPC1 plays a significant role in gene transfection and uptake by poly(β-amino ester)s such as C32 – 122 but only a minor one in DNA internalization by PEI and LF 2000 (Figures 2 – 4). We demonstrate that NPC1 knockout in MEFs significantly alters normal activities of various endocytic pathways, with dramatically decreased caveola-mediated endocytosis, slightly reduced clathrin-mediated endocytosis, and slightly upregulated macropinocytosis (Figure 5). This observation is supported by examination of marker colocalization experiments, which indicate that while PBAE/DNA polyplexes likely use multiple mechanisms to enter MEFs, caveola-mediated endocytosis was the primary route (Figure 6).

Both CHO cells that are devoid of NPC1, or stably express NPC1 with a mutated sterol-sensing domain, led to decreases in uptake and transfection efficiency of PBAEs, further supporting our previous observation that cholesterol transport significantly impacts gene delivery (Figure 7). Although reduced uptake was identified as one factor in the diminished transfection efficiency of NPC1-deficient cells, NPC1 may also contribute to downstream steps such as endosomal escape and/or nuclear transport of the polyplexes since a 3-fold decrease in uptake led to a 10-fold decrease in transfection efficiency in CHO cells (Figure 7).

Because NPC1 plays a role in regulating the trafficking of cholesterol and because cholesterol has been shown to be critically involved in both caveolin- and clathrin-mediated endocytosis, we had hypothesized that NPC1 knockout would affect these processes. To our knowledge, although NPC1 knockout has been associated with altered intracellular localization of cholera toxin B and lactosylceramide (LacCer), two markers of caveolin-mediated endocytosis, and with defective recycling of transferrin receptor, quantitative analysis of uptake for multiple endocytic markers has not yet been reported. Despite previous reports that fluid-phase uptake of horseradish peroxidase is impaired in NPC1 knockout cells because of a change in macropinocytosis-based internalization, our results indicate that macropinocytic internalization of dextran is slightly increased in NPC1-deficient cells. Additionally, these results provide further perspective on earlier work by our group suggesting that lipid/siRNA nanoparticles are retained in cells longer in NPC1-deficient cells due to defects in recycling, despite being internalized by macropinocytosis. In contrast to both PBAE/DNA nanoparticles (which likely enter via caveolae) and lipid/siRNA nanoparticles (which enter via macropinocytosis), cholesterol in general enters through the clathrin-dependent pathway and exits the late endosomes via NPC1-mediated trafficking.

**CONCLUSIONS**

The investigation of nonviral DNA transfection of NPC1-deficient cells reported here demonstrates the importance of cholesterol trafficking for certain delivery formulations such as poly(β-amino ester)s. In this study, one of the most striking observations was that stable overexpression of NPC1 significantly improved DNA internalization and transfection by PBAEs (Figure 7). These findings raise the tantalizing prospect of enhancing nonviral gene delivery through active modulation of cellular factors known to play key roles in internalization, intracellular trafficking, or endosomal progression such as lysobisphosphatidic acid, Rab5, and perhaps NPC1. Manipulation of NPC1 or other such targets would of course need to be performed in a manner that is specific, targeted, and temporary and, due to the potential risks, would likely only be considered for patients suffering from genetic disorders for which there is no current viable therapy.

**MATERIALS AND METHODS**

**Materials.** 1,4-Butanediol diacrylate and 5-amino-1-pentanol were purchased from Alfa Aesar (Ward Hill, MA, USA). Dodecylamine was purchased from Sigma-Aldrich (St. Louis, MO, USA). (PEO)4-bisamine (“122”) was acquired from Molecular Biosciences (Boulder, CO, USA). All chemical reagents were used.
without further purification. Plasmids encoding green fluorescent protein (gWiz-GFP) and firefly luciferase (gWiz-Luc) were purchased from Aldevron (Fargo, ND, USA). jetPEI (Polyplus Transfection, Illkirch, France) and Lipofectamine 2000 were purchased from WRR (Radnor, PA, USA) and Invitrogen (Carlsbad, CA, USA), respectively. Transferrin, cholera toxin B, and 10,000 MW dextran, each labeled with AlexaFluor 647, were purchased from Invitrogen. Cytochalasin D, dynasore hydrate, chlorpromazine hydrochloride, filipin III, genistein, methyl-j-cyclodextrin, 5-(and dissolving the polymer in 10 mL of anhydrous THF, it was 90/C176 transfection experiments, gWiz-GFP (5 mg mL−1) were diluted in 40 mL of anhydrous THF). Following overnight stirring at room temperature, the amine end-modification polymer was purified by precipitation in anhydrous diethyl ether (1:3 v/v THF/ether) and dried under vacuum for 24 h. C32 polymer solution (200 μL) was added to the diluted DNA (200 μL) mixed by repeated pipetting, and allowed to incubate for 10 min at room temperature. Depending on the dose, polymer–DNA complexes were diluted in NaOAc as needed, and then were gently mixed in a deep 96-well plate with prewashed fresh medium (360 μL). For inhibition experiments, this medium was replaced with the indicated concentration of pharmacological inhibitor, whereas for colocalization experiments, labeled transferrin, cholera toxin B, or dextran were present. Conditioned medium was removed using a 12-channel aspiration wand and replaced with the complexes diluted in medium (100 μL). jetPEI and Lipofectamine 2000 were used according to the manufacturer’s protocols.

For GFP transfection experiments, following a 3 h incubation at 37 °C, complexes were removed with the aid of a multichannel aspiration wand and replaced with fresh medium (100 μL), and the cells were analyzed for GFP expression by fluorescence-activated cell sorting following 24 h at 37 °C. For DNA uptake experiments, cells were washed three times at the indicated time point and prepared for analysis by either confocal microscopy (high-throughput or high-resolution microscopy) as previously described or using FACS.9

FACS Analysis. After aspirating conditioned medium and washing cells three times with PBS, cells were detached using 25 μL per well of 0.25% trypsin–EDTA (Invitrogen). Following a 5 min incubation at 37 °C, fresh medium (50 μL) was added to the cells, which were mixed thoroughly and then transferred to a 96-well round-bottom plate. Cells were then pelleted, resuspended in fixation buffer (4% v/v formaldehyde in PBS), incubated for 10 min at room temperature, pelleted again, and finally suspended in ice-cold FACS PBS in containing 1:200 v/v propidium iodide (Invitrogen). The cells were kept at 4 °C until FACS analysis using a BD LSR II (Becton Dickinson, San Jose, CA, USA). Except for experiments with Cy3-labeled DNA, propidium iodide (PI) staining was used to exclude dead cells from the analysis. PI staining was also used to determine the viabilities of treated cells relative to non-treated control cells, where the relative viability was calculated as the ratio of live (untreated) treated cells per well to the mean number of live nontreated cells per well. For GFP expression analysis, 2D gating was used to separate increased autofluorescence signals from increased GFP signals to more accurately count positively expressing cells. Gating and analysis were performed using FlowJo software (TreeStar, Ashland, OR, USA). Geometric mean fluorescent intensities of transfected cells were normalized to those of the corresponding nontransfected control cells.

Statistics. Data are expressed as mean ± SD for groups of at least three replicates. Data in Figure 1a-b were analyzed for statistical significance by one-way ANOVA with Bonferroni multiple comparison correction. We would also like to thank Dr. Sovan Sarkar for helpful discussion. We would like to thank the Koch Institute Swanson Biotechnology Flow Cytometry Core facility and the confocal core facility at Whitehead institute for their support. This work was supported by National Cancer Institute Grant U54CA151884, SRO1HL107550-03 grant and Program of Excellence in Nanotechnology (PEN) Award, Contract #HSN266201000045C from the National Heart, Lung, and Blood Institute, National Institutes of Health. This work was also supported by the Skolkovo Foundation Grant as part of the Center for RNA therapeutics and biology.

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